

## On the spectral sequence of the Swiss-cheese operad

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We prove that the homology of the Swiss-cheese operad is a Koszul operad. As a consequence, we obtain that the spectral sequence associated to the stratification of the compactification of points on the upper half plane collapses at the second stage, proving a conjecture by A Voronov in [17]. However, we prove that the operad obtained at the second stage differs from the homology of the Swiss-cheese operad.

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### 1 Introduction

An operad  $\mathcal{O}$  is called *differentiable* when it is defined on the symmetric monoidal category of differentiable manifolds. If each  $\mathcal{O}(n)$  is a manifold with corners whose connected boundary components are cartesian products of  $\mathcal{O}(k)$  (with  $k < n$ ) and the operad structure is given by the inclusion map of the boundary strata, then the operad is called *stratified*. To every stratified operad are associated dg-operads given by the spectral sequence induced by a natural filtration on its singular chain complex: the boundary strata filtration. Since that filtration is given by the codimension of the boundary components, it is finite and hence converges to the homology  $H_*(\mathcal{O})$  at some finite stage. One would naturally wonder whether the spectral sequence degenerates and if the operad structure on the  $E^\infty$ -term is isomorphic to the operad structure on  $H_*(\mathcal{O})$ .

In the present paper we study the spectral sequence of a stratified operad, given by Kontsevich's compactification [14], which is homotopically equivalent to the Swiss-cheese operad  $\mathcal{SC}$ . Among the related algebraic structures are Kajiwara and Stasheff's OCHA [10; 12], Leibniz pairs (see Flato, Gerstenhaber and Voronov [3]) and extensions of those considered by Dolgushev [2]. The relation between OCHAS, Leibniz pairs and the Swiss-cheese operad has been carefully studied by the authors in [9], where the 0<sup>th</sup> homology of the Swiss-cheese operad  $\mathcal{SC}$  was related to the first row of the spectral sequence associated to the Kontsevich compactification. One of the purposes of [9] was to prove an  $\mathcal{SC}$  analogue of the following fact concerning the little disks operad  $\mathcal{D}_2$ .

**Proposition** *The 0<sup>th</sup> homology of the operad  $\mathcal{D}_2$  is Koszul dual to a suspension of the top homology.*

In the case of little disks, the 0<sup>th</sup> homology is the operad  $\mathit{Com}$  and the top homology is a desuspension of the operad  $\mathit{Lie}$ . In fact, the above Proposition is a consequence of a theorem, proved by Getzler and Jones, according to which the Gerstenhaber operad  $H_*(\mathcal{D}_2)$  is, up to suspension, a self-dual Koszul operad.

We proved in [9] that the 0<sup>th</sup> homology of  $\mathcal{SC}$  is a Koszul quadratic-linear operad, and that its Koszul dual  $H_0(\mathcal{SC})^\dagger$ , which is a dg-operad, has for homology a suspension of the top homology. Note that in the context of  $\mathcal{SC}$ , the top homology does not form an operad, so by top homology we mean the smallest operad containing the top degrees generators.

The little disks operad  $\mathcal{D}_2$  is not stratified. However, by considering the real Fulton–MacPherson compactification of the moduli space of points in the complex plane, we get a homotopically equivalent stratified operad sometimes denoted  $\mathcal{F}_2$  (see Salvatore [16]). The same compactification procedure can be applied to the Swiss-cheese operad  $\mathcal{SC}$  (the homotopy equivalent stratified operad obtained is sometimes denoted  $\mathcal{H}_2$ ). So, by passing to a homotopy equivalent operad, we can assume that both little disks and Swiss-cheese operads are stratified. Furthermore, two homotopy equivalent operads give isomorphic homology operads. Hence, to avoid cumbersome notation we will work with the stratified versions of little disks and Swiss-cheese, while keeping the notation:  $\mathcal{D}_2$  and  $\mathcal{SC}$ .

The main result of this paper is Theorem 4.2.2, where we prove the conjecture by A Voronov in [17] stating that the spectral sequence  $E(\mathcal{SC})$  of the Swiss-cheese operad collapses at the second stage. This is done by proving that the homology of the Swiss-cheese operad is a quadratic-linear Koszul operad in the sense of Galvez-Carrillo, Tonks and Vallette in [5]. The same result is true for the homology of  $\mathcal{SC}^{vor}$ , a variant of  $\mathcal{SC}$ . The relation (modulo (de)suspension) between  $E^1(\mathcal{SC})$  and the cobar construction of the cohomology cooperad  $H^*(\mathcal{SC})$  is well known [2], but in our setting it is slightly different, so a proof is given in Lemma 4.1.1. We compute in Proposition 4.2.1 the operad structure on  $E^2(\mathcal{SC}) = E^\infty(\mathcal{SC})$ . To sum up we get the following.

*Algebras over  $H_*(\mathcal{SC})$  are triples  $(G, A, f)$  where  $G$  is a Gerstenhaber algebra,  $A$  is an associative algebra and  $f: G \rightarrow A$  is a central map such that  $f(gg') = f(g)f(g')$ , whereas algebras over  $E^\infty(\mathcal{SC})$  are triples  $(G, A, f)$ , where  $G$  is a Gerstenhaber algebra,  $A$  is an associative algebra and  $f: G \rightarrow A$  is a central map such that  $f(gg') = 0$ .*

We finally prove in Proposition 4.3.1 that the two operads are not isomorphic as operads, though the  $\mathbb{S}$ -module structures are the same.

Note that this case differs from the little disks case: Getzler and Jones [6] have proven that the spectral sequence associated to the stratification of  $\mathcal{D}_2$  collapses at the second stage and that  $E^2(\mathcal{D}_2) = \Lambda^{-1}(H_*(\mathcal{D}_2)^!)$  is isomorphic to  $\mathbf{e}_2 = H_*(\mathcal{D}_2)$ .

The plan of the paper is the following. Section 2 is devoted to preliminaries and notation. Section 3 is devoted to the homology of the operads  $SC^{vor}$  and  $SC$ . As in [9], in order to understand the structure of the operad  $\mathbf{sc} = H_*(SC)$ , it is necessary to first understand the operad  $SC^{vor}$ , another version of the Swiss-cheese operad, whose homology is quadratic. The end of Section 3 is devoted to the structure of  $H_*(\mathbf{sc}^!)$ . We use the techniques of distributive laws, as well as the results obtained in [9]. Section 4 concentrates on the spectral sequence of  $SC$ .

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## 2 Preliminaries

### 2.1 On differential graded vector spaces

We work on a ground field  $k$  of characteristic 0. The category **dgvs** is the category of lower graded  $k$ -vector spaces together with a differential of degree  $-1$ . Objects in **dgvs** are called for short dgvs. The degree of  $x \in V$ , where  $V$  is a dgvs is denoted by  $|x|$ . We say that a dgvs  $V$  is finite dimensional if for each  $n$ , the vector space  $V_n$  is finite dimensional.

The vector space  $\text{hom}_k(V, W)$  denotes the  $k$ -linear morphisms between two vector spaces  $V$  and  $W$ . When  $V$  and  $W$  are objects in **dgvs**, then we have that the differential graded vector space of maps from  $V$  to  $W$  is  $\bigoplus_{i \in \mathbb{Z}} \text{Hom}_i(V, W)$ , where  $\text{Hom}_i(V, W) = \prod_n \text{hom}_k(V_n, W_{n+i})$  together with the differential  $(\partial f)(v) = d_W(f(v)) - (-1)^{|f|} f(d_V v)$ .

The graded linear dual of  $V$  in **dgvs** is  $V^* = \text{Hom}(V, k)$ , where  $k$  is concentrated in degree 0 with 0-differential. Consequently we have that  $(V^*)_n = (V_{-n})^*$  and  $(\partial f)(x) = -(-1)^n f(d_V x)$  for any  $f \in (V^*)_n$  and  $x \in V_{-n+1}$ . The suspension of a dgvs  $V$  is denoted by  $sV$  and defined as  $(sV)_n = V_{n-1}$ .

### 2.2 On operads, 2-colored operads, cooperads

**2.2.1 On the symmetric group** The symmetric group acting on  $n$  elements is denoted by  $S_n$ . An element  $\sigma \in S_n$  will be denoted by its image notation  $(\sigma(1) \cdots \sigma(n))$ . The trivial representation of  $S_n$  is denoted by  $k$ , the signature representation by  $\text{sgn}_n$  and the regular representation by  $k[S_n]$ .

**2.2.2 Collections and  $\mathbb{S}$ -modules** In this article, we work with 2-colored (co)-operads, either in the category of spaces, or in **dgvs**. The colors we consider are denoted by  $c$  (for closed) and  $o$  (for open). A 2-collection  $\mathcal{P}$  is a family of dgvs, given by  $(\mathcal{P}(\underline{c}; d) = \mathcal{P}(c_1, \dots, c_n, d))_{\{c_i, d \in \{c, o\}\}}$ . Let  $\underline{c} = (c_1, \dots, c_n)$  be an  $n$ -tuple of colors. The symmetric group  $S_n$  acts on the set of  $n$ -tuples of colors by  $\underline{c} \cdot \sigma = (c_{\sigma(1)}, \dots, c_{\sigma(n)})$ . An  $\mathbb{S}$ -module is a 2-collection  $\mathcal{P}$  endowed with an action of the symmetric groups, sending  $(x \in \mathcal{P}(c_1, \dots, c_n; d), \sigma \in S_n)$  to  $x \cdot \sigma \in \mathcal{P}(\underline{c} \cdot \sigma; d)$ .

Note that it is an extension of the usual definition of an  $\mathbb{S}$ -module, which is a family of dgvs  $(\mathcal{Q}(n))_{n \geq 1}$  such that for each  $n$ ,  $\mathcal{Q}(n)$  is a right  $S_n$ -module. We can consider this collection as an  $\mathbb{S}$ -module, where  $\mathcal{Q}(\underline{c}; d)$  is  $\mathcal{Q}(n)$  if for all  $i, c_i = c$  and  $d = c$  and is 0 otherwise.

Given an  $\mathbb{S}$ -module  $M$ , we may want to consider some truncation  $N$  of it, invariant under the action of the symmetric groups. By definition a sub- $\mathbb{S}$ -module of  $N$  is a sub-dgvs invariant under the induced action of the symmetric groups.

**2.2.3 Operads** The 2-collection  $I$  defined by  $I(c; c) = k, I(o; o) = k$  and  $I(\underline{c}; d) = 0$  elsewhere, plays a special role. Indeed, a 2-colored operad is an  $\mathbb{S}$ -module together with a unit map  $\eta: I \rightarrow \mathcal{P}$  and composition maps

$$\gamma: \mathcal{P}(c_1, \dots, c_n; d) \otimes \mathcal{P}(\underline{b}^1; c_1) \otimes \dots \otimes \mathcal{P}(\underline{b}^n; c_n) \rightarrow \mathcal{P}(\underline{b}^1, \dots, \underline{b}^n; d),$$

which are associative, unital and respects the action of the symmetric groups.

We write  $f(g_1, \dots, g_n)$  for the image of  $f \otimes g_1 \otimes \dots \otimes g_n$  or  $f(\text{id}^{\otimes i} \otimes g \otimes \text{id}^{\otimes n-1-i})$  whenever every  $g$  except one is the identity. We often use the same notation for  $f$  in  $\mathcal{P}$  or for  $f$  seen as an operation on variables. In that context, we use the Koszul sign convention

$$(f \otimes g)(\underline{a} \otimes \underline{b}) = (-1)^{|a||g|} f(a) \otimes g(b).$$

Because of the action of the symmetric groups, one may only consider the spaces

$$\mathcal{P}(n, m; d) = \mathcal{P}(\underbrace{c, \dots, c}_n, \underbrace{o, \dots, o}_m; d).$$

In this paper, we only consider 2-colored operads such that  $\mathcal{P}(0, 0; x) = 0$  and  $\mathcal{P}(1, 0; c) = k = \mathcal{P}(0, 1; o)$ . They are naturally *augmented*, that is, there is a morphism of operads  $\mathcal{P} \rightarrow I$  and  $\overline{\mathcal{P}}$  denotes the kernel of this map.

Any operad  $\mathcal{P}$  can be considered as a 2-colored operad with  $\mathcal{P}(\underline{c}; d) = \mathcal{P}(n)$  if for all  $i, c_i = c$  and  $d = c, \mathcal{P}(o; o) = k$  and  $\mathcal{P}(\underline{c}; d) = 0$  otherwise.

In the sequel, we often use the generic terminology of operads for either operads or 2-colored operads, or operads seen as 2-colored operads.

**2.2.4 Suspension of  $\mathbb{S}$ -modules and operads** The suspension of the  $\mathbb{S}$ -module  $\mathcal{P}$  is

$$\Lambda \mathcal{P}(n, m; x) = s^{1-n-m} \mathcal{P}(n, m; x) \otimes \text{sgn}_{n+m}.$$

If  $\mathcal{P}$  is an operad, then the structure of  $\mathcal{P}$ -algebra on the pair  $(V_c, V_o)$  is equivalent to the structure of  $\Lambda \mathcal{P}$ -algebra on the pair  $(sV_c, sV_o)$ .

The suspension of the 2-collection  $\mathcal{P}$  with respect to the color  $c$  is

$$\Lambda_c \mathcal{P}(n, m; x) = s^{\delta_{x,c}-n} \mathcal{P}(n, m; x) \otimes \text{sgn}_n,$$

where  $\delta$  denotes the Kronecker symbol. If  $\mathcal{P}$  is an operad, then the structure of  $\mathcal{P}$ -algebra on the pair  $(V_c, V_o)$  is equivalent to the structure of  $\Lambda_c \mathcal{P}$ -algebra on the pair  $(sV_c, V_o)$ .

**2.2.5 Operads defined by generators and relations** The free operad generated by an  $\mathbb{S}$ -module  $E$  is denoted by  $\mathcal{F}(E)$ . It is weight graded by the number  $n$  of vertices of the underlying trees and  $\mathcal{F}^{(n)}(E)$  denotes the component of weight  $n$ .

A *quadratic operad*  $\mathcal{F}(E, R)$  is an operad of the form  $\mathcal{F}(E)/(R)$ , where  $E$  is an  $\mathbb{S}$ -module,  $R$  is a sub- $\mathbb{S}$ -module of  $\mathcal{F}^{(2)}(E)$  and  $(R)$  is the ideal generated by  $R$ . There are analogous notions of cooperads, free cooperads  $\mathcal{F}^c(E)$  cogenerated by  $E$ , and of cooperads cogenerated by an  $\mathbb{S}$ -module  $V$  with correlation  $R$  denoted by  $C(V, R)$ .

Describing an operad is equivalent to describing algebras over it. In the text, we say that an operad  $\mathcal{P}$  is generated by  $E$  with relations  $R$  written as

$$(*) \quad r_1 = r_2.$$

This notation means that any  $\mathcal{P}$ -algebra satisfies the relation  $(*)$ . At the level of operads, this is understood as  $R$  contains the element  $r_1 - r_2$ .

**2.2.6 Koszul dual** Any quadratic operad  $\mathcal{P} = \mathcal{F}(E, R)$  admits a *Koszul dual cooperad* given by  $\mathcal{P}^i = C(sE, s^2R)$ .

The *Koszul dual operad*  $\mathcal{P}^!$  of a finite dimensional quadratic operad  $\mathcal{P}$  is

$$(1) \quad \mathcal{P}^! := (\Lambda \mathcal{P}^i)^*, \text{ or equivalently, } \mathcal{P}^i = (\Lambda \mathcal{P}^!)^*.$$

When  $\mathcal{P}$  is a binary quadratic operad, we can use the original definition of Ginzburg and Kapranov [7] (see also Loday and Vallette [15, chapter 7]) to compute its Koszul dual operad. Namely, if  $\mathcal{P} = \mathcal{F}(E, R)$ , then  $\mathcal{P}^! = \mathcal{F}(E^\vee, R^\perp)$ , where  $E^\vee = E^* \otimes \text{sgn}_2$  and  $R^\perp$  denotes the orthogonal of  $R$  under the pairing  $\mathcal{F}^{(2)}(E) \otimes \mathcal{F}^{(2)}(E^\vee) \rightarrow k$ .

**2.2.7 Bar and cobar constructions** As it is the case for algebras and coalgebras, there is a pair of adjoint functors between operads and cooperads given by the bar and cobar construction. We refer to [6] for a detailed account on this topic. Let us only recall that the cobar construction of a cooperad  $\mathcal{C}$  is denoted by  $\Omega\mathcal{C}$  and as a (nondifferential) operad, is the free operad  $\mathcal{F}(s^{-1}\bar{\mathcal{C}})$  where  $\bar{\mathcal{C}}$  is the coaugmentation ideal of the cooperad. The differential is computed from the differential of  $\mathcal{C}$  and the cooperad structure. The bar construction is denoted  $B\mathcal{P}$  and it is defined similarly. When  $\mathcal{C}$  is finite dimensional one has

$$(\Omega\mathcal{C})^* = B(\mathcal{C}^*).$$

### 2.3 Two versions of the Swiss-cheese operad

Here we recall the two definitions for the Swiss-cheese operad we have introduced in [9]. We denote by  $\mathcal{D}_2$  the little disks operad.

For  $m, n \geq 0$  such that  $m + n > 0$ , let us define  $\mathcal{SC}(n, m; o)$  as the space of those configurations  $d \in (2n + m)$  such that its image in the disk  $D^2$  is invariant under complex conjugation and exactly  $m$  little disks are left fixed by conjugation. A little disk that is fixed by conjugation must be centered at the real line, in this case it is called *open*. Otherwise, it is called *closed*. The little disks in  $\mathcal{SC}(n, m; o)$  are labelled according to the following rules.

- (i) Open disks have labels in  $\{1, \dots, m\}$  and closed disks have labels in  $\{1, \dots, 2n\}$ .
- (ii) Closed disks in the upper half plane have labels in  $\{1, \dots, n\}$ . If conjugation interchanges the images of two closed disks, their labels must be congruent modulo  $n$ .

There is an action of  $S_n \times S_m$  on  $\mathcal{SC}(n, m; o)$  extending the action of  $S_n \times \{e\}$  on pairs of closed disks having modulo  $n$  congruent labels and the action of  $\{e\} \times S_m$  on open disks. Figure 1 illustrates a point in the space  $\mathcal{SC}(n, m; o)$ .

The 2–collection  $\mathcal{SC}$  is defined as follows. For  $m, n \geq 0$  with  $m + n > 0$ ,  $\mathcal{SC}(n, m; o)$  is the configuration space defined above and  $\mathcal{SC}(0, 0; o) = \emptyset$ . For  $n \geq 0$ ,  $\mathcal{SC}(n, 0; c)$  is defined as  $\mathcal{D}_2(n)$  and  $\mathcal{SC}(n, m; c) = \emptyset$  for  $m \geq 1$ . The 2–colored operad structure in  $\mathcal{SC}$  is given, as usual, by insertion of disks.

There is a suboperad  $\mathcal{SC}^{vor}$  of  $\mathcal{SC}$  defined by  $\mathcal{SC}^{vor}(n, m; x) = \mathcal{SC}(n, m; x)$ , if  $x = c$  or  $m \geq 1$  and by  $\mathcal{SC}^{vor}(n, m; x) = \emptyset$ , otherwise. The above definition says that  $\mathcal{SC}^{vor}$  coincides with  $\mathcal{SC}$  except for  $m = 0$  and  $x = o$ , where  $\mathcal{SC}^{vor}(n, 0, o) = \emptyset$  for any  $n \geq 0$ . The operad  $\mathcal{SC}^{vor}$  is equivalent to the one defined by Voronov in [17], while  $\mathcal{SC}$  coincides with the one defined by Kontsevich in [13].

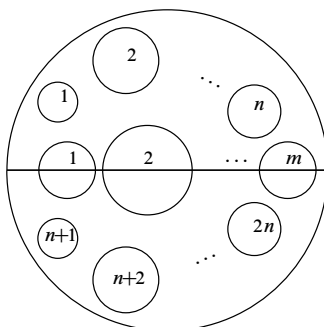


Figure 1: A configuration in  $SC(n, m; o)$

**Notation** The homology of  $SC$  is denoted by  $\mathbf{sc}$  while that of  $SC^{vor}$  is denoted by  $\mathbf{sc}^{vor}$ .

## 2.4 Conventions and notation

**2.4.1 Generators** In the paper we will have specific generators in the different operads considered, mainly two families of elements. The first is  $\{f_2, g_2, e_{0,2}, e_{1,1}, e_{1,0}\}$  and the second family is  $\{l_2, c_2, n_{0,2}, n_{1,1}, n_{1,0}\}$ .

The following array sum up the properties of the elements. The array must be read as follows:  $f_2 \in M(c, c; c)$  means that it is an operation on two closed variables giving a closed variable; the representation is  $k$ , that is,  $f_2$  is a symmetric operation. The degree is 0.

element	$f_2$	$g_2$	$e_{0,2}$	$e_{1,1}$	$e_{1,0}$
color	$M(c, c; c)$	$M(c, c; c)$	$M(o, o; o)$	$M(c, o; o)$	$M(c; o)$
representation	$k$	$k$	$k[S_2]$	$k[S_2]$ in $M(c, o; o) \oplus M(o, c; o)$	$k$
degree	0	1	0	0	0
element	$l_2$	$c_2$	$n_{0,2}$	$n_{1,1}$	$n_{1,0}$
color	$M(c, c; c)$	$M(c, c; c)$	$M(o, o; o)$	$M(c, o; o)$	$M(c; o)$
representation	$\text{sgn}_2$	$\text{sgn}_2$	$k[S_2]$	$k[S_2]$ in $M(c, o; o) \oplus M(o, c; o)$	$k$
degree	0	-1	0	0	-1

Given elements  $\{x_1, \dots, x_n\}$  with specific colors, representation and degrees, the  $\mathbb{S}$ -module  $\langle x_1, \dots, x_n \rangle$  is the  $\mathbb{S}$ -module generated by these elements, with the action of the symmetric group indicated by the representation of the elements. For example  $\langle e_{1,1} \rangle$  is the  $\mathbb{S}$ -module  $M$  where  $M(c, o; o) = ke_{1,1}$ ,  $M(o, c; o) = ke_{1,1} \cdot (21)$  and is zero elsewhere.

**2.4.2 Notation for operads** The operad  $\mathcal{G}_{er}$ , whose algebras are Gerstenhaber algebras is the operad  $\mathcal{F}(E_{\mathcal{G}_{er}}, R_{\mathcal{G}_{er}})$  with  $E_{\mathcal{G}_{er}} = \langle f_2, g_2 \rangle$  and  $R_{\mathcal{G}_{er}}$  is the space of relations given by

$$\begin{aligned} f_2(\text{id} \otimes f_2) &= f_2(f_2 \otimes \text{id}), \\ g_2(g_2 \otimes \text{id}) \cdot ((123) + (231) + (312)) &= 0, \\ g_2(\text{id} \otimes f_2) &= f_2(g_2 \otimes \text{id}) + f_2(\text{id} \otimes g_2) \cdot (213). \end{aligned}$$

The suboperad generated by  $f_2$  is the operad  $\text{Com} = \mathcal{F}(\langle f_2 \rangle, R_{\text{Com}})$  where  $R_{\text{Com}}$  is the first relation. The suboperad generated by  $g_2$  is the operad  $\Lambda^{-1}\text{Lie}$ .

The Koszul dual of the operad  $\mathcal{G}_{er}$  is  $\mathcal{G}_{er}^! = \Lambda\mathcal{G}_{er}$  (see eg [6]). It is described as  $\mathcal{F}(E_{\Lambda\mathcal{G}_{er}}, R_{\Lambda\mathcal{G}_{er}})$  with  $E_{\Lambda\mathcal{G}_{er}} = \langle l_2, c_2 \rangle$  and  $R_{\Lambda\mathcal{G}_{er}}$  is the space of relations given by

$$\begin{aligned} c_2(\text{id} \otimes c_2) &= -c_2(c_2 \otimes \text{id}), \\ l_2(l_2 \otimes \text{id}) \cdot ((123) + (231) + (312)) &= 0, \\ l_2(\text{id} \otimes c_2) &= c_2(l_2 \otimes \text{id}) + c_2(\text{id} \otimes l_2) \cdot (213). \end{aligned}$$

The suboperad generated by  $l_2$  is the operad  $\text{Lie} = \mathcal{F}(\langle l_2 \rangle, R_{\text{Lie}})$ , where  $R_{\text{Lie}}$  is the second relation. The suboperad generated by  $c_2$  is the operad  $\Lambda\text{Com}$ . The operad  $\text{Ass}$  is described as  $\mathcal{F}(\langle e_{0,2} \rangle, R_{\text{Ass}})$  where  $R_{\text{Ass}}$  is the relation  $e_{0,2}(\text{id} \otimes e_{0,2}) = e_{0,2}(e_{0,2} \otimes \text{id})$ . Note that we also use this notation replacing  $e_{0,2}$  by  $n_{0,2}$ .

### 3 The homology operads $\text{sc}^{\text{vor}}$ and $\text{sc}$

We prove in this section that the homology operad  $\text{sc}^{\text{vor}}$  is a quadratic Koszul operad and that the homology operad  $\text{sc}$  is a quadratic-linear Koszul operad, extending the results obtained for the 0<sup>th</sup> homology of  $\mathcal{SC}^{\text{vor}}$  and  $\mathcal{SC}$  in [9].

#### 3.1 The operad $\text{sc}^{\text{vor}}$ is Koszul

Recall the following theorem.

**Theorem 3.1.1** (A Voronov [17]) *An algebra over  $\text{sc}^{\text{vor}}$  is a pair  $(G, A)$ , where  $G$  is a Gerstenhaber algebra and  $A$  is an associative algebra over the commutative ring  $G$ .*

An algebra over the commutative ring  $G$  corresponds to a degree 0 map  $\lambda: G \otimes A \rightarrow A$  satisfying

$$\begin{aligned} \lambda(cc', a) &= \lambda(c, \lambda(c', a)) = (-1)^{|c||c'|} \lambda(c', \lambda(c, a)), \\ \lambda(c, aa') &= \lambda(c, a)a' = (-1)^{|a||c|} a\lambda(c, a'). \end{aligned}$$

As a consequence we have the following.



**Corollary 3.1.2** The operad  $\mathbf{sc}^{\text{vor}}$  has a quadratic presentation  $\mathcal{F}(E_v, R_v)$  where

$$E_v = \langle f_2, g_2, e_{0,2}, e_{1,1} \rangle$$

and  $R_v$  is the sub- $\mathbb{S}$ -module of  $\mathcal{F}^{(2)}(E_v)$  generated by the relations

- $R_{\mathcal{G}er}$ , for the Gerstenhaber structure defined by  $f_2$  and  $g_2$  and  $R_{\mathcal{A}ss}$  for the associativity of  $e_{0,2}$ ;
- $e_{1,1}$  is an action, with

$$\begin{aligned} e_{1,1}(\text{id} \otimes e_{1,1}) &= e_{1,1}(f_2 \otimes \text{id}), \\ e_{1,1}(\text{id} \otimes e_{0,2}) &= e_{0,2}(e_{1,1} \otimes \text{id}) = e_{0,2}(\text{id} \otimes e_{1,1}) \cdot (213). \end{aligned}$$

**Lemma 3.1.3** Algebras over the Koszul dual operad  $(\mathbf{sc}^{\text{vor}})^!$  of  $\mathbf{sc}^{\text{vor}}$  are of the form  $(H, A, \rho)$  where  $(H, [, ], \times)$  is a  $\Lambda\mathcal{G}er$ -algebra,  $A$  is an associative algebra, and  $\rho: H \otimes A \rightarrow A$  is a map of degree 0 that satisfies the relations

$$\begin{aligned} \rho([h, h'], a) &= \rho(h, \rho(h', a)) - (-1)^{|h||h'|} \rho(h', \rho(h, a)), \\ \rho(h, a \cdot a') &= \rho(h, a) \cdot a' + (-1)^{|a||h|} a \cdot \rho(h, a'), \\ \rho(h \times h', a) &= 0. \end{aligned} \tag{2}$$

Note that the first two equations indicate that the map induced by  $\rho$  from  $H$  to  $\text{End}(A)$  has values in  $\text{Der}(A)$  and is a morphism of Lie algebras.

**Proof** Because  $\mathbf{sc}^{\text{vor}}$  has a binary quadratic presentation, we can use the direct computation of its Koszul dual operad presented in Section 2.2.6. Let us denote by  $(\iota_2, \iota_2, \mathfrak{n}_{0,2}, \mathfrak{n}_{1,1})$  the dual basis of  $(f_2, g_2, e_{0,2}, e_{1,1})$  in  $E_v^\vee$ . The degree of  $\iota_2$  is  $-1$  and all the other elements have degree 0.

The Koszul dual operad of  $\mathbf{sc}^{\text{vor}}$  is  $(\mathbf{sc}^{\text{vor}})^! = \mathcal{F}(E_v^\vee)/(R_v^\perp)$ . The pairing between  $E_v$  and  $E_v^\vee$  induces a pairing between  $\mathcal{F}^{(2)}(E_v)$  and  $\mathcal{F}^{(2)}(E_v^\vee)$ . One gets  $R_v^\perp(c, c, c; c)$  is the ideal defining  $\mathcal{G}er^!$ , that is  $R_{\Lambda\mathcal{G}er}$ . Similarly  $R_v^\perp(o, o, o; o)$  is the orthogonal of the associativity relation for  $e_{0,2}$ , that is, the associativity relation for  $\mathfrak{n}_{0,2}$ .

The space  $\mathcal{F}(E_v)(c, c, o; o)_0$  has dimension 3 and  $R_v(c, c, o; o)_0$  has dimension 1. As a consequence, the dimension of  $R_v^\perp(c, c, o; o)_0$  is 2 and corresponds to the first relation.

The space  $\mathcal{F}(E_v)(c, o, o; o)$  has dimension 6 and  $R_v(c, o, o; o)$  has dimension 2. Hence the dimension of  $R_v^\perp(c, o, o; o)$  is 4 and corresponds to the second relation.

The space  $\mathcal{F}(E_v)(c, c, o; o)_1$  has dimension 1 and  $R_v(c, c, o; o)_1$  has dimension 0. As a consequence, the dimension of  $R_v^\perp(c, c, o; o)_{-1}$  is 1 and corresponds to the third relation. □

In terms of generators and relations, it expresses as the following.

**Corollary 3.1.4** *The operad  $(\mathbf{sc}^{\text{vor}})^!$  has a binary quadratic presentation  $\mathcal{F}(E_{v^!}, R_{v^!})$ , where*

$$E_{v^!} = \langle \iota_2, \mathfrak{c}_2, \mathfrak{n}_{0,2}, \mathfrak{n}_{1,1} \rangle$$

and  $R_{v^!}$  is the sub- $\mathbb{S}$ -module of  $\mathcal{F}^{(2)}(E_{v^!})$  generated by the relations

- $R_{\Lambda\mathcal{G}er}$ , for the  $\Lambda\mathcal{G}er$ -structure defined by  $\iota_2$  and  $\mathfrak{c}_2$  and  $R_{Ass}$  for the associativity of  $\mathfrak{n}_{0,2}$ ;
- relations for  $\mathfrak{n}_{1,1}$  are

$$\begin{aligned} \mathfrak{n}_{1,1}(\iota_2 \otimes \text{id}) &= \mathfrak{n}_{1,1}(\text{id} \otimes \mathfrak{n}_{1,1}) \cdot (\text{id} - (213)), \\ \mathfrak{n}_{1,1}(\text{id} \otimes \mathfrak{n}_{0,2}) &= \mathfrak{n}_{0,2}(\mathfrak{n}_{1,1} \otimes \text{id}) + \mathfrak{n}_{0,2}(\text{id} \otimes \mathfrak{n}_{1,1}) \cdot (213), \\ \mathfrak{n}_{1,1}(\mathfrak{c}_2 \otimes \text{id}) &= 0. \end{aligned}$$

**Theorem 3.1.5** *The operad  $\mathbf{sc}^{\text{vor}}$  is Koszul.*

**Proof** In order to prove that  $\mathbf{sc}^{\text{vor}}$  is Koszul, we prove that  $(\mathbf{sc}^{\text{vor}})^!$  is Koszul, using the rewriting method explained in [15], and using a part of the computation made by Alm in [1, AppendixA]. Recall that an algebra over  $(\mathbf{sc}^{\text{vor}})^!$  is given by the following data.

- A  $\Lambda\mathcal{G}er$ -algebra  $H$ . We denote by  $[x_1, x_2]$  the degree 0 bracket and by  $x_1 \times x_2$  the degree  $-1$  product.
- An associative algebra  $A$ . We denote by  $a_1 \cdot a_2$  the degree 0 product.
- A map  $\rho: H \otimes A \rightarrow A$ . We denote by  $x \bullet a$  the element  $\rho(x, a)$ .

The rewriting rules are

$$\begin{aligned} (a_1 \cdot a_2) \cdot a_3 &\mapsto a_1 \cdot (a_2 \cdot a_3), \\ (x_1 \times x_2) \times x_3 &\mapsto -x_1 \times (x_2 \times x_3), \\ [[x_1, x_2], x_3] &\mapsto -[[x_2, x_3], x_1] - [[x_3, x_1], x_2], \\ [x_1, x_2 \times x_3] &\mapsto [x_1, x_2] \times x_3 + x_2 \times [x_1, x_3], \\ x_1 \bullet (a_1 \cdot a_2) &\mapsto (x_1 \bullet a_1) \cdot a_2 + a_1 \cdot (x_1 \bullet a_2), \\ (x_1 \times x_2) \bullet a &\mapsto 0, \\ [x_1, x_2] \bullet a_1 &\mapsto x_1 \bullet (x_2 \bullet a_1) - x_2 \bullet (x_1 \bullet a_1). \end{aligned}$$

In order to study the confluence of critical monomials, it is enough to study the one involving both  $x$ 's and  $a$ 's because the one involving only  $a$ 's corresponds to the

computation for the operad  $\mathcal{Ass}$ , and the one involving only  $x$ 's corresponds to the computation for the operad  $\Lambda\mathcal{Ger}$ . We know that a way to prove the Koszulity of these 2 operads is precisely to use the confluence of the critical monomials.

Hence the critical monomials left are  $(x_1 \bullet ((a_1 \cdot a_2) \cdot a_3))$ ,  $([x_1, x_2] \bullet (a_1 \cdot a_2))$ ,  $([[x_1, x_2], x_3] \bullet a_1)$ ,  $((x_1 \times x_2) \bullet (a_1 \cdot a_2))$ ,  $((x_1 \times x_2) \times x_3) \bullet a$  and  $([x_1, x_2 \times x_3] \bullet a)$ . The first three have been proven to be confluent by J Alm. The fourth critical monomial can be rewritten either as

$$\begin{aligned} (x_1 \times x_2) \bullet (a_1 \cdot a_2) &\mapsto ((x_1 \times x_2) \bullet a_1) \cdot a_2 + a_1 \cdot ((x_1 \times x_2) \bullet a_2) \\ &\mapsto 0 \end{aligned}$$

or  $(x_1 \times x_2) \bullet (a_1 \cdot a_2) \mapsto 0$ . The same is true for the fifth critical monomial.

The critical monomial  $[x_1, x_2 \times x_3] \bullet a$  can be rewritten either as

$$\begin{aligned} [x_1, x_2 \times x_3] \bullet a &\mapsto x_1 \bullet ((x_2 \times x_3) \bullet a) - (x_2 \times x_3) \bullet (x_1 \bullet a) \\ &\mapsto 0 \end{aligned}$$

or

$$\begin{aligned} [x_1, x_2 \times x_3] \bullet a &\mapsto ([x_1, x_2] \times x_3) \bullet a + (x_2 \times [x_1, x_3]) \bullet a \\ &\mapsto 0. \end{aligned}$$

Hence, all the critical monomials are confluent and  $(\mathbf{sc}^{\text{vor}})^!$  is Koszul. As a consequence  $\mathbf{sc}^{\text{vor}}$  is a Koszul operad. □

### 3.2 The operad $\mathbf{sc}$ is Koszul

In this section we follow closely the article by Imma Galvez-Carrillo, Andy Tonks and Bruno Vallette [5] and our paper [9] in order to prove that the homology operad  $\mathbf{sc}$  is Koszul. Recall from the computation of F Cohen and A Voronov and from [9] the following.

**Proposition 3.2.1** *An  $\mathbf{sc}$ -algebra  $(G, A, f)$  is a Gerstenhaber algebra  $G$  and an associative algebra  $A$  together with a central morphism of associative algebras  $f: G \rightarrow A$ .*

**Corollary 3.2.2** *The operad  $\mathbf{sc}$  has a presentation of the form  $\mathcal{F}(E', R')$  where*

$$E' = \langle f_2, g_2, e_{0,2}, e_{1,0} \rangle$$

*and the space of relations  $R'$  is the sub- $\mathbb{S}$ -module of  $\mathcal{F}^{(2)}(E) \oplus \mathcal{F}^{(3)}(E)$  defined by the relations*

- $R_{Ger}$  for the Gerstenhaber structure induced by  $f_2$  and  $g_2$  and  $R_{Ass}$  for the associativity of  $e_{0,2}$ ;
- centrality of  $e_{1,0}$ :  $e_{0,2}(e_{1,0} \otimes \text{id}) = e_{0,2}(\text{id} \otimes e_{1,0}) \cdot (21)$ ;
- a quadratic-cubical relation:  $e_{1,0}(f_2) = e_{0,2}(e_{1,0} \otimes e_{1,0})$ .

This corollary shows clearly that this presentation is quadratic and cubic. In order to apply the theory of [5], one needs a presentation which is quadratic and linear. However, we will see in Proposition 4.2.1 that the quadratic operad  $\mathcal{F}(E')/(qR')$  obtained by killing the cubical elements in the relations of  $R'$  plays also an important role for the study of **sc**.

The idea to obtain a presentation with quadratic-linear relations of **sc** is to add a new generator, in order to replace the quadratic-cubical relation by quadratic-linear relations. This new generator  $e_{1,1}$ , will correspond at the level of algebras to the operation  $\lambda(c, a) := f(c)a$ . Consequently, we introduce new relations in the operad corresponding to the relations  $f(c)a = af(c) = \lambda(c, a)$  and  $\lambda(c, f(c')) = f(cc') = f(c)f(c')$ , that are present in the algebra setting.

Recall the theory explained in [5] for quadratic-linear operads. A *quadratic-linear* operad is of the form  $\mathcal{F}(E)/(R)$  with  $R \subset \mathcal{F}^{(1)}(E) \oplus \mathcal{F}^{(2)}(E)$ . Such an  $R$  is called quadratic-linear. We also ask the presentation to satisfy

- (ql1)  $R \cap E = \{0\}$ ,
- (ql2)  $(R \otimes E + E \otimes R) \cap \mathcal{F}^{(2)}(E) \subset R \cap \mathcal{F}^{(2)}(E)$ .

**Proposition 3.2.3** *The operad **sc** has a presentation  $\mathcal{F}(E, R)$ , where*

$$E = \langle f_2, g_2, e_{0,2}, e_{1,1}, e_{1,0} \rangle$$

and the space of relations  $R$  is the sub- $\mathbb{S}$ -module of  $\mathcal{F}^{(1)}(E) \oplus \mathcal{F}^{(2)}(E)$  defined by  $R = R_v \oplus R(e_{1,0})$ , where  $R_v$  is the space of quadratic relations of  $\mathbf{sc}^{\text{vor}}$  and  $R(e_{1,0})$  is the sub- $\mathbb{S}$ -module of  $\mathcal{F}(E)$  generated by the following relations:

- two quadratic-linear relations:  $e_{1,1} = e_{0,2}(e_{1,0} \otimes \text{id})$  and  $e_{1,1} = e_{0,2}(\text{id} \otimes e_{1,0}) \cdot (21)$ ,
- a new quadratic relation:  $e_{1,1}(\text{id} \otimes e_{1,0}) = e_{1,0}(f_2)$ .

Moreover this presentation satisfies (ql1) and (ql2).

Here we recall the definition of a Koszul quadratic-linear operad given in [5].

**Definition 3.2.4** Let  $q$  denote the projection  $\mathcal{F}(E) \twoheadrightarrow \mathcal{F}^{(2)}(E)$  and let  $qR$  be the image of  $R$  under this projection. A quadratic-linear operad  $\mathcal{P} = \mathcal{F}(E)/(R)$  satisfying (ql1) and (ql2) is said to be *Koszul* if  $q\mathcal{P} := \mathcal{F}(E)/(qR)$  is a quadratic Koszul operad. Its Koszul dual cooperad is  $(\mathcal{P})^i = ((q\mathcal{P})^i, \partial_\varphi)$  where the differential  $\partial_\varphi$  depends on the quadratic-linear relations.

In the case of  $\mathbf{sc}$  presented as in Proposition 3.2.3, the projection of  $R = R_v \oplus R(e_{1,0})$  onto  $\mathcal{F}^{(2)}(E)$  is  $qR = R_v \oplus qR(e_{1,0})$ , where  $qR(e_{1,0})$  is the sub- $\mathbb{S}$ -module of  $\mathcal{F}^{(2)}(E)$  generated by the relations  $0 = e_{0,2}(e_{1,0} \otimes \text{id})$ ,  $0 = e_{0,2}(e_{1,0} \otimes \text{id})(12)$  and  $e_{1,1}(\text{id} \otimes e_{1,0}) = e_{1,0}(f_2)$ .

Consequently a  $q\mathbf{sc}$ -algebra is an  $\mathbf{sc}^{\text{vor}}$ -algebra  $(G, A, \lambda)$  endowed with a degree 0 linear map  $f: G \rightarrow A$  satisfying  $f(c)a = af(c) = 0$  and  $\lambda(c, f(c')) = f(cc')$  for all  $c, c' \in G, a \in A$ . As in [9], the operad  $q\mathbf{sc}$  is obtained as the result of a distributive law between the operad  $\mathbf{sc}^{\text{vor}}$  and  $\mathcal{F}(e_{1,0})$ . The distributive law is given by

$$\begin{aligned} & \mathbf{sc}^{\text{vor}} \circ \mathcal{F}(e_{1,0}) \rightarrow \mathcal{F}(e_{1,0}) \circ \mathbf{sc}^{\text{vor}}, \\ (3) \quad & e_{0,2}(e_{1,0} \otimes \text{id}), e_{0,2}(e_{1,0} \otimes \text{id})(12) \mapsto 0, \\ & e_{1,1}(\text{id} \otimes e_{1,0}) \mapsto e_{1,0}(f_2). \end{aligned}$$

**Proposition 3.2.5** *The operad  $q\mathbf{sc}$  is identical to the operad  $\mathcal{F}(e_{1,0}) \circ \mathbf{sc}^{\text{vor}}$ , with composition given by the distributive law (3).*

**Theorem 3.2.6** *The operad  $q\mathbf{sc}$  is a quadratic Koszul operad. As a consequence, there exists a quadratic-linear presentation of the operad  $\mathbf{sc}$  so that  $\mathbf{sc}$  is a quadratic-linear Koszul operad.*

**Proof** From [15, Chapter8], one has that  $q\mathbf{sc} = \mathcal{F}(e_{1,0}) \circ \mathbf{sc}^{\text{vor}}$  is Koszul since  $\mathbf{sc}^{\text{vor}}$  and  $\mathcal{F}(e_{1,0})$  are Koszul colored operads. By definition, it means that  $\mathbf{sc}$  is a quadratic-linear Koszul operad. □

### 3.3 Description of the Koszul dual operad $(\mathbf{sc})^!$ of $\mathbf{sc}$ .

In Proposition 3.2.5, we have described  $q\mathbf{sc}$  as a distributive law between  $\mathbf{sc}^{\text{vor}}$  and  $\mathcal{F}(e_{1,0})$ . As a consequence  $(q\mathbf{sc})^! = (\mathbf{sc}^{\text{vor}})^! \circ \mathcal{F}(e_{1,0})^!$ , with the operad structure given by the signed dual of the distributive law (3). Recall from Corollary 3.1.4 that  $\{l_2, c_2, n_{0,2}, n_{1,1}\}$  is the dual basis of  $\{f_2, g_2, e_{2,0}, e_{1,1}\}$  that generates  $E_v^!$ . From relation (1), one has  $\mathcal{F}(e_{1,0})^! = \mathcal{F}(n_{1,0})$  where  $n_{1,0}$  has degree  $-1$ . The dual of the

distributive law is given by

$$\begin{aligned} \mathcal{F}(\mathbf{n}_{1,0}) \circ (\mathbf{sc}^{\text{vor}})^! &\rightarrow (\mathbf{sc}^{\text{vor}})^! \circ \mathcal{F}(\mathbf{n}_{1,0}), \\ \mathbf{n}_{1,0}(l_2) &\mapsto \mathbf{n}_{1,1}(\text{id} \otimes \mathbf{n}_{1,0}) \cdot (\text{id} - (21)), \\ \mathbf{n}_{1,0}(c_2) &\mapsto 0. \end{aligned}$$

Consequently, a  $(q\mathbf{sc})^!$ -algebra is an  $(\mathbf{sc}^{\text{vor}})^!$ -algebra  $(H, A, \rho)$  satisfying conditions of Lemma 3.1.3, together with a linear map  $\beta: H \rightarrow A$  of degree  $-1$  satisfying

$$(4) \quad \begin{aligned} \beta([h, h']) &= (-1)^{|h|} \rho(h, \beta(h')) - (-1)^{|h||h'|+|h'|} \rho(h', \beta(h)), \\ \beta(h \times h') &= 0. \end{aligned}$$

In order to understand the structure of an  $(\mathbf{sc})^!$ -algebra it is then enough to understand the differential on the operad  $(q\mathbf{sc})^!$  that comes from the nonquadraticity of the operad  $\mathbf{sc}$ .

Let  $\varphi: qR \rightarrow E$  be defined by

$$\begin{aligned} \varphi(e_{0,2}(e_{1,0} \otimes \text{id})) &= \varphi(e_{0,2}(\text{id} \otimes e_{1,0}) \cdot (21)) = e_{1,1}, \\ \varphi(R_v) &= 0, \\ \varphi(e_{1,1}(\text{id} \otimes e_{1,0}) - e_{1,0}(f_2)) &= 0. \end{aligned}$$

The Koszul dual cooperad of  $q\mathbf{sc}$  is  $(q\mathbf{sc})^i = C(sE, s^2qR)$ , with the notation of Section 2.2.6. To  $\varphi$  is associated the composite map

$$(q\mathbf{sc})^i \twoheadrightarrow s^2qR \xrightarrow{s^{-1}\varphi} sE.$$

There exists a unique coderivation  $\tilde{\partial}_\varphi: (q\mathbf{sc})^i \rightarrow \mathcal{F}^c(sE)$  which extends this map. Moreover,  $\tilde{\partial}_\varphi$  induces a square zero coderivation  $\partial_\varphi$  on the Koszul dual cooperad  $(q\mathbf{sc})^i$ . The Koszul dual cooperad of  $\mathbf{sc}$  is by definition  $\mathbf{sc}^i = (C(sE, s^2qR), \partial_\varphi)$ .

Recall from (1) that  $(q\mathbf{sc})^! = (\Lambda(q\mathbf{sc}^i))^*$ . As a consequence,  $\mathbf{sc}^! = ((q\mathbf{sc})^!, d_\varphi)$ , where  $d_\varphi$  is obtained as a combination of transpose and signed suspension of  $\partial_\varphi$ . Namely,  $\mathbf{sc}^!$  is a differential graded operad and we have the following Proposition.

**Proposition 3.3.1** *An algebra over  $\mathbf{sc}^!$  consists in a dg  $\Lambda\mathcal{G}er$ -algebra  $(H, [, ], \times, d_H)$ , a dg associative algebra  $(A, d_A)$ , an action  $\rho: H \otimes A \rightarrow A$  and a degree  $-1$  map  $\beta: H \rightarrow A$  such that, for all  $h \in H, a \in A$ , we have  $d_A(\beta(h)) = -\beta(d_H h)$  and that the relations (2) and (4) are satisfied. Moreover, the following relation is satisfied:*

$$(5) \quad d_A \rho(h, a) = \rho(d_H h, a) + (-1)^{|h|} \rho(h, d_A a) + \beta(h)a - (-1)^{|a|(|h|+1)} a\beta(h).$$

Note that relation (5) says that the map  $\beta: H \rightarrow A$  is central up to homotopy having the map  $\rho: H \otimes A \rightarrow A$  as the homotopy operator. For a geometrical description of the above relations in terms of the Kontsevich compactification [14], we refer the reader to the first author [8], Kajiwara and Stasheff [11] and the authors [9].

**Remark 3.3.2** There is a more compact way to understand what are  $\mathbf{sc}^1$ -algebras. Let  $(A, d_A)$  be a dg associative algebra. Let  $\text{Der}(A)$  be the dg Lie algebra of derivations of  $A$ . For a given  $a \in A$  we denote by  $D_a$  the inner derivation which is defined by  $D_a(x) = ax - (-1)^{|a||x|}xa$ . The graded  $k$ -vector space  $sA$  is a module over  $\text{Der}(A)$  via the action  $[d, sa] = (-1)^{|d|}sd(a)$ . Consequently, there is a structure of graded Lie algebra on  $\text{Der}_+(A) = \text{Der}(A) \oplus sA$ . A short computation shows that the differential  $\partial_+(d + sa) = \partial d + D_a - sd_A(a)$  endows  $\text{Der}_+(A)$  with a structure of dg Lie algebra. Furthermore, any dg Lie algebra is a dg  $\Lambda\mathcal{G}er$ -algebra, setting the product to be 0.

As a consequence, one has the following.

An algebra over  $\mathbf{sc}^1$  consists in a dg  $\Lambda\mathcal{G}er$ -algebra  $(H, [, ], \times, d_H)$ , a dg associative algebra  $(A, d_A)$ , and a morphism of dg  $\Lambda\mathcal{G}er$ -algebras  $\gamma: H \rightarrow \text{Der}_+(A)$ .

Translating the proposition in the language of operads, one gets the following corollary.

**Corollary 3.3.3** The differential graded operad  $(\mathbf{sc}^1)^!$  has a presentation  $\mathcal{F}(E_!, R_!)$ , where

$$E_! = (\iota_2, \mathfrak{c}_2, \mathfrak{n}_{0,2}, \mathfrak{n}_{1,1}, \mathfrak{n}_{1,0})$$

and the vector space  $R_!$  is the sub- $\mathbb{S}$ -module of  $\mathcal{F}^{(2)}(E_!)$  generated by the relations:

- $R_{\Lambda\mathcal{G}er}$ , for the  $\Lambda\mathcal{G}er$ -structure defined by  $\iota_2$  and  $\mathfrak{c}_2$  and  $R_{Ass}$  for the associativity of  $\mathfrak{n}_{0,2}$ ;
- relations for  $\mathfrak{n}_{1,1}$  are

$$\begin{aligned} \mathfrak{n}_{1,1}(\iota_2 \otimes \text{id}) &= \mathfrak{n}_{1,1}(\text{id} \otimes \mathfrak{n}_{1,1}) \cdot (\text{id} - (213)), \\ \mathfrak{n}_{1,1}(\text{id} \otimes \mathfrak{n}_{0,2}) &= \mathfrak{n}_{0,2}(\mathfrak{n}_{1,1} \otimes \text{id}) + \mathfrak{n}_{0,2}(\text{id} \otimes \mathfrak{n}_{1,1}) \cdot (213), \\ \mathfrak{n}_{1,1}(\mathfrak{c}_2 \otimes \text{id}) &= 0; \end{aligned}$$

- relations for  $\mathfrak{n}_{1,0}$  are

$$\begin{aligned} \mathfrak{n}_{1,0}(\iota_2) &= \mathfrak{n}_{1,1}(\text{id} \otimes \mathfrak{n}_{1,0}) \cdot ((12) - (21)), \\ \mathfrak{n}_{1,0}(\mathfrak{c}_2) &= 0. \end{aligned}$$

The differential is given by  $d\mathfrak{n}_{1,1} = \mathfrak{n}_{0,2}(\mathfrak{n}_{1,0} \otimes \text{id}) - \mathfrak{n}_{0,2}(\text{id} \otimes \mathfrak{n}_{1,0}) \cdot (21)$  and vanishes elsewhere.

### 3.4 On the homology of $\mathbf{sc}^1$

In [9], we have considered the 0<sup>th</sup> homology operad of  $SC$ . In particular, the description of  $H_0(SC)^1$  ([9, Proposition 6.3.2]) is the following.

**Proposition 3.4.1** *The differential graded operad  $H_0(SC)^1$  has a presentation given by  $\mathcal{F}(E_0, R_0)$ , where*

$$E_0 = \langle l_2, n_{0,2}, n_{1,1}, n_{1,0} \rangle$$

and the vector space  $R_0$  is the sub- $\mathbb{S}$ -module of  $\mathcal{F}^{(2)}(E_0)$  generated by the relations:

- $R_{Lie}$ , for the Lie-structure defined by  $l_2$  and  $R_{Ass}$  for the associativity of  $n_{0,2}$ ;
- relations for  $n_{1,1}$  are

$$\begin{aligned} n_{1,1}(l_2 \otimes \text{id}) &= n_{1,1}(\text{id} \otimes n_{1,1}) \cdot (\text{id} - (213)), \\ n_{1,1}(\text{id} \otimes n_{0,2}) &= n_{0,2}(n_{1,1} \otimes \text{id}) + n_{0,2}(\text{id} \otimes n_{1,1}) \cdot (213); \end{aligned}$$

- relations for  $n_{1,0}$  are

$$n_{1,0}(l_2) = n_{1,1}(\text{id} \otimes n_{1,0}) \cdot ((12) - (21)).$$

The differential is given by  $d n_{1,1} = n_{0,2}(n_{1,0} \otimes \text{id}) - n_{0,2}(\text{id} \otimes n_{1,0}) \cdot (21)$  and is zero on all the other generators.

From this, it is easy to prove the following corollary.

**Corollary 3.4.2** *The dg operad  $\mathbf{sc}^1$  is the operad composite  $\Lambda Com \circ H_0(SC)^1$  together with the distributive law given by*

$$\begin{aligned} H_0(SC)^1 \circ \Lambda Com &\rightarrow \Lambda Com \circ H_0(SC)^1, \\ l_2(\text{id} \otimes c_2) &\mapsto c_2(l_2 \otimes \text{id}) + c_2(\text{id} \otimes l_2) \cdot (213), \\ n_{1,1}(c_2 \otimes \text{id}) &\mapsto 0, \\ n_{1,0}(c_2) &\mapsto 0. \end{aligned}$$

As a consequence we get the following.

**Theorem 3.4.3** *Algebras over the homology of the operad  $\mathbf{sc}^1$  are triples  $(H, A, \beta)$  where  $H$  is a  $\Lambda Ger$ -algebra,  $A$  is an associative algebra and  $\beta: H \rightarrow A$  is a central map of degree  $-1$  satisfying  $\beta(x \times y) = 0$ .*



**Proof** Recall from [9, Theorem 7.2.5] that algebras over the homology of the operad  $H_0(\mathcal{SC})^!$  are triples  $(L, A, f)$  where  $L$  is a Lie algebra,  $A$  is an associative algebra and  $f: L \rightarrow A$  is a central map of degree  $-1$ . Using the Künneth formula for the plethysm product  $\circ$  of  $\mathbb{S}$ -modules, as in Fresse [4, Lemma 2.1.3], we obtain that  $H_*(\mathbf{sc}^!) = \Lambda\text{Com} \circ H_*(H_0(\mathcal{SC})^!)$  with the distributive law given by

$$\begin{aligned} H_*(H_0(\mathcal{SC})^!) \circ \Lambda\text{Com} &\rightarrow \Lambda\text{Com} \circ H_*(H_0(\mathcal{SC})^!), \\ [l_2](\text{id} \otimes c_2) &\mapsto c_2([l_2] \otimes \text{id}) + c_2(\text{id} \otimes [l_2]) \cdot (213), \\ [n_{1,0}](c_2) &\mapsto 0, \end{aligned}$$

where  $[x]$  denotes the image of a cycle  $x$  in  $H_*(H_0(\mathcal{SC})^!)$ . □

## 4 On the spectral sequence

In this section we will show that the spectral sequence  $E(\mathcal{SC})$  associated to the stratification of the compactification of points in the upper half plane collapses at the second stage. We prove that, as an  $\mathbb{S}$ -module,  $E^2(\mathcal{SC})$  corresponds to the  $\mathbb{S}$ -module defined by  $\mathbf{sc}$ , but prove that the operad structures are not isomorphic.

### 4.1 On the first sheet of the spectral sequence

For this section, we refer to [17; 8; 2].

For the compactification of points we are considering two different spaces: the space  $C(n)$  of configurations of  $n \geq 2$  points in the disk modded out by the action of the group of dilatations and translations, of dimension  $2n - 3$ ; the space  $C(n, m)$ , with  $2n + m \geq 2$ , of configurations of  $n$  points in the upper half plane,  $m$  points on the line, modded out by the action of the group of dilatations and translations along the line, of dimension  $2n + m - 2$ .

The operad  $\mathcal{SC}$  is homotopy equivalent to the Fulton–MacPherson compactification of  $C(n)$  for  $\mathcal{SC}(n, 0; c)$  and of  $C(n, m)$  for  $\mathcal{SC}(n, m; o)$ . Since  $C(1)$  and  $C(0, 1)$  are not well defined, we introduce both  $\mathcal{SC}(1, 0; c)$  and  $\mathcal{SC}(0, 1; o)$  as the one point spaces containing the identity element of the closed and open colors, respectively. It has been proven by Getzler and Jones in [6], that the filtration associated to the stratification of the compactification of  $C(n)$  induces a spectral sequence  $E(\mathcal{D}_2)$ , whose first sheet coincides with the cobar construction of the cooperad  $(\mathcal{G}er)^i$ . Furthermore, the spectral sequence collapses at the second stage, and  $E^2(\mathcal{D}_2)$  coincides, as an operad, with  $\mathcal{G}er$ . We will then focus on the open part of the Swiss-cheese operad. From [8, Theorem 5.2],

there is a stratification of  $\overline{C(n, m)}$  indexed by partially planar trees, which induces a topological filtration

$$F^p := F^p(\overline{C}) = \{\text{closure of the union of strata of dimension } p\}.$$

It yields a spectral sequence, whose first sheet is given by

$$E^1(SC)_{p,q} = H_{p+q}(F^p, F^{p-1}) = H^{-q}(F^p \setminus F^{p-1}).$$

Let  $\mathbb{T}(n, m)_p$  be the set of partially planar trees with  $n$  closed inputs,  $m$  open inputs, the output being open and  $v := 2n + m - p - 1$  vertices. To any vertex  $v_i$  of a tree  $T \in \mathbb{T}(n, m)_p$  is associated the triple  $(n_i, m_i, x_i)$  corresponding respectively, to its closed, open inputs, and its output. One has the relation

$$(6) \quad \begin{aligned} \sum_{i=1}^v n_i &= n + \sum_{i=1}^v \delta_{x_i, c}, \\ \sum_{i=1}^v m_i &= m + \sum_{i=1}^v \delta_{x_i, o} - 1. \end{aligned}$$

Since any tree  $T \in \mathbb{T}(n, m)_p$  is responsible for a strata  $C_T := \prod_{i=1}^v SC(n_i, m_i; x_i)$  one gets that

$$(7) \quad E^1(SC)(n, m)_{p,q} = \bigoplus_{\substack{T \in \mathbb{T}(n, m)_p, \\ u_1 + \dots + u_v = -q}} \bigotimes_{i=1}^v H^{u_i}(SC(n_i, m_i; x_i)).$$

Because we are not exactly using the notation of [2], we need the following Lemma.

**Lemma 4.1.1** *The operad  $E^1(SC)$  coincides with the cobar construction of the cooperad  $(\Lambda_c \Lambda \mathbf{sc})^*$ . More precisely, one has*

$$E^1(SC)(n, m)_{p,q} = \Omega((\Lambda_c \Lambda \mathbf{sc})^*)(n, m; o)_{p+q}^{(2n+m-p-1)},$$

where the upper index corresponds to the weight grading by the number of vertices of the trees involved and the lower index corresponds to the total degree.

**Proof** Recall that for any cooperad  $\mathcal{C}$ ,  $\Omega(\mathcal{C})$  is the free operad  $\mathcal{F}(s^{-1}\overline{\mathcal{C}})$ , where  $\overline{\mathcal{C}}$  is the coaugmentation ideal of the cooperad. Since  $E^1(SC)$  is also a free 2-colored

operad, they have the same description in terms of trees. One has

$$\begin{aligned} H^u(SC(n, m; x)) &= \mathbf{sc}^*(n, m; x)_{-u} \\ &= (\Lambda^{-1} \mathbf{sc}^*)(n, m; x)_{-u+n+m-1} \\ &= (\Lambda_c^{-1} \Lambda^{-1} \mathbf{sc}^*)(n, m; x)_{-u+2n+m-1-\delta_{x,c}} \\ &= (s^{-1} \Lambda_c^{-1} \Lambda^{-1} \mathbf{sc}^*)(n, m; x)_{-u+2n+m-1-\delta_{x,c}-1}. \end{aligned}$$

Using the description of  $E^1(SC)$  in (7) and the formulas in (6), one gets

$$\begin{aligned} \sum_{i=1}^v (-u_i + 2n_i + m_i - 1 - \delta_{x_i,c} - 1) &= q + 2n + m + \sum_{i=1}^v \delta_{x_i,c} + \delta_{x_i,o} - 2v - 1 \\ &= q + 2n + m - v - 1 = q + p, \end{aligned}$$

which explains the grading obtained. From [8; 2], we know the differentials of the two operads coincide. As a consequence, the two differential graded operads coincide.  $\square$

### 4.2 On the second sheet of the spectral sequence

Theorem 3.2.6 asserts that  $\mathbf{sc}$  is a Koszul operad, which expresses that

$$\Omega(\mathbf{sc}^i) \rightarrow \mathbf{sc}$$

is a quasi-isomorphism of operads. Since all the graded vector spaces involved are finite dimensional, there is a quasi-isomorphism of cooperads

$$\mathbf{sc}^* \rightarrow (\Omega(\mathbf{sc}^i))^* = B((\mathbf{sc}^i)^*) \stackrel{(1)}{=} B(\Lambda(\mathbf{sc}^!)).$$

Applying the bar-cobar adjunction we have a sequence of quasi-isomorphisms,

$$\Omega(\mathbf{sc}^*) \rightarrow \Omega B(\Lambda(\mathbf{sc}^!)) \rightarrow \Lambda(\mathbf{sc}^!).$$

Now applying the functor  $\Lambda_c^{-1} \Lambda^{-1}$  to the above morphism and using Lemma 4.1.1, we finally have the quasi-isomorphism

$$(8) \quad E^1(SC) = \Omega((\Lambda_c \Lambda \mathbf{sc})^*) \rightarrow \Lambda_c^{-1}(\mathbf{sc}^!).$$

**Proposition 4.2.1** *The operad  $E^2(SC)$  is the quadratic operad  $\mathcal{F}(E', qR')$ , where*

$$E' = \langle f_2, g_2, e_{0,2}, e_{1,0} \rangle$$

*and the space of relations  $qR'$  is the sub- $\mathbb{S}$ -module of  $\mathcal{F}^{(2)}(E')$  defined by the relations*

- $R_{Ger}$  for the Gerstenhaber structure induced by  $f_2$  and  $g_2$  and  $R_{Ass}$  for the associativity of  $e_{0,2}$ ;

- centrality of  $e_{1,0}$ :  $e_{0,2}(e_{1,0} \otimes \text{id}) = e_{0,2}(\text{id} \otimes e_{1,0}) \cdot (21)$ ;
- the quadratic relation:  $e_{1,0}(f_2) = 0$ .

Equivalently, algebras over the operad  $E^2(SC)$  are triples  $(G, A, f)$  where  $G$  is a Gerstenhaber algebra,  $A$  is an associative algebra,  $f: G \rightarrow A$  is a central degree 0 map satisfying  $f(gg') = 0$ , for all  $g, g' \in G$ .

**Proof** The operad  $E^2(SC)$  is the homology of the dg operad  $E^1(SC)$ . Due to the quasi-isomorphism (8), it is the homology of the operad  $\Lambda_c^{-1}(\mathbf{sc}^!)$ . From the computation of the homology of  $\mathbf{sc}^!$  obtained in Theorem 3.4.3, we get the result.  $\square$

**Theorem 4.2.2** *The spectral sequence  $E(SC)$  collapses at the second stage.*

**Proof** Proposition 4.2.1 implies we have that, as an  $\mathbb{S}$ -module,  $E^2(SC)(n, m; o) = \text{Ger}(n) \otimes \text{Ass}(m) = H_*(SC)(n, m; o)$ . Because the spectral sequence converges to the homology of  $SC$ , and because the dimension of the second sheet is the dimension of the target, one gets that  $E(SC)$  collapses at the second stage.  $\square$

### 4.3 Conclusion

We have shown the following.

*Algebras over  $H_*(SC)$  are triples  $(G, A, f)$  where  $G$  is a Gerstenhaber algebra,  $A$  is an associative algebra and  $f: G \rightarrow A$  is a central map such that  $f(gg') = f(g)f(g')$ , whereas algebras over  $E^\infty(SC)$  are triples  $(G, A, f)$ , where  $G$  is a Gerstenhaber algebra,  $A$  is an associative algebra and  $f: G \rightarrow A$  is a central map such that  $f(gg') = 0$ .*

Note that the operad  $E^\infty(SC) = E^2(SC)$  obtained is exactly the quadratic operad associated to the quadratic-cubical presentation of the operad  $\mathbf{sc}$  of Corollary 3.2.2. This is not a surprise because it is the graded operad associated to a filtration of  $\mathbf{sc}$ . Note also that there is no hope of having a theorem similar to the one obtained by Getzler and Jones in [6] for the little disks operad, that is, an isomorphism between  $E^\infty(SC)$  and  $\mathbf{sc}$ . Indeed, one has the following.

**Proposition 4.3.1**  *$E^2(SC)$  and  $\mathbf{sc}$  are not isomorphic.*

**Proof** If they were, there would be a bijective morphism of operads  $\varphi: E^2(SC) \rightarrow \mathbf{sc}$ . Let  $f_2, g_2, e_{0,2}, e_{1,0}$  denote the generators of  $E^2(SC)$  and  $f'_2, g'_2, e'_{0,2}, e'_{1,0}$  the generators of  $\mathbf{sc}$ .

The generators we are concerned with are  $f_2$  and  $e_{1,0}$ . Note that  $E^2(SC)(c, c; c)_0$  is 1-dimensional so  $f_2$  is a generator of this  $k$ -vector space. The same argument holds for the choice of  $e_{1,0}$ ,  $f'_2$  and  $e'_{1,0}$ . Hence, because of degree and arity reasons, there exist  $\lambda, \mu \in k$  such that  $\varphi(f_2) = \lambda f'_2$  and  $\varphi(e_{1,0}) = \mu e'_{1,0}$ . But we have that  $\varphi(e_{1,0}(f_2)) = \varphi(0) = \lambda \mu e'_{1,0}(f'_2)$  and  $e'_{1,0}(f'_2) \neq 0 \in \mathbf{sc}(c, c; c)$ . So  $\lambda \mu = 0$ , which contradicts the fact that  $\varphi$  is bijective.  $\square$

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