

## Pair of pants decomposition of 4–manifolds

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Using tropical geometry, Mikhalkin has proved that every smooth complex hypersurface in  $\mathbb{C}\mathbb{P}^{n+1}$  decomposes into *pairs of pants*: a pair of pants is a real compact  $2n$ –manifold with cornered boundary obtained by removing an open regular neighborhood of  $n + 2$  generic complex hyperplanes from  $\mathbb{C}\mathbb{P}^n$ .

As is well-known, every compact surface of genus  $g \geq 2$  decomposes into pairs of pants, and it is now natural to investigate this construction in dimension 4. Which smooth closed 4–manifolds decompose into pairs of pants? We address this problem here and construct many examples: we prove in particular that every finitely presented group is the fundamental group of a 4–manifold that decomposes into pairs of pants.

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### Introduction

The decomposition of surfaces into pairs of pants is an extraordinary instrument in geometric topology that furnishes, among many other things, a nice parametrization for Teichmüller spaces. Mikhalkin has generalized this notion in [4] to all even dimensions as follows: he defines the *2n–dimensional pair of pants* as the manifold obtained by removing  $n + 2$  generic hyperplanes from  $\mathbb{C}\mathbb{P}^n$ . One actually removes open regular neighborhoods of the hyperplanes to get a compact real  $2n$ –manifold with stratified cornered boundary: when  $n = 1$ , we get  $\mathbb{C}\mathbb{P}^1$  minus three points, whence the usual pair of pants.

Using some beautiful arguments from tropical geometry, Mikhalkin has proved in [4] that every smooth complex hypersurface in  $\mathbb{C}\mathbb{P}^{n+1}$  decomposes into pairs of pants. We address here the following natural question:

**Question 0.1** Which smooth closed manifolds decompose into pairs of pants?

The question makes sense, of course, only for real smooth manifolds of even dimension  $2n$ . It is natural to expect the existence of many smooth manifolds that decompose into pairs of pants and are not complex projective hypersurfaces: for instance, the

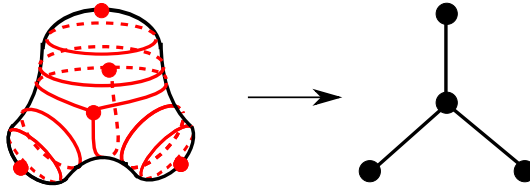


Figure 1: The model fibration  $\mathbb{C}\mathbb{P}^1 \rightarrow \Pi_1$

hypersurfaces of  $\mathbb{C}\mathbb{P}^2$  are precisely the closed orientable surfaces of genus  $g = \frac{1}{2}(d-1)(d-2)$  for some  $d > 0$ , while by assembling pairs of pants, we obtain closed orientable surfaces of any genus.

In this paper, we study pants decompositions in (real) dimension 4. We start by constructing explicit pants decompositions for some simple classes of closed 4-manifolds:  $S^4$ , torus bundles over surfaces, circle bundles over 3-dimensional graph manifolds, toric manifolds, the simply connected manifolds  $\#_k(S^2 \times S^2)$  and  $\#_k \mathbb{C}\mathbb{P}^2 \#_h \overline{\mathbb{C}\mathbb{P}^2}$ . Then we prove the following theorem, which shows that the 4-manifolds that decompose into pairs of pants form a quite large class:

**Theorem 0.2** *Every finitely presented group is the fundamental group of a closed 4-manifold that decomposes into pairs of pants.*

We get in particular plenty of non-Kähler, and hence nonprojective, 4-manifolds. We expose a more detailed account of these results in the remaining part of this introduction.

**Pair of pants decompositions** Mikhalkin's definition of a pair of pants decomposition is slightly more flexible than the usual one adopted for surfaces: the boundary of a pair of pants (of any dimension  $2n$ ) is naturally stratified into circle fibrations, and an appropriate collapse of these circles is allowed. With this language, the sphere  $S^2$  has a pants decomposition with a single pair of pants, where each boundary component is collapsed to a point.

More precisely, a *pair of pants decomposition* of a closed  $2n$ -manifold  $M^{2n}$  is a fibration  $M^{2n} \rightarrow X^n$  over a compact  $n$ -dimensional cell complex  $X^n$  which is locally diffeomorphic to a *model fibration*  $\mathbb{C}\mathbb{P}^n \rightarrow \Pi_n$  derived from tropical geometry. The fiber of a generic (smooth) point in  $X^n$  is a real  $n$ -torus.

When  $n = 1$ , the model fibration is drawn in Figure 1, and some examples of pair of pants decompositions are shown in Figure 2. The reader is invited to look at these pictures that, although quite elementary, describe some phenomena that will also be present in higher dimensions. When  $n = 1$ , the base cell complex  $X^1$  may be of these

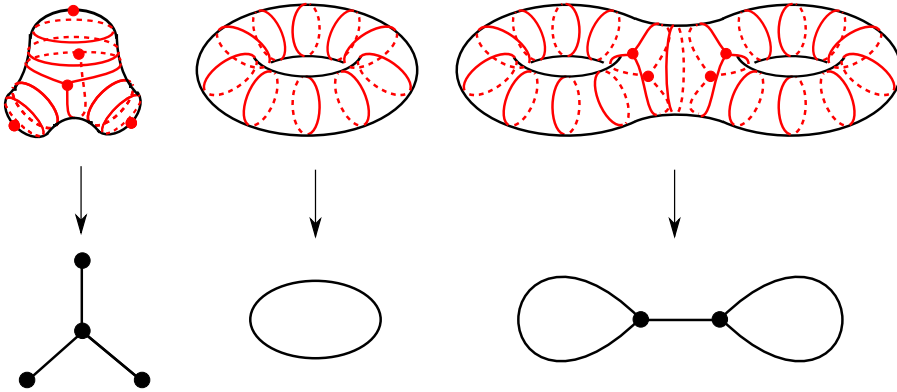


Figure 2: Pair of pants decompositions of surfaces

limited types: either a circle, or a graph with vertices of valence 1 and 3. There are three types of points  $x$  in  $X^1$  (smooth, a vertex with valence 1, or a vertex with valence 3), and the fiber over  $x$  depends only on its type (a circle, a point, or a  $\theta$ -shaped graph, respectively).

In dimension  $2n$ , the model cell complex  $\Pi_n$  is homeomorphic to the cone of the  $(n-1)$ -skeleton of the  $(n+1)$ -simplex; the model fibration sends  $n+2$  generic hyperplanes onto the base  $\partial\Pi_n$  of the cone and the complementary pair of pants onto its interior  $\Pi_n \setminus \partial\Pi_n$ . We are interested here in the case  $n = 2$ .

**Dimension 4** In dimension 4, a pair of pants is  $\mathbb{C}\mathbb{P}^2$  minus (the open regular neighborhood of) four generic lines: it is a 4-dimensional compact manifold with cornered boundary; the boundary consists of six copies of  $P \times S^1$ , where  $P$  is the usual 2-dimensional pair-of-pants, bent along six 2-dimensional tori.

The model fibration  $\mathbb{C}\mathbb{P}^2 \rightarrow \Pi_2$  is sketched in Figure 3: the cell complex  $\Pi_2$  is homeomorphic to the cone over the 1-skeleton of a tetrahedron, and there are 6 types of points in  $\Pi_2$ ; the fiber of a generic (ie smooth) point of  $\Pi_2$  is a torus, and the fibers over the other 5 types are: a point,  $S^1$ ,  $\theta$ ,  $\theta \times S^1$ , and a more complicated 2-dimensional cell complex  $F_2$  fibering over the central vertex of  $\Pi_2$ . The central fiber  $F_2$  is a spine of the 4-dimensional pair of pants and is homotopically equivalent to a punctured 3-torus. (Likewise, when  $n = 1$ , the fiber of the central vertex in  $\mathbb{C}\mathbb{P}^1 \rightarrow \Pi_1$  is a  $\theta$ -shaped spine of the 2-dimensional pair of pants and is homotopically equivalent to a punctured 2-torus.)

A pair of pants decomposition of a closed 4-manifold  $M^4$  is a map  $M^4 \rightarrow X^2$  locally diffeomorphic to this model. The cell complex  $X^2$  is locally diffeomorphic to  $\Pi_2$ , and the fiber over a generic point of  $X^2$  is a torus.

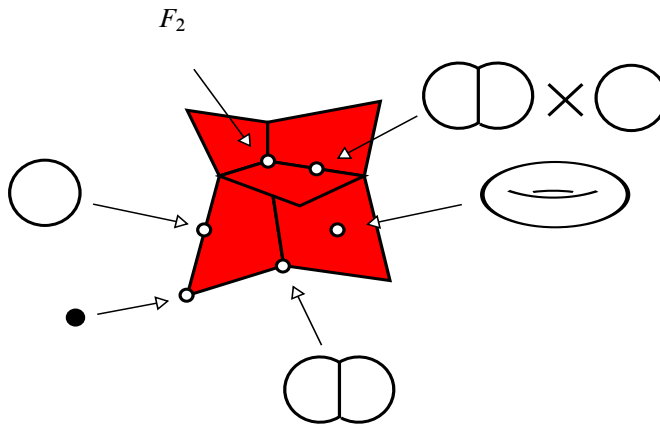


Figure 3: Fibers of the model fibration  $\mathbb{C}P^2 \rightarrow \Pi_2$

We note that pants decompositions are similar to (but different from) Turaev's *shadows* (see [7] and also Costantino and Thurston [1]), which are fibrations  $M^4 \rightarrow X^2$  onto similar cell complexes, where the generic fiber is a disc and  $M^4$  is a 4-manifold with boundary that collapses onto  $X^2$ .

The main object of this work is to introduce many examples of 4-manifolds that decompose into pairs of pants. These examples are far from being exhaustive, and we are very far from having a satisfactory answer to Question 0.1: for instance, we are not aware of any obstruction to the existence of a pants decomposition, so we do not know if there is a closed 4-manifold which does not admit one.

**Sketch of the proof of Theorem 0.2** Once we set up the general theory, Theorem 0.2 is proved as follows. We first solve the problem of determining all the possible fibrations  $M^4 \rightarrow X^2$  on a given  $X^2$  by introducing an appropriate system of *labelings* on  $X^2$ . We note that the same  $X^2$  may admit fibrations  $M^4 \rightarrow X^2$  of different kinds, sometimes with pairwise nondiffeomorphic total spaces  $M^4$ , and each such fibration is detected by some labeling on  $X^2$ . This combinatorial encoding is interesting on its own because it furnishes a complete presentation of all pants decompositions in dimension 4.

We then use these labelings to construct a large class of complexes  $X^2$  for which there exist fibrations  $M^4 \rightarrow X^2$  that induce isomorphisms on fundamental groups. Finally, we show that every finitely presented  $G$  has an  $X^2$  in this class with  $\pi_1(X^2) = G$ .

**Structure of the paper** We introduce pair of pants decompositions in all dimensions in Section 1, following and expanding from Mikhalkin [4] and focusing mainly on the 4-dimensional case. In Section 2, we construct some examples.

In Section 3, we study in detail the simple case when  $X$  is a polygon. In this case,  $M \rightarrow X$  looks roughly like the moment map on a toric manifold, and every fibration  $M \rightarrow X$  is encoded by some labeling on  $X$ . We then extend these labelings to more general complexes  $X$  in Section 4.

In Section 5, we prove Theorem 0.2.

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## 1 Definitions

We introduce here simple complexes, tropical fibrations, and pair of pants decompositions. We describe these objects with some detail in dimensions 2 and 4.

Recall that a *subcomplex*  $X \subset M$  of a smooth manifold  $M$  is a subcomplex of some smooth triangulation of  $M$ .

We work in the category of smooth manifolds: all the objects we consider are subcomplexes of some  $\mathbb{R}^N$ , and a map between two such complexes is smooth if it locally extends to a smooth map on some open set.

### 1.1 The basic cell complex $\Pi_n$

Let  $\Delta$  be the standard  $(n+1)$ -simplex

$$\Delta = \{(x_0, \dots, x_{n+1}) \in \mathbb{R}^{n+2} \mid x_0 + \dots + x_{n+1} = 1, x_i \geq 0\}.$$

We use the barycentric coordinates on  $\Delta$ ; that is, for every nonzero vector  $x = (x_0, \dots, x_{n+1}) \in \mathbb{R}_{\geq 0}^{n+2}$ , we denote by  $[x_0, \dots, x_{n+1}]$  the unique point in  $\Delta$  that is a multiple of  $x$ . Every point  $p \in \Delta$  has a unique description as  $[x_0, \dots, x_{n+1}]$  with  $\max x_i = 1$ , and we call it the *normal form* of  $p$ .

**Definition 1.1** Let  $\Pi_n \subset \Delta$  be the following subcomplex:

$$\Pi_n = \{[x_0, \dots, x_{n+1}] \mid 0 \leq x_i \leq 1 \text{ and } x_i = 1 \text{ for at least two values of } i\}.$$

The subcomplex  $\Pi_n \subset \Delta$  may be interpreted as the cut-locus of the vertices of  $\Delta$ ; see  $\Pi_1$  in Figure 4. Every point  $x \in \Pi_n$  has a *type*  $(k, l)$  with  $0 \leq k \leq l \leq n$ , which is determined by the following requirements: the normal form of  $x$  contains  $l - k + 2$

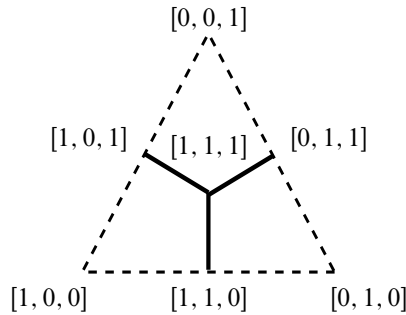


Figure 4: The subcomplex  $\Pi_1$  inside the standard simplex  $\Delta$

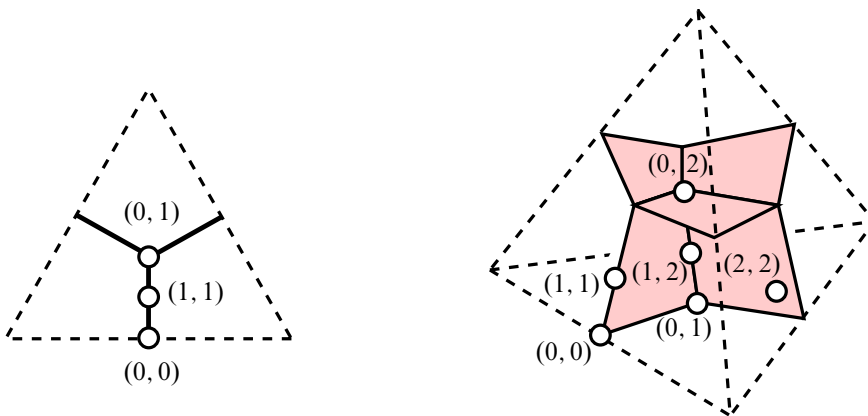


Figure 5: The subcomplexes  $\Pi_1$  and  $\Pi_2$ . Every point is of some type  $(k, l)$  with  $0 \leq k \leq l \leq n$ , and points of the same type define strata. Here  $k$  is the dimension of the stratum and  $l + 1$  is the dimension of the face of  $\Delta$  containing it.

different 1-entries and  $n - l$  different 0-entries. More concretely, see Figure 5 for the cases  $n = 1$  and  $2$ , which are of interest for us here.

Points of the same type  $(k, l)$  form some open  $k$ -cells, and these cells stratify  $\Pi_n$ . Geometrically, a point  $x$  of type  $(k, l)$  is contained in the  $k$ -stratum of  $\Pi_n$  and in the  $(l + 1)$ -stratum of  $\Delta$ . An open star neighborhood of  $x$  in  $\Pi_n$  is diffeomorphic to the subcomplex

$$\Pi_{l,k} = \mathbb{R}^k \times \Pi_{l-k} \times [0, +\infty)^{n-l}.$$

A point of type  $(n, n)$  is a *smooth* point, while the points with  $l < n$  form the *boundary*

$$\partial\Pi_n = \Pi_n \cap \partial\Delta.$$

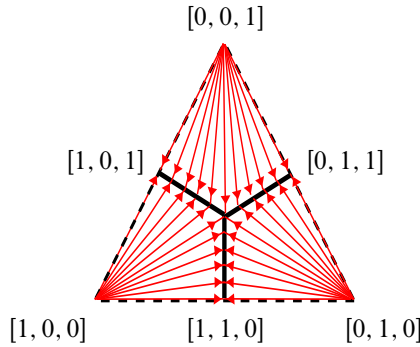


Figure 6: The projection of  $\Delta^*$  onto  $\Pi_n$

### 1.2 Tropical fibration of $\mathbb{C}\mathbb{P}^n$

Using tropical geometry, Mikhalkin has constructed in [4] a map

$$\pi: \mathbb{C}\mathbb{P}^n \rightarrow \Pi_n.$$

The map is defined as a composition  $\pi = \pi_2 \circ \pi_1$  of two projections. The first one is a restriction of the projection

$$\mathbb{C}\mathbb{P}^{n+1} \xrightarrow{\pi_1} \Delta, \quad [z_0, \dots, z_{n+1}] \mapsto [|z_0|, \dots, |z_{n+1}|].$$

We identify  $\mathbb{C}\mathbb{P}^n$  with the hyperplane  $H \subset \mathbb{C}\mathbb{P}^{n+1}$  defined by  $z_0 + \dots + z_{n+1} = 0$  and restrict  $\pi_1$  to  $H$ . The image  $\pi_1(H)$  is a region in  $\Delta$  called an *amoeba*, which contains  $\Pi_n$  as a spine [5]. There is a simple projection that retracts the amoeba onto its spine  $\Pi_n$ : it is the restriction of a map

$$\pi_2: \Delta^* \rightarrow \Pi_n,$$

where  $\Delta^*$  is  $\Delta$  minus its vertices. The map  $\pi_2$  is drawn in Figure 6 and is defined as follows: up to permuting the coordinates, we suppose for simplicity that  $x = [x_0, \dots, x_{n+1}]$  with  $x_0 \geq x_1 \geq \dots \geq x_{n+1}$ , and we define

$$\pi_2(x) = [x_1, x_1, x_2, \dots, x_{n+1}].$$

The composition  $\pi = \pi_2 \circ \pi_1$  is a map that sends  $\mathbb{C}\mathbb{P}^n = H$  onto  $\Pi_n$ .

The map  $\pi_2$  is only piecewise smooth; it can then be smoothed as explained in [4, Section 4.3], so that the composition  $\pi$  is also smooth. In the following sections, we study  $\pi_2$  in the cases  $n = 1$  and  $n = 2$  before the smoothing, because it is easier to determine the fibers of  $\pi$  concretely using the nonsmoothed version of  $\pi_2$ . We remark that in dimension 4, wherein lies our interest, every piecewise-linear object can be easily smoothed, so this will not be an important issue anyway.

### 1.3 The case $n = 1$

We now explicitly describe the fibration

$$\pi: \mathbb{C}\mathbb{P}^1 \rightarrow \Pi_1.$$

Recall that  $\mathbb{C}\mathbb{P}^1$  is identified with the line  $H = \{z_0 + z_1 + z_2 = 0\}$  in  $\mathbb{C}\mathbb{P}^2$ , and that  $\Pi_1$  contains points of type  $(0, 0)$ ,  $(1, 1)$  and  $(0, 1)$ .

**Proposition 1.2** *The fiber  $\pi^{-1}(x)$  of a point  $x \in \Pi_1$  is*

- a point if  $x$  is of type  $(0, 0)$ ,
- a piecewise-smooth circle if  $x$  is of type  $(1, 1)$ ,
- a  $\theta$ -shaped smooth graph if  $x$  is of type  $(0, 1)$ .

**Proof** Up to reordering we have  $x = [1, 1, 0]$ ,  $[1, 1, t]$ , or  $[1, 1, 1]$  with  $0 < t < 1$  depending on the type. Using the calculation made in Figure 7 (left) we can describe the fibers explicitly:

$$\begin{aligned} \pi^{-1}([1, 1, 0]) &= [1, 1, 0], \\ \pi^{-1}([1, 1, t]) &= (\{[x, e^{i\theta}, t] \mid |x| \geq 1\} \cup \{[e^{i\theta}, x, t] \mid |x| \geq 1\}) \cap H \\ &= \{[-e^{i\theta} - t, e^{i\theta}, t] \mid \cos \theta \geq -\frac{t}{2}\} \cup \{[e^{i\theta}, -e^{i\theta} - t, t] \mid \cos \theta \geq -\frac{t}{2}\}, \\ \pi^{-1}([1, 1, 1]) &= (\{[x, e^{i\theta}, 1] \mid |x| \geq 1\} \cup \{[e^{i\theta}, 1, x] \mid |x| \geq 1\} \\ &\quad \cup \{[1, x, e^{i\theta}] \mid |x| \geq 1\}) \cap H \\ &= \{[-e^{i\theta} - 1, e^{i\theta}, 1] \mid \cos \theta \geq -\frac{1}{2}\} \cup \{[e^{i\theta}, 1, -e^{i\theta} - 1] \mid \cos \theta \geq -\frac{1}{2}\} \\ &\quad \cup \{[1, -e^{i\theta} - 1, e^{i\theta}] \mid \cos \theta \geq -\frac{1}{2}\}. \end{aligned}$$

The fiber  $\pi^{-1}([1, 1, t])$  consists of two arcs with disjoint interiors but coinciding endpoints  $[e^{\pm i\theta}, e^{\mp i\theta}, t]$  with  $\cos \theta = -t/2$ ; therefore,  $\pi^{-1}([1, 1, t])$  is a piecewise smooth circle. Analogously  $\pi^{-1}([1, 1, 1])$  consists of three arcs joined at their endpoints  $[e^{\pm 2\pi i/3}, e^{\mp 2\pi i/3}, 1]$  to form a  $\theta$ -shaped graph. □

The fibration  $\pi$  is homeomorphic to the one drawn in Figure 8. The smoothing described in [4, Section 4.3] transforms the piecewise smooth circles into smooth circles, so that the resulting fibration is diffeomorphic to the one shown in the picture.

We note that the  $\theta$ -shaped graph is a spine of the pair of pants, and is also homotopic to a once-punctured 2-torus. Both of these facts generalize to higher dimensions.



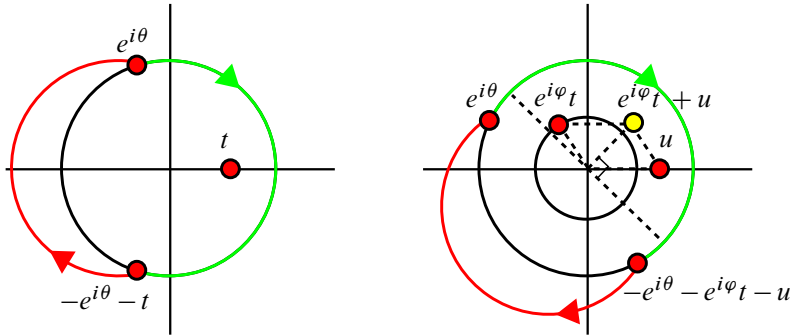


Figure 7: The points  $[-e^{i\theta} - t, e^{i\theta}, t]$  with  $|-e^{i\theta} - t| \geq 1$ : when  $\cos \theta = -t/2$ , we get  $-e^{i\theta} - t = e^{-i\theta}$ ; as the point  $e^{i\theta}$  moves along the green arc of the unit circle, the point  $-e^{i\theta} - t$  moves along the red arc, and hence has norm bigger than 1. This identifies one of the two arcs in  $\pi^{-1}([1, 1, t])$  (left). The fiber  $\pi^{-1}([1, 1, t, u])$  is considered similarly, with  $e^{i\varphi}t + u$  instead of  $t$  (right).

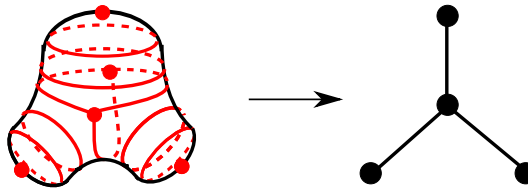


Figure 8: The tropical fibration  $\mathbb{C}\mathbb{P}^1 \rightarrow \Pi_1$

### 1.4 The case $n = 2$

We now study the fibration  $\pi: \mathbb{C}\mathbb{P}^2 \rightarrow \Pi_2$ , and our main goal is to show that its fibers are as in Figure 3.

Recall that we identify  $\mathbb{C}\mathbb{P}^2$  with the plane  $H = \{z_0 + z_1 + z_2 + z_3 = 0\}$  in  $\mathbb{C}\mathbb{P}^3$ . The subcomplex  $\Pi_2$  has points of type  $(0, 0)$ ,  $(1, 1)$  and  $(0, 1)$  on its boundary, and of type  $(2, 2)$ ,  $(1, 2)$  and  $(0, 2)$  in its interior.

**Proposition 1.3** *The fiber  $\pi^{-1}(x)$  of a point  $x \in \Pi_2$  is*

- a point if  $x$  is of type  $(0, 0)$ ,
- a piecewise-smooth circle if  $x$  is of type  $(1, 1)$ ,
- a  $\theta$ -shaped smooth graph  $\theta$  if  $x$  is of type  $(0, 1)$ ,
- a piecewise-smooth torus if  $x$  is of type  $(2, 2)$ ,
- a piecewise-smooth product  $\theta \times S^1$  if  $x$  is of type  $(1, 2)$ ,
- some 2-dimensional cell complex  $F_2$  if  $x$  is of type  $(0, 2)$ .

**Proof** Up to reordering, the point  $x$  is one of the following:

$$[1, 1, 0, 0], [1, 1, t, 0], [1, 1, 1, 0], [1, 1, t, u], [1, 1, 1, t], [1, 1, 1, 1],$$

with  $1 > t \geq u > 0$ .

Let  $f_1, f_2, f_3$  and  $f_4$  be the faces of  $\Delta$ , with  $f_i = \{x_i = 0\}$ . The preimage  $\pi_1^{-1}(f_i)$  is the plane  $\{z_i = 0\}$  in  $\mathbb{C}\mathbb{P}^3$  and intersects  $H$  in a line  $l_i$ . The four lines  $l_1, l_2, l_3$  and  $l_4$  are in general position in  $H$  and intersect pairwise in the six points obtained by permuting the coordinates of  $[1, -1, 0, 0]$ .

The map  $\pi$  sends  $l_i$  onto the  $Y$ -shaped graph  $f_i \cap \Pi_2$  exactly as described in the previous section; see Figure 8. The map  $\pi$  sends the four lines  $l_i$  onto  $\partial\Pi_2$ , each line projected onto its own  $Y$ -shaped graph; the six intersection points are sent bijectively to the six points of type  $(0, 0)$  of  $\Pi_2$ .

It remains to understand the map  $\pi$  over the interior of  $\Pi_2$ . Similarly as in the 1-dimensional case, Figure 7 (right) shows that

$$\begin{aligned} \pi^{-1}([1, 1, t, u]) &= (\{[x, e^{i\theta}, e^{i\varphi}t, u] \mid |x| \geq 1\} \cup \{[e^{i\theta}, x, e^{i\varphi}t, u] \mid |x| \geq 1\}) \cap H \\ &= \{[-e^{i\theta} - e^{i\varphi}t - u, e^{i\theta}, e^{i\varphi}t, u] \mid \cos(\theta - \arg(e^{i\varphi}t + u)) \geq -\frac{1}{2}|e^{i\varphi}t + u|\} \\ &\quad \cup \{[e^{i\theta}, -e^{i\theta} - e^{i\varphi}t - u, e^{i\varphi}t, u] \mid \cos(\theta - \arg(e^{i\varphi}t + u)) \geq -\frac{1}{2}|e^{i\varphi}t + u|\}. \end{aligned}$$

For every fixed  $e^{i\varphi} \in S^1$ , we get two arcs parametrized by  $\theta$  with the same endpoints, thus forming a circle as in the 1-dimensional case. Therefore, the fiber over  $[1, 1, t, u]$  is a (piecewise smooth) torus.

Analogously, the fiber over  $[1, 1, 1, t]$  is a piecewise smooth product of a  $\theta$ -shaped graph and  $S^1$ . Finally, the fiber over  $[1, 1, 1, 1]$  is a more complicated 2-dimensional cell complex  $F_2$ . □

The different fibers are shown in Figure 3. Let  $F_i$  be the fiber over a point of type  $(0, i)$ . The fibers  $F_0, F_1$  and  $F_2$  are a point, a  $\theta$ -shaped graph and some 2-dimensional complex. These fibers “generate” all the others: the fiber over a point of type  $(k, l)$  is piecewise-smoothly homeomorphic to  $F_l \times (S^1)^k$ .

### 1.5 More on dimension 4

The fibration  $\mathbb{C}\mathbb{P}^2 \rightarrow \Pi_2$  plays the main role in this work, and we need to fully understand it. We consider here a couple of natural questions.

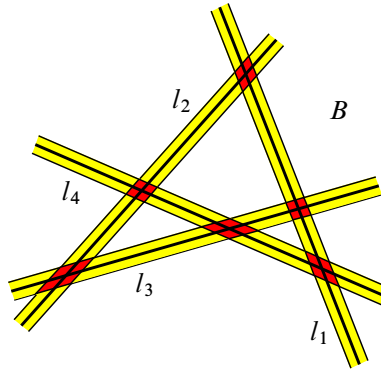


Figure 9: A regular neighborhood of the four lines. It decomposes into six pieces diffeomorphic to  $D^2 \times D^2$  (red) and four pieces diffeomorphic to  $P \times D^2$  (yellow), where  $P$  is a 2-dimensional pair of pants. (Every yellow piece is a  $D^2$ -bundle over  $P$ , and every such bundle is trivial. Note however that the normal bundle of each line is not trivial.)

How does the fibration look on a collar of  $\partial\Pi_2$ ? It sends a regular neighborhood of the four lines  $l_1, l_2, l_3$  and  $l_4$  shown in Figure 9 onto a regular neighborhood of  $\partial\Pi_2$  as drawn in Figure 10. Note that the regular neighborhood of the lines decomposes into pieces diffeomorphic to  $D^2 \times D^2$  and  $P \times D^2$ , where  $P$  is a pair of pants; see Figure 9. On the red regions, the fibration sends  $D^2 \times D^2$  to  $[0, 1] \times [0, 1]$  as  $(w, z) \mapsto (|w|, |z|)$ . On the yellow zone, each piece  $P \times D^2$  is sent to  $Y \times [0, 1]$  as  $(x, z) \mapsto (\pi(x), |z|)$ , where  $Y$  is a  $Y$ -shaped graph.

What is the fiber  $F_2$ ? By construction, it is a 2-dimensional spine of  $\mathbb{C}\mathbb{P}^2$  minus the four lines. It is a well-known fact (proved for instance using the Salvetti complex [6]) that the complement of four lines in general position in  $\mathbb{C}\mathbb{P}^2$  is homotopically equivalent to a punctured 3-torus. More generally, the fiber  $F_n$  is homotopic to a once-punctured  $(n+1)$ -torus (compare the case  $n = 1$ ). We have determined  $F_2$  only up to homotopy, but this is sufficient for us.

### 1.6 Simple complexes

Always following Mikhalkin, we use the fibration  $\pi$  as a standard model to define more general fibrations of manifolds onto complexes.

**Definition 1.4** A simple  $n$ -dimensional complex is a compact connected space  $X \subset \mathbb{R}^N$  such that every point has a neighborhood diffeomorphic to an open subset of  $\Pi_n$ .

For example, a simple 1-dimensional complex is either a circle or a graph with vertices of valence 1 and 3.

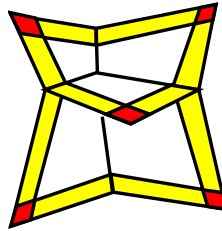


Figure 10: A regular neighborhood of the four lines projects onto a regular neighborhood of  $\partial\Pi_2$ . Yellow and red blocks from Figure 9 project to the yellow and red portions in  $\Pi_2$  drawn here. Note that there is a sixth sheet with a sixth red block behind the five that are shown.

Every point in  $X$  inherits a type  $(k, l)$  from  $\Pi_n$ , and points of the same type form a  $k$ -manifold called the  $(k, l)$ -stratum of  $X$ . As opposed to  $\Pi_n$ , a connected component of a  $(k, l)$ -stratum need not be a cell: for instance, a closed smooth  $n$ -manifold is a simple complex where every point is smooth, ie of type  $(n, n)$ .

We use the word “simple” because it is largely employed to denote 2-dimensional complexes with generic singularities; see for instance [3].

### 1.7 Pants decomposition

Let  $M$  be a closed smooth manifold of dimension  $2n$ . Following [4], we define a *pants decomposition* for  $M$  to be a map

$$p: M \rightarrow X$$

over a simple  $n$ -dimensional complex  $X$  which is locally modeled on the fibration  $\pi: \mathbb{C}P^n \rightarrow \Pi_n$ ; that is, the following holds: for every point  $x \in X$  there are an open neighborhood  $U$  of  $x$ , a point  $y$  in an open subset  $V \subset \Pi_n$ , a diffeomorphism  $(U, x) \rightarrow (V, y)$ , and a fiber-preserving diffeomorphism  $\pi^{-1}(V) \rightarrow p^{-1}(U)$  such that the resulting diagram commutes:

$$\begin{array}{ccc} \pi^{-1}(V) & \longrightarrow & p^{-1}(U) \\ \pi \downarrow & & \downarrow p \\ V & \longrightarrow & U \end{array}$$

When  $n = 1$ , a pants decomposition is a fibration  $p: M \rightarrow X$  of a closed surface onto a 1-dimensional simple complex. If  $X$  is not a circle and contains no 1-valent vertices, the fibration induces on  $M$  a pants decomposition in the usual sense: the complex  $X$  decomposes into  $Y$ -shaped subgraphs whose preimages in  $M$  are pairs

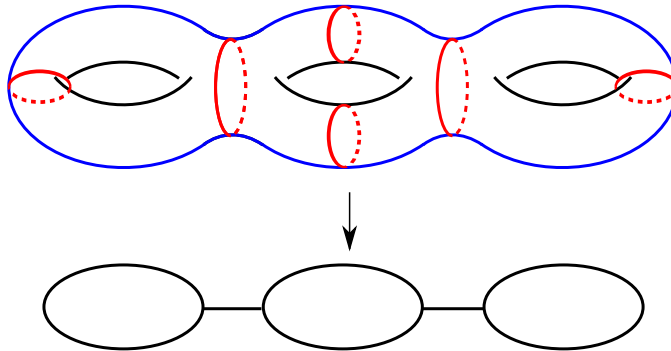


Figure 11: A pants decomposition of a surface  $M$  in the usual sense induces a fibration  $M \rightarrow X$  onto a simple complex.

of pants; see Figure 11. Conversely, every usual pants decomposition of  $M$  defines a fibration  $M \rightarrow X$  of this type.

In general, the base complex  $X$  may be quite flexible; for instance, it might just be an  $n$ -manifold. Therefore, every smooth  $n$ -torus fibration on a  $n$ -manifold  $X$  is a pants decomposition. Mikhalkin has proved the following remarkable result:

**Theorem 1.5** (Mikhalkin [4]) *Every smooth complex hypersurface in  $\mathbb{C}\mathbb{P}^{n+1}$  admits a pants decomposition.*

As stated in the introduction, we would like to understand which manifolds of even dimension admit a pants decomposition. In dimension 2, every closed orientable surface has a pants decomposition. Those having genus  $g > 1$  admit a usual one, while the sphere and the torus admit one in the more generalized sense introduced here: they fiber respectively over a segment (or a  $Y$ -shaped graph, or any tree) and a circle.

We now focus on the case  $n = 2$ ; that is, we look at smooth 4-manifolds fibering over simple 2-dimensional complexes.

## 2 Four-manifolds

We now construct some closed 4-dimensional manifolds  $M$  that decompose into pairs of pants, that is, that admit a fibration  $M \rightarrow X$  onto some simple complex  $X$  locally modeled on  $\mathbb{C}\mathbb{P}^2 \rightarrow \Pi_2$ . In the subsequent sections, we will study fibrations on a given  $X$  in a more systematic way.

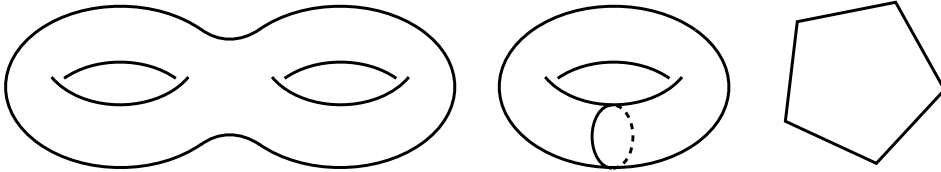


Figure 12: Three simple 2–dimensional complexes: a closed surface (all points are smooth), a surface with a disc attached (all points are of type  $(1, 2)$  or  $(2, 2)$ ), and a polygon (all points are of type  $(0, 0)$ ,  $(1, 1)$  or  $(2, 2)$ )

## 2.1 Some examples

We construct three families of examples of fibrations  $M \rightarrow X$  that correspond to three simple types of complexes  $X$  shown in Figure 12: surfaces, surfaces with triple points, and polygons.

If  $X$  is a closed surface, the fibrations  $M \rightarrow X$  are precisely the torus bundles over  $X$ .

If  $X$  contains points of type  $(1, 2)$  and  $(2, 2)$ , we obtain more manifolds. Recall that a Waldhausen *graph manifold* [8] is any 3–manifold that decomposes along tori into pieces diffeomorphic to  $P \times S^1$  and  $D^2 \times S^1$ , where  $P$  is the pair of pants. For example, all lens spaces and Seifert manifolds are graph manifolds.

**Proposition 2.1** *Let  $p: M \rightarrow N$  be a circle bundle over an orientable closed graph manifold  $N$ . The closed manifold  $M$  has a pants decomposition  $M \rightarrow X$  for some  $X$  consisting of points of type  $(1, 2)$  and  $(2, 2)$  only.*

**Proof** It is proved in [1, Proposition 3.31] that every orientable graph manifold  $N$  admits a fibration  $\pi$  over some simple complex  $X$ , called a *shadow*, that consists of points of type  $(1, 2)$  and  $(2, 2)$  only, with fibers respectively diffeomorphic to a  $\theta$ –shaped graph and a circle. The composition of the two projections  $\pi \circ p: M \rightarrow X$  is a pair of pants decomposition.  $\square$

If  $X$  has only points of type  $(0, 0)$ ,  $(1, 1)$  and  $(2, 2)$ , then it is a surface with polygonal boundary consisting of vertices and edges. We also get interesting manifolds in this case.

**Proposition 2.2** *A closed 4–dimensional toric manifold  $M$  has a pants decomposition  $M \rightarrow X$  for some polygonal disc  $X$ . In particular,  $\mathbb{C}\mathbb{P}^2$  fibers over the triangle.*

**Proof** The moment map  $M \rightarrow X$  is a fibration onto a polygon  $X$  locally modeled on  $\mathbb{C}\mathbb{P}^2 \rightarrow \Pi_2$  near a vertex of type  $(0, 0)$ .  $\square$

The 4-dimensional closed toric varieties are  $S^2 \times S^2$  and  $\mathbb{C}\mathbb{P}^2 \# h\overline{\mathbb{C}\mathbb{P}^2}$ ; see [2]. In all the previous examples, the base complex  $X$  has no vertex of type  $(0, 2)$ .

**Problem 2.3** Classify all the pair of pants decompositions  $M \rightarrow X$  onto simple complexes  $X$  without vertices of type  $(0, 2)$ .

This is a quite interesting set of not-too-complicated 4-manifolds, which contains torus bundles over surfaces, circle bundles over graph manifolds, and toric manifolds.

## 2.2 Smooth hypersurfaces

We now turn to more complicated examples where  $X$  contains vertices of type  $(0, 2)$ . Mikhalkin's theorem [4, Theorem 1] produces the following manifolds.

**Theorem 2.4** *The smooth hypersurface  $M$  of degree  $d$  in  $\mathbb{C}\mathbb{P}^3$  has a pants decomposition  $M \rightarrow X$  on a simple complex  $X$  with  $d^3$  vertices of type  $(0, 2)$ .*

Recall that the diffeomorphism type of  $M$  depends only on the degree  $d$ . When  $d = 1, 2, 3, 4$ , the manifold  $M$  is  $\mathbb{C}\mathbb{P}^2, S^2 \times S^2, \mathbb{C}\mathbb{P}^2 \# 6\overline{\mathbb{C}\mathbb{P}^2}$ , and the  $K3$  surface, respectively.

## 2.3 Euler characteristic

The Euler characteristic of a pants decomposition can be easily calculated, and it depends only on the base  $X$ .

**Proposition 2.5** *Let  $M \rightarrow X$  be a pants decomposition. We have*

$$\chi(M) = n_0 - n_1 + n_2,$$

where  $n_i$  is the number of points of type  $(0, i)$  in  $X$ .

**Proof** All fibers have zero Euler characteristic, except the fibers  $F_i$  above vertices of type  $(0, i)$ , that have  $\chi(F_i) = (-1)^i$ . □

## 2.4 The nodal surface

**Proposition 2.6** *Let  $p: M \rightarrow X$  be a pants decomposition. The preimage  $S = p^{-1}(\partial X)$  is an immersed smooth compact surface in  $M$ .*

**Proof** The fibration  $p$  is locally modeled on the tropical fibration  $\mathbb{C}\mathbb{P}^2 \rightarrow \Pi_2$ , and the preimage of  $\partial\Pi_2$  in  $\mathbb{C}\mathbb{P}^2$  is an immersed surface consisting of four lines intersecting transversely in six points lying above the vertices of type  $(0, 0)$ .  $\square$

We call  $S$  the *nodal surface* of the fibration  $p$ . It is an immersed surface in  $M$  with one transverse self-intersection above each point of type  $(0, 0)$  of  $X$ . Every such self-intersection is called a *node*.

**Remark 2.7** We note that a vertex of type  $(0, 1)$  connected to three vertices of type  $(0, 0)$  determines an embedded sphere in  $S$ . Two vertices of type  $(0, 0)$  connected by an edge also determine an embedded sphere.

### 3 Polygons

Let  $X$  be a 2-dimensional simple complex. Is there a combinatorial way to encode all the pants decompositions  $M \rightarrow X$  fibering over  $X$ ? Yes, there is one, at least in the more restrictive case where every connected stratum in  $X$  is a cell: every fibration is determined by some *labeling* on  $X$ , which is roughly the assignment of some  $2 \times 2$  matrices to the connected 1-strata of  $X$  satisfying some simple requirements. We describe this method here in the simple case when  $X$  is a polygon. We will treat the general case in the next section.

#### 3.1 Fibrations over polygons

Let  $X$  be a  $n$ -gon as in Figure 13 (left), that is, a simple 2-dimensional complex homeomorphic to a disc with  $n \geq 1$  points of type  $(0, 0)$  called *vertices*. The strata of type  $(0, 1)$  form  $n$  *edges* (or *sides*).

Let  $\pi: M \rightarrow X$  be a pair of pants decomposition. We first make some topological considerations.

**Proposition 3.1** *The manifold  $M$  is simply connected, and  $\chi(M) = n$ . The nodal surface consists of  $n$  spheres.*

**Proof** We have  $\chi(M) = n$  by Proposition 2.5. The manifold  $M$  is simply connected because  $X$  is, and every loop contained in some fiber  $\pi^{-1}(x)$  is homotopically trivial: it suffices to push  $x$  to a vertex  $v$  of  $X$  and the loop contracts to the point  $\pi^{-1}(v)$ .

Thanks to Remark 2.7, the nodal surface consists of  $n$  spheres, one above each edge of  $X$ .  $\square$



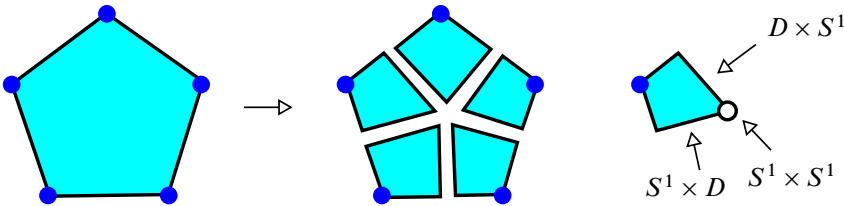


Figure 13: A fibering  $M \rightarrow X$  over a pentagon (left) can be broken into  $n$  basic pieces (center). The fibering over each basic piece (right).

### 3.2 Orientations

In this paper, we will be often concerned with orientations on manifolds, their products and their boundaries. This can be an annoying source of mistakes, so we need to be careful. We will make use of the following formula on oriented manifolds  $M$  and  $N$ :

$$(1) \quad \partial(M \times N) = (\partial M \times N) \cup (-1)^{\dim M} (M \times \partial N).$$

Moreover, recall that the map

$$(2) \quad M \times N \rightarrow N \times M$$

that interchanges the two factors is orientation-preserving if and only if  $\dim M \cdot \dim N$  is even.

### 3.3 The basic fibration

Again, let  $M \rightarrow X$  be a fibration over a polygon. We now break the given fibration  $M \rightarrow X$  into some basic simple pieces and show that  $M \rightarrow X$  can be described by some simple combinatorial data.

We break the  $n$ -gon into  $n$  star neighborhoods of the vertices as in Figure 13 (center). Above each star neighborhood, the fibration is diffeomorphic to the *basic fibration*

$$D^2 \times D^2 \rightarrow [0, 1] \times [0, 1]$$

that sends  $(w, z)$  to  $(|w|, |z|)$ , which we encountered in Section 1.5 and sketched in Figure 13 (right). The whole fibration  $M \rightarrow X$  is constructed by gluing  $n$  such basic fibrations as suggested in Figure 14 (left). We only need to find a combinatorial encoding of these gluings to determine  $M \rightarrow X$ .

Consider a single basic fibration  $D^2 \times D^2 \rightarrow [0, 1] \times [0, 1]$  as in Figure 13 (right). The point  $(0, 0)$  is the fiber of  $(0, 0)$ , the blue vertex in the figure. The boundary of

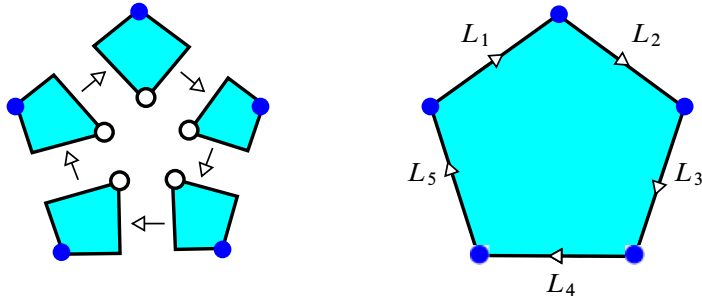


Figure 14: The fibering  $M \rightarrow X$  may be reconstructed by gluing the basic fibrations (left). The gluing can be determined by some label matrices  $L_i$  (right).

$D^2 \times D^2$  is

$$\partial(D^2 \times D^2) = (D^2 \times S^1) \cup (S^1 \times D^2),$$

which is two solid tori (we call them *facets*) cornered along the torus  $S^1 \times S^1$  (a *ridge*). The manifold  $D^2 \times D^2$  is naturally oriented, and by (1) and (2), both solid tori inherit from  $D^2 \times D^2$  their natural orientations, which are invariant if we swap the factors  $D^2$  and  $S^1$ . The ridge torus  $S^1 \times S^1$ , however, inherits opposite orientations from the two facets.

The ridge torus  $S^1 \times S^1$  is the fiber of  $(1, 1)$ , the white dot in the figure, and the two facets, the solid tori, fiber over the two adjacent sides  $\{1\} \times [0, 1]$  and  $[0, 1] \times \{1\}$ .

Every arrow in Figure 14 (left) indicates a diffeomorphism  $\psi: D^2 \times S^1 \rightarrow S^1 \times D^2$  between two facets of two consecutive basic fibrations. It is convenient to write  $\psi$  as a composition

$$D^2 \times S^1 \xrightarrow{\psi'} D^2 \times S^1 \xrightarrow{j} S^1 \times D^2,$$

where  $j$  simply interchanges the two factors. By standard 3-manifold theory, the diffeomorphism  $\psi'$  is determined (up to isotopy) by its restriction to the boundary torus  $S^1 \times S^1$ , which is in turn determined (up to isotopy) by the integer invertible matrix  $L \in \text{GL}(2, \mathbb{Z})$  that encodes its action on  $H_1(S^1 \times S^1) = \mathbb{Z} \times \mathbb{Z}$ . The only requirement is that  $L$  must preserve the meridian; that is, it must send  $(1, 0)$  to  $(\pm 1, 0)$ . Summing up, we have the following.

**Proposition 3.2** *The isotopy class of  $\psi'$  is determined by a matrix*

$$L = \begin{pmatrix} \varepsilon & k \\ 0 & \varepsilon' \end{pmatrix}$$

with  $\varepsilon, \varepsilon' = \pm 1$  and  $k \in \mathbb{Z}$ .

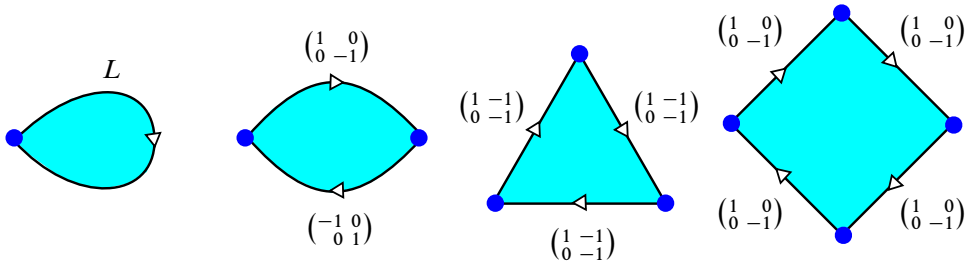


Figure 15: The monogon has no admissible labeling. The other admissible labelings shown here represent  $S^4$ ,  $\mathbb{C}\mathbb{P}^2$  and  $S^2 \times S^2$ .

We can encode all the gluings by assigning labels  $L_1, \dots, L_n$  of this type to the  $n$  oriented edges of  $X$  as in Figure 14 (right). We call such an assignment a *labeling* of the polygon  $X$ . We define the matrices

$$I = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, \quad J = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}.$$

Not every labeling defines a fibration  $M \rightarrow X$ . A necessary and sufficient condition is that the global monodromy around the central torus must be trivial.

**Proposition 3.3** *The labeling defines a fibration  $M \rightarrow X$  if and only if*

$$JL_n J L_{n-1} \cdots J L_1 = I.$$

*If  $\det L_i = -1$  for all  $i$ , the manifold  $M$  is oriented.*

**Proof** We only need to ensure that the monodromy around the central torus  $S^1 \times S^1$  is isotopic to the identity, that is,  $JL_n \cdots J L_1 = I$ . (The composition  $\psi = \psi' \circ j$  translates into  $JL$ .) If  $\det L_i = -1$ , the standard orientations of the pieces  $D^2 \times D^2$  match to induce an orientation for  $M$ . □

We say that the labeling is *admissible* if  $JL_n J \cdots J L_1 = I$  and *oriented* if  $\det L_i = -1$  for all  $i$ . Summing up, we have proved the following.

**Proposition 3.4** *Every fibration  $M \rightarrow X$  over an  $n$ -gon  $X$  is obtained by some admissible labeling on  $X$ .*

Some examples are shown in Figure 15. The monogon in Figure 15 (left) has no admissible labeling  $L$  because  $LJ \neq I$  for every  $L = \begin{pmatrix} \varepsilon & k \\ 0 & \varepsilon' \end{pmatrix}$ . The figure shows some oriented admissible labelings on the bigon, the triangle and the square (admissibility is easily checked). Each determines a fibration  $M \rightarrow X$ .

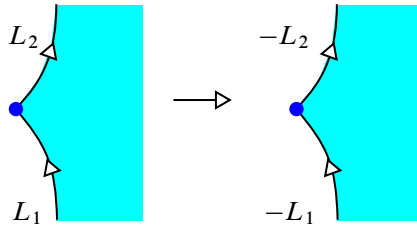


Figure 16: If we change the signs of the labels of two consecutive edges, the fibration  $M \rightarrow X$  remains unaffected.

**Proposition 3.5** *The bigon in Figure 15 represents  $S^4$ .*

**Proof** The bigon  $X$  decomposes into two pieces  $[0, 1] \times [0, 1]$ , and  $M$  decomposes correspondingly into two pieces  $D^2 \times D^2$ . The manifold  $M$  decomposes smoothly into two 4-discs and is diffeomorphic to  $S^4$ .  $\square$

We have discovered that  $S^4$  decomposes into pairs of pants. We will soon prove that the triangle and square in Figure 15 represent  $\mathbb{C}P^2$  and  $S^2 \times S^2$ , respectively.

Recall that we work entirely in the smooth (or equivalently, piecewise-linear) category.

### 3.4 Moves

We now introduce some moves on admissible labelings.

Let  $L_1, \dots, L_n$  be a fixed oriented admissible labeling on the  $n$ -gon  $X$  with edges  $e_1, \dots, e_n$ . We know that it determines an oriented fibration  $\pi: M \rightarrow X$ . We start by noting that different labelings may yield the same fibration.

**Proposition 3.6** *The move in Figure 16 produces a new oriented admissible labeling that encodes the same fibration  $M \rightarrow X$ .*

**Proof** The fibration  $D^2 \times D^2 \rightarrow [0, 1] \times [0, 1]$  has the orientation-preserving automorphism  $(z, w) \mapsto (\bar{z}, \bar{w})$ , which acts on  $S^1 \times S^1$  like  $-I$ . By employing it, we see that the move produces isomorphic fibrations  $M \rightarrow X$ .  $\square$

Since  $\det L_i = -1$  by hypothesis, every label  $L_i$  is either  $\begin{pmatrix} 1 & k_i \\ 0 & -1 \end{pmatrix}$  or  $\begin{pmatrix} -1 & k_i \\ 0 & 1 \end{pmatrix}$ , and we correspondingly say that  $L_i$  is *positive* or *negative*. By applying the move of Figure 16 iteratively on the vertices of  $X$ , we may require that all labels  $L_i$  are positive except at most one. Positive labels are preferable because of the following.

Let  $S_i$  be the sphere in the nodal surface lying above the edge  $e_i$  of  $X$ . Note that two spheres  $S_i$  and  $S_j$  with  $i \neq j$  intersect if and only if  $e_i$  and  $e_j$  are consecutive edges, and in that case, they intersect transversely at the point (a node) projecting to the common vertex.

**Proposition 3.7** *If  $L_i$  is positive, the sphere  $S_i$  has a natural orientation. If  $L_i$  and  $L_{i+1}$  are positive, then  $S_i \cdot S_{i+1} = +1$ .*

**Proof** The label  $L_i$  represents the gluing of two pieces  $D^2 \times D^2$  and  $D^2 \times D^2$  along a map  $\psi: D^2 \times S^1 \rightarrow S^1 \times D^2$  that sends the core  $\{0\} \times S^1$  to  $S^1 \times \{0\}$ . The sphere  $S_i$  decomposes into two discs as  $(\{0\} \times D^2) \cup_\psi (D^2 \times \{0\})$ .

If  $L_i$  is positive, then  $\psi$  identifies  $\{0\} \times S^1$  to  $S^1 \times \{0\}$  orientation-reversingly, and hence the natural orientations of the two discs match to give an orientation for  $S_i$ .

The intersection of two consecutive  $S_i$  and  $S_j$  is transverse and positive (when they are both naturally oriented) because they intersect like  $\{0\} \times D^2$  and  $D^2 \times \{0\}$  inside  $D^2 \times D^2$ . □

Recall that the self-intersection  $S_i \cdot S_i$  is independent of the chosen orientation for  $S_i$  and is hence defined for all  $i$ , no matter whether  $L_i$  is positive or not. The self-intersection of  $S_i$  is easily detected by the labeling as follows.

**Proposition 3.8** *For each  $i$ , we have*

$$L_i = \begin{pmatrix} \pm 1 & \mp(S_i \cdot S_i) \\ 0 & \mp 1 \end{pmatrix}.$$

**Proof** Up to using the move in Figure 16, we may restrict to the positive case  $L_i = \begin{pmatrix} 1 & -k \\ 0 & -1 \end{pmatrix}$ , and we need to prove that  $S_i \cdot S_i = -k$ . We calculate  $S_i \cdot S_i$  by counting (with signs) the point in  $S_i \cap S'_i$  where  $S'_i$  is isotopic and transverse to  $S_i$ .

Recall that  $S_i = (\{0\} \times D^2) \cup_\psi (D^2 \times \{0\})$ . We construct  $S'_i$  by taking the discs  $\{1\} \times D^2$  and  $D^2 \times \{1\}$ : their boundaries do not match in  $S^1 \times S^1$  because they form two distinct longitudes in the boundary of the solid torus  $S^1 \times D^2$ , of type  $(1, 0)$  and  $(1, k)$ . We can isotope the former longitude to the latter inside the solid torus, at the price of intersecting the core  $S^1 \times 0$  some  $|k|$  times. In this way, we get an  $S'_i$  that intersects  $S_i$  transversely into these  $|k|$  points, always with the same sign.

We have proved that  $S_i \cdot S_i = \pm k$ . To determine the sign, it suffices to consider one specific case. We pick the triangle  $X$  in Figure 15, where all labels are  $\begin{pmatrix} 1 & -1 \\ 0 & -1 \end{pmatrix}$ . Here  $\chi(M) = 3$ , and  $M$  is simply connected; therefore,  $H_2(M) = \mathbb{Z}$ . The nodal surface contains three spheres  $S_1, S_2$  and  $S_3$  that represent elements in  $H_2(M)$  with

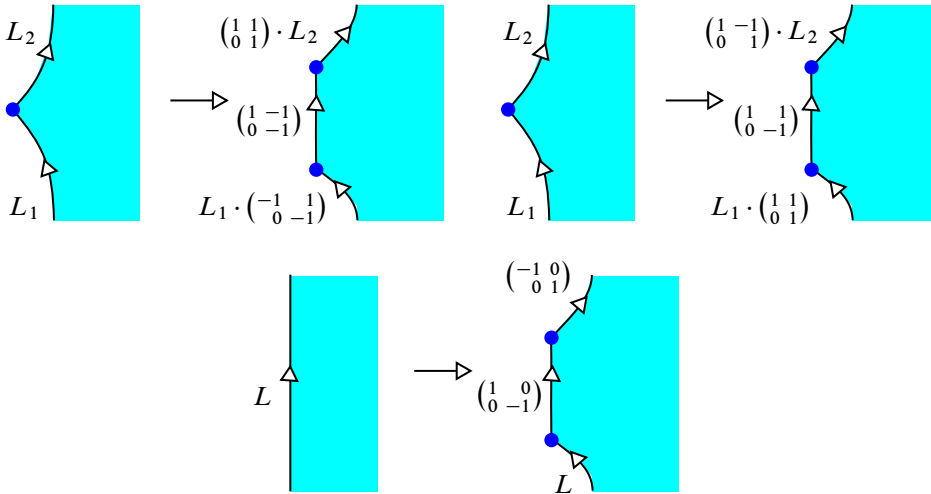


Figure 17: Three moves that transform  $M$  by connected sum with  $\mathbb{C}\mathbb{P}^2$  (top left),  $\overline{\mathbb{C}\mathbb{P}^2}$  (top right), and  $S^2 \times S^2$  (bottom center).

$S_i \cdot S_i = \varepsilon = \pm 1$  for each  $i$ , and  $S_i \cdot S_j = 1$  when  $i \neq j$ . In particular,  $S_i$  is a generator of  $H_2(M)$  for each  $i$ . Since  $S_1 \cdot S_2 = S_1 \cdot S_3 = 1$ , then  $S_2 = S_3 = \varepsilon S_1$ , and hence  $1 = S_2 \cdot S_3 = \varepsilon^2 \cdot S_1 \cdot S_1 = \varepsilon$ ; thus  $S_i \cdot S_i = +1$ .  $\square$

We now consider two more moves on positive admissible labelings, shown in Figure 17. It is easily checked that they both transform  $L_1, \dots, L_n$  into a new positive admissible labeling on a bigger polygon.

**Proposition 3.9** *The three moves in Figure 17 respectively transform  $M$  into*

$$M \# \mathbb{C}\mathbb{P}^2, \quad M \# \overline{\mathbb{C}\mathbb{P}^2} \quad \text{and} \quad M \# (S^2 \times S^2).$$

**Proof** Both moves transform a fibration  $M \rightarrow X$  into a new fibration  $M' \rightarrow X'$ . The first two moves substitute a vertex  $v$  of  $X$  with a new edge  $e$ . The preimages of  $v$  and  $e$  in  $M$  and  $M'$  are a point  $x \in M$  and a sphere  $S \subset M'$  with  $S \cdot S = +1$  or  $S \cdot S = -1$  depending on the move. Substituting  $x$  with  $S$  amounts to making a topological blowup, that is, a connected sum with  $\mathbb{C}\mathbb{P}^2$  and  $\overline{\mathbb{C}\mathbb{P}^2}$ , respectively.

The third move substitutes a point  $x$  contained in some edge of  $X$  with a new edge  $e$ . The preimages of  $x$  and  $e$  in  $M$  and  $M'$  are a circle  $\gamma$  and a sphere  $S \subset M'$  with  $S \cdot S = 0$ . The substitution of  $\gamma$  with  $S$  is called a *surgery*, and since  $M$  is simply connected, the effect is a connected sum with  $S^2 \times S^2$ .  $\square$

In particular, the triangle and square from Figure 15 represent the oriented smooth 4-manifolds  $\mathbb{C}\mathbb{P}^2$  and  $S^2 \times S^2$ .

**Corollary 3.10** *If  $M = \#_h \mathbb{C}P^2 \#_k \overline{\mathbb{C}P^2}$  or  $M = \#_h(S^2 \times S^2)$ , then  $M$  decomposes into pairs of pants; more precisely,  $M$  fibers over the  $n$ -gon, with  $n = \chi(M)$ .*

These oriented manifolds are, in fact, all we can get from a polygon  $X$ .

**Proposition 3.11** *Every oriented labeling on a polygon  $X$  represents one of the manifolds of Corollary 3.10.*

**Proof** Every label is of type  $L_i = \begin{pmatrix} \pm 1 & h_i \\ 0 & \mp 1 \end{pmatrix}$ . If  $|h_i| \leq 1$ , we can simplify  $X$  via one of the moves from Figure 17 and proceed by induction. If  $|h_i| \geq 2$  for all  $i$ , it is easy to show that the coloring cannot be admissible, because the product  $L_n J \cdots L_1 J$  cannot be equal to  $I$ .

Indeed, we have  $M_i = L_i J = \begin{pmatrix} h_i & \pm 1 \\ \mp 1 & 0 \end{pmatrix}$ . The matrix  $M_1$  sends the vector  $\begin{pmatrix} 1 \\ 0 \end{pmatrix}$  to some  $\begin{pmatrix} a \\ b \end{pmatrix}$  with  $|a| > |b| > 0$ , and any such vector is sent by any  $M_i$  to a vector  $\begin{pmatrix} a' \\ b' \end{pmatrix}$  with  $|a'| > |b'| > 0$  again, so  $M_n \cdots M_1 \begin{pmatrix} 1 \\ 0 \end{pmatrix} \neq \begin{pmatrix} 1 \\ 0 \end{pmatrix}$ . □

## 4 The general case

We now extend the discussion of the previous section from polygons to more general simple complexes  $X$ . For the sake of simplicity, we restrict our investigation to a class of complexes called *special*, whose strata are all discs.

**Definition 4.1** A simple complex  $X$  is *special* if the connected components of all the  $(k, l)$ -strata are open  $k$ -cells.

For instance, the polygons and the model complex  $\Pi_n$  are special. Every connected component of each stratum in a special 2-dimensional complex  $X$  is a cell, called *vertex*, *edge* or *face* according to its dimension. Vertices are of type  $(0, 0)$ ,  $(0, 1)$  or  $(0, 2)$ , and edges are of type  $(1, 1)$  or  $(1, 2)$ . Each face is a polygon with  $m$  edges and  $m$  vertices for some  $m$ , and the vertices may be of different types.

### 4.1 The basic fibrations

Let  $M \rightarrow X$  be a fibration over some special complex  $X$ . We now extend the discussion of the previous section to this more general setting: we break  $M \rightarrow X$  into basic fibrations of three types, and we show that  $M \rightarrow X$  may be encoded by some combinatorial labeling on  $X$  that indicates the way these basic fibrations match along their (cornered) boundaries.

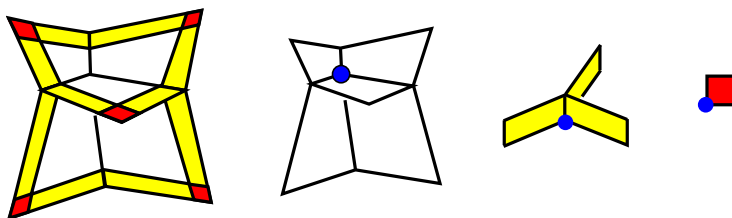


Figure 18: The complex  $\Pi_1$  (left) decomposes into the star neighborhoods of its vertices (right).

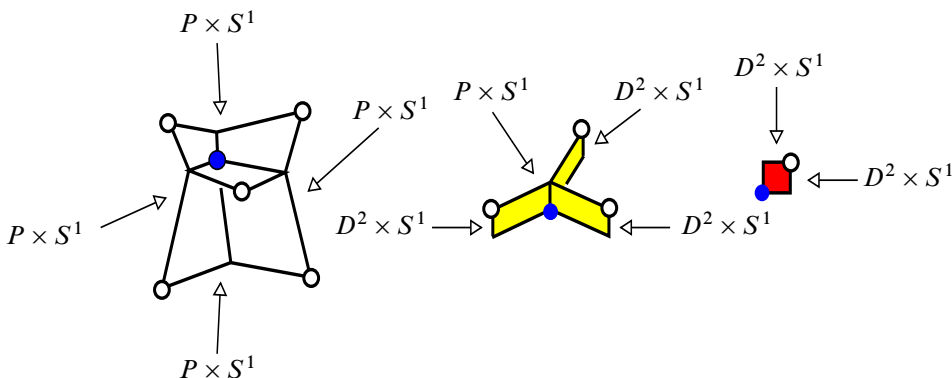


Figure 19: Every block  $M_v$  is a compact 4-manifold with corners; its boundary is a closed connected 3-manifold cornered along tori. There is one corner torus above each white dot, and the tori decompose the 3-manifold  $\partial M_v$  into pieces diffeomorphic to  $S^1 \times P$  or  $S^1 \times D^2$ . Here  $P$  indicates the pair of pants.

A  $n$ -gon breaks into  $n$  star neighborhoods of its vertices as in Figure 13; analogously, every special complex  $X$  decomposes into star neighborhoods  $S_v$  of its vertices  $v$ , which are now of three different types  $(0, 0)$ ,  $(0, 1)$  and  $(0, 2)$ . For instance, the model complex  $\Pi_2$  decomposes into 11 pieces as shown in Figure 18: these are 6, 4 and 1 stars of vertices of type  $(0, 0)$ ,  $(0, 1)$  and  $(0, 2)$ , respectively.

The fibration  $\pi: \mathbb{C}\mathbb{P}^2 \rightarrow \Pi_2$  decomposes correspondingly into  $6 + 4 + 1 = 11$  basic fibrations  $M_v \rightarrow S_v$  above the star neighborhood  $S_v$  of each vertex  $v$ . Every manifold  $M_v$  is a regular neighborhood in  $\mathbb{C}\mathbb{P}^2$  of the fiber  $\pi^{-1}(v)$  of  $v$ , and its topology is deduced from Figures 9 and 10.

There are three basic fibrations  $M_v \rightarrow S_v$  to analyze, depending on the type of the vertex  $v$ . If  $v$  is of type  $(0, 0)$  or  $(0, 1)$ , the fibration  $M_v \rightarrow S_v$  is respectively diffeomorphic to

$$D^2 \times D^2 \rightarrow [0, 1] \times [0, 1], \quad (z, w) \mapsto (|z|, |w|)$$



or

$$D^2 \times P \rightarrow [0, 1] \times Y, \quad (z, x) \mapsto (|z|, \pi(x)),$$

where  $Y$  is a  $Y$ -shaped graph and  $\pi: P \rightarrow Y$  is the tropical fibration; see Figure 19. Both  $D^2$  and  $P$  are naturally oriented as subsets of some complex line in  $\mathbb{C}\mathbb{P}^2$ . In both cases,  $M_v$  is a product, and its boundary is

$$\partial(D^2 \times D^2) = (D^2 \times S^1) \cup (S^1 \times D^2)$$

or

$$\partial(D^2 \times P) = (D^2 \times \partial P) \cup (S^1 \times P),$$

respectively. Recall the orientation formulas (1) and (2). The boundary consists of some *facets* cornered along tori (the *ridges*). The facets are either solid tori or  $S^1 \times P$ . Once and for all, we orientation-preservingly identify every boundary component of  $P$  with  $S^1$ , so that  $D^2 \times \partial P$  is identified to three copies of  $D^2 \times S^1$ . There are three corner tori in  $S^1 \times \partial P$ .

## 4.2 The pair of pants

If  $v$  is of type  $(0, 2)$ , the block  $M_v$  is not a product: it is the compact *pair of pants*, as named by Mikhalkin [4], diffeomorphic to the complement of an open regular neighborhood of four generic lines  $l_1, \dots, l_4$  in  $\mathbb{C}\mathbb{P}^2$ . Its boundary has four facets  $f_1, \dots, f_4$ , each diffeomorphic to  $S^1 \times P$ , cornered along six tori, one for each pair  $l_i, l_j$  of distinct lines.

The facet  $f_i$  is an  $S^1$ -bundle over some pair of pants  $P_i \subset l_i$  obtained from  $l_i$  by removing open discs containing the intersection points with the other lines. The bundle is necessarily trivial since it is a circle bundle over a compact orientable surface with nonempty boundary; hence  $f_i$  is diffeomorphic to  $S^1 \times P$ , but unfortunately *not* in a canonical way (not even up to isotopy): the diffeomorphism depends (up to isotopy) on the choice of a *section* of the bundle, and on an orientation of the fibers (this is a standard fact on circle bundles over surfaces with boundary).

A natural way to construct a section goes as follows. Pick a line  $r \in \mathbb{C}\mathbb{P}^2$  that intersects  $l_i$  in one of the three points  $l_i \cap l_j$ , for some  $j \neq i$ . The line  $r$  provides a section of the normal bundle of  $l_i$  that vanishes only at  $l_i \cap l_j$ , and hence a section of the circle bundle over  $P_i$ . In fact, the isotopy class of the section does not depend on the chosen line  $r$ , but only on the point  $l_i \cap l_j$ , so there are three possible choices.

We now fix an arbitrary partition  $\{l_1, l_2\}, \{l_3, l_4\}$  of the four lines into two pairs, and define  $r$  to be the line passing through the points  $l_1 \cap l_2$  and  $l_3 \cap l_4$ . We use the line  $r$  to define sections on all the four facets  $f_i$  simultaneously as just explained.

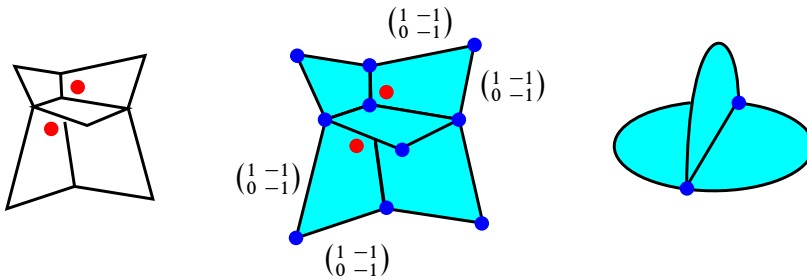


Figure 20: At every vertex of type  $(0, 2)$ , we fix two (of the six) opposite faces and we mark them with red dots (left). An admissible oriented labeling on  $X$  that represents the tropical fibration  $\mathbb{C}P^2 \rightarrow \Pi_2$  (center) and one that represents  $(S^1 \times S^3) \# (S^1 \times S^3)$  (right).

Each section is oriented as a subset of  $r$  and identified with  $P$ . To complete the identification of  $f_i$  with  $S^1 \times P$  we need to orient the fibers: we orient them so that  $S^1 \times P$  gets the correct orientation as a boundary portion of the block  $M_v$  (which is in turn oriented as a domain in  $\mathbb{C}P^2$ ).

**Remark 4.2** By taking an affine chart that sends  $r$  to infinity, we see that

$$\mathbb{C}P^2 \setminus (l_1 \cup l_2 \cup l_3 \cup l_4 \cup r) \cong (\mathbb{C} \setminus \{0, 1\}) \times (\mathbb{C} \setminus \{0, 1\}),$$

so  $M_v$  minus an open neighborhood of  $r$  is naturally diffeomorphic to a product  $P \times P$ . This diffeomorphism furnishes the identifications of each  $f_i$  with  $S^1 \times P$  just described.

There are, of course, three possible partitions of  $\{l_1, l_2, l_3, l_4\}$  to choose from. To indicate on  $X$  which partition we use, we mark with a dot the two opposite faces near  $v$  that correspond to the pairs  $l_1, l_2$  and  $l_3, l_4$ , as in Figure 20 (left). This mark fixes an identification of every facet  $f_i$  with the product  $S^1 \times P$ .

### 4.3 Labeling

Every fibration  $M \rightarrow X$  decomposes into basic fibrations, glued along facets that are either  $D \times S^1$  or  $S^1 \times P$ . We now encode every such gluing with an appropriate labeling on  $X$  that extends the one introduced in Section 3 for polygons.

A typical face  $f$  of  $X$  is shown in Figure 21: it may have vertices and edges of various kinds, and its closure need not be embedded (it may also be adjacent multiple times to the same edge or vertex). We want to assign labels  $L_i$  to the oriented edges (that is, sides) of  $f$  as shown in the figure.

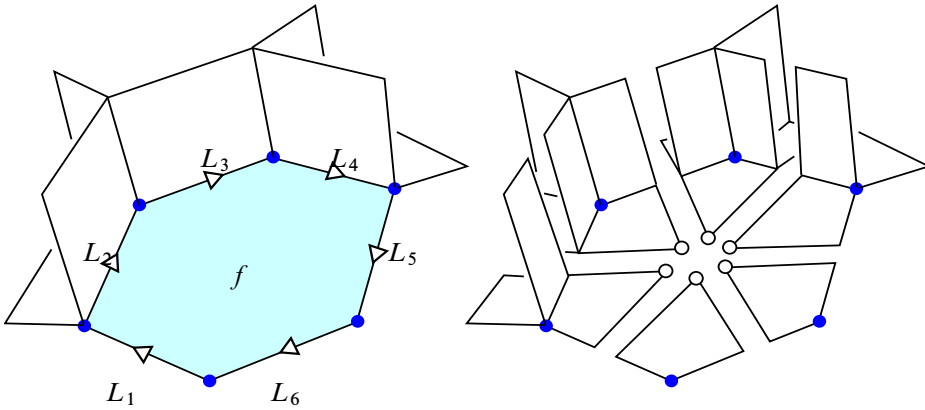


Figure 21: A face  $f$  of a special complex  $X$ , with vertices and edges of various types: here  $f$  has two vertices of each type  $(0, 0)$ ,  $(0, 1)$  and  $(0, 2)$ , and three edges of each type  $(1, 1)$  and  $(1, 2)$ . We label the oriented edges with some matrices  $L_i$  (left), and we break  $f$  into star neighborhoods of its vertices (right).

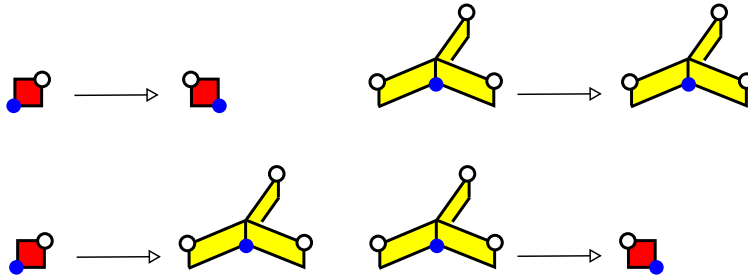


Figure 22: Four possible gluings along an oriented edge of type  $(1, 1)$

An edge  $e_i$  of  $f$  can be of either type  $(1, 1)$  or type  $(1, 2)$ , and we respectively call it an *interior edge* or a *boundary edge*. A boundary edge is contained in  $\partial X$  and connects two vertices  $v$  and  $v'$  that may be of type  $(0, 0)$  or  $(0, 1)$ . There are four possible cases, shown in Figure 22. In any case, the fibrations  $M_v \rightarrow S_v$  and  $M_{v'} \rightarrow S_{v'}$  get identified along some diffeomorphism  $\psi: D^2 \times S^1 \rightarrow D^2 \times S^1$  identifying two solid torus facets. As in Section 3, we encode this diffeomorphism unambiguously (up to isotopy) via a label

$$L_i = \begin{pmatrix} \varepsilon & k \\ 0 & \varepsilon' \end{pmatrix}$$

with  $\varepsilon, \varepsilon' = \pm 1$  and  $k \in \mathbb{Z}$ . This label is assigned to the side  $e_i$  of  $f$ .

If  $e_i$  is an interior edge, it connects two vertices  $v$  and  $v'$  that may be of type  $(0, 1)$  or  $(0, 2)$ . The two fibrations  $M_v \rightarrow S_v$  and  $M_{v'} \rightarrow S_{v'}$  are now glued along a diffeomorphism  $\psi: S^1 \times P \rightarrow S^1 \times P$  between two facets.

The restriction of  $\psi$  to the boundary torus lying above  $f$  is a diffeomorphism  $S^1 \times S^1 \rightarrow S^1 \times S^1$  whose isotopy class is encoded by a matrix  $L_i \in \text{GL}(2, \mathbb{Z})$ . This is the label that we assign to  $e_i$ .

Since the fiber generates the center of  $\pi_1(S^1 \times P)$ , the diffeomorphism  $\psi: S^1 \times P \rightarrow S^1 \times P$  must preserve the fiber (up to reversing the orientation). Therefore, the label  $L_i$  has the same nice form as in the previous case:

$$L_i = \begin{pmatrix} \varepsilon & k \\ 0 & \varepsilon' \end{pmatrix}.$$

Summing up, a *labeling* of  $X$  is simply the assignment of a matrix  $\begin{pmatrix} \varepsilon & k \\ 0 & \varepsilon' \end{pmatrix}$  to every oriented side  $e$  of every face  $f$  in  $X$ .

We implicitly agree that the orientation reversal of the side  $e$  changes the label from  $L$  to  $L^{-1}$ . Note that an interior edge  $e$  inherits three labels, one for each incident face, while a boundary edge has only one label.

#### 4.4 The fibration of $\mathbb{C}\mathbb{P}^2$ over $\Pi_2$

As an example, we now analyze in detail the labeling on  $\Pi_2$  induced by the tropical fibration  $\pi: \mathbb{C}\mathbb{P}^2 \rightarrow \Pi_2$ ; the answer is depicted in Figure 20 (center), where every unlabeled edge is tacitly assumed to have label  $\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$ . This analysis is not necessary for the rest of the paper, so the reader may skip it and jump to Section 4.5.

Recall that the preimage of all points of type  $(k, l)$  with  $l \leq 1$  is the union of four lines in  $\mathbb{C}\mathbb{P}^2$ , intersecting in the six points of type  $(0, 0)$ . Call these lines  $l_1, \dots, l_4$ , and denote by  $q_1, \dots, q_4$  the four points of type  $(0, 1)$  corresponding to  $l_1, \dots, l_4$ , respectively.

Fix an ordered pair  $(i, j)$ . At the intersection point  $p_{ij} = l_i \cap l_j$ , we have an identification of a neighborhood  $N_{ij}$  of  $p_{ij}$  with  $D^2 \times D^2$  such that  $D^2 \times \{0\}$  is the intersection of  $l_i$  with  $N_{ij}$ , and  $\{0\} \times D^2$  is the intersection of  $l_j$  with  $N_{ij}$ . (The identification is sensitive to swapping  $i$  and  $j$ .) Set  $q_{ij} = \pi(p_{ij})$ .

We fix as above an auxiliary line  $r$  going through the points  $l_1 \cap l_2$  and  $l_3 \cap l_4$ . The line  $r$  induces a section of the normal bundles of the four lines, and we use it to fix an identification of all the other facets involved with  $S^1 \times P$ . With this identification, every internal edge gets a label  $\begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix}$ ; one only needs to check signs by looking at

orientations. Using a move that will be described in Proposition 4.7, we can change all these labels with  $\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$ .

We need to determine the labels on the external edges. Consider the point  $q_{13}$ . We are interested in the isotopy class of the section above  $l_1$  in the boundary of  $N_{13}$ . Since all lines going through  $p_{12}$  are isotopic, the section induced by  $r$  on  $N_{13}$  is parallel to the curve  $S^1 \times \{1\}$  in  $\partial N_{13}$ . Therefore, the label on the edge connecting  $q_1$  to  $q_{13}$  is diagonal, and by looking at the orientations, we get  $\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$ . Likewise, all edges incident to  $q_{14}$ ,  $q_{23}$  and  $q_{24}$  are labeled with  $\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$ .

At the point  $p_{12}$ , the section determined by  $r$  on  $l_1$  is no longer parallel to  $S^1 \times \{1\}$  in  $\partial N_{12}$ . However, one checks that the section is parallel to the diagonal curve  $S^1$  in the corner torus  $S^1 \times S^1$  in  $N_{12}$ , and we get  $\begin{pmatrix} 1 & -1 \\ 0 & -1 \end{pmatrix}$ .

Notice that in no case do we need to specify an orientation of the edges, since  $\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}^2 = \begin{pmatrix} 1 & -1 \\ 0 & -1 \end{pmatrix}^2 = I$ .

### 4.5 Admissibility

As in the polygonal case, every fibration is encoded by some (nonunique) labeling of  $X$ , but not every labeling defines a fibration: some simple conditions need to be verified.

Let  $f$  be a face of  $X$ , with oriented sides  $e_1, \dots, e_n$ . Let  $v_i$  be the vertex of  $f$  adjacent to  $e_i$  and  $e_{i+1}$ . We assign a matrix  $J_i$  to  $v_i$  as follows:

- if  $v_i$  is of type  $(0, 0)$ , then  $J_i = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ ;
- if  $v_i$  is of type  $(0, 1)$ , then  $J_i = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$ ;
- if  $v_i$  is of type  $(0, 2)$  and is not dotted, then  $J_i = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ ;
- if  $v_i$  is of type  $(0, 2)$  and is dotted, then  $J_i = \begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix}$ .

Recall that we have fixed two dots at every vertex of type  $(0, 2)$  as in Figure 20. Note that in all cases, we get  $J_i^2 = I$ .

**Proposition 4.3** *A labeling defines a fibration  $M \rightarrow X$  if and only if the following hold:*

- (1) *at every oriented interior edge, the three labels of the incident faces are*

$$\begin{pmatrix} \varepsilon & k_1 \\ 0 & \varepsilon' \end{pmatrix}, \quad \begin{pmatrix} \varepsilon & k_2 \\ 0 & \varepsilon' \end{pmatrix} \quad \text{and} \quad \begin{pmatrix} \varepsilon & k_3 \\ 0 & \varepsilon' \end{pmatrix}$$

*for some constants  $\varepsilon, \varepsilon' = \pm 1$ , with the condition  $k_1 + k_2 + k_3 = 0$ ;*

(2) at every face  $f$ , we have

$$J_n L_n \cdots J_1 L_1 = I.$$

If  $\det L_i = -1$  for all  $i$ , the manifold  $M$  is oriented.

**Proof** At every interior edge we need to build a diffeomorphism  $\psi: S^1 \times P \rightarrow S^1 \times P$ , and it is a standard fact in three-dimensional topology that such a diffeomorphism exists if and only if it acts on the boundary tori  $S^1 \times S^1$  as specified by condition (1).

Condition (2) is that the monodromy around the central torus must be the identity. The role of  $J_i$  is to translate between the two bases of the same corner torus, used by the two adjacent facets. A careful case by case analysis is needed here:

- if  $v_i$  is of type  $(0, 0)$ , the facets are  $S^1 \times D^2$  and  $D^2 \times S^1$ , so  $J_i = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ ;
- if  $v_i$  is of type  $(0, 1)$ , the facets are  $D^2 \times S^1$  and  $S^1 \times P$ , so  $J_i = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$ ;
- if  $v_i$  is of type  $(0, 2)$ , both facets are  $S^1 \times P$  and there are two cases:
  - if  $v_i$  is not dotted, the factors in  $S^1 \times P$  are interchanged as in the case of  $(0, 0)$ , so we get  $J_i = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ ;
  - if  $v_i$  is dotted, the boundaries  $S^1 \times \partial P$  of the two sections coincide, and we get  $J_i = \begin{pmatrix} -1 & 0 \\ 1 & 1 \end{pmatrix}$ .

In the latter case, we have three complex lines  $l_1, l_2$  and  $r$  passing through a point  $p$  and determining three oriented curves  $\gamma_1, \gamma_2$  and  $\mu$  in the corner torus  $S^1 \times S^1$ . The bases to be compared are  $(\gamma_1, \mu)$  and  $(\gamma_2, \mu)$  and we have  $\mu = \gamma_1 + \gamma_2$ ; hence  $\gamma_2 = \mu - \gamma_1$ , and we get  $J_i = \begin{pmatrix} -1 & 0 \\ 1 & 1 \end{pmatrix}$ .  $\square$

A labeling on  $X$  satisfying the requirements of Proposition 4.3 is *admissible*. If  $\det L_i = -1$ , then it is *oriented*. An oriented label is either  $L = \begin{pmatrix} 1 & k \\ 0 & -1 \end{pmatrix}$  or  $\begin{pmatrix} -1 & k \\ 0 & 1 \end{pmatrix}$ , and we have respectively called them positive and negative. Note that  $L = L^{-1}$ , and hence we do not need to orient the edge when assigning it an oriented label. Also in this setting, positive labels are preferable (at least on boundary edges).

**Proposition 4.4** *If all labels are oriented and positive, the nodal surface  $S$  is naturally oriented. Every nodal point has positive intersection  $+1$ .*

**Proof** This is the same as for Proposition 3.7, with  $P \times D^2$  replacing  $D^2 \times D^2$ .  $\square$

We now turn to self-intersection. The nodal surface  $S$  is the union of some closed surfaces  $S_1 \cup \cdots \cup S_k$  intersecting transversely, such that the abstract resolution of each  $S_i$  is connected.

**Proposition 4.5** *If the labels are oriented and positive, and  $S_i$  is embedded, then*

$$S_i \cdot S_i = - \sum_j k_j$$

as  $L_j = \begin{pmatrix} 1 & k_j \\ 0 & -1 \end{pmatrix}$  varies among all labels on edges onto which  $S_i$  projects.

**Proof** This is the same as for Proposition 3.8. □

**Example 4.6** Consider the two labelings in Figure 20 (center) and (right), where every unlabeled edge is tacitly assumed to have label  $\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$ . Both labelings are oriented and admissible: the three labels at the interior edges are equal to  $\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$  and hence condition (1) is fulfilled. In the central figure, there are two kinds of faces; the nondotted ones give

$$\begin{aligned} & J_4 L_4 \cdots J_1 L_1 \\ &= \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} = I, \end{aligned}$$

and on the dotted ones we get

$$\begin{aligned} & J_4 L_4 \cdots J_1 L_1 \\ &= \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} -1 & 0 \\ 1 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & -1 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} 1 & -1 \\ 0 & -1 \end{pmatrix} = I. \end{aligned}$$

As seen above, this labeling represents the tropical fibration  $\mathbb{C}P^2 \rightarrow \Pi_2$ .

On the right figure, we note that there are only two vertices  $v$ , both of type  $(0, 1)$ , and at every face we have

$$J_2 L_2 J_1 L_1 = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} = I.$$

The manifold  $M$  here is the double of the basic piece  $M_v$  along its boundary. The fiber above  $v$  is a  $\theta$ -shaped graph  $\theta$  and  $M_v$  is a regular neighborhood of  $\theta$ , that is, a handlebody with one 0-handle and two 1-handles. The double of such a manifold is  $M = (S^3 \times S^1) \# (S^3 \times S^1)$ .

### 4.6 Moves

Let  $X$  be a special complex equipped with an admissible labeling, defining a fibration  $M \rightarrow X$ . The moves described in Section 3.4 apply also here, and there are more moves that involve vertices of type  $(0, 1)$  and  $(0, 2)$  that modify a labeling without affecting the fibration  $M \rightarrow X$ .

**Proposition 4.7** *Let  $v$  be a vertex of  $X$ , of any type  $(0, 0)$ ,  $(0, 1)$  or  $(0, 2)$ . If we change the signs simultaneously of the labels on all the (two, three or four) edges incident to  $v$ , we get a new admissible labeling that encodes the same fibration  $M \rightarrow X$ .*

**Proof** The manifolds  $D^2 \times D^2$ ,  $D^2 \times P$  and the four-dimensional pair-of-pants  $B$  have orientation-preserving self-diffeomorphisms that act like  $-I$  on the homologies of all the corner tori in the boundary.

To see this for  $B$ , consider  $B$  as the complement of some lines in  $\mathbb{C}\mathbb{P}^2$  defined by equations with real coefficients. The map  $[z_0, z_1, z_2] \mapsto [\bar{z}_0, \bar{z}_1, \bar{z}_2]$  preserves  $B$  and acts as required.  $\square$

We note in particular that Proposition 3.9 is still valid in this context.

**Proposition 4.8** *The three moves in Figure 17 respectively transform  $M$  into*

$$M \# \mathbb{C}\mathbb{P}^2, \quad M \# \overline{\mathbb{C}\mathbb{P}^2} \quad \text{and} \quad M \# (S^2 \times S^2).$$

**Remark 4.9** In this section, we have dealt only with special complexes, as this simplifies the labelings, but an extension of Propositions 4.3 and 4.8 to all simple complexes can be done quite easily. In the first proposition, condition (1) is local, and is required also when dealing with nonspecial complexes. Condition (2), on the other hand, is only needed to ensure that the torus fibration on the boundary extends to the interior of the cell; if a connected component of the  $(2, 2)$ -stratum is not a disc, we need to require that the fibration on its boundary extends to the interior. Notice that this extension is not unique in general; hence a labeling in the above sense does not determine a fibration  $M \rightarrow X$ . In order to get uniqueness, we need to specify the monodromy on the boundary as well as its extension. We do not explore this further here.

**Remark 4.10** A 3-manifold decomposing into pieces diffeomorphic to  $D^2 \times S^1$  and  $P \times S^1$  was called a *graph manifold* by Waldhausen [8]: such 3-manifolds are classified and well understood.

## 5 Fundamental groups

In the previous section, we have made some effort in defining some labelings that encode all pants decompositions  $M \rightarrow X$  over a given special complex  $X$ . We now use them to prove the following, which is the main result of this paper.

**Theorem 5.1** *For every finitely presented group  $G$ , there is a pants decomposition  $M \rightarrow X$  with  $\pi_1(M) = G$ .*



### 5.1 Even complexes

We say that a special complex is *even* if every face is incident to an even number of vertices (counted with multiplicity). Recall that there are three types of vertices,  $(0, 0)$ ,  $(0, 1)$  and  $(0, 2)$ , and each of these must be counted. For instance, the complex  $\Pi_2$  is even: every 2-cell is incident to four vertices.

Even complexes are particularly useful here because of the following.

**Proposition 5.2** *If  $X$  is even, there is a pants decomposition  $M \rightarrow X$ .*

**Proof** We note that every face  $f$  in any simple complex contains an even number of vertices of type  $(0, 1)$ . So the evenness hypothesis on  $X$  says that the number of vertices of type  $(0, 0)$  or  $(0, 2)$  is even for every  $f$ .

Every vertex  $v$  of type  $(0, 2)$  in  $X$  is adjacent to six faces, and we assign dots to two opposite ones arbitrarily.

We will first try to assign trivial labels  $L = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$  everywhere. Condition (1) of Proposition 4.3 is trivially satisfied, and at every face  $f$  we get a product monodromy  $J_{2n}L_{2n} \cdots J_1L_1 = J_{2n} \cdots J_1$  that we now compute.

If there were no dots in  $f$ , we would get  $J_{2n} \cdots J_1 = J^{2k} = I$  with  $J = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$  and  $2k \leq 2n$  is the number of vertices of type  $(0, 0)$  or  $(0, 2)$ . In that case, condition (2) would also be satisfied.

If there are some dots, we adjust the labeling so that the above construction still works. For every maximal string of dotted corners of odd length in a polygonal face, we put a label  $\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$  at the two oriented edges incoming and outgoing the string, both oriented towards the string; eg if there is an isolated dotted corner  $v$ , the two labels on the edges incoming into  $v$  will have label  $\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$ , while if there are two connected dotted corners  $w$  and  $w'$  isolated from all other dotted corners, the label on all the edges incident to  $w$  or  $w'$  will simply be  $\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$ .

This works for the compatibility condition (2) since two consecutive dotted corners contribute with  $\begin{pmatrix} -1 & 0 \\ 1 & 1 \end{pmatrix}^2 = I$ ; in an even chain, the product is trivial, while in an odd chain of  $2k + 1$  dotted vertices, we obtain

$$\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} -1 & 0 \\ 1 & 1 \end{pmatrix}^{2k+1} \begin{pmatrix} 1 & -1 \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} -1 & 0 \\ 1 & 1 \end{pmatrix} \begin{pmatrix} 1 & -1 \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}.$$

In either case, after the simplification, we are left with a power of  $\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$  for each chain of odd length, and the global monodromy will be trivial for parity reasons (because  $X$  is even).

The addition of these labels, however, may have destroyed condition (1). Consider an oriented interior edge  $e$  that connects either two vertices of type  $(0, 2)$  or one of type  $(0, 1)$  and one of type  $(0, 2)$ .

If  $e$  is incident to two vertices of type  $(0, 2)$ , there are two possibilities: either the dots are on the same face of  $X$  incident to  $e$ , or they are on different faces.

In the former case, the three labels of  $e$  are left unchanged, namely  $L = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$ , and condition (1) is trivially satisfied. In the latter, two of its three labels have been modified to  $\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$  and  $\begin{pmatrix} 1 & -1 \\ 0 & 1 \end{pmatrix}$  and then condition (1) still holds (note the role of the edge directions).

If  $e$  is incident to a vertex  $v_2$  of type  $(0, 2)$  and one  $v_1$  of type  $(0, 1)$ , it is incident to three faces, exactly one of which has a dotted corner at  $v_2$ ; denote this face with  $f$ . If the label of  $e$  as part of  $\partial f$  is the trivial label  $\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$ , condition (1) is again automatically satisfied.

Suppose now the label of  $e$  has been changed. Then condition (1) is violated along  $e$  since exactly one label has been modified to  $\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$ . We need to modify the labeling further, and we do so by modifying both the labels at such edges  $e$  and on some boundary edges that share a vertex with them.

Consider the set  $E_1$  of all external edges  $e_1$  with the following property:  $e_1$  shares exactly one vertex with an interior edge  $e$  such that the label on  $e$  on the face  $f_1$  that they span is nontrivial; ie it is  $\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$ . Let  $E_2$  be the set of all external edges  $e_2$  with the following property:  $e_2$  shares both endpoints with two interior edges  $e'$  and  $e''$ , and the labels on  $e'$  and  $e''$  on the face  $f_2$  that they span are both nontrivial; ie they are  $\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$ . By construction,  $E_1$  and  $E_2$  are disjoint, and so are the associated sets of interior edges. Also, notice that the faces and edges denoted by  $f_1$  and  $e$  (respectively,  $f_2$ ,  $e'$  and  $e''$ ) are all determined by  $e_1$  (resp.  $e_2$ ).

For every edge  $e_1$  in  $E_1$ , we orient it towards  $e$ , we replace the label of  $e_1$  with  $\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$  and let  $e$ , seen as part of the boundary of  $f$ , have the trivial label  $\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$ . For every edge  $e_2$  in  $E_2$ , we replace the two labels on the two associated edges  $e'$  and  $e''$  (as part of the boundary of  $f_2$ ) by the trivial label  $\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$ , and leave the label of  $e_2$  unchanged, ie trivial.

It is readily checked that now both conditions (1) and (2) are satisfied. □

There are many even complexes:

**Proposition 5.3** *Every finitely presented group is the fundamental group of an even complex without boundary.*

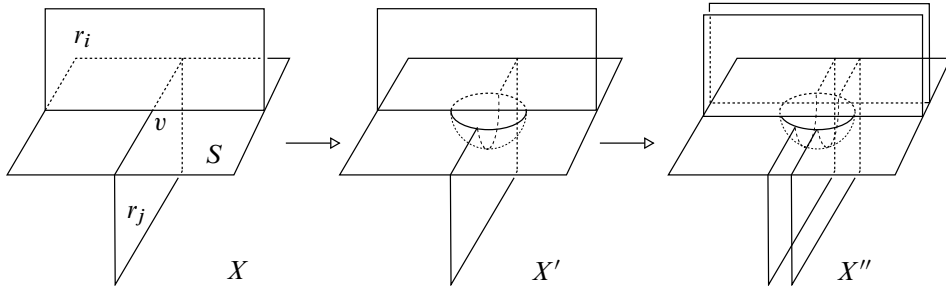


Figure 23: How to construct an even complex. The base surface  $S$  here is horizontal, and the relator faces  $r_i$  and  $r_j$  are attached vertically.

**Proof** Every finitely presented group  $G = \langle g_1, \dots, g_k \mid r_1, \dots, r_s \rangle$  is the fundamental group of some special complex  $X$  without boundary, constructed by attaching discs to a genus- $k$  surface  $S$ . To see this, first attach discs to  $S$  to transform the fundamental group of  $S$  into a free group  $F_k$  with  $k$  generators (for instance, you may take the meridians of a handlebody with boundary  $S$ ). Then attach discs on  $S$  along  $s$  generic curves that represent the relators  $r_1, \dots, r_s$  in a sufficiently complicated way, so that  $S$  is cut into polygons by them (add a trivial relator  $r_1$  in case there are none).

We now modify  $X$  to an even complex  $X''$  with the same fundamental group  $G$ . The modification is depicted in Figure 23 and consists of two steps: the first is a local modification at every vertex  $v$  of  $S$ , where two relator faces  $r_i$  and  $r_j$  intersect. Note that every face in  $X'$  is even, except the new small triangles created by the move. Then we double each relator  $r_i$  as shown in the figure (that is, for every  $i = 1, \dots, s$  we attach two parallel discs), Now triangles are transformed into squares: the final polyhedron  $X''$  is even and has the same fundamental group  $G$  of  $X$  and  $X'$ .  $\square$

### 5.2 Fundamental group

How can we calculate the fundamental group of  $M$  by looking at the fibration  $M \rightarrow X$ ? We answer this question in some cases. We start by showing that in dimension 4, any facet of the compact pair of pants carries the fundamental group of the whole block (in contrast with dimension 2).

**Lemma 5.4** *Let  $B$  be the compact 4-dimensional pair of pants and  $F \cong P \times S^1$  any of its four facets. The map  $\pi_1(F) \rightarrow \pi_1(B)$  induced by inclusion is surjective.*

**Proof** Recall that  $B$  is  $\mathbb{C}\mathbb{P}^2$  minus the open regular neighborhood of four lines  $l_1, l_2, l_3$  and  $l_4$ . Let  $F$  correspond to  $l_4$ . Using the Salvetti complex [6], we see that  $\pi_1(B) \cong \mathbb{Z}^3$  is generated by three loops turning around any three of these lines,

say  $l_1$ ,  $l_2$  and  $l_3$ . These loops can be homotoped inside  $F \cong P \times S^1$ , where they correspond to three meridians on the boundary tori.  $\square$

Let  $X^1$  denote the 1-stratum of  $X$ , that is, the set of all nonsmooth points of  $X$ .

**Proposition 5.5** *Let  $M \rightarrow X$  be a pants decomposition. Then the induced map  $\pi_1(M) \rightarrow \pi_1(X)$  on fundamental groups is surjective. It is also injective, provided the following hold:*

- $X$  is not a surface,
- every connected component of  $X^1 \setminus \partial X$  is incident to a vertex in  $\partial X$  whose fiber is contained in a (possibly immersed) spherical component of the nodal surface.

**Proof** The map  $\pi_1(M) \rightarrow \pi_1(X)$  is surjective because all fibers are connected and arcs lift from  $X$  to  $M$ .

Let  $F_x = \pi^{-1}(x)$  be the fiber of  $x$  and let  $G_x$  be the image of the map  $\pi_1(F_x) \rightarrow \pi_1(M)$  induced by inclusion (with some basepoint in  $F_x$ ). It is easy to prove that if  $G_x$  is trivial for every  $x \in X$ , then  $\pi_1(M) \rightarrow \pi_1(X)$  is an isomorphism. We now prove that the additional assumptions listed above force all groups  $G_x$  to be trivial.

We use the term *connected stratum* to denote a connected component of some  $(k, l)$ -stratum of  $X$ . If  $G_x$  is trivial for some  $x$ , then  $G_{x'}$  is trivial for all points  $x'$  lying in the same connected stratum of  $x$ , and we say that the connected stratum is *trivial*. We now show that the triviality propagates along incident connected strata in most (but not all!) cases. Let  $s$  and  $t$  be two incident connected strata, that is, such that either  $s \subset \bar{t}$  or  $t \subset \bar{s}$ . Suppose that  $s$  is trivial. We claim that, if any of the following conditions holds, then  $t$  is also trivial:

- (1)  $\dim t > \dim s$ ;
- (2)  $t \subset \partial X$ ,  $s \not\subset \partial X$ , and  $\dim t = \dim s - 1$ ;
- (3)  $t$  is a vertex of type  $(0, 2)$  and  $s$  is an edge of type  $(1, 2)$ .

To prove the claim, pick  $x \in s$  and  $y \in t$ ; by assumption,  $G_x$  is trivial.

- (1) We have  $s \subset \bar{t}$ , and the fiber  $F_y$  can be isotoped to  $F_{y'}$  where  $y'$  is close to  $x$ , so  $F_{y'}$  lies in a regular neighborhood of  $F_x$ ; therefore,  $G_y$  is naturally a subgroup of  $G_x$ , hence trivial.
- (2) In this particular case,  $F_x \cong F_y \times S^1$ , and  $F_y$  can be isotoped inside  $F_x$ .
- (3) It follows from Lemma 5.4.

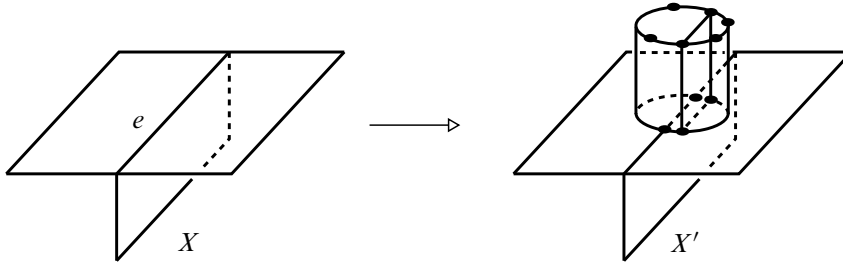


Figure 24: How to create some boundary on an even complex, preserving evenness and the fundamental group. The complex  $X'$  is constructed by attaching a product  $\theta \times [0, 1]$  to  $X$  along  $\theta \times 0$  as shown, where  $\theta$  is a  $\theta$ -shaped graph. The boundary  $\partial X' = \theta \times 1$  contains four vertices of type  $(0, 0)$  and two of type  $(0, 1)$ ; all dotted points are vertices of some type.

By assumption, every connected component  $C$  of  $X^1 \setminus \partial X$  is incident to a vertex  $v$  of type  $(0, 1)$  in  $\partial X$ , whose fiber  $F_v$  is contained in a sphere; therefore,  $G_v$  is trivial. By property (2), the edge of type  $(1,2)$  adjacent to  $v$  is also trivial, and we can use (1) and (3) to propagate the triviality along all the connected strata of  $C$ .

Since  $X$  is not a surface, every 2-dimensional connected stratum of  $X$  is incident to  $X^1 \setminus \partial X$ , and is hence trivial by property (1). Finally, the triviality extends to the rest of  $\partial X$  by (2). □

**Corollary 5.6** *Let  $M \rightarrow X$  be a pants decomposition. If  $X^1 \setminus \partial X$  is connected,  $\partial X \neq \emptyset$ , and the nodal surface consists of (possibly immersed) spheres, the map  $\pi_1(M) \rightarrow \pi_1(X)$  is an isomorphism.*

The homomorphism  $\pi_1(M) \rightarrow \pi_1(X)$  may not be injective in general: Figure 20 (right) shows a fibration  $M \rightarrow X$  with  $\pi_1(M) = \mathbb{Z} * \mathbb{Z}$  and  $\pi_1(X) = \{e\}$ .

### 5.3 Proof of the main theorem

We can finally prove the main result of this paper, Theorem 5.1.

**Proof of Theorem 5.1** For every finitely presented group  $G$  there is an even special complex  $X$  without boundary and with  $\pi_1(X) = G$  by Proposition 5.3. We slightly modify  $X$  to a complex  $X'$  with nonempty boundary by choosing an arbitrary edge  $e$  and modifying  $X$  near  $e$  as shown in Figure 24.

We have  $\pi_1(X) = \pi_1(X')$ , and  $X'$  is still even. Note that  $\partial X'$  is a  $\theta$ -shaped graph with two vertices of type  $(0, 1)$  and also four vertices of type  $(0, 0)$  as indicated in the

picture. Note also that  $X^1$  is connected because  $X$  is special without boundary, and hence  $(X')^1 \setminus \partial X'$  is also connected.

By Proposition 5.2, there is a pants decomposition  $M \rightarrow X'$ . By looking at  $\partial X'$ , we see that the nodal curve consists of three spheres. Corollary 5.6 hence applies and gives  $\pi_1(M) = \pi_1(X') = G$ .  $\square$

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