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**Smith ideals of operadic algebras in monoidal model categories**

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# Smith ideals of operadic algebras in monoidal model categories

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Building upon Hovey’s work on Smith ideals for monoids, we develop a homotopy theory of Smith ideals for general operads in a symmetric monoidal category. For a sufficiently nice stable monoidal model category and an operad satisfying a cofibrancy condition, we show that there is a Quillen equivalence between a model structure on Smith ideals and a model structure on algebra morphisms induced by the cokernel and the kernel. For symmetric spectra, this applies to the commutative operad and all  $\Sigma$ -cofibrant operads. For chain complexes over a field of characteristic zero and the stable module category, this Quillen equivalence holds for all operads. We end with a comparison between the semi-model category approach and the  $\infty$ -category approach to encoding the homotopy theory of algebras over  $\Sigma$ -cofibrant operads that are not necessarily admissible.

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## 1 Introduction

A major part of stable homotopy theory is the study of structured ring spectra. These include strict ring spectra, commutative ring spectra,  $A_\infty$ -ring spectra,  $E_\infty$ -ring spectra,  $E_n$ -ring spectra, and so forth. Based on an unpublished talk by Jeff Smith, Hovey [2014] developed a homotopy theory of Smith ideals for ring spectra and monoids in more general symmetric monoidal model categories.

Let us briefly recall Hovey’s work. For a symmetric monoidal closed category  $M$ , its arrow category  $\vec{M}$  is the category whose objects are morphisms in  $M$  and whose morphisms are commutative squares in  $M$ . It

has two symmetric monoidal closed structures, namely the tensor product monoidal structure  $\vec{M}^\otimes$  and the pushout product monoidal structure  $\vec{M}^\square$ . A monoid in  $\vec{M}^\square$  is a Smith ideal, and a monoid in  $\vec{M}^\otimes$  is a monoid morphism. If  $M$  is a model category, then  $\vec{M}^\otimes$  has the injective model structure  $\vec{M}^\otimes$ , where weak equivalences and cofibrations are defined entrywise, and the category of monoid morphisms inherits a model structure from  $\vec{M}^\otimes$ . Likewise,  $\vec{M}^\square$  has the projective model structure  $\vec{M}^\square$ , where weak equivalences and fibrations are defined entrywise, and the category of Smith ideals inherits a model structure from  $\vec{M}^\square$ . Surprisingly, when  $M$  is pointed (resp. stable), the cokernel and the kernel form a Quillen adjunction (resp. Quillen equivalence) between  $\vec{M}^\square$  and  $\vec{M}^\otimes$  and also between Smith ideals and monoid morphisms.

Since monoids are algebras over the associative operad, a natural question is whether there is a satisfactory theory of Smith ideals for algebras over other operads. For the commutative operad, White [2017] showed that commutative Smith ideals in symmetric spectra, equipped with either the positive flat (stable) or the positive (stable) model structure, inherit a model structure. The purpose of this paper is to generalize Hovey’s work to Smith ideals for general operads in monoidal model categories. For an operad  $\mathcal{O}$ , we define a Smith  $\mathcal{O}$ -ideal as an algebra over an associated operad  $\vec{\mathcal{O}}^\square$  in the arrow category  $\vec{M}^\square$ . We will prove a precise version of the following result in Theorem 4.4.1:

**Theorem A** *Suppose  $M$  is a sufficiently nice stable monoidal model category, and  $\mathcal{O}$  is a  $\mathfrak{C}$ -colored operad in  $M$  such that cofibrant Smith  $\mathcal{O}$ -ideals are also entrywise cofibrant in the arrow category of  $M$  with the projective model structure. Then there is a Quillen equivalence*

$$\{\text{Smith } \mathcal{O}\text{-ideals}\} \begin{matrix} \xrightarrow{\text{coker}} \\ \xleftarrow{\text{ker}} \end{matrix} \{\mathcal{O}\text{-algebra maps}\}$$

*induced by the cokernel and the kernel.*

For example, this theorem holds in the following situations:

- (1)  $\mathcal{O}$  is an arbitrary  $\mathfrak{C}$ -colored operad, and  $M$  is the category  $\text{Ch}(R)$  of bounded or unbounded chain complexes over a semisimple ring containing  $\mathbb{Q}$  (Corollary 5.2.4); the stable module category of  $k[G]$ -modules for some field  $k$  and finite group  $G$  (Corollary 6.2.5); or the category of classical, equivariant or motivic symmetric spectra with the positive or positive flat stable model structure (Example 4.4.2).
- (2)  $\mathcal{O}$  is the commutative operad, and  $M$  is any of the examples above or equivariant orthogonal spectra, Hausmann’s  $G$ -symmetric spectra [2017], or Schwede’s global equivariant spectra [2018] with positive flat model structures (Section 5.1).
- (3)  $\mathcal{O}$  is  $\Sigma_{\mathfrak{C}}$ -cofibrant (eg the associative operad,  $A_\infty$ -operads,  $E_\infty$ -operads, and  $E_n$ -operads), and  $M$  is any of the examples above, or  $\text{Ch}(R)$  for a commutative ring  $R$ ;  $\text{StMod}(k[G])$ , where  $k$  is a principal ideal domain; an injective or projective model structure on spectra;  $S$ -modules [Elmendorf et al. 1997]; Mandell’s equivariant symmetric spectra [2004]; or a Lydakis-style model structure on enriched functors (Corollary 5.2.3 and Examples 5.2.5 and 5.2.6).

The rest of this paper is organized as follows. In [Section 2](#) we recall some basic facts about model categories and arrow categories. In [Section 3](#) we define Smith ideals for an operad and prove that, when  $\mathcal{M}$  is pointed, there is an adjunction between Smith  $\mathcal{O}$ -ideals and  $\mathcal{O}$ -algebra morphisms given by the cokernel and the kernel. In [Section 4](#) we define the model structures on Smith  $\mathcal{O}$ -ideals and  $\mathcal{O}$ -algebra morphisms and prove the theorem above. We also include a discussion of what happens when there are only semi-model structures on Smith  $\mathcal{O}$ -ideals and  $\mathcal{O}$ -algebra morphisms. In [Section 5](#) we apply the theorem to the commutative operad and  $\Sigma_{\mathcal{C}}$ -cofibrant operads. In [Section 6](#) we apply the theorem to entrywise cofibrant operads. In [Section 7](#) we include a comparison between various approaches to encoding the homotopy theory of operad algebras, including model categories, semi-model categories and  $\infty$ -categories. This discussion holds in general, beyond the situation of Smith  $\mathcal{O}$ -ideals and  $\mathcal{O}$ -algebra morphisms.

## Acknowledgments

The authors would like to thank Mark Hovey for laying the groundwork for the study of Smith ideals, for suggesting to White to figure out the homotopy theory of commutative Smith ideals, and for all the guidance he has given to the community on matters related to model categories. Furthermore, we thank Bob Bruner, Dan Isaksen and Andrew Salch for encouraging us to think about Smith ideals operadically; we thank Adeel Khan, Tyler Lawson and Denis Nardin for an email exchange about this project; and we thank Rune Haugseng for an extremely helpful discussion related to [Section 7](#), and for encouraging us to write this section. Lastly, we thank the referee for many helpful comments that improved the exposition.

## 2 Model structures on the arrow category

In this section we recall a few facts about monoidal model categories and arrow categories. Our main references for model categories are [[Hirschhorn 2003](#); [Hovey 1999](#); [Schwede and Shipley 2000](#)]. In this paper,  $(\mathcal{M}, \otimes, \mathbb{1}, \text{Hom})$  will usually be a bicomplete symmetric monoidal closed category [[Mac Lane 1998](#), VII.7] with monoidal unit  $\mathbb{1}$ , internal hom  $\text{Hom}$ , initial object  $\emptyset$  and terminal object  $*$ . Since  $\mathcal{M}$  is closed,  $\emptyset \otimes X = \emptyset$  for any  $X$ .

### 2.1 Monoidal model categories

A model category is *cofibrantly generated* if there are sets  $I$  of cofibrations and  $J$  of trivial cofibrations (that is, morphisms that are both cofibrations and weak equivalences) that permit the small object argument (with respect to some cardinal  $\kappa$ ), and a morphism is a fibration (resp. trivial fibration) if and only if it satisfies the right lifting property with respect to all morphisms in  $J$  (resp.  $I$ ).

Let  $I$ -cell denote the class of transfinite compositions of pushouts of morphisms in  $I$ , and let  $I$ -cof denote retracts of such [[Hovey 1999](#), 2.1.9]. In order to run the small object argument, we will assume the domains  $K$  of the morphisms in  $I$  (and  $J$ ) are  $\kappa$ -small relative to  $I$ -cell (resp.  $J$ -cell). In other words,

given a regular cardinal  $\lambda \geq \kappa$  and any  $\lambda$ -sequence  $X_0 \rightarrow X_1 \rightarrow \cdots$  formed of morphisms  $X_\beta \rightarrow X_{\beta+1}$  in  $I$ -cell, the map of sets

$$\operatorname{colim}_{\beta < \lambda} \mathbf{M}(K, X_\beta) \rightarrow \mathbf{M}(K, \operatorname{colim}_{\beta < \lambda} X_\beta)$$

is a bijection. An object is *small* if there is some  $\kappa$  for which it is  $\kappa$ -small. We will say that a model category is *strongly cofibrantly generated* if the domains and codomains of  $I$  and  $J$  are small with respect to the entire category.

In [Section 4](#), we will produce homotopy theories for operad algebras valued in arrow categories equipped with some model structure. Depending on the colored operad and properties of  $\mathbf{M}$ , sometimes we will only have a semi-model structure on a category of algebras. However, as shown in [Section 7](#), it still encodes the correct  $\infty$ -category. A semi-model category satisfies axioms similar to those of a model category, but one only knows that morphisms *with cofibrant domain* admit a factorization into a trivial cofibration followed by a fibration, and one only knows that trivial cofibrations *with cofibrant domain* lift against fibrations. To the authors' knowledge, every result about model categories has a corresponding result for semi-model categories, often obtained by first cofibrantly replacing everything in sight (see for example [\[Batatin and White 2024\]](#)).

**Definition 2.1.1** [\[Batatin and White 2024, Definition 2.1\]](#) A *semi-model structure* on a category  $\mathbf{M}$  consists of classes of weak equivalences  $W$ , fibrations  $F$  and cofibrations  $Q$  satisfying the following axioms:

- (M1) Fibrations are closed under pullback.
- (M2) The class  $W$  is closed under the two-out-of-three property.
- (M3)  $W$ ,  $F$  and  $Q$  are all closed under retracts.
- (M4) (i) Cofibrations have the left lifting property with respect to trivial fibrations.  
(ii) Trivial cofibrations whose domain is cofibrant have the left lifting property with respect to fibrations.
- (M5) (i) Every morphism in  $\mathbf{M}$  can be functorially factored into a cofibration followed by a trivial fibration.  
(ii) Every morphism whose domain is cofibrant can be functorially factored into a trivial cofibration followed by a fibration.

If, in addition,  $\mathbf{M}$  is bicomplete, then we call  $\mathbf{M}$  a *semi-model category*.  $\mathbf{M}$  is said to be *cofibrantly generated* if there are sets of morphisms  $I$  and  $J$  in  $\mathbf{M}$  such that the class of fibrations (resp. trivial fibrations) is characterized by the right lifting property with respect to  $J$  (resp.  $I$ ), the domains of  $I$  are small relative to  $I$ -cell, and the domains of  $J$  are small relative to morphisms in  $J$ -cell whose domain is cofibrant.

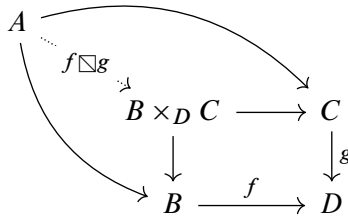
An adjunction with left adjoint  $L$  and right adjoint  $R$  is denoted by  $L \dashv R$ .

**Definition 2.1.2** Suppose  $L : M \rightleftarrows N : R$  is an adjunction between (semi-)model categories.

- (1) We call  $L \dashv R$  a *Quillen adjunction* if the right adjoint  $R$  preserves fibrations and trivial fibrations. In this case, we call  $L$  a *left Quillen functor* and  $R$  a *right Quillen functor*.
- (2) We call a Quillen adjunction  $L \dashv R$  a *Quillen equivalence* if, for each morphism  $f : LX \rightarrow Y \in N$  with  $X$  cofibrant in  $M$  and  $Y$  fibrant in  $N$ ,  $f$  is a weak equivalence in  $N$  if and only if its adjoint  $f^\# : X \rightarrow RY$  is a weak equivalence in  $M$ .

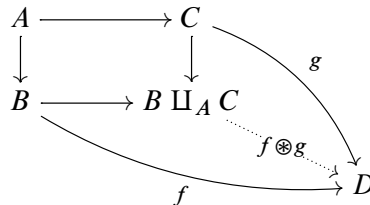
**Definition 2.1.3** Suppose  $M$  is a category with pushouts and pullbacks.

- (1) Given a solid-arrow commutative diagram



in  $M$  in which the square is a pullback, the unique dotted induced morphism is denoted by  $f \square g$  and called the *pullback corner morphism* of  $f$  and  $g$ .

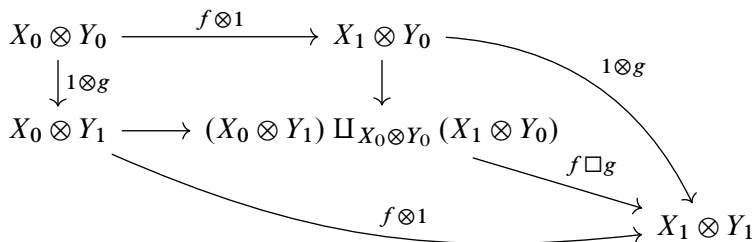
- (2) Given a solid-arrow commutative diagram



in  $M$  in which the square is a pushout, the unique dotted induced morphism is denoted by  $f \otimes g$  and called the *pushout corner morphism* of  $f$  and  $g$ .

In the next definition, we follow simplicial notation  $0 \rightarrow 1$  so the reader can distinguish source and target at a glance.

**Definition 2.1.4** Suppose  $(M, \otimes, \mathbb{1})$  is a monoidal category with pushouts. Suppose  $f : X_0 \rightarrow X_1$  and  $g : Y_0 \rightarrow Y_1$  are morphisms in  $M$ . The pushout corner morphism



of  $f \otimes 1$  and  $1 \otimes g$  is denoted by  $f \square g$  and called the *pushout product* of  $f$  and  $g$ .

**Definition 2.1.5** A symmetric monoidal closed category  $M$  equipped with a model structure is called a *monoidal model category* if it satisfies the following *pushout product axiom* [Schwede and Shipley 2000, Definition 3.1]:

- Given any cofibrations  $f: X_0 \rightarrow X_1$  and  $g: Y_0 \rightarrow Y_1$ , the pushout product morphism

$$(X_0 \otimes Y_1) \amalg_{X_0 \otimes Y_0} (X_1 \otimes Y_0) \xrightarrow{f \square g} X_1 \otimes Y_1$$

is a cofibration. If, in addition, either  $f$  or  $g$  is a weak equivalence, then  $f \square g$  is a trivial cofibration.

Additionally, in order to guarantee that the unit  $\mathbb{1}$  descends to the unit in the homotopy category, it is sometimes convenient to assume the *unit axiom* [Hovey 1999, 4.2.6]: if  $Q\mathbb{1} \rightarrow \mathbb{1}$  is a cofibrant replacement, then, for any cofibrant object  $X$ , the induced morphism  $Q\mathbb{1} \otimes X \rightarrow \mathbb{1} \otimes X \cong X$  is a weak equivalence. Since  $(-)\otimes X$  is a left Quillen functor, if the unit axiom holds for one cofibrant replacement of  $\mathbb{1}$ , then it holds for any cofibrant replacement of  $\mathbb{1}$ .

## 2.2 Arrow categories

**Definition 2.2.1** A *lax monoidal functor*  $F: M \rightarrow N$  between two monoidal categories is a functor equipped with structure morphisms

$$FX \otimes FY \xrightarrow{F^2_{X,Y}} F(X \otimes Y), \quad \mathbb{1}^N \xrightarrow{F^0} F\mathbb{1}^M$$

for  $X$  and  $Y$  in  $M$  that are associative and unital in a suitable sense, as discussed in [Mac Lane 1998, XI.2], where this notion is referred to simply as a *monoidal functor*. If, furthermore,  $M$  and  $N$  are symmetric monoidal categories and  $F^2$  is compatible with the symmetry isomorphisms, then  $F$  is called a *lax symmetric monoidal functor*. If the structure morphisms  $F^2$  and  $F^0$  are isomorphisms (resp. identity morphisms), then  $F$  is called a *strong monoidal functor* (resp. *strict monoidal functor*).

We now recall the two monoidal structures on the arrow category from [Hovey 2014].

**Definition 2.2.2** Suppose  $(M, \otimes, \mathbb{1})$  is a symmetric monoidal category with pushouts.

- The *arrow category*  $\vec{M}$  is the category whose objects are morphisms in  $M$ , in which a morphism  $\alpha: f \rightarrow g$  is a commutative square

$$(2.2.3) \quad \begin{array}{ccc} X_0 & \xrightarrow{\alpha_0} & Y_0 \\ f \downarrow & & \downarrow g \\ X_1 & \xrightarrow{\alpha_1} & Y_1 \end{array}$$

in  $M$ . We will also write  $\text{Ev}_0 f = X_0$ ,  $\text{Ev}_1 f = X_1$ ,  $\text{Ev}_0 \alpha = \alpha_0$  and  $\text{Ev}_1 \alpha = \alpha_1$ . The definition of  $\vec{M}$  does not require a monoidal structure on  $M$ .

- The *tensor product monoidal structure* on  $\vec{M}$  is given by the monoidal product

$$X_0 \otimes Y_0 \xrightarrow{f \otimes g} X_1 \otimes Y_1$$

for  $f : X_0 \rightarrow X_1$  and  $g : Y_0 \rightarrow Y_1$ . The arrow category equipped with this monoidal structure is denoted by  $\vec{M}^\otimes$ . The monoidal unit is  $\text{Id} : \mathbb{1} \rightarrow \mathbb{1}$ .

(3) The *pushout product monoidal structure* on  $\vec{M}$  is given by the pushout product

$$(X_0 \otimes Y_1) \amalg_{X_0 \otimes Y_0} (X_1 \otimes Y_0) \xrightarrow{f \square g} X_1 \otimes Y_1$$

for  $f : X_0 \rightarrow X_1$  and  $g : Y_0 \rightarrow Y_1$ . The arrow category equipped with this monoidal structure is denoted by  $\vec{M}^\square$ . The monoidal unit is  $\emptyset \rightarrow \mathbb{1}$ .

(4) Defining  $L_0(X) = (\text{Id} : X \rightarrow X)$  and  $L_1(X) = (\emptyset \rightarrow X)$  for  $X \in M$ , there are adjunctions

$$(2.2.4) \quad M \begin{array}{c} \xleftarrow{L_0} \\ \xrightarrow{\text{Ev}_0} \end{array} \vec{M}^\otimes, \quad M \begin{array}{c} \xleftarrow{L_1} \\ \xrightarrow{\text{Ev}_1} \end{array} \vec{M}^\square$$

with left adjoints on top and all functors strict symmetric monoidal.

### 2.3 Injective model structure

**Theorem 2.3.1** [Hovey 2014, 2.1 and 2.2] *Suppose  $M$  is a model category.*

(1) *There is a model structure on  $\vec{M}$ , called the **injective model structure**, in which a morphism  $\alpha : f \rightarrow g$  as in (2.2.3) is a weak equivalence (resp. cofibration) if and only if  $\alpha_0$  and  $\alpha_1$  are weak equivalences (resp. cofibrations) in  $M$ . A morphism  $\alpha$  is a (trivial) fibration if and only if  $\alpha_1$  and the pullback corner morphism*

$$X_0 \xrightarrow{\alpha_1 \square g} X_1 \times_{Y_1} Y_0$$

*are (trivial) fibrations in  $M$ . Note that this implies that  $\alpha_0$  is also a (trivial) fibration. The arrow category equipped with the injective model structure is denoted by  $\vec{M}$ .*

(2) *If  $M$  is cofibrantly generated, then so is  $\vec{M}$ .*

(3) *If  $M$  is a monoidal model category, then  $\vec{M}^\otimes$  equipped with the injective model structure is a monoidal model category, denoted by  $\vec{M}^\otimes$ .*

(4) *If  $M$  satisfies the unit axiom, then so does  $\vec{M}^\otimes$ .*

**Proof** This model structure is a special case of the injective model structure on a diagram category [Barwick 2010, 2.16]. Since the indexing category  $\bullet \rightarrow \bullet$  is so simple, we can directly write down the generating (trivial) cofibrations and hence avoid the need to assume  $M$  is combinatorial, as in [White 2017, 5.5.1]. The generating cofibrations are of the form  $L_1 i$  (where  $i \in I$ ) and unit morphisms  $\alpha_i : i \rightarrow U_1 \text{Ev}_1 i$ , where  $U_1$  is the right adjoint of  $\text{Ev}_1$  given by  $U_1(X) = 1_X$ . The generating trivial cofibrations are analogous, with  $j \in J$  instead of  $i \in I$ . A morphism  $\beta : f \rightarrow g$  has the right lifting property with respect to  $L_1 i$  if and only if  $\text{Ev}_1 \beta$  has the right lifting property with respect to  $i$ , and  $\beta$  has the right lifting property with respect to  $\alpha_i$  if and only if  $\text{Ev}_0 f \rightarrow \text{Ev}_1 f \times_{\text{Ev}_1 g} \text{Ev}_0 g$  has the right lifting property with respect to  $i$ . Thus, these sets generate the injective model structure. The pushout product axiom and the unit axiom on  $\vec{M}_{\text{inj}}^\otimes$  follows from the same on  $M$  [Barwick 2010, 4.51].  $\square$



## 2.4 Projective model structure

**Theorem 2.4.1** [Hovey 2014, 3.1] Suppose  $M$  is a model category.

- (1) There is a model structure on  $\vec{M}$ , called the **projective model structure**, in which a morphism  $\alpha: f \rightarrow g$  as in (2.2.3) is a weak equivalence (resp. fibration) if and only if  $\alpha_0$  and  $\alpha_1$  are weak equivalences (resp. fibrations) in  $M$ . A morphism  $\alpha$  is a (trivial) cofibration if and only if  $\alpha_0$  and the pushout corner morphism

$$X_1 \amalg_{X_0} Y_0 \xrightarrow{\alpha_1 \otimes g} Y_1$$

are (trivial) cofibrations in  $M$ . Note that this implies that  $\alpha_1$  is also a (trivial) cofibration. The arrow category equipped with the projective model structure is denoted by  $\vec{M}$ .

- (2) If  $M$  is cofibrantly generated, then so is  $\vec{M}$ .  
 (3) If  $M$  is a monoidal model category, then  $\vec{M}^\square$  equipped with the projective model structure is a monoidal model category, denoted by  $\vec{M}^\square$ .  
 (4) If  $M$  satisfies the unit axiom, then so does  $\vec{M}^\square$ .

**Proof** (1) and (2) follow from [Hirschhorn 2003, 11.6.1]. For (3), Hovey [2014, 3.1] had the additional assumption that  $M$  be cofibrantly generated. However, White and Yau [2019a] proved that, if  $M$  is a monoidal model category, then so is  $\vec{M}^\square$ . Lastly, for (4), note that a cofibrant replacement for the unit  $\emptyset \rightarrow \mathbb{1}$  is  $L_1(Q\mathbb{1}): \emptyset \rightarrow Q\mathbb{1}$ . If  $f$  is cofibrant in  $\vec{M}_{\text{proj}}^\square$  (equivalently, a cofibration between cofibrant objects), then  $L_1(Q\mathbb{1}) \square f \rightarrow f$  is the same as  $Q\mathbb{1} \otimes f \rightarrow f$ . Thus, the unit axiom on  $\vec{M}^\square$  follows from the unit axiom on  $M$ . □

For a category  $M$  with all small limits and colimits, recall from [Hovey 1999, Sections 1.1 and 6.1] that  $M$  is *pointed* if the unique morphism  $\emptyset \rightarrow *$  is an isomorphism. In such a category, we define the *cokernel* of a morphism  $f: X_0 \rightarrow X_1$  to be the morphism  $\text{coker } f: X_1 \rightarrow Z$  defined by the pushout:

$$\begin{array}{ccc} X_0 & \xrightarrow{f} & X_1 \\ \downarrow & & \downarrow \text{coker } f \\ * & \longrightarrow & Z \end{array}$$

Dually, the *kernel* of  $f: X_0 \rightarrow X_1$  is the morphism  $\text{ker } f: A \rightarrow X_0$  defined by the pullback:

$$\begin{array}{ccc} A & \longrightarrow & * \\ \text{ker } f \downarrow & & \downarrow \\ X_0 & \xrightarrow{f} & X_1 \end{array}$$

For the left adjoints  $L_0$  and  $L_1$  in (2.2.4), we note the equalities, for each object  $X$ ,

$$(2.4.2) \quad \begin{aligned} \text{ker}(L_0(X)) &= \text{ker}(\text{Id}: X \rightarrow X) = (\emptyset \rightarrow X) = L_1(X), \\ \text{coker}(L_1(X)) &= \text{coker}(\emptyset \rightarrow X) = (\text{Id}: X \rightarrow X) = L_0(X). \end{aligned}$$

Most of the observations in Proposition 2.4.3 are from [Hovey 2014, 1.4, 4.1 and 4.3]. We provide proofs here for completeness.

**Proposition 2.4.3** *Suppose  $\mathcal{M}$  is a pointed symmetric monoidal category with all small limits and colimits.*

- (1) *The cokernel is a strictly unital strong symmetric monoidal functor from  $\vec{\mathcal{M}}^\square$  to  $\vec{\mathcal{M}}^\otimes$  whose right adjoint is the kernel.*
- (2) *The strong symmetric monoidality of the cokernel induces a strictly unital lax symmetric monoidal structure on the kernel such that the adjunction  $(\text{coker}, \text{ker})$  is monoidal.*
- (3) *If  $\mathcal{M}$  is also a model category, then  $(\text{coker}, \text{ker})$  is a Quillen adjunction.*
- (4) *If  $\mathcal{M}$  is a stable model category [Hovey 1999, Chapter 7], then  $(\text{coker}, \text{ker})$  is a Quillen equivalence.*

**Proof** For (1), first note that  $\text{coker}$  preserves the units since the cokernel of  $\emptyset \rightarrow \mathbb{1}$  is  $\text{Id}_{\mathbb{1}}$ . Next, it is strong monoidal because, given  $f : X_0 \rightarrow X_1$  and  $g : Y_0 \rightarrow Y_1$  we can form the commutative diagram:

$$\begin{array}{ccccc}
 X_1 \otimes Y_1 & \longleftarrow & X_0 \otimes Y_1 & \longrightarrow & * \\
 \uparrow & & \uparrow & & \parallel \\
 X_1 \otimes Y_0 & \longleftarrow & X_0 \otimes Y_0 & \longrightarrow & * \\
 \parallel & & \downarrow & & \parallel \\
 X_1 \otimes Y_0 & \xlongequal{\quad} & X_1 \otimes Y_0 & \longrightarrow & *
 \end{array}$$

Vertical pushouts yield a span whose pushout is  $\text{coker}(f \square g)$ . Horizontal pushouts yield a span whose pushout is  $\text{coker } f \otimes \text{coker } g$ . Since pushouts commute, we obtain the natural isomorphism

$$(2.4.4) \quad (\text{coker } f) \otimes (\text{coker } g) \xrightarrow[\cong]{\text{coker}_{f,g}^2} \text{coker}(f \square g).$$

We take this isomorphism as the  $(f, g)$  component of the monoidal constraint for  $\text{coker}$ . Using similar reasoning and the universal property of pushouts, one can show that the symmetric monoidal coherence diagrams commute.

For the statement that  $\text{coker}$  is left adjoint to  $\text{ker}$ , note that a morphism  $\alpha$  from  $\text{coker } f$  to  $g$  is given by the diagram:

$$\begin{array}{ccccc}
 X_0 & \xrightarrow{f} & X_1 & \xrightarrow{\alpha_0} & Y_0 \\
 \downarrow & & \downarrow \text{coker } f & & \downarrow g \\
 * & \longrightarrow & Z & \xrightarrow{\alpha_1} & Y_1
 \end{array}$$

These data are equivalent to a morphism from  $f$  to  $\text{ker } g$ , since  $A$  is a pullback and  $Z$  is a pushout:

$$\begin{array}{ccccc}
 X_0 & \longrightarrow & A & \longrightarrow & * \\
 f \downarrow & & \downarrow \text{ker } g & & \downarrow \\
 X_1 & \xrightarrow{\alpha_0} & Y_0 & \xrightarrow{g} & Y_1
 \end{array}$$

For (2), first note that  $\ker: \vec{M}^\otimes \rightarrow \vec{M}^\square$  preserves the monoidal units because the kernel of  $\text{Id}: \mathbb{1} \rightarrow \mathbb{1}$  is  $\emptyset \rightarrow \mathbb{1}$ . The monoidal constraint of the kernel at a pair of morphisms  $f$  and  $g$ ,

$$\ker_{f,g}^2: (\ker f) \square (\ker g) \rightarrow \ker(f \otimes g),$$

is adjoint to the following composite, with  $\text{coker}^2$  the monoidal constraint in (2.4.4) and  $\varepsilon: \text{coker} \circ \ker \rightarrow \text{Id}$  the counit of the adjunction:

$$(2.4.5) \quad \begin{array}{c} \text{coker}((\ker f) \square (\ker g)) \\ \text{(coker}^2)^{-1} \downarrow \cong \\ \text{coker}(\ker f) \otimes \text{coker}(\ker g) \xrightarrow{\varepsilon_f \otimes \varepsilon_g} f \otimes g \end{array}$$

The lax symmetric monoidal axioms for the kernel follow from those for the cokernel and the adjunction. The assertion that the adjunction  $(\text{coker}, \ker)$  is monoidal means that its unit and counit are monoidal natural transformations [Mac Lane 1998, XI.2]. To prove this, first note that, by the above description of the adjunction, its unit and counit are the identity morphisms of the monoidal units in  $\vec{M}^\square$  and  $\vec{M}^\otimes$ , respectively.

To prove that the unit  $\eta: \text{Id} \rightarrow \ker \circ \text{coker}$  is a monoidal natural transformation, it remains to show that the following diagram commutes for each pair of morphisms  $f$  and  $g$ :

$$\begin{array}{ccc} f \square g & \xrightarrow{\eta_{f \square g}} & \ker(\text{coker}(f \square g)) \\ \eta_f \square \eta_g \downarrow & & \uparrow \ker(\cong) \\ \ker(\text{coker } f) \square \ker(\text{coker } g) & \xrightarrow{\ker^2} & \ker(\text{coker } f \otimes \text{coker } g) \end{array}$$

This diagram commutes because the adjoint of each composite is the identity morphism of  $\text{coker}(f \square g)$ . For the long composite, this uses the naturality of  $(\text{coker}^2)^{-1}$  and one of the triangle identities for the adjunction  $(\text{coker}, \ker)$  [ibid., IV.1, Theorem 1].

To prove that the counit  $\varepsilon: \text{coker} \circ \ker \rightarrow \text{Id}$  is a monoidal natural transformation, it remains to show that the following diagram commutes:

$$\begin{array}{ccc} \text{coker}(\ker f) \otimes \text{coker}(\ker g) & \xrightarrow{\varepsilon_f \otimes \varepsilon_g} & f \otimes g \\ \text{coker}^2 \downarrow \cong & & \uparrow \varepsilon_f \otimes \varepsilon_g \\ \text{coker}(\ker f \square \ker g) & \xrightarrow{\text{coker}(\ker_{f,g}^2)} & \text{coker}(\ker(f \otimes g)) \end{array}$$

This diagram commutes because, starting from the lower left corner to  $f \otimes g$ , each composite is adjoint to  $\ker_{f,g}^2$ .

For (3), let  $\alpha$  be a (trivial) cofibration and note that  $\text{coker } \alpha$  is the colimit of a morphism of pushout diagrams. That morphism of pushout diagrams is a Reedy (trivial) cofibration. The colimit functor is left Quillen as a functor from the Reedy model structure to the underlying category [Hovey 1999, Section 5.2]. Hence,  $\text{coker } \alpha$  is again a (trivial) cofibration, so  $\text{coker}$  is a left Quillen functor. See Lemma 6.1.8 for an analogous proof.

For (4), we must prove that, if  $f$  is cofibrant in  $\vec{M}^\square$  (so a cofibration of cofibrant objects) and  $g$  is fibrant in  $\vec{M}^\otimes$  (so a fibration of fibrant objects), then  $\alpha: \text{coker } f \rightarrow g$  is a weak equivalence if and only if its adjoint  $\beta: f \rightarrow \ker g$  is a weak equivalence [ibid., 1.3.12]. We display both morphisms:

$$\begin{array}{ccc}
 X_1 & \xrightarrow{\text{coker } f} & Z \\
 \alpha_0 \downarrow & & \downarrow \alpha_1 \\
 Y_0 & \xrightarrow{g} & Y_1
 \end{array}
 \qquad
 \begin{array}{ccc}
 X_0 & \xrightarrow{f} & X_1 \\
 \beta_0 \downarrow & & \downarrow \beta_1 = \alpha_0 \\
 A & \xrightarrow{\ker g} & Y_0
 \end{array}$$

In the homotopy category, these data give rise to fiber and cofiber sequences. Since  $M$  is stable, every fiber sequence is canonically isomorphic to a cofiber sequence [ibid., Chapter 7]. We can extend to the right and realize  $\alpha$  and  $\beta$  as giving a morphism of cofiber sequences in the homotopy category:

$$\begin{array}{ccccccc}
 X_0 & \xrightarrow{f} & X_1 & \xrightarrow{\text{coker } f} & Z & \longrightarrow & \Sigma X_0 \\
 \beta_0 \downarrow & & \downarrow \beta_1 = \alpha_0 & & \downarrow \alpha_1 & & \downarrow \Sigma \beta_0 \\
 A & \xrightarrow{\ker g} & Y_0 & \xrightarrow{g} & Y_1 & \longrightarrow & \Sigma A
 \end{array}$$

If either  $\alpha$  or  $\beta$  is a weak equivalence, then so is the other, by the two-out-of-three property. Hence, coker and ker form a Quillen equivalence. □

**Proposition 2.4.6** *Suppose  $M$  is a cofibrantly generated model category in which the domains and the codomains of all the generating cofibrations and the generating trivial cofibrations are small in  $M$ . Then  $\vec{M}_{\text{inj}}$  and  $\vec{M}_{\text{proj}}$  are both strongly cofibrantly generated model categories.*

**Proof** The generating (trivial) cofibrations in  $\vec{M}_{\text{inj}}$  are the morphisms  $L_1 i$  and the morphisms

$$\begin{array}{ccc}
 A & \xrightarrow{i} & B \\
 i \downarrow & & \parallel \\
 B & \xlongequal{\quad} & B
 \end{array}$$

for  $i \in I$  (resp.  $i \in J$ ) [Hovey 2014, 2.2]. The generating (trivial) cofibrations in  $\vec{M}_{\text{proj}}$  are the morphisms  $L_0 I \cup L_1 I$  (resp.  $L_0 J \cup L_1 J$ ). So the smallness of the domains and codomains of the generating (trivial) cofibrations in  $\vec{M}_{\text{inj}}$  and  $\vec{M}_{\text{proj}}$  follows from our assumption on the domains and the codomains in  $I$  and  $J$ , since a morphism in the arrow category from  $f$  into a transfinite composition is determined by morphisms from  $\text{Ev}_0 f$  and  $\text{Ev}_1 f$  into transfinite compositions in  $M$ . □

### 3 Smith ideals for operads

Suppose  $(M, \otimes, \mathbb{1})$  is a cocomplete symmetric monoidal category in which the monoidal product commutes with colimits on both sides, which is automatically true if  $M$  is a closed symmetric monoidal category.

In this section we define Smith ideals for an arbitrary colored operad  $\mathbb{O}$  in  $M$ . When  $M$  is pointed, we observe in [Theorem 3.4.2](#) that the cokernel and the kernel induce an adjunction between the categories of Smith  $\mathbb{O}$ -ideals and of  $\mathbb{O}$ -algebra morphisms. This will set the stage for the study of the homotopy theory of Smith  $\mathbb{O}$ -ideals in the next several sections.

### 3.1 Operads, algebras and bimodules

The following material on profiles and colored symmetric sequences is from [\[Yau and Johnson 2015\]](#). For colored operads our references are [\[Yau 2016; White and Yau 2018a\]](#).

**Definition 3.1.1** Suppose  $\mathfrak{C}$  is a set, whose elements will be called *colors*.

- (1) A  $\mathfrak{C}$ -profile is a finite, possibly empty sequence  $\underline{c} = (c_1, \dots, c_n)$  with each  $c_i \in \mathfrak{C}$ .
- (2) When permutations act on  $\mathfrak{C}$ -profiles from the left (resp. right), the resulting groupoid is denoted by  $\Sigma_{\mathfrak{C}}$  (resp.  $\Sigma_{\mathfrak{C}}^{\text{op}}$ ).
- (3) The category of  $\mathfrak{C}$ -colored symmetric sequences in  $M$  is the diagram category  $M^{\Sigma_{\mathfrak{C}}^{\text{op}} \times \mathfrak{C}}$ . For a  $\mathfrak{C}$ -colored symmetric sequence  $X$ , we think of  $\Sigma_{\mathfrak{C}}^{\text{op}}$  (resp.  $\mathfrak{C}$ ) as parametrizing the inputs (resp. outputs). For  $(\underline{c}; d) \in \Sigma_{\mathfrak{C}}^{\text{op}} \times \mathfrak{C}$ , the corresponding entry of a  $\mathfrak{C}$ -colored symmetric sequence  $X$  is denoted by  $X(\underline{c})^d$ .
- (4) A  $\mathfrak{C}$ -colored operad  $(\mathbb{O}, \gamma, 1)$  in  $M$  consists of
  - a  $\mathfrak{C}$ -colored symmetric sequence  $\mathbb{O}$  in  $M$ ;
  - a structure morphism  $\gamma: \mathbb{O} \circ \mathbb{O} \rightarrow \mathbb{O}$ , where  $\circ$  is the circle product of  $\mathbb{O}$  in [\[White and Yau 2018a, Definition 3.2.3\]](#), explicitly

$$\mathbb{O}\left(\begin{matrix} d \\ \underline{c} \end{matrix}\right) \otimes \bigotimes_{i=1}^n \mathbb{O}\left(\begin{matrix} c_i \\ \underline{b}_i \end{matrix}\right) \xrightarrow{\gamma} \mathbb{O}\left(\begin{matrix} d \\ \underline{b} \end{matrix}\right)$$

in  $M$  for all  $d \in \mathfrak{C}$ ,  $\underline{c} = (c_1, \dots, c_n) \in \Sigma_{\mathfrak{C}}$  and  $\underline{b}_i \in \Sigma_{\mathfrak{C}}$  for  $1 \leq i \leq n$ , where  $\underline{b} = (\underline{b}_1, \dots, \underline{b}_n)$  is the concatenation of the  $\underline{b}_i$ ; and

- colored units  $1_c: \mathbb{1} \rightarrow \mathbb{O}\left(\begin{matrix} c \\ c \end{matrix}\right)$  for  $c \in \mathfrak{C}$ .

These data are required to satisfy the associativity, unity and equivariant conditions in [\[Yau 2016, Definition 11.2.1\]](#).

- (5) For a  $\mathfrak{C}$ -colored operad  $\mathbb{O}$  in  $M$ , an  $\mathbb{O}$ -algebra  $(A, \lambda)$  consists of
  - objects  $A_c \in M$  for  $c \in \mathfrak{C}$ , and
  - structure morphisms  $\mathbb{O} \circ A \rightarrow A$ , explicitly

$$\mathbb{O}\left(\begin{matrix} d \\ \underline{c} \end{matrix}\right) \otimes A_{c_1} \otimes \dots \otimes A_{c_n} \xrightarrow{\lambda} A_d$$

in  $M$  for all  $d \in \mathfrak{C}$  and  $\underline{c} = (c_1, \dots, c_n) \in \Sigma_{\mathfrak{C}}$ .

These data are required to satisfy the associativity, unity and equivariant conditions in [ibid., Definition 13.2.3]. Morphisms of  $\mathbb{O}$ -algebras are required to preserve the structure morphisms as in [ibid., Definition 13.2.8]. The category of  $\mathbb{O}$ -algebras in  $M$  is denoted by  $\text{Alg}(\mathbb{O}; M)$ . The forgetful functor is denoted by  $U : \text{Alg}(\mathbb{O}; M) \rightarrow M^{\mathfrak{C}}$ .

(6) Suppose  $(A, \lambda)$  is an  $\mathbb{O}$ -algebra for some  $\mathfrak{C}$ -colored operad  $\mathbb{O}$  in  $M$ . An  $A$ -bimodule  $(X, \theta)$  consists of

- objects  $X_c \in M$  for  $c \in \mathfrak{C}$ , and
- structure morphisms

$$\mathbb{O}\left(\begin{smallmatrix} d \\ \underline{c} \end{smallmatrix}\right) \otimes A_{c_1} \otimes \cdots \otimes A_{c_{i-1}} \otimes X_{c_i} \otimes A_{c_{i+1}} \otimes \cdots \otimes A_{c_n} \xrightarrow{\theta} X_d$$

in  $M$  for all  $1 \leq i \leq n$  with  $n \geq 1$ ,  $d \in \mathfrak{C}$  and  $\underline{c} = (c_1, \dots, c_n) \in \Sigma_{\mathfrak{C}}$ .

These data are required to satisfy associativity, unity and equivariant conditions similar to those of an  $\mathbb{O}$ -algebra but with one input entry  $A$  and the output entry replaced by  $X$ . A morphism of  $A$ -bimodules is required to preserve the structure morphisms.

(7) For a  $\mathfrak{C}$ -colored operad  $\mathbb{O}$  in  $M$ , we write

$$(3.1.2) \quad \vec{\mathbb{O}}^{\otimes} = L_0\mathbb{O} \quad \text{and} \quad \vec{\mathbb{O}}^{\square} = L_1\mathbb{O}$$

for the  $\mathfrak{C}$ -colored operads in  $\vec{M}^{\otimes}$  and  $\vec{M}^{\square}$ , respectively, where  $L_0 : M \rightarrow \vec{M}^{\otimes}$  and  $L_1 : M \rightarrow \vec{M}^{\square}$  are the strict monoidal functors in (2.2.4).

As a consequence of (2.4.2) and (3.1.2), we have

$$(3.1.3) \quad \ker \vec{\mathbb{O}}^{\otimes} = \ker(L_0\mathbb{O}) = L_1\mathbb{O} = \vec{\mathbb{O}}^{\square}, \quad \text{coker } \vec{\mathbb{O}}^{\square} = \text{coker}(L_1\mathbb{O}) = L_0\mathbb{O} = \vec{\mathbb{O}}^{\otimes}.$$

**Definition 3.1.4** Suppose, moreover, that  $M$  is a cofibrantly generated model category. We say that  $M$  is *operadically cofibrantly generated* if the domains and codomains of  $I$  (resp.  $J$ ) are small with respect to a class of morphisms containing  $U(\mathbb{O} \circ I)$ -cell (resp.  $U(\mathbb{O} \circ J)$ -cell) for each  $\mathfrak{C}$  and each  $\mathfrak{C}$ -colored operad  $\mathbb{O}$ . More explicitly,  $\mathbb{O} \circ - : M^{\mathfrak{C}} \rightarrow \text{Alg}(\mathbb{O}; M)$  is a left adjoint of the forgetful functor  $U$  [White and Yau 2018a, 4.1.11]. To form  $\mathbb{O} \circ I$  and  $\mathbb{O} \circ J$ , we first embed  $M$  into the  $c$ -colored entry of  $M^{\mathfrak{C}}$  for some  $c \in \mathfrak{C}$ , with  $1_{\emptyset}$  in all other entries, and then apply  $\mathbb{O} \circ -$  to the images of  $I$  and  $J$  in  $M^{\mathfrak{C}}$ . The condition for operadically cofibrantly generated is assumed to hold for each  $c \in \mathfrak{C}$ .

**Example 3.1.5** Every strongly cofibrantly generated model category is operadically cofibrantly generated. The category of compactly generated topological spaces is *not* strongly cofibrantly generated. However, it is operadically cofibrantly generated. Indeed, the domains and codomains of  $I \cup J$  are small relative to inclusions [Hovey 1999, 2.4.1], and the morphisms in  $U(\mathbb{O} \circ I)$ -cell and  $U(\mathbb{O} \circ J)$ -cell are inclusions [White and Yau 2020, 5.10].

### 3.2 Arrow category of operadic algebras

**Definition 3.2.1** For each  $\mathfrak{C}$ -colored operad  $\mathbb{O}$  in  $M$ , the arrow category, in the sense of Definition 2.2.2, of the category  $\text{Alg}(\mathbb{O}; M)$  is denoted by  $\overrightarrow{\text{Alg}}(\mathbb{O}; M)$ .

Explicitly, an object in  $\overrightarrow{\text{Alg}}(\mathbb{O}; M)$  is an  $\mathbb{O}$ -algebra morphism. A morphism in  $\overrightarrow{\text{Alg}}(\mathbb{O}; M)$  is a commutative square in  $\text{Alg}(\mathbb{O}; M)$  as in (2.2.3), with each arrow an  $\mathbb{O}$ -algebra morphism.

**Proposition 3.2.2** Suppose  $\mathbb{O}$  is a  $\mathfrak{C}$ -colored operad in  $M$ . Then  $\text{Alg}(\overrightarrow{\mathbb{O}}^\otimes; \overrightarrow{M}^\otimes)$  is canonically isomorphic to  $\overrightarrow{\text{Alg}}(\mathbb{O}; M)$ .

**Proof** An  $\overrightarrow{\mathbb{O}}^\otimes$ -algebra  $f = \{f_c: X_c \rightarrow Y_c\}$  consists of morphisms  $f_c \in M$  for  $c \in \mathfrak{C}$  and structure morphisms

$$\overrightarrow{\mathbb{O}}^\otimes \left( \begin{smallmatrix} d \\ \underline{c} \end{smallmatrix} \right) \otimes \bigotimes_{i=1}^n f_{c_i} \xrightarrow{\lambda} f_d$$

in  $\overrightarrow{M}^\otimes$  for all  $d \in \mathfrak{C}$  and  $\underline{c} = (c_1, \dots, c_n) \in \Sigma_{\mathfrak{C}}$ . This structure morphism is equivalent to the commutative square

$$\begin{array}{ccc} \mathbb{O} \left( \begin{smallmatrix} d \\ \underline{c} \end{smallmatrix} \right) \otimes \bigotimes_{i=1}^n X_{c_i} & \xrightarrow{\lambda_0} & X_d \\ \text{Id} \otimes \bigotimes f_{c_i} \downarrow & & \downarrow f_d \\ \mathbb{O} \left( \begin{smallmatrix} d \\ \underline{c} \end{smallmatrix} \right) \otimes \bigotimes_{i=1}^n Y_{c_i} & \xrightarrow{\lambda_1} & Y_d \end{array}$$

in  $M$ . The associativity, unity, and equivariance of  $\lambda$  translate into those of  $\lambda_0$  and  $\lambda_1$ , making  $(X, \lambda_0)$  and  $(Y, \lambda_1)$  into  $\mathbb{O}$ -algebras in  $M$ . The commutativity of the previous square means that  $f: (X, \lambda_0) \rightarrow (Y, \lambda_1)$  is a morphism of  $\mathbb{O}$ -algebras. The identification of morphisms in  $\text{Alg}(\overrightarrow{\mathbb{O}}^\otimes; \overrightarrow{M}^\otimes)$  and  $\overrightarrow{\text{Alg}}(\mathbb{O}; M)$  is similar.  $\square$

**Remark 3.2.3** For the associative operad  $\text{As}$ , whose algebras are monoids, the identification of  $\overrightarrow{\text{As}}^\otimes$ -algebras (that is, monoids in  $\overrightarrow{M}^\otimes$ ) with monoid morphisms in  $M$  is [Hovey 2014, 1.5].

### 3.3 Operadic Smith ideals

**Definition 3.3.1** Suppose  $\mathbb{O}$  is a  $\mathfrak{C}$ -colored operad in  $M$ . The category of *Smith  $\mathbb{O}$ -ideals* in  $M$  is defined as the category  $\text{Alg}(\overrightarrow{\mathbb{O}}^\square; \overrightarrow{M}^\square)$ .

Propositions 3.3.3 and 3.3.11 below unpack Definition 3.3.1. They should be compared with Proposition 3.2.2. For objects or morphisms  $A_{c_s}, \dots, A_{c_t}$  with  $s \leq t$ , we use the abbreviation

$$(3.3.2) \quad A_{c_{s,t}} = \bigotimes_{k=s}^t A_{c_k}.$$

**Proposition 3.3.3** Suppose  $\mathbb{O}$  is a  $\mathfrak{C}$ -colored operad in  $M$ . A Smith  $\mathbb{O}$ -ideal in  $M$  consists of precisely

- an  $\mathbb{O}$ -algebra  $(A, \lambda_1)$  in  $M$ ,
- an  $A$ -bimodule  $(X, \lambda_0)$  in  $M$ , and
- an  $A$ -bimodule morphism  $f : (X, \lambda_0) \rightarrow (A, \lambda_1)$

such that, for  $1 \leq i < j \leq n$ , the diagram

$$(3.3.4) \quad \begin{array}{ccc} \mathbb{O}\binom{d}{\underline{c}} \otimes A_{c_{1,i-1}} \otimes X_{c_i} \otimes A_{c_{i+1,j-1}} \otimes X_{c_j} \otimes A_{c_{j+1,n}} & \xrightarrow{\text{Id} \otimes f_{c_j} \otimes \text{Id}} & \mathbb{O}\binom{d}{\underline{c}} \otimes A_{c_{1,i-1}} \otimes X_{c_i} \otimes A_{c_{i+1,n}} \\ \text{Id} \otimes f_{c_i} \otimes \text{Id} \downarrow & & \downarrow \lambda_0^i \\ \mathbb{O}\binom{d}{\underline{c}} \otimes A_{c_{1,j-1}} \otimes X_{c_j} \otimes A_{c_{j+1,n}} & \xrightarrow{\lambda_0^j} & X_d \end{array}$$

in  $M$  is commutative.

**Proof** An  $\vec{\mathbb{O}}^\square$ -algebra  $(f, \lambda)$  in  $\vec{M}^\square$  consists of

- morphisms  $f_c : X_c \rightarrow A_c$  in  $M$  for  $c \in \mathfrak{C}$ , and
- structure morphisms

$$\vec{\mathbb{O}}^\square\binom{d}{\underline{c}} \square f_{c_1} \square \dots \square f_{c_n} \xrightarrow{\lambda} f_d$$

in  $\vec{M}^\square$  for all  $d \in \mathfrak{C}$  and  $\underline{c} = (c_1, \dots, c_n) \in \Sigma_{\mathfrak{C}}$

that are associative, unital and equivariant. Since  $\vec{\mathbb{O}}^\square\binom{d}{\underline{c}}$  is the morphism  $\emptyset \rightarrow \mathbb{O}\binom{d}{\underline{c}}$ , when  $n = 0$ , the structure morphism  $\lambda$  is simply the morphism  $\lambda_1 : \mathbb{O}\binom{d}{\emptyset} \rightarrow A_d$  in  $M$  for  $d \in \mathfrak{C}$ . For  $n \geq 1$ , the structure morphism  $\lambda$  is equivalent to the commutative diagram

$$(3.3.5) \quad \begin{array}{ccc} \mathbb{O}\binom{d}{\underline{c}} \otimes \text{dom}(f_{c_1} \square \dots \square f_{c_n}) & \xrightarrow{\lambda_0} & X_d \\ \text{Id} \otimes f_* \downarrow & & \downarrow f_d \\ \mathbb{O}\binom{d}{\underline{c}} \otimes A_{c_1} \otimes \dots \otimes A_{c_n} & \xrightarrow{\lambda_1} & A_d \end{array}$$

in  $M$ , where  $f_*$  is induced by the morphisms  $f_c$ . The bottom horizontal morphism  $\lambda_1$  in (3.3.5) together with the morphisms  $\lambda_1 : \mathbb{O}\binom{d}{\emptyset} \rightarrow A_d$  for  $d \in \mathfrak{C}$  give  $A$  the structure of an  $\mathbb{O}$ -algebra.

The domain of the iterated pushout product  $f_{c_1} \square \dots \square f_{c_n}$  is the colimit

$$(3.3.6) \quad \text{dom}(f_{c_1} \square \dots \square f_{c_n}) = \text{colim}_{(\epsilon_1, \dots, \epsilon_n)} f_{\epsilon_1} \otimes \dots \otimes f_{\epsilon_n}$$

in which  $(\epsilon_1, \dots, \epsilon_n) \in \{0, 1\}^n \setminus \{(1, \dots, 1)\}$  and  $f_{\epsilon_i} = X_{c_i}$  (resp.  $A_{c_i}$ ) if  $\epsilon_i = 0$  (resp.  $\epsilon_i = 1$ ). The morphisms that define the colimit are given by the  $f_{c_i}$ . For each  $n$ -tuple of indices  $\epsilon = (\epsilon_1, \dots, \epsilon_n) \in \{0, 1\}^n \setminus \{(1, \dots, 1)\}$ , we denote by

$$(3.3.7) \quad f_{\epsilon_1} \otimes \dots \otimes f_{\epsilon_n} \xrightarrow{\iota_\epsilon} \text{dom}(f_{c_1} \square \dots \square f_{c_n})$$



the morphism that comes with the colimit. For each  $i \in \{1, \dots, n\}$ , we denote by

$$\epsilon^i = (1, \dots, 0, \dots, 1) \in \{0, 1\}^n$$

the  $n$ -tuple with 0 in the  $i^{\text{th}}$  entry and 1 in every other entry.

The top horizontal morphism  $\lambda_0$  in (3.3.5) precomposed with  $\text{Id} \otimes \iota_{\epsilon^i}$ , as in

$$(3.3.8) \quad \begin{array}{ccc} \mathbb{O}_{\mathbb{C}}^{(d)} \otimes A_{c_{1,i-1}} \otimes X_{c_i} \otimes A_{c_{i+1,n}} & & \\ \text{Id} \otimes \iota_{\epsilon^i} \downarrow & \searrow \lambda_0^{\epsilon^i} & \\ \mathbb{O}_{\mathbb{C}}^{(d)} \otimes \text{dom}(f_{c_1} \square \dots \square f_{c_n}) & \xrightarrow{\lambda_0} & X_d \end{array}$$

for  $1 \leq i \leq n$ , gives  $X$  the structure of an  $A$ -bimodule. The commutative diagram (3.3.5), precomposed with  $\text{Id} \otimes \iota_{\epsilon^i}$  as in (3.3.8), implies that  $f : (X, \lambda_0) \rightarrow (A, \lambda_1)$  is an  $A$ -bimodule morphism. The morphism  $\lambda_0^i$  in (3.3.4) is  $\lambda_0^{\epsilon^i}$  in (3.3.8).

The diagram (3.3.4) is the boundary of the following diagram, where  $D = \text{dom}(f_{c_1} \square \dots \square f_{c_n})$ :

$$(3.3.9) \quad \begin{array}{ccccc} \mathbb{O}_{\mathbb{C}}^{(d)} \otimes A_{c_{1,i-1}} \otimes X_{c_i} \otimes A_{c_{i+1,j-1}} \otimes X_{c_j} \otimes A_{c_{j+1,n}} & \xrightarrow{\text{Id} \otimes f_{c_j} \otimes \text{Id}} & \mathbb{O}_{\mathbb{C}}^{(d)} \otimes A_{c_{1,i-1}} \otimes X_{c_i} \otimes A_{c_{i+1,n}} & & \\ \downarrow \text{Id} \otimes f_{c_i} \otimes \text{Id} & & \downarrow \text{Id} \otimes \iota_{\epsilon^i} & \swarrow & \downarrow \lambda_0^{\epsilon^i} \\ \mathbb{O}_{\mathbb{C}}^{(d)} \otimes A_{c_{1,j-1}} \otimes X_{c_j} \otimes A_{c_{j+1,n}} & \xrightarrow{\text{Id} \otimes \iota_{\epsilon^j}} & \mathbb{O}_{\mathbb{C}}^{(d)} \otimes D & \xrightarrow{\lambda_0} & X_d \\ & \searrow \lambda_0^{\epsilon^j} & & \swarrow & \\ & & & & \end{array}$$

The upper left quadrilateral is commutative because  $D$  is the colimit in (3.3.6). The other two triangles are commutative by the definition of  $\lambda_0^{\epsilon^i}$  and  $\lambda_0^{\epsilon^j}$  in (3.3.8).

The argument above can be reversed. In particular, to see that the commutative diagram (3.3.4), which is the boundary of (3.3.9), yields the top horizontal morphism  $\lambda_0$  in (3.3.5), observe that the full subcategory of the punctured  $n$ -cube  $\{0, 1\}^n \setminus \{(1, \dots, 1)\}$  consisting of  $(\epsilon_1, \dots, \epsilon_n)$  with at most two 0's is a final subcategory [Mac Lane 1998, IX.3]. Thus, the diagram (3.3.9) ensures that  $\lambda_0$  exists.  $\square$

**Remark 3.3.10** The special case of Proposition 3.3.3 for  $\mathbb{O} = \text{As}$  is [Hovey 2014, 1.7].

**Proposition 3.3.11** In the context of Proposition 3.3.3, a morphism of Smith  $\mathbb{O}$ -ideals

$$((X, \lambda_0) \xrightarrow{f} (A, \lambda_1)) \xrightarrow{h} ((X', \lambda'_0) \xrightarrow{f'} (A', \lambda'_1))$$

consists of precisely

- a morphism  $h^1 : A \rightarrow A'$  of  $\mathbb{O}$ -algebras, and
- a morphism  $h^0 : X \rightarrow X'$  of  $A$ -bimodules, where  $X'$  becomes an  $A$ -bimodule via the restriction along  $h^1$ ,

such that the square

$$(3.3.12) \quad \begin{array}{ccc} X_c & \xrightarrow{h_c^0} & X'_c \\ f_c \downarrow & & \downarrow f'_c \\ A_c & \xrightarrow{h_c^1} & A'_c \end{array}$$

is commutative for each  $c \in \mathcal{C}$ .

**Proof** Following the proof of Proposition 3.3.3, we unravel the given morphism  $h: (f, \lambda) \rightarrow (f', \lambda')$  of  $\vec{\mathcal{O}}^\square$ -algebras. The underlying datum of  $h$  is a morphism  $f \rightarrow f'$  in  $\vec{M}^{\mathcal{C}}$ . Thus,  $h$  consists of, for each  $c \in \mathcal{C}$ , morphisms

$$(3.3.13) \quad h_c^0: X_c \rightarrow X'_c \quad \text{and} \quad h_c^1: A_c \rightarrow A'_c$$

in  $M$  such that the square (3.3.12) commutes.

The compatibility of  $h$  with the  $\vec{\mathcal{O}}^\square$ -algebra structure means the following diagram commutes in  $\vec{M}$  for all  $d, c_1, \dots, c_n \in \mathcal{C}$ :

$$(3.3.14) \quad \begin{array}{ccc} \vec{\mathcal{O}}^\square(d) \square f_{c_1} \square \dots \square f_{c_n} & \xrightarrow{\lambda} & f_d \\ \text{Id} \square h_{c_1} \square \dots \square h_{c_n} \downarrow & & \downarrow h_d \\ \vec{\mathcal{O}}^\square(d) \square f'_{c_1} \square \dots \square f'_{c_n} & \xrightarrow{\lambda'} & f'_d \end{array}$$

If  $n = 0$ , then (3.3.14) is the commutative diagram:

$$(3.3.15) \quad \begin{array}{ccc} \mathcal{O}(\emptyset) & \xrightarrow{\lambda_1} & A_d \\ \parallel & & \downarrow h_d^1 \\ \mathcal{O}(\emptyset) & \xrightarrow{\lambda'_1} & A'_d \end{array}$$

For  $n \geq 1$ , using the abbreviation

$$D = \text{dom}(f_{c_1} \square \dots \square f_{c_n}) \quad \text{and} \quad D' = \text{dom}(f'_{c_1} \square \dots \square f'_{c_n}),$$

the diagram (3.3.14) becomes the commutative cube:

$$(3.3.16) \quad \begin{array}{ccccc} \mathcal{O}(\mathcal{C}) \otimes D & \xrightarrow{\lambda_0} & & X_d & \\ \text{Id} \otimes f_* \downarrow & \searrow \text{Id} \otimes h_* & & \downarrow & \searrow h_d^0 \\ & & \mathcal{O}(\mathcal{C}) \otimes D' & \xrightarrow{\lambda'_0} & X'_d \\ & & \downarrow & \downarrow f_d & \downarrow f'_d \\ \mathcal{O}(\mathcal{C}) \otimes A_{c_{1,n}} & \xrightarrow{\lambda_1} & & A_d & \\ \text{Id} \otimes h_*^1 \searrow & & \downarrow \text{Id} \otimes f'_* & \searrow h_d^1 & \\ & & \mathcal{O}(\mathcal{C}) \otimes A'_{c_{1,n}} & \xrightarrow{\lambda'_1} & A'_d \end{array}$$

The six commutative faces of (3.3.16) are as follows:

- (1) The back face is (3.3.5) for  $(f, \lambda)$ , expressing the  $\vec{\mathcal{O}}^\square$ -algebra structure  $\lambda$  on  $f$ .
- (2) The front face is (3.3.5) for  $(f', \lambda')$ , expressing the  $\vec{\mathcal{O}}^\square$ -algebra structure  $\lambda'$  on  $f'$ .
- (3) The right face is the square (3.3.12) for  $d \in \mathcal{C}$ .
- (4) The bottom face and the  $n = 0$  case (3.3.15) together express the fact that  $h^1: (A, \lambda_1) \rightarrow (A', \lambda'_1)$  is an  $\mathcal{O}$ -algebra morphism.
- (5) The left face imposes no extra condition because  $D$  is the colimit in (3.3.6) and similarly for  $D'$ . In more detail, for each  $n$ -tuple  $(\epsilon_1, \dots, \epsilon_n) \in \{0, 1\}^n \setminus \{(1, \dots, 1)\}$ , the square

$$(3.3.17) \quad \begin{array}{ccc} f_{\epsilon_1} \otimes \cdots \otimes f_{\epsilon_n} & \xrightarrow{h_*} & f'_{\epsilon_1} \otimes \cdots \otimes f'_{\epsilon_n} \\ f_* \downarrow & & \downarrow f'_* \\ A_{c_1} \otimes \cdots \otimes A_{c_n} & \xrightarrow{h^1_*} & A'_{c_1} \otimes \cdots \otimes A'_{c_n} \end{array}$$

is commutative because it is a tensor product of  $n$  commutative squares corresponding to the  $n$  tensor factors of the upper left corner.

- For a tensor factor with  $\epsilon_i = 0$ , by definition  $f_{\epsilon_i} = X_{c_i}$  and  $f'_{\epsilon_i} = X'_{c_i}$ . In this case, we have the commutative square (3.3.12) for  $c_i \in \mathcal{C}$ .
- For a tensor factor with  $\epsilon_i = 1$ , by definition  $f_{\epsilon_i} = A_{c_i}$  and  $f'_{\epsilon_i} = A'_{c_i}$ . Both  $f_*$  and  $f'_*$  are given by the identity in the respective tensor factors, while both  $h_*$  and  $h^1_*$  are given by  $h^1_{c_i}$ .

Precomposing the top face of the commutative cube (3.3.16) with the morphism  $\text{Id} \otimes \iota_{\epsilon^i}$  in (3.3.8) yields the commutative diagram:

$$(3.3.18) \quad \begin{array}{ccc} \mathcal{O}\binom{d}{\underline{c}} \otimes A_{c_{1,i-1}} \otimes X_{c_i} \otimes A_{c_{i+1,n}} & \xrightarrow{\lambda_0^{\epsilon^i}} & X_d \\ \text{Id} \otimes h_{c_i}^0 \otimes \text{Id} \downarrow & & \downarrow h_d^0 \\ \mathcal{O}\binom{d}{\underline{c}} \otimes A_{c_{1,i-1}} \otimes X'_{c_i} \otimes A_{c_{i+1,n}} & & \\ \text{Id} \otimes h_{c_{1,i-1}}^1 \otimes \text{Id} \otimes h_{c_{i+1,n}}^1 \downarrow & & \\ \mathcal{O}\binom{d}{\underline{c}} \otimes A'_{c_{1,i-1}} \otimes X'_{c_i} \otimes A'_{c_{i+1,n}} & \xrightarrow{(\lambda'_0)^{\epsilon^i}} & X'_d \end{array}$$

This commutative diagram expresses the fact that  $h^0: X \rightarrow X'$  is a morphism of  $A$ -bimodules, where  $X'$  becomes an  $A$ -bimodule via the restriction along  $h^1$ .

Finally, we observe that the top face of the cube (3.3.16) is actually equivalent to the commutative diagram (3.3.18). To see this, consider an  $n$ -tuple  $\epsilon = (\epsilon_1, \dots, \epsilon_n) \in \{0, 1\}^n$  with at least two entries equal to 0. Then the morphism  $\iota_\epsilon$  in (3.3.7) factors as follows for each index  $i \in \{1, \dots, n\}$  with  $\epsilon_i = 0$ , and similarly

for  $f'$ :

$$\begin{array}{ccc}
 f_{\epsilon_1} \otimes \cdots \otimes f_{\epsilon_n} & \xrightarrow{\iota_\epsilon} & D \\
 \downarrow f_* & \nearrow \iota_{\epsilon^i} & \\
 A_{c_{1,i-1}} \otimes X_{c_i} \otimes A_{c_{i+1,n}} & & 
 \end{array}$$

Thus, precomposing the top face of (3.3.16) with the morphism  $\text{Id} \otimes \iota_\epsilon$  yields a diagram that factors into two subdiagrams, one of which is (3.3.18). The other subdiagram commutes and imposes no extra condition by the same argument above for (3.3.17).  $\square$

The description of Smith  $\mathbb{O}$ -ideals and their morphisms in Propositions 3.3.3 and 3.3.11 imply the following result:

**Proposition 3.3.19** *Suppose  $\mathbb{O}$  is a  $\mathcal{C}$ -colored operad in  $M$ . Then there exists a  $(\mathcal{C} \sqcup \mathcal{C})$ -colored operad  $\mathbb{O}^s$  in  $M$  such that there is a canonical isomorphism of categories*

$$\text{Alg}(\vec{\mathbb{O}}^\square; \vec{M}^\square) \cong \text{Alg}(\mathbb{O}^s; M).$$

**Proof** Denote the first and the second copies of  $\mathcal{C}$  in  $\mathcal{C} \sqcup \mathcal{C}$  by  $\mathcal{C}^0$  and  $\mathcal{C}^1$ , respectively. For an element  $c \in \mathcal{C}$ , we write  $c^\epsilon \in \mathcal{C}^\epsilon$  for the same element for  $\epsilon \in \{0, 1\}$ . The entries of  $\mathbb{O}^s$  are defined as, for  $d, c_1, \dots, c_n \in \mathcal{C}$  and  $\epsilon_1, \dots, \epsilon_n \in \{0, 1\}$ ,

$$\mathbb{O}^s \left( \begin{array}{c} d^1 \\ c_1^{\epsilon_1}, \dots, c_n^{\epsilon_n} \end{array} \right) = \mathbb{O} \left( \begin{array}{c} d \\ \underline{c} \end{array} \right), \quad \mathbb{O}^s \left( \begin{array}{c} d^0 \\ c_1^{\epsilon_1}, \dots, c_n^{\epsilon_n} \end{array} \right) = \begin{cases} \mathbb{O} \left( \begin{array}{c} d \\ \underline{c} \end{array} \right) & \text{if at least one } \epsilon_i = 0, \\ \emptyset & \text{otherwise.} \end{cases}$$

The operad structure morphisms of  $\mathbb{O}^s$  are either those of  $\mathbb{O}$  or the unique morphism from the initial object  $\emptyset$ .

An  $\mathbb{O}^s$ -algebra in  $M$  consists of, first of all, a  $(\mathcal{C}^0 \sqcup \mathcal{C}^1)$ -colored object in  $M$ , that is, a  $\mathcal{C}^0$ -colored object  $X = \{X_c\}_{c \in \mathcal{C}^0}$  and a  $\mathcal{C}^1$ -colored object  $A = \{A_c\}_{c \in \mathcal{C}^1}$ .

- The  $\mathbb{O}^s$ -algebra structure morphism

$$(3.3.20) \quad \mathbb{O}^s \left( \begin{array}{c} d^1 \\ c_1^1, \dots, c_n^1 \end{array} \right) \otimes A_{c_1} \otimes \cdots \otimes A_{c_n} \xrightarrow{\lambda} A_d$$

corresponds to the  $\mathbb{O}$ -algebra structure morphism  $\lambda_1$  on  $A$  in (3.3.5).

- The  $\mathbb{O}^s$ -algebra structure morphism

$$(3.3.21) \quad \mathbb{O}^s \left( \begin{array}{c} d^0 \\ c_1^1, \dots, c_{i-1}^1, c_i^0, c_{i+1}^1, \dots, c_n^1 \end{array} \right) \otimes A_{c_{1,i-1}} \otimes X_{c_i} \otimes A_{c_{i+1,n}} \xrightarrow{\lambda} X_d$$

corresponds to the  $A$ -bimodule structure morphism  $\lambda_0^{\epsilon^i}$  on  $X$  in (3.3.8).

- The composite

$$(3.3.22) \quad \begin{array}{ccc} X_d & & A_d \\ \cong \downarrow & & \uparrow \lambda \\ \mathbb{1} \otimes X_d & \xrightarrow{1_d \otimes \text{Id}} & \mathbb{O} \binom{d}{d} \otimes X_d = \mathbb{O}^s \binom{d^1}{d^0} \otimes X_d \end{array}$$

corresponds to the morphism  $f_d$  in (3.3.5).

The identification of  $\mathbb{O}^s$ -algebra morphisms and Smith  $\mathbb{O}$ -ideal morphisms follows similarly from Proposition 3.3.11. More explicitly, a morphism  $h$  of  $\mathbb{O}^s$ -algebras consists of a  $(\mathfrak{C}^0 \sqcup \mathfrak{C}^1)$ -colored morphism in  $\mathbb{M}$ . So  $h$  consists of component morphisms  $h_c^0: X_c \rightarrow X'_c$  and  $h_c^1: A_c \rightarrow A'_c$  as in (3.3.13). To see that these component morphisms make the diagram (3.3.12) commute, we use the fact that the components of  $f$  are the composites in (3.3.22) and similarly for  $f'$ . The desired diagram (3.3.12) is the boundary of the diagram:

$$\begin{array}{ccccccc} X_c & \xrightarrow{\cong} & \mathbb{1} \otimes X_c & \xrightarrow{1_c \otimes \text{Id}} & \mathbb{O}^s \binom{c^1}{c^0} \otimes X_c & \xrightarrow{\lambda} & A_c \\ h_c^0 \downarrow & & \text{Id} \otimes h_c^0 \downarrow & & \text{Id} \otimes h_c^0 \downarrow & & \downarrow h_c^1 \\ X'_c & \xrightarrow{\cong} & \mathbb{1} \otimes X'_c & \xrightarrow{1_c \otimes \text{Id}} & \mathbb{O}^s \binom{c^1}{c^0} \otimes X'_c & \xrightarrow{\lambda'} & A'_c \end{array}$$

- The left square commutes by the naturality of the left unit isomorphism in the monoidal category  $\mathbb{M}$ .
- The middle square commutes by the functoriality of  $\otimes$ .
- The right square commutes because  $h$  respects  $\mathbb{O}^s$ -algebra structures.

This shows that the diagram (3.3.12) is commutative.

The other two conditions in Proposition 3.3.11 are the following:

- (i)  $h^1: A \rightarrow A'$  is an  $\mathbb{O}$ -algebra morphism.
- (ii)  $h^0: X \rightarrow X'$  is an  $A$ -bimodule morphism.

Condition (i) consists of the  $n = 0$  case (3.3.15) and the bottom face of the cube (3.3.16). These are obtained from the compatibility of  $h$  with the  $\mathbb{O}^s$ -algebra structure morphism (3.3.20). Condition (ii) is the diagram (3.3.18). This is obtained from the compatibility of  $h$  with the  $\mathbb{O}^s$ -algebra structure morphism (3.3.21).  $\square$

The colored operad  $\mathbb{O}^s$  is somewhat similar to the two-colored operad for monoid morphisms in [Yau 2016, Section 14.3].

### 3.4 Operadic Smith ideals and morphisms of operadic algebras

In Proposition 2.4.3 we observe that, if  $\mathbb{M}$  is a pointed symmetric monoidal category with all small limits and colimits, then there is an adjunction

$$(3.4.1) \quad \vec{\mathbb{M}}^{\square} \begin{array}{c} \xrightarrow{\text{coker}} \\ \xleftarrow{\text{ker}} \end{array} \vec{\mathbb{M}}^{\otimes}$$

with cokernel as the left adjoint and kernel as the right adjoint. Since cokernel is a strictly unital strong symmetric monoidal functor, the kernel is a strictly unital lax symmetric monoidal functor, and the adjunction is monoidal. If  $M$  is a pointed model category, then  $(\text{coker}, \text{ker})$  is a Quillen adjunction. If  $M$  is a stable model category, then  $(\text{coker}, \text{ker})$  is a Quillen equivalence.

**Theorem 3.4.2** *Suppose  $M$  is a complete and cocomplete symmetric monoidal pointed category in which the monoidal product commutes with colimits on both sides. Suppose  $\mathcal{O}$  is a  $\mathcal{C}$ -colored operad in  $M$ . Then the adjunction (3.4.1) induces an adjunction*

$$(3.4.3) \quad \text{Alg}(\vec{\mathcal{O}}^\square; \vec{M}^\square) \begin{matrix} \xleftarrow{\text{coker}} \\ \xrightarrow{\text{ker}} \end{matrix} \text{Alg}(\vec{\mathcal{O}}^\otimes; \vec{M}^\otimes)$$

in which the left adjoint, the right adjoint, the unit and the counit are defined entrywise.

**Proof** To simplify the notation, in this proof we write  $C = \text{coker}$  and  $K = \text{ker}$ . First we lift the functors  $C$  and  $K$ . Then we lift the unit and the counit for the adjunction.

**Step 1: lifting the kernel and the cokernel to algebra categories** The functors in (3.4.1) lifts entrywise to the functors in (3.4.3) for the following reasons:

- The functor

$$\text{Alg}(\vec{\mathcal{O}}^\square; \vec{M}^\square) \xleftarrow{K} \text{Alg}(\vec{\mathcal{O}}^\otimes; \vec{M}^\otimes)$$

exists because  $K: \vec{M}^\otimes \rightarrow \vec{M}^\square$  is a lax symmetric monoidal functor and  $K\vec{\mathcal{O}}^\otimes = \vec{\mathcal{O}}^\square$  by (3.1.3).

- The functor

$$\text{Alg}(\vec{\mathcal{O}}^\square; \vec{M}^\square) \xrightarrow{C} \text{Alg}(\vec{\mathcal{O}}^\otimes; \vec{M}^\otimes)$$

exists because  $C: \vec{M}^\square \rightarrow \vec{M}^\otimes$  is a strong symmetric monoidal functor and  $C\vec{\mathcal{O}}^\square = \vec{\mathcal{O}}^\otimes$  by (3.1.3).

More explicitly, suppose  $(f, \lambda)$  is an  $\vec{\mathcal{O}}^\square$ -algebra as in Proposition 3.3.3. Then  $Cf$  becomes an  $\vec{\mathcal{O}}^\otimes$ -algebra with structure morphism  $\lambda^\#$  given by the following composite for all  $d, c_1, \dots, c_n \in \mathcal{C}$ , with  $C^2 = \text{coker}^2$  the monoidal constraint of the cokernel in (2.4.4):

$$(3.4.4) \quad \begin{array}{ccc} \vec{\mathcal{O}}^\otimes(d_c) \otimes \bigotimes_{i=1}^n C f_{c_i} & \xrightarrow{\lambda^\#} & C f_d \\ \parallel & & \uparrow c\lambda \\ C\vec{\mathcal{O}}^\square(d_c) \otimes \bigotimes_{i=1}^n C f_{c_i} & \xrightarrow{C^2} & C(\vec{\mathcal{O}}^\square(d_c) \square f_{c_1} \square \dots \square f_{c_n}) \end{array}$$

The  $\vec{\mathcal{O}}^\otimes$ -algebra axioms for  $(Cf, \lambda^\#)$  follow from the  $\vec{\mathcal{O}}^\square$ -algebra axiom for  $(f, \lambda)$  and the symmetric monoidal axioms for the cokernel. The same reasoning also applies to the kernel.

Thus, there is a diagram of functors

$$(3.4.5) \quad \begin{array}{ccc} \text{Alg}(\vec{\mathcal{O}}^\square; \vec{M}^\square) & \begin{matrix} \xleftarrow{C} \\ \xrightarrow{K} \end{matrix} & \text{Alg}(\vec{\mathcal{O}}^\otimes; \vec{M}^\otimes) \\ U \downarrow & & \downarrow U \\ (\vec{M}^\square)^{\mathcal{C}} & \begin{matrix} \xleftarrow{C} \\ \xrightarrow{K} \end{matrix} & (\vec{M}^\otimes)^{\mathcal{C}} \end{array}$$

with both  $U$  forgetful functors and

$$UK = KU.$$

To see that this equality holds, suppose  $(f, \lambda)$  is an  $\vec{\mathcal{O}}^\otimes$ -algebra as in the proof of Proposition 3.2.2. As in (3.4.4), the  $\vec{\mathcal{O}}^\square$ -algebra  $K(f, \lambda)$  is given by  $(Kf, \lambda')$ , where the  $\vec{\mathcal{O}}^\square$ -algebra structure morphism  $\lambda'$  is constructed from the monoidal constraint  $K^2$  and  $K\lambda$ . Since each  $U$  forgets the operad algebra structure morphism, we obtain the equalities

$$UK(f, \lambda) = U(Kf, \lambda') = Kf = KU(f, \lambda).$$

The equality  $UK = KU$  holds on  $\vec{\mathcal{O}}^\otimes$ -algebra morphisms because both  $K$  apply entrywise to morphisms, and both  $U$  do not change the morphisms.

Next we show that the unit and the counit,

$$\eta: \text{Id} \rightarrow KC \quad \text{and} \quad \varepsilon: CK \rightarrow \text{Id},$$

of the bottom adjunction  $C \dashv K$  in (3.4.5) lift to the top between algebra categories.

**Step 2: lifting the unit** To show that  $\eta$  defines a natural transformation for the top functors in (3.4.5), first we need to show that, for each  $\vec{\mathcal{O}}^\square$ -algebra  $(f, \lambda)$ , the unit component morphism  $\eta_f: f \rightarrow KCf$  in  $\vec{M}^c$  is an  $\vec{\mathcal{O}}^\square$ -algebra morphism. So we must show that the diagram

$$(3.4.6) \quad \begin{array}{ccc} \vec{\mathcal{O}}^\square(d_c) \square \square_{i=1}^n f_{c_i} & \xrightarrow{\lambda} & f_d \\ \text{Id} \square \square_i \eta_i \downarrow & & \downarrow \eta_{f_d} \\ K\vec{\mathcal{O}}^\otimes(d_c) \square \square_{i=1}^n KCf_{c_i} & & \\ K^2 \downarrow & & \\ K(\vec{\mathcal{O}}^\otimes(d_c) \otimes \otimes_{i=1}^n Cf_{c_i}) & \xrightarrow{K\lambda^\#} & KCf_d \end{array}$$

in  $\vec{M}$  is commutative for  $d, c_1, \dots, c_n \in \mathcal{C}$ , with  $\lambda^\#$  as in (3.4.4),  $\eta_i = \eta_{f_{c_i}}$ ,  $\vec{\mathcal{O}}^\square = K\vec{\mathcal{O}}^\otimes$  by (3.1.3), and  $K^2 = \ker^2$  the monoidal constraint defined in (2.4.5).

To see that (3.4.6) is commutative, we consider the adjoint of each composite, which yields the boundary of the following diagram in  $\vec{M}$ :

$$(3.4.7) \quad \begin{array}{ccc} C(\vec{\mathcal{O}}^\square(d_c) \square \square_{i=1}^n f_{c_i}) & \xrightarrow{C\lambda} & C(f_d) \\ \downarrow C(\text{Id} \square \square_i \eta_i) & \searrow^{(c^2)^{-1}} & \uparrow \lambda^\# \\ C(\vec{\mathcal{O}}^\otimes(d_c) \otimes \otimes_{i=1}^n Cf_{c_i}) & \xlongequal{\quad} & \vec{\mathcal{O}}^\otimes(d_c) \otimes \otimes_{i=1}^n Cf_{c_i} \\ & \searrow^{\text{Id} \otimes \otimes_i C\eta_i} & \nearrow^{\varepsilon_{\vec{\mathcal{O}}^\otimes(d_c)} \otimes \otimes_i \varepsilon_{Cf_{c_i}}} \\ C(K\vec{\mathcal{O}}^\otimes(d_c) \square \square_{i=1}^n KCf_{c_i}) & \xrightarrow{(c^2)^{-1}} & CK\vec{\mathcal{O}}^\otimes(d_c) \otimes \otimes_{i=1}^n CKCf_{c_i} \end{array}$$

The three subregions in (3.4.7) are commutative for the following reasons:

- The left triangle is commutative by naturality of the monoidal constraint  $C^2 = \text{coker}^2$  of the cokernel.
- The upper right region is commutative by the definition of  $\lambda^\#$  in (3.4.4).
- To see that the lower right triangle is commutative, first note that the counit component morphism

$$(3.4.8) \quad \varepsilon_{\vec{\mathcal{O}}^\otimes(d)} : \text{CK}\vec{\mathcal{O}}^\otimes\left(\begin{smallmatrix} d \\ \mathcal{C} \end{smallmatrix}\right) \rightarrow \vec{\mathcal{O}}^\otimes\left(\begin{smallmatrix} d \\ \mathcal{C} \end{smallmatrix}\right)$$

is the identity, since

$$\text{CK}\vec{\mathcal{O}}^\otimes = C\vec{\mathcal{O}}^\square = \vec{\mathcal{O}}^\otimes,$$

by (3.1.3). For each of the other  $n$  tensor factors in the lower right triangle, the composite  $\varepsilon_{Cf_{c_i}} \circ C\eta_i$  is the identity morphism by one of the triangle identities for the adjunction  $C \dashv K$  [Mac Lane 1998, IV.1, Theorem 1].

This proves that  $\eta_f : f \rightarrow \text{KC}f$  is an  $\vec{\mathcal{O}}^\square$ -algebra morphism. Moreover,  $\eta$  is natural with respect to  $\vec{\mathcal{O}}^\square$ -algebra morphisms because a diagram in  $\text{Alg}(\vec{\mathcal{O}}^\square; \vec{M}^\square)$  is commutative if and only if its underlying diagram in  $\vec{M}^\mathcal{C}$  is commutative. Thus, the unit  $\eta : \text{Id} \rightarrow \text{KC}$  is a natural transformation for the top horizontal functors in (3.4.5) between algebra categories.

**Step 3: lifting the counit** Next we show that the counit  $\varepsilon : \text{CK} \rightarrow \text{Id}$  of the bottom adjunction  $C \dashv K$  in (3.4.5) lifts to the top between algebra categories. First we need to show that, for each  $\vec{\mathcal{O}}^\otimes$ -algebra  $(g, \lambda)$ , the counit component morphism  $\varepsilon_g : \text{CK}g \rightarrow g$  in  $\vec{M}^\mathcal{C}$  is an  $\vec{\mathcal{O}}^\otimes$ -algebra morphism. Denote by

$$(\text{K}g, \bar{\lambda}) = \text{K}(g, \lambda)$$

the  $\vec{\mathcal{O}}^\square$ -algebra obtained by applying the top functor  $K$  in (3.4.5). The  $\vec{\mathcal{O}}^\square$ -algebra structure morphism  $\bar{\lambda}$  is the analogue of (3.4.4) for the kernel. In other words, it is the composite

$$(3.4.9) \quad \bar{\lambda} = (\text{K}\lambda) \circ \text{K}^2$$

with  $\text{K}^2 = \text{ker}^2$  the monoidal constraint (2.4.5).

As noted in (3.4.8), each component  $\varepsilon_{\vec{\mathcal{O}}^\otimes(d)}$  is the identity. With  $(-)^\#$  as in (3.4.4),  $\varepsilon_g$  is an  $\vec{\mathcal{O}}^\otimes$ -algebra morphism if and only if the boundary of the diagram

$$(3.4.10) \quad \begin{array}{ccc} \vec{\mathcal{O}}^\otimes\left(\begin{smallmatrix} d \\ \mathcal{C} \end{smallmatrix}\right) \otimes \bigotimes_{i=1}^n \text{CK}g_{c_i} & \xrightarrow{\bar{\lambda}^\#} & \text{CK}g_d \\ \downarrow \text{Id} \otimes \bigotimes_i \varepsilon_i & \searrow \begin{array}{l} C^2 \\ C(\vec{\mathcal{O}}^\square\left(\begin{smallmatrix} d \\ \mathcal{C} \end{smallmatrix}\right) \square \square_{i=1}^n \text{K}g_{c_i}) \end{array} & \nearrow \begin{array}{l} C\bar{\lambda} \\ \text{CK}\lambda \end{array} \\ & & \text{CK}\left(\vec{\mathcal{O}}^\otimes\left(\begin{smallmatrix} d \\ \mathcal{C} \end{smallmatrix}\right) \otimes \bigotimes_{i=1}^n g_{c_i}\right) \\ & \swarrow \varepsilon & \downarrow \varepsilon_{g_d} \\ \vec{\mathcal{O}}^\otimes\left(\begin{smallmatrix} d \\ \mathcal{C} \end{smallmatrix}\right) \otimes \bigotimes_{i=1}^n g_{c_i} & \xrightarrow{\lambda} & g_d \end{array}$$

in  $\vec{M}$  commutes for  $d, c_1, \dots, c_n \in \mathcal{C}$ , with  $\varepsilon_i = \varepsilon_{g_{c_i}}$ ,  $\vec{\mathcal{O}}^\otimes = C\vec{\mathcal{O}}^\square$  and  $\vec{\mathcal{O}}^\square = \text{K}\vec{\mathcal{O}}^\otimes$  by (3.1.3).



The four subregions in (3.4.10) are commutative for the following reasons:

- The top triangle is commutative by the definition of  $(-)^{\#}$  in (3.4.4).
- The triangle to its lower right is commutative by the definition of  $\bar{\lambda}$  in (3.4.9) and the functoriality of  $C$ .
- The lower right quadrilateral is commutative by the naturality of the counit  $\varepsilon: CK \rightarrow \text{Id}$ .

Using the inverse of  $C^2 = \text{coker}^2$ , the left triangle in (3.4.10) is equivalent to the diagram:

$$(3.4.11) \quad \begin{array}{ccc} C(K\vec{\mathcal{O}}^{\otimes}(\frac{d}{c}) \square \square_{i=1}^n Kg_{c_i}) & \xrightarrow{C(K^2)} & CK(\vec{\mathcal{O}}^{\otimes}(\frac{d}{c}) \otimes \otimes_{i=1}^n g_{c_i}) \\ (C^2)^{-1} \downarrow & & \downarrow \varepsilon \\ CK\vec{\mathcal{O}}^{\otimes}(\frac{d}{c}) \otimes \otimes_{i=1}^n CKg_{c_i} & \xrightarrow{\varepsilon \otimes \otimes_i \varepsilon_i} & \vec{\mathcal{O}}^{\otimes}(\frac{d}{c}) \otimes \otimes_{i=1}^n g_{c_i} \end{array}$$

The diagram (3.4.11) is commutative because the adjoint of each composite is  $K^2 = \ker^2$  defined in (2.4.5). This shows that (3.4.10) is commutative, and  $\varepsilon_g$  is an  $\vec{\mathcal{O}}^{\otimes}$ -algebra morphism.

Moreover,  $\varepsilon$  is natural with respect to  $\vec{\mathcal{O}}^{\otimes}$ -algebra morphisms because a diagram in  $\text{Alg}(\vec{\mathcal{O}}^{\otimes}; \vec{M}^{\otimes})$  is commutative if and only if its underlying diagram in  $\vec{M}^c$  is commutative. Thus, the counit  $\varepsilon: CK \rightarrow \text{Id}$  is a natural transformation for the top horizontal functors in (3.4.5) between algebra categories.

Finally, the lifted natural transformations  $\eta$  and  $\varepsilon$  satisfy the triangle identities for an adjunction [Mac Lane 1998, IV.1, Theorem 1] because diagrams in  $\text{Alg}(\vec{\mathcal{O}}^{\square}; \vec{M}^{\square})$  and  $\text{Alg}(\vec{\mathcal{O}}^{\otimes}; \vec{M}^{\otimes})$  are commutative if and only if their underlying diagrams in  $\vec{M}^c$  are commutative. This proves that the top horizontal functors  $(C, K)$  in (3.4.5) form an adjunction with the lifted unit and counit.  $\square$

## 4 Homotopy theory of Smith ideals for operads

In this section, we study the homotopy theory of Smith ideals for an operad  $\mathcal{O}$ . Under suitable conditions on the underlying monoidal model category  $M$ , in Definition 4.2.3 we define model structures on the categories of Smith  $\mathcal{O}$ -ideals and of  $\mathcal{O}$ -algebra morphisms. When  $M$  is pointed, the cokernel and the kernel yield a Quillen adjunction between these model categories. Furthermore, in Theorem 4.4.1 we show that if  $M$  is stable and if cofibrant Smith  $\mathcal{O}$ -ideals are entrywise cofibrant in  $\vec{M}^{\square}$ , then the cokernel and the kernel yield a Quillen equivalence between the categories of Smith  $\mathcal{O}$ -ideals and of  $\mathcal{O}$ -algebra morphisms.

**Definition 4.0.1** We say that a  $\mathcal{C}$ -colored operad  $\mathcal{O}$  is *admissible* if  $\text{Alg}(\mathcal{O}; M)$  admits a transferred model structure, with weak equivalences and fibrations defined entrywise in  $M^c$ .

### 4.1 Admissibility of operads

**Theorem 4.1.1** [White and Yau 2018a, 6.1.1 and 6.1.3] Suppose  $M$  is an operadically cofibrantly generated (Definition 3.1.4) monoidal model category satisfying the following condition:

( $\heartsuit$ ) For each  $n \geq 1$  and for each object  $X \in M^{\Sigma_n^{\text{op}}}$ , the function

$$X \otimes_{\Sigma_n} (-)^{\square^n} : M \rightarrow M$$

takes trivial cofibrations into some subclass of weak equivalences that is closed under transfinite composition and pushout.

Then each  $\mathcal{C}$ -colored operad  $\mathcal{O}$  in  $M$  is admissible in the sense of [Definition 4.0.1](#).

**Example 4.1.2** Strongly cofibrantly generated monoidal model categories that satisfy ( $\heartsuit$ ) include

- (1) pointed or unpointed simplicial sets [[Quillen 1967](#)] and all of their left Bousfield localizations [[Hirschhorn 2003](#)];
- (2) bounded or unbounded chain complexes over a commutative ring containing the rationals  $\mathbb{Q}$  [[Quillen 1967](#)];
- (3) symmetric spectra built on either simplicial sets or compactly generated topological spaces, motivic symmetric spectra, and  $G$ -equivariant symmetric spectra with either the positive stable model structure or the positive flat stable model structure [[Pavlov and Scholbach 2018](#)];
- (4) the category of small categories with the folk model structure [[Rezk 2000](#)];
- (5) simplicial modules over a field of characteristic zero [[Quillen 1967](#)];
- (6) the stable module category of  $k[G]$ -modules [[Hovey 1999](#), 2.2], where  $k$  is a field and  $G$  is a finite group (we recall that the homotopy category of this example is trivial unless the characteristic of  $k$  divides the order of  $G$ s, the setting for *modular* representation theory).

The condition ( $\heartsuit$ ) for (1)–(2) is proved in [[White and Yau 2018a](#), Section 8], which also handles symmetric spectra built on simplicial sets, and (4)–(5) can be proved using similar arguments. The condition ( $\heartsuit$ ) for the stable module category is proved by the argument in [[White and Yau 2020](#), 12.2]. For symmetric spectra built on topological spaces, motivic symmetric spectra and equivariant symmetric spectra, we refer to [[Pavlov and Scholbach 2018](#), Section 2], starting with  $\mathcal{C} = \text{Top}, \text{sSet}^G, \text{Top}^G$ , and the  $\mathbb{A}^1$ -localization of simplicial presheaves with the injective model structure.

In each of these examples except those built from  $\text{Top}$ , the domains and the codomains of the generating (trivial) cofibrations are small with respect to the entire category. So [Proposition 2.4.6](#) applies to show that, in each case, the arrow category with either the injective or the projective model structure is strongly cofibrantly generated. The category of (equivariant) symmetric spectra built on topological spaces is operadically cofibrantly generated by an argument analogous to that of [Example 3.1.5](#), as are the arrow categories, by the remark below.

**Remark 4.1.3** In [[White and Yau 2018a](#), 6.1.1 and 6.1.3],  $M$  is assumed to be strongly cofibrantly generated, but actually operadically cofibrantly generated suffices for the proof. The smallness hypothesis

is required in order to run the small object argument, and  $\mathbb{C} \circ I$  (resp.  $\mathbb{C} \circ J$ ) are the generating (trivial) cofibrations. We have previously pointed out that *operadically cofibrantly generated* is a sufficient smallness hypothesis in [White and Yau 2020, 5.7]. The proof of Proposition 2.4.6 also proves that, if  $M$  is operadically cofibrantly generated, then so are  $\vec{M}^\square$  and  $\vec{M}^\otimes$ .

Even if  $(\heartsuit)$  is not satisfied, sometimes the classes of morphisms defined in Theorem 4.1.1 in  $\text{Alg}(\mathbb{C}; M)$  define a semi-model structure [White and Yau 2018a, 6.2.3 and 6.3.1]. We therefore phrase our arguments in this section to only rely on the semi-model category axioms in categories of algebras. In Section 7, we include a comparison to the  $\infty$ -categorical approach to encoding the homotopy theory of operad algebras.

### 4.2 Admissibility of operads in the arrow category

Recall the injective model structure on the arrow category, which is a monoidal model category if  $M$  is, by Theorem 2.3.1.

**Theorem 4.2.1** *If  $M$  is a monoidal model category satisfying  $(\heartsuit)$ , then so is  $\vec{M}^\otimes$ . Therefore, if  $M$  is also cofibrantly generated in which the domains and the codomains of all the generating (trivial) cofibrations are small in  $M$ , then every  $\mathcal{C}$ -colored operad on  $\vec{M}_{\text{inj}}^\otimes$  is admissible.*

**Proof** Suppose  $M$  satisfies  $(\heartsuit)$  with respect to a subclass  $\mathcal{C}$  of weak equivalences that is closed under transfinite composition and pushout. We write  $\mathcal{C}'$  for the subclass of weak equivalences  $\beta$  in  $\vec{M}_{\text{inj}}^\otimes$  such that  $\beta_0, \beta_1 \in \mathcal{C}$ . Then  $\mathcal{C}'$  is closed under transfinite composition and pushout.

Suppose  $f_X : X_0 \rightarrow X_1$  is an object in  $(\vec{M}^\otimes)^{\Sigma_n^{\text{op}}}$  and  $\alpha : f_V \rightarrow f_W$ ,

$$(4.2.2) \quad \begin{array}{ccc} V_0 & \xrightarrow{\alpha_0} & W_0 \\ f_V \downarrow & & \downarrow f_W \\ V_1 & \xrightarrow{\alpha_1} & W \end{array}$$

is a trivial cofibration in  $\vec{M}^\otimes$ . We will show that  $f_X \otimes_{\Sigma_n} \alpha^{\square n}$  belongs to  $\mathcal{C}'$ . The morphism  $f_X \otimes_{\Sigma_n} \alpha^{\square n}$  in  $\vec{M}^\otimes$  is the commutative square

$$\begin{array}{ccc} X_0 \otimes_{\Sigma_n} \text{dom}(\alpha_0^{\square n}) & \xrightarrow{X_0 \otimes_{\Sigma_n} \alpha_0^{\square n}} & X_0 \otimes_{\Sigma_n} W_0^{\otimes n} \\ f_X \otimes_{\Sigma_n} f_* \downarrow & & \downarrow f_X \otimes_{\Sigma_n} f_W^{\otimes n} \\ X_1 \otimes_{\Sigma_n} \text{dom}(\alpha_1^{\square n}) & \xrightarrow{X_1 \otimes_{\Sigma_n} \alpha_1^{\square n}} & X_1 \otimes_{\Sigma_n} W_1^{\otimes n} \end{array}$$

in  $M$ , where  $f_*$  is induced by  $f_V$  and  $f_W$ . Since  $\alpha_0$  and  $\alpha_1$  are trivial cofibrations in  $M$  and since  $X_0, X_1 \in M^{\Sigma_n^{\text{op}}}$ , the condition  $(\heartsuit)$  in  $M$  implies that the two horizontal morphisms in the previous diagram are both in  $\mathcal{C}$ . This shows that  $\vec{M}_{\text{inj}}^\otimes$  satisfies  $(\heartsuit)$  with respect to the subclass  $\mathcal{C}'$  of weak equivalences.

The second assertion is now a consequence of Proposition 2.4.6, Example 3.1.5 and Theorem 4.1.1.  $\square$

**Definition 4.2.3** Suppose  $M$  is a cofibrantly generated monoidal model category satisfying  $(\heartsuit)$  in which the domains and the codomains of the generating (trivial) cofibrations are small with respect to the entire category. Suppose  $\mathcal{O}$  is a  $\mathcal{C}$ -colored operad in  $M$ .

- (1) Equip the category of Smith  $\mathcal{O}$ -ideals  $\text{Alg}(\vec{\mathcal{O}}^\square; \vec{M}^\square)$  with the model structure given by [Proposition 3.3.19](#) and [Theorem 4.1.1](#). In other words, a morphism  $\alpha$  of Smith  $\mathcal{O}$ -ideals is a weak equivalence (resp. fibration) if and only if  $\alpha_0$  and  $\alpha_1$  are colorwise weak equivalences (resp. fibrations) in  $M$ .
- (2) Equip the category  $\text{Alg}(\vec{\mathcal{O}}^\otimes; \vec{M}^\otimes)$  with the model structure given by [Theorem 4.2.1](#). In other words, a morphism  $\alpha$  in  $\text{Alg}(\vec{\mathcal{O}}^\otimes; \vec{M}^\otimes)$  is a weak equivalence (resp. fibration) if and only if  $\alpha^c$  (= the  $c$ -colored entry of  $\alpha$ ) is a weak equivalence (resp. fibration) in  $\vec{M}_{\text{inj}}^\otimes$  for each  $c \in \mathcal{C}$ .

When  $(\heartsuit)$  is not satisfied but the classes of morphisms above still define semi-model structures (eg [Remark 5.1.6](#), [Corollary 5.2.3](#) and [Theorem 6.2.1](#)), we still denote those semi-model structures by  $\text{Alg}(\vec{\mathcal{O}}^\square; \vec{M}^\square)$  and  $\text{Alg}(\vec{\mathcal{O}}^\otimes; \vec{M}^\otimes)$ .

**Remark 4.2.4** Recall diagram [\(3.4.5\)](#). In [Definition 4.2.3](#) the (semi-)model structure on Smith  $\mathcal{O}$ -ideals is induced by the forgetful functor to  $M^{\mathcal{C}\sqcup\mathcal{C}}$ , so its weak equivalences and fibrations are defined entrywise in  $M$ , or equivalently in  $\vec{M}^\square$ . On the other hand, the model structure on  $\mathcal{O}$ -algebra morphisms  $\text{Alg}(\vec{\mathcal{O}}^\otimes; \vec{M}^\otimes)$  is induced by the forgetful functor to  $(\vec{M}_{\text{inj}}^\otimes)^\mathcal{C}$ . The (trivial) fibrations in  $\text{Alg}(\vec{\mathcal{O}}^\otimes; \vec{M}^\otimes)$  are, in particular, entrywise (trivial) fibrations in  $M$ . However, they are *not* defined entrywise in  $M$ , since (trivial) fibrations in  $\vec{M}_{\text{inj}}^\otimes$  are not defined entrywise in  $M$ , as explained in [Theorem 2.3.1](#).

Suppose  $K \subseteq M$  is a subclass of morphisms in a category  $M$  with a chosen initial object and  $\mathcal{C}$  is a set with  $c \in \mathcal{C}$ . We denote by

$$K_c \subseteq M^c$$

the subclass of morphisms in which the morphisms in  $K$  are concentrated in the  $c$ -entry with all other entries the initial object. The following observation will be used in the proof of [Theorem 6.2.1](#) below:

**Proposition 4.2.5** *In the context of [Definition 4.2.3](#), the (semi-)model structure on Smith  $\mathcal{O}$ -ideals is cofibrantly generated with generating cofibrations  $\vec{\mathcal{O}}^\square \circ (L_0 I \cup L_1 I)_c$  and generating trivial cofibrations  $\vec{\mathcal{O}}^\square \circ (L_0 J \cup L_1 J)_c$  for  $c \in \mathcal{C}$ , where  $I$  and  $J$  are the sets of generating cofibrations and generating trivial cofibrations in  $M$ .*

**Proof** The category  $\text{Alg}(\vec{\mathcal{O}}^\square; \vec{M}^\square)$  already has a (semi-)model structure, namely the one in [Definition 4.2.3\(1\)](#), with weak equivalences and fibrations defined via the forgetful functor  $U$  in the free-forgetful adjunction

$$(\vec{M}_{\text{proj}}^\square)^\mathcal{C} \xrightleftharpoons[U]{\vec{\mathcal{O}}^\square \circ -} \text{Alg}(\vec{\mathcal{O}}^\square; \vec{M}^\square),$$

since the weak equivalences and fibrations in  $\vec{M}_{\text{proj}}^\square$  are defined in  $M$ . To see that  $\text{Alg}(\vec{\mathcal{O}}^\square; \vec{M}^\square)$  has a cofibrantly generated model structure with weak equivalences and fibrations defined entrywise in  $\vec{M}_{\text{proj}}^\square$

and with generating (trivial) cofibrations as stated above, we refer to the computations of [Johnson and Yau 2009, Lemma 3.3], which produces the sets  $I$  and  $J$ , proves the requisite smallness, and proves that fibrations and trivial fibrations are characterized by lifting with respect to  $I$  and  $J$ . Hence, this proof works just as well for semi-model categories. Since a (semi-)model structure is uniquely determined by the classes of weak equivalences and fibrations, this second model structure on  $\text{Alg}(\vec{\mathcal{O}}^\square; \vec{M}^\square)$  must be the same as the one in Definition 4.2.3(1).  $\square$

### 4.3 Quillen adjunction between operadic Smith ideals and algebra morphisms

**Proposition 4.3.1** *Suppose  $M$  is a pointed cofibrantly generated monoidal model category, in which the domains and the codomains of the generating (trivial) cofibrations are small with respect to the entire category. Suppose  $\mathcal{O}$  is a  $\mathcal{C}$ -colored operad in  $M$  such that  $\text{Alg}(\vec{\mathcal{O}}^\square; \vec{M}^\square)$  and  $\text{Alg}(\vec{\mathcal{O}}^\otimes; \vec{M}^\otimes)$  admit transferred semi-model structures as in Definition 4.2.3. Then the adjunction*

$$(4.3.2) \quad \text{Alg}(\vec{\mathcal{O}}^\square; \vec{M}^\square) \begin{matrix} \xleftarrow{\text{coker}} \\ \xrightarrow{\text{ker}} \end{matrix} \text{Alg}(\vec{\mathcal{O}}^\otimes; \vec{M}^\otimes)$$

in (3.4.3) is a Quillen adjunction.

**Proof** Suppose  $\alpha$  is a (trivial) fibration in  $\text{Alg}(\vec{\mathcal{O}}^\otimes; \vec{M}^\otimes)$ . We must show that  $\ker \alpha$  is a (trivial) fibration in  $\text{Alg}(\vec{\mathcal{O}}^\square; \vec{M}^\square)$ , that is, an entrywise (trivial) fibration in  $M$ . Since (trivial) fibrations in  $\vec{M}^\square_{\text{proj}}$  are defined entrywise in  $M$ , it suffices to show that  $U \ker \alpha$  is a (trivial) fibration in  $(\vec{M}^\square_{\text{proj}})^\mathcal{C}$ . Since there is an equality — see (3.4.5) —

$$U \ker \alpha = \ker U\alpha$$

and since  $\ker: (\vec{M}^\otimes_{\text{inj}})^\mathcal{C} \rightarrow (\vec{M}^\square_{\text{proj}})^\mathcal{C}$  is a right Quillen functor by Proposition 2.4.3(3), we finish the proof by observing that  $U\alpha \in (\vec{M}^\otimes_{\text{inj}})^\mathcal{C}$  is a (trivial) fibration.  $\square$

Recall that a pointed (semi-)model category is *stable* if its homotopy category is a triangulated category [Hovey 1999, 7.1.1].

**Proposition 4.3.3** *In the setting of Proposition 4.3.1, suppose  $M$  is also a stable (semi-)model category. Then the right Quillen functor  $\ker$  in (4.3.2) reflects weak equivalences between fibrant objects.*

**Proof** Suppose  $\alpha$  is a morphism in  $\text{Alg}(\vec{\mathcal{O}}^\otimes; \vec{M}^\otimes)$  between fibrant objects such that  $\ker \alpha \in \text{Alg}(\vec{\mathcal{O}}^\square; \vec{M}^\square)$  is a weak equivalence. So  $\ker \alpha$  is entrywise a weak equivalence in  $M$ , or equivalently  $U \ker \alpha \in (\vec{M}^\square_{\text{proj}})^\mathcal{C}$  is a weak equivalence. We must show that  $\alpha$  is a weak equivalence, that is, that  $U\alpha \in (\vec{M}^\otimes_{\text{inj}})^\mathcal{C}$  is a weak equivalence. The morphism  $U\alpha$  is still a morphism between fibrant objects, and

$$\ker U\alpha = U \ker \alpha$$

is a weak equivalence in  $(\vec{M}^\square_{\text{proj}})^\mathcal{C}$ . Since  $\ker: (\vec{M}^\otimes_{\text{inj}})^\mathcal{C} \rightarrow (\vec{M}^\square_{\text{proj}})^\mathcal{C}$  is a right Quillen equivalence by Proposition 2.4.3(4), it reflects weak equivalences between fibrant objects by [Hovey 1999, 1.3.16]. So  $U\alpha$  is a weak equivalence.  $\square$

### 4.4 Quillen equivalence between operadic Smith ideals and algebra morphisms

The following result says that, under suitable conditions, Smith  $\mathbb{O}$ -ideals and  $\mathbb{O}$ -algebra morphisms have equivalent homotopy theories:

**Theorem 4.4.1** *Suppose  $M$  is a cofibrantly generated stable monoidal model category, and  $\text{Alg}(\vec{\mathbb{O}}^\square; \vec{M}^\square)$  and  $\text{Alg}(\vec{\mathbb{O}}^\otimes; \vec{M}^\otimes)$  admit transferred (semi-)model structures as in Definition 4.2.3. Suppose  $\mathbb{O}$  is a  $\mathfrak{C}$ -colored operad in  $M$  such that cofibrant  $\vec{\mathbb{O}}^\square$ -algebras are also underlying cofibrant in  $(\vec{M}^\square_{\text{proj}})^\mathfrak{C}$ . Then the Quillen adjunction*

$$\text{Alg}(\vec{\mathbb{O}}^\square; \vec{M}^\square) \begin{matrix} \xrightarrow{\text{coker}} \\ \xleftarrow{\text{ker}} \end{matrix} \text{Alg}(\vec{\mathbb{O}}^\otimes; \vec{M}^\otimes)$$

is a Quillen equivalence.

**Proof** Using Proposition 4.3.3 and [Hovey 1999, 1.3.16] (or [White 2017, Remark 4.3] for the semi-model category case), it remains to show that for each cofibrant object  $f_X \in \text{Alg}(\vec{\mathbb{O}}^\square; \vec{M}^\square)$ , the derived unit

$$f_X \xrightarrow{\eta} \text{ker } R_{\mathbb{O}} \text{ coker } f_X$$

is a weak equivalence in  $\text{Alg}(\vec{\mathbb{O}}^\square; \vec{M}^\square)$ , where  $R_{\mathbb{O}}$  is a fibrant replacement functor in  $\text{Alg}(\vec{\mathbb{O}}^\otimes; \vec{M}^\otimes)$ . In other words, we must show that  $U\eta$  is a weak equivalence in the model category  $(\vec{M}^\square_{\text{proj}})^\mathfrak{C}$ .

Suppose  $R$  is a fibrant replacement functor in  $(\vec{M}^\otimes_{\text{inj}})^\mathfrak{C}$ . Consider the solid-arrow commutative diagram

$$\begin{array}{ccc} U \text{ coker } f_X & \xrightarrow{\sim} & UR_{\mathbb{O}} \text{ coker } f_X \\ \downarrow \sim & \nearrow \alpha & \downarrow \\ RU \text{ coker } f_X & \xrightarrow{\quad} & 0 \end{array}$$

in  $(\vec{M}^\otimes_{\text{inj}})^\mathfrak{C}$ . Here the left vertical morphism is a trivial cofibration and is a fibrant replacement of  $U \text{ coker } f_X$ . The top horizontal morphism is a weak equivalence and is  $U$  applied to a fibrant replacement of  $\text{coker } f_X$ . The other two morphisms are fibrations. So there is a dotted morphism  $\alpha$  that makes the whole diagram commutative. By the two-out-of-three property,  $\alpha$  is a weak equivalence between fibrant objects in  $(\vec{M}^\otimes_{\text{inj}})^\mathfrak{C}$ . Since  $\text{ker}: (\vec{M}^\otimes_{\text{inj}})^\mathfrak{C} \rightarrow (\vec{M}^\square_{\text{proj}})^\mathfrak{C}$  is a right Quillen functor, by Ken Brown’s lemma [Hovey 1999, 1.1.12]  $\text{ker } \alpha$  is a weak equivalence in  $(\vec{M}^\square_{\text{proj}})^\mathfrak{C}$ .

We now have a commutative diagram

$$\begin{array}{ccc} Uf_X & \xrightarrow{U\eta} & U \text{ker } R_{\mathbb{O}} \text{ coker } f_X \\ \downarrow \varepsilon & & \parallel \\ \text{ker } R \text{ coker } Uf_X & \xlongequal{\quad} & \text{ker } RU \text{ coker } f_X \xrightarrow[\sim]{\text{ker } \alpha} \text{ker } UR_{\mathbb{O}} \text{ coker } f_X \end{array}$$

in  $(\vec{M}^\square_{\text{proj}})^\mathfrak{C}$ , where  $\varepsilon$  is the derived unit of  $Uf_X$ . To show that  $U\eta$  is a weak equivalence, it suffices to show that  $\varepsilon$  is a weak equivalence. By assumption  $Uf_X$  is a cofibrant object in  $(\vec{M}^\square_{\text{proj}})^\mathfrak{C}$ . Since  $(\text{coker}, \text{ker})$

is a Quillen equivalence between  $(\vec{M}_{\text{proj}}^{\square})^{\mathcal{C}}$  and  $(\vec{M}_{\text{inj}}^{\otimes})^{\mathcal{C}}$ , the derived unit  $\varepsilon$  is a weak equivalence by [ibid., 1.3.16].  $\square$

**Example 4.4.2** Among the model categories in Example 4.1.2,

- (1) the categories of bounded or unbounded chain complexes over a semisimple ring that contains the rational numbers,
- (2) the stable module category of  $k[G]$ -modules,
- (3) the categories of symmetric spectra,  $G$ -equivariant symmetric spectra built on simplicial sets for a finite group  $G$  and motivic symmetric spectra, with either the positive or the positive flat stable model structure

satisfy the conclusion of Theorem 4.4.1 for every operad  $\mathcal{O}$ . Admissibility is proven in [White and Yau 2018a, 6.1.1; 2020, 5.15]. Stability is discussed in [Hovey 1999, Chapter 7; White and Yau 2018a, 8.3; Pavlov and Scholbach 2018, Section 2]. All are strongly cofibrantly generated because they are combinatorial model categories [White and Yau 2020, Sections 11 and 12; Pavlov and Scholbach 2018, Section 2]. So all satisfy the conditions of Theorem 4.4.1 except that the condition about cofibrant Smith  $\mathcal{O}$ -ideals being colorwise cofibrant in  $\vec{M}_{\text{proj}}^{\square}$  is more subtle. We will consider this issue in the next two sections, proving this condition for (1) in Corollary 5.2.4 and for (2) in Corollary 6.2.5.

For classical, equivariant or motivic symmetric spectra, we must tweak the proof of Theorem 4.4.1. Let  $(\vec{M}_{\text{proj}}^{\square})^{\mathcal{C}}$  refer to the projective model structure on the arrow category where  $M$  is the *injective* stable model structure on the relevant category of symmetric spectra. Since the weak equivalences of the injective stable model structure coincide with those of the positive (flat) stable model structure, in the last paragraph of the proof, it is enough to prove that  $\epsilon$  is a weak equivalence with respect to the injective stable model structure on spectra. Hence, it suffices for  $Uf_X$  to be a cofibrant object in  $(\vec{M}_{\text{proj}}^{\square})^{\mathcal{C}}$ , which follows from the proof of [White and Yau 2018a, 8.3.3], using our filtrations and the fact that the cofibrations of the injective stable model structure are the monomorphisms.

We note that we cannot add the injective stable model structure on symmetric spectra to the list in Example 4.4.2 because it is not true that every operad is admissible. A famous obstruction due to Gaunce Lewis prevents the Com operad from being admissible, for example.

## 5 Smith ideals for commutative and $\Sigma$ -cofibrant operads

In this section we apply Theorem 4.4.1 and consider Smith ideals for the commutative operad and  $\Sigma_{\mathcal{C}}$ -cofibrant operads (Definition 5.2.1). In particular, in Corollary 5.2.3 we will show that Theorem 4.4.1 is applicable to all  $\Sigma_{\mathcal{C}}$ -cofibrant operads. On the other hand, the commutative operad is usually not  $\Sigma$ -cofibrant. However, as we will see in Example 5.1.3, Theorem 4.4.1 is applicable to the commutative operad in symmetric spectra with the positive flat stable model structure.

### 5.1 Commutative Smith ideals

For the commutative operad, which is entrywise the monoidal unit and whose algebras are commutative monoids, we use the following definition from [White 2017, 3.4]. The notation  $?/\Sigma_n$  means taking the  $\Sigma_n$ -coinvariants.

**Definition 5.1.1** A monoidal model category  $M$  is said to satisfy the *strong commutative monoid axiom* if, whenever  $f : K \rightarrow L$  is a (trivial) cofibration, then so is  $f^{\square n}/\Sigma_n$ , where  $f^{\square n}$  is the  $n$ -fold pushout product (which can be viewed as the unique morphism from the colimit  $Q_n$  of a punctured  $n$ -dimensional cube to  $L^{\otimes n}$ ), and the  $\Sigma_n$ -action is given by permuting the vertices of the cube.

The following result says that, under suitable conditions, commutative Smith ideals and commutative monoid morphisms have equivalent homotopy theories:

**Corollary 5.1.2** Suppose  $M$  is a cofibrantly generated stable monoidal model category that satisfies the strong commutative monoid axiom, the monoid axiom, and in which cofibrant  $\overrightarrow{\text{Com}}^{\square}$ -algebras are also underlying cofibrant in  $\overrightarrow{M}_{\text{proj}}^{\square}$  (this occurs, for example, if the monoidal unit is cofibrant). Then there is a Quillen equivalence

$$\text{Alg}(\overrightarrow{\text{Com}}^{\square}; \overrightarrow{M}^{\square}) \xrightleftharpoons[\text{ker}]{\text{coker}} \text{Alg}(\overrightarrow{\text{Com}}^{\otimes}; \overrightarrow{M}^{\otimes})$$

in which  $\text{Com}$  is the commutative operad in  $M$ .

**Proof** First, [White 2017, 5.12 and 5.14] ensures that  $\overrightarrow{M}^{\otimes}$  and  $\overrightarrow{M}^{\square}$  satisfy the strong commutative monoid axiom, and [Hovey 2014, 2.2 and 3.2] (also Theorems 2.3.1 and 2.4.1) ensures that they satisfy the monoid axiom. Hence, by [White 2017, 3.2],  $\text{Alg}(\overrightarrow{\text{Com}}^{\square}; \overrightarrow{M}^{\square})$  and  $\text{Alg}(\overrightarrow{\text{Com}}^{\otimes}; \overrightarrow{M}^{\otimes})$  carry transferred model structures.

For the commutative operad, it is proved in [ibid., 3.6 and 5.14] that, with the strong commutative monoid axiom and a cofibrant monoidal unit, cofibrant  $\overrightarrow{\text{Com}}^{\square}$ -algebras are also underlying cofibrant in  $\overrightarrow{M}^{\square}$ . So Theorem 4.4.1 applies. □

**Example 5.1.3** (commutative Smith ideals in symmetric spectra) Example 4.4.2 shows that the category of symmetric spectra with the positive flat stable model structure satisfies the hypotheses in Theorem 4.4.1. It also satisfies the strong commutative monoid axiom [ibid., 5.7] and the monoid axiom [Schwede and Shipley 2000]. While the monoidal unit is not cofibrant, nevertheless, White [2017, 5.15] shows that cofibrant commutative Smith ideals forget to cofibrant objects of  $\overrightarrow{M}^{\square}$ . Therefore, Corollary 5.1.2 applies to the commutative operad  $\text{Com}$  in symmetric spectra with the positive flat stable model structure.

**Example 5.1.4** (commutative Smith ideals in algebraic settings) Let  $R$  be a commutative ring containing the ring of rational numbers  $\mathbb{Q}$ . Corollary 5.2.4 shows that the category of (bounded or unbounded) chain complexes of  $R$ -modules satisfies the conditions of Theorem 4.4.1. They also satisfy the strong commutative monoid axiom and the monoid axiom [ibid., Lemma 5.1]. Hence, Corollary 5.1.2 applies, to



give a homotopy theory of ideals of CDGAs. The same is true of the stable module category of  $R = k[G]$ , where  $k$  is a field and  $G$  is a finite group, using [Corollary 6.2.5](#). The result is a homotopy theory of ideals of commutative  $R$ -algebras.

**Example 5.1.5** (commutative Smith ideals in (equivariant) orthogonal/symmetric spectra) Let  $G$  be a compact Lie group. The positive flat stable model structure on  $G$ -equivariant orthogonal spectra satisfies the strong commutative monoid axiom [[ibid.](#), 5.10], the monoid axiom [[White 2022](#), Section 5.8] and the property that cofibrant commutative Smith ideals forget to cofibrant objects of  $\vec{M}^\square$  [[White 2017](#), 5.15]. The same is true for Hausmann’s  $G$ -symmetric spectra built on either simplicial sets or topological spaces for a finite group  $G$  by [[Hausmann 2017](#), 6.4, 6.16 and 6.22], and for Schwede’s positive flat model structure for global equivariant homotopy theory (where commutative monoids are ultracommutative ring spectra) [[Schwede 2018](#), 4.3.28, 5.4.1 and 5.4.3]. Hence, [Corollary 5.1.2](#) applies in all three settings.

Of course, taking  $G$  trivial in [Example 5.1.5](#), one obtains that [Corollary 5.1.2](#) applies to orthogonal spectra with the positive flat stable model structure [[White 2022](#), Section 5.8].

**Remark 5.1.6** If, in [Corollary 5.1.2](#),  $M$  fails to satisfy the monoid axiom, then we still have semi-model structures on  $\text{Alg}(\vec{\text{Com}}^\square; \vec{M}^\square)$  and  $\text{Alg}(\vec{\text{Com}}^\otimes; \vec{M}^\otimes)$  by [[White 2017](#), 3.8]. In this case, [Theorem 4.4.1](#) still applies, as long as cofibrant  $\vec{\text{Com}}^\square$ -algebras are also underlying cofibrant in  $\vec{M}^\square_{\text{proj}}$  (eg if the monoidal unit is cofibrant, by [[ibid.](#), 3.6]).

### 5.2 Smith ideals for $\Sigma$ -cofibrant operads

For a cofibrantly generated model category  $M$  and a small category  $\mathcal{D}$ , recall that the diagram category  $M^{\mathcal{D}}$  inherits a *projective model structure* with weak equivalences and fibrations defined entrywise in  $M$  [[Hirschhorn 2003](#), 11.6.1]. We use this below when  $\mathcal{D} = \Sigma_{\mathcal{C}}^{\text{op}} \times \mathcal{C}$  is the groupoid in [Definition 3.1.1](#). In this case, the category  $M^{\mathcal{D}}$  is the category of  $\mathcal{C}$ -colored symmetric sequences.

**Definition 5.2.1** For a cofibrantly generated model category  $M$ , a  $\mathcal{C}$ -colored operad in  $M$  is said to be  $\Sigma_{\mathcal{C}}$ -cofibrant if its underlying  $\mathcal{C}$ -colored symmetric sequence is cofibrant. If  $\mathcal{C}$  is the one-point set, then we say  $\Sigma$ -cofibrant instead of  $\Sigma_{\{*\}}$ -cofibrant

**Proposition 5.2.2** Suppose  $M$  is a cofibrantly generated model category and  $\mathcal{D}$  is a small category. If  $X \in M^{\mathcal{D}}$  is cofibrant, then  $L_1 X \in (\vec{M}^\square_{\text{proj}})^{\mathcal{D}}$  and  $L_0 X \in (\vec{M}^\otimes_{\text{inj}})^{\mathcal{D}}$  are cofibrant. In particular, if  $\mathcal{O}$  is a  $\Sigma_{\mathcal{C}}$ -cofibrant  $\mathcal{C}$ -colored operad in  $M$ , then  $\vec{\mathcal{O}}^\square = L_1 \mathcal{O}$  is a  $\Sigma_{\mathcal{C}}$ -cofibrant  $\mathcal{C}$ -colored operad in  $\vec{M}^\square_{\text{proj}}$  and  $\vec{\mathcal{O}}^\otimes$  is a  $\Sigma_{\mathcal{C}}$ -cofibrant  $\mathcal{C}$ -colored operad in  $\vec{M}^\otimes$ .

**Proof** The Quillen adjunction  $L_1 : M \rightleftarrows \vec{M}^\square_{\text{proj}} : \text{Ev}_1$  lifts to a Quillen adjunction of  $\mathcal{D}$ -diagram categories

$$M^{\mathcal{D}} \begin{matrix} \xrightarrow{L_1} \\ \xleftarrow{\text{Ev}_1} \end{matrix} (\vec{M}^\square_{\text{proj}})^{\mathcal{D}}$$

by [[ibid.](#), 11.6.5(1)], and similarly for  $(L_0, \text{Ev}_0)$ . If  $X \in M^{\mathcal{D}}$  is cofibrant, then  $L_1 X$  and  $L_0 X$  are cofibrant since  $L_1$  and  $L_0$  are left Quillen functors. □

The following result says that, under suitable conditions, for a  $\Sigma_{\mathcal{C}}$ -cofibrant  $\mathcal{C}$ -colored operad  $\mathcal{O}$ , Smith  $\mathcal{O}$ -ideals and  $\mathcal{O}$ -algebra morphisms have equivalent homotopy theories:

**Corollary 5.2.3** *Suppose  $M$  is as in Theorem 4.4.1 and  $\mathcal{O}$  is a  $\Sigma_{\mathcal{C}}$ -cofibrant  $\mathcal{C}$ -colored operad in  $M$ . Then  $\text{Alg}(\vec{\mathcal{O}}^{\square}; \vec{M}^{\square})$  and  $\text{Alg}(\vec{\mathcal{O}}^{\otimes}; \vec{M}^{\otimes})$  have transferred semi-model structures, where cofibrant  $\vec{\mathcal{O}}^{\square}$ -algebras are also underlying cofibrant in  $(\vec{M}_{\text{proj}}^{\square})^{\mathcal{C}}$ . Hence, there is a Quillen equivalence*

$$\text{Alg}(\vec{\mathcal{O}}^{\square}; \vec{M}^{\square}) \xrightleftharpoons[\text{ker}]{\text{coker}} \text{Alg}(\vec{\mathcal{O}}^{\otimes}; \vec{M}^{\otimes}).$$

**Proof** The arrow categories  $\vec{M}_{\text{proj}}^{\square}$  and  $\vec{M}_{\text{inj}}^{\otimes}$  are cofibrantly generated monoidal model categories by Theorems 2.3.1 and 2.4.1. By Proposition 5.2.2, the  $\mathcal{C}$ -colored operads  $\vec{\mathcal{O}}^{\square}$  in  $\vec{M}_{\text{proj}}^{\square}$  and  $\vec{\mathcal{O}}^{\otimes}$  in  $\vec{M}_{\text{inj}}^{\otimes}$  are  $\Sigma_{\mathcal{C}}$ -cofibrant. Theorem 6.3.1 in [White and Yau 2018a], applied to  $\vec{M}_{\text{proj}}^{\square}$  and  $\vec{M}^{\otimes}$ , now gives the transferred semi-model structures and says that every cofibrant  $\vec{\mathcal{O}}^{\square}$ -algebra is underlying cofibrant in  $(\vec{M}_{\text{proj}}^{\square})^{\mathcal{C}}$ . So Theorem 4.4.1 applies.  $\square$

The following provides one source of applications of Corollary 5.2.3, and answers a question Pavel Safranov asked the first author. This result generalizes [White 2017, Lemma 5.1; White and Yau 2018a, 8.1], as it applies in particular to fields of characteristic zero.

**Corollary 5.2.4** *Suppose  $R$  is a commutative ring with unit and  $M$  is the category of bounded or unbounded chain complexes of  $R$ -modules, with the projective model structure. The following are equivalent:*

- (1)  $R$  is a semisimple ring containing the rational numbers  $\mathbb{Q}$ .
- (2) Every symmetric sequence is projectively cofibrant.

*In particular, for such rings  $R$ , every  $\mathcal{C}$ -colored operad in  $M$  is  $\Sigma_{\mathcal{C}}$ -cofibrant, so Corollary 5.2.3 is applicable for all colored operads in  $M$ . If  $R$  contains  $\mathbb{Q}$  (but is not necessarily semisimple), then every entrywise cofibrant  $\mathcal{C}$ -colored operad in  $M$  is  $\Sigma_{\mathcal{C}}$ -cofibrant and admissible.*

**Proof** Assume (1). Maschke’s theorem [Polcino Milies and Sehgal 2002, 3.4.7] guarantees that each group ring  $R[\Sigma_n]$  is semisimple (since  $1/n!$  exists in  $R$ , making  $n!$  invertible). This means every module  $M$  over  $R[\Sigma_n]$  is projective. In particular,  $M$  is a direct summand of a module induced from the trivial subgroup, and has a free  $\Sigma_n$ -action. Hence, (2) follows.

Conversely, if (2) is true, then it implies that, for every  $n$ , every module in  $R[\Sigma_n]$  is projective. This means each  $R[\Sigma_n]$  is a semisimple ring. By [loc. cit.], this implies that  $R$  is semisimple and  $n!$  is invertible in  $R$  for every  $n$ . It follows that  $\mathbb{Q}$  is contained in  $R$ .

For such  $R$ , the projective model structure on (bounded or unbounded) chain complexes of  $R$ -modules has every object cofibrant (so, automatically, cofibrant operad algebras forget to cofibrant chain complexes). Hence, any  $\mathcal{C}$ -colored operad is entrywise cofibrant and hence  $\Sigma_{\mathcal{C}}$ -cofibrant. Furthermore, Theorem 4.1.1 implies that all operads are admissible, since every  $X \in M^{\Sigma_n^{\text{op}}}$  is  $\Sigma_n$ -projectively cofibrant.

If  $R$  contains  $\mathbb{Q}$  but is not semisimple, then there can be nonprojective  $R$ -modules, but the argument of [loc. cit.] shows that an  $R[\Sigma_n]$ -module that is projective as an  $R$ -module is projective as an  $R[\Sigma_n]$ -module. It follows that Corollary 5.2.3 holds for entrywise cofibrant operads, including the operad  $\text{Com}$ . Indeed, all operads are admissible thanks to Theorem 4.1.1, since, for any trivial cofibration  $f$  and any  $X \in \mathcal{M}^{\Sigma_n^{\text{op}}}$ , maps of the form  $X \otimes_{\Sigma_n} f^{\square n}$  are trivial  $h$ -cofibrations and this class of morphisms is closed under pushout and transfinite composition [White 2022, Section 5.8].  $\square$

**Example 5.2.5** Suppose  $\mathcal{M}$  is as in Theorem 4.4.1, that is, cofibrantly generated, stable, monoidal and with (co)domains of  $I \cup J$  small. Many examples of such  $\mathcal{M}$  are provided in Examples 4.4.2 and 5.2.6 and in [White 2017; 2022; White and Yau 2018a; 2018b; 2019a; 2019b; 2020; Gutiérrez and White 2018; Hovey and White 2020]. Here are some examples of  $\Sigma$ -cofibrant operads, for which Corollary 5.2.3 is applicable:

**Smith ideals** The associative operad  $\text{As}$ , which has  $\text{As}(n) = \coprod_{\Sigma_n} \mathbb{1}$  as the  $n^{\text{th}}$  entry and which has monoids as algebras, is  $\Sigma$ -cofibrant. In this case, Corollary 5.2.3 is [Hovey 2014, Corollary 4.4(1)].

**Smith  $A_\infty$ -ideals** Any  $A_\infty$ -operad, defined as a  $\Sigma$ -cofibrant resolution of  $\text{As}$ , is  $\Sigma$ -cofibrant. In this case, Corollary 5.2.3 says that Smith  $A_\infty$ -ideals and  $A_\infty$ -algebra morphisms have equivalent homotopy theories. For instance, one can take the standard differential graded  $A_\infty$ -operad [Markl 1996] and, for symmetric spectra, the Stasheff associahedra operad [1963a; 1963b].

**Smith  $E_\infty$ -ideals** Any  $E_\infty$ -operad, defined as a  $\Sigma$ -cofibrant resolution of the commutative operad  $\text{Com}$ , is  $\Sigma$ -cofibrant. In this case, Corollary 5.2.3 says that Smith  $E_\infty$ -ideals and  $E_\infty$ -algebra morphisms have equivalent homotopy theories. For example, for symmetric spectra, one can take the Barratt–Eccles  $E_\infty$ -operad  $E\Sigma_*$  [1974]. An elementary discussion of the Barratt–Eccles operad is in [Johnson and Yau 2021, Section 11.4].

**Smith  $E_n$ -ideals** For each  $n \geq 1$ , the little  $n$ -cubes operad  $\mathcal{C}_n$  [Boardman and Vogt 1973; May 1972] is  $\Sigma$ -cofibrant and is an  $E_n$ -operad by definition [Fresse 2017, 4.1.13]. In this case, with  $\mathcal{M}$  being symmetric spectra with the positive (flat) stable model structure, Corollary 5.2.3 says that Smith  $\mathcal{C}_n$ -ideals and  $\mathcal{C}_n$ -algebra morphisms have equivalent homotopy theories. One may also use other  $\Sigma$ -cofibrant  $E_n$ -operads [Fiedorowicz 1998], such as the Fulton–MacPherson operad [Getzler and Jones 1994; Fresse 2017, 4.3], which is actually a cofibrant  $E_n$ -operad. An elementary discussion of a categorical  $E_n$ -operad is in [Johnson and Yau 2021, Chapter 13].

**Example 5.2.6** The power of restricting attention to the class of  $\Sigma_{\mathcal{C}}$ -cofibrant colored operads is that Theorem 4.4.1 holds for a larger class of model categories. In particular, the following model categories satisfy the conditions of Theorem 4.4.1 for the class of  $\Sigma_{\mathcal{C}}$ -cofibrant colored operads, as do all examples listed in Section 5.1:

- (1)  $S$ -modules with the model structure from [Elmendorf et al. 1997].

- (2) The projective, injective, positive or positive flat stable model structures [White 2022, 5.59 and 5.61] on symmetric spectra,  $G$ -equivariant orthogonal spectra (for a compact Lie group  $G$ ) and motivic symmetric spectra.
- (3) Mandell's model structure on  $G$ -equivariant symmetric spectra built on simplicial sets or topological spaces, where  $G$  is a finite group in the former case and a compact Lie group in the latter case [Mandell 2004].
- (4) Model structures for (equivariant) stable homotopy theory based on Lydakis's theory of enriched functors [Dundas et al. 2003]. For example, this includes the model category of  $G$ -enriched functors from finite  $G$ -simplicial sets to  $G$ -simplicial sets, where  $G$  is a finite group, from [ibid., Theorem 2].
- (5) Any model structure  $M$  on symmetric spectra built on  $(\mathcal{C}, G)$  where  $\mathcal{C}$  is a model category and  $G$  is an endofunctor, as long as  $M$  is an operadically cofibrantly generated, monoidal, stable model structure. For example, taking  $\mathcal{C}$  to be the canonical model structure on small categories, and using the suspension discussed in [White and Yau 2020, Section 13], one obtains by [Hovey 2001, 7.3] a combinatorial, stable, monoidal model structure on symmetric spectra of small categories with applications to Goodwillie calculus. Using [Pavlov and Scholbach 2018, Section 2], one may obtain positive and positive flat variants. Another example is taking  $\mathcal{C}$  to be the  $I$ -spaces or  $J$ -spaces of Sagave and Schlichtkrull, and building projective, positive or positive flat spectra on them as in [loc. cit.].
- (6) The projective model structure on bounded or unbounded chain complexes over a commutative ring  $R$  [White and Yau 2020, Section 11].
- (7) The stable module category of  $k[G]$ , where  $G$  is a finite group and  $k$  is a principal ideal domain [ibid., Section 12].

All of these examples are stable monoidal model categories, so Corollary 5.2.3 applies, once the requisite smallness hypothesis for the generating (trivial) cofibrations is checked. Symmetric spectra, motivic symmetric spectra, examples (6) and (7), and Mandell's model (3) of  $G$ -equivariant symmetric spectra built on simplicial sets are all combinatorial, as is the model structure on enriched functors (4) in simplicial contexts. Symmetric spectra as in (5) are combinatorial if  $\mathcal{C}$  is combinatorial.  $S$ -modules,  $G$ -equivariant orthogonal spectra, Mandell's model (3) in topological contexts, and symmetric spectra built on topological spaces (another example of (5)) are operadically cofibrantly generated just as in Example 3.1.5, since they are built from compactly generated spaces. We recall that spaces are small relative to inclusions, and the morphisms in  $(\mathbb{O} \circ (I \cup J))$ -cell are inclusions [ibid., 5.10].

## 6 Smith ideals for entrywise cofibrant operads

In this section we apply Theorem 4.4.1 to operads that are not necessarily  $\Sigma_{\mathcal{C}}$ -cofibrant. To do that, we need to redistribute some of the cofibrancy assumptions — that cofibrant Smith  $\mathbb{O}$ -ideals are underlying

cofibrant in the arrow category — from the colored operad to the underlying category. We will show in [Theorem 6.2.1](#) that [Theorem 4.4.1](#) is applicable to all entrywise cofibrant operads provided that  $M$  satisfies the cofibrancy condition  $(\heartsuit)$  below. This implies that, over the stable module category [[Hovey 1999, 2.2](#)], [Theorem 4.4.1](#) is always applicable.

### 6.1 Cofibrancy assumptions

**Definition 6.1.1** Suppose  $M$  is a cofibrantly generated monoidal model category. Define the following conditions in  $M$ :

- $(\heartsuit)$  For each  $n \geq 1$  and each morphism  $f \in M^{\Sigma_n^{\text{op}}}$  that is an underlying cofibration between cofibrant objects in  $M$ , the function

$$f \square_{\Sigma_n} (-) : M^{\Sigma_n} \rightarrow M$$

takes each morphism in  $M^{\Sigma_n}$  that is an underlying cofibration in  $M$  to a cofibration in  $M$ . More explicitly, this condition asks that, for each morphism  $g \in M^{\Sigma_n}$  that is an underlying cofibration in  $M$ , the morphism

$$f \square_{\Sigma_n} g = (f \square g) / \Sigma_n$$

is a cofibration in  $M$ .

- $(\heartsuit)_{\text{cof}}$  For each  $n \geq 1$  and each object  $X \in M^{\Sigma_n^{\text{op}}}$  that is underlying cofibrant in  $M$ , the function

$$X \otimes_{\Sigma_n} (-)^{\square n} : M \rightarrow M$$

preserves cofibrations.

- $(\heartsuit)_{\text{t,cof}}$  For each  $n \geq 1$  and each object  $X \in M^{\Sigma_n^{\text{op}}}$  that is underlying cofibrant in  $M$ , the function

$$X \otimes_{\Sigma_n} (-)^{\square n} : M \rightarrow M$$

preserves trivial cofibrations.

**Remark 6.1.2** The condition  $(\heartsuit)$  implies  $(\heartsuit)_{\text{cof}}$ , since  $(\emptyset \rightarrow X) \square (-) = X \otimes (-)$ . The condition  $(\heartsuit)_{\text{cof}}$  was introduced in [[White and Yau 2018a, 6.2.1](#)], where the authors proved that, if  $M$  satisfies  $(\heartsuit)_{\text{cof}}$  and  $(\heartsuit)_{\text{t,cof}}$ , then there exist transferred semi-model structures on algebras over entrywise cofibrant (but not necessarily  $\Sigma_{\mathcal{C}}$ -cofibrant) colored operads. It is, therefore, no surprise that we consider  $(\heartsuit)_{\text{cof}}$  and its variant  $(\heartsuit)$  here in order to use [Theorem 4.4.1](#) for operads that are not necessarily  $\Sigma_{\mathcal{C}}$ -cofibrant. Of course,  $(\heartsuit)$  implies  $(\heartsuit)_{\text{t,cof}}$ , so  $(\heartsuit)_{\text{t,cof}}$  holds in all the model categories in [Example 4.1.2](#).

**Proposition 6.1.3** *The condition  $(\heartsuit)$  holds in the categories of*

- (1) *simplicial sets with either the Quillen model structure or the Joyal model structure [[Lurie 2009](#)], where cofibrations are the monomorphisms;*
- (2) *bounded or unbounded chain complexes over a field  $k$  of characteristic zero, where cofibrations are degreewise monomorphisms [[Hovey 1999, 2.3.9](#)] since every monomorphism of  $k$ -modules splits and every chain complex is cofibrant (see [Corollary 5.2.4](#));*

- (3) *small categories with the folk model structure where cofibrations are injective on objects* [Rezk 2000];
- (4) *the stable module category of  $k[G]$ -modules with the characteristic of  $k$  dividing the order of  $G$ , where cofibrations are injections* [Hovey 1999, 2.2.12]; and
- (5) *the injective model structure on symmetric spectra,  $G$ -equivariant symmetric spectra and motivic symmetric spectra, where the cofibrations are the monomorphisms* [Hovey 2001].

**Proof** For simplicial sets with either model structure, a cofibration is precisely an injection, and the pushout product of two injections is again an injection. Dividing an injection by a  $\Sigma_n$ -action is still an injection. The other cases are proved similarly. □

**Proposition 6.1.4** *If  $(\heartsuit)$  holds in  $M$ , then it also holds in any left Bousfield localization of  $M$ .*

**Proof** The condition  $(\heartsuit)$  only refers to cofibrations, which remain the same in any left Bousfield localization. □

The next observation is the key that connects the cofibrancy condition  $(\heartsuit)$  in  $M$  to the arrow category.

**Theorem 6.1.5** *Suppose  $M$  is a cofibrantly generated monoidal model category satisfying  $(\heartsuit)$ . Then the arrow category  $\vec{M}_{\text{proj}}^{\square}$  satisfies  $(\clubsuit)_{\text{cof}}$ .*

**Proof** Suppose  $f_X : X_0 \rightarrow X_1$  is an object in  $(\vec{M}_{\text{proj}}^{\square})^{\Sigma_n^{\text{op}}}$  that is underlying cofibrant in  $\vec{M}^{\square}$ . This means that  $f_X$  is a morphism in  $M^{\Sigma_n^{\text{op}}}$  that is an underlying cofibration between cofibrant objects in  $M$ . The condition  $(\clubsuit)_{\text{cof}}$  for  $\vec{M}_{\text{proj}}^{\square}$  asks that the function

$$f_X \square_{\Sigma_n} (-)^{\square_{2n}} : \vec{M}_{\text{proj}}^{\square} \rightarrow \vec{M}_{\text{proj}}^{\square}$$

preserve cofibrations, where  $\square$  and  $\square_2$  are the pushout products in  $M$  and  $\vec{M}^{\square}$ , respectively. When  $n = 1$  the condition  $(\clubsuit)_{\text{cof}}$  for  $\vec{M}_{\text{proj}}^{\square}$  is a special case of the pushout product axiom in  $\vec{M}^{\square}$ , which is true by [White and Yau 2019a, Theorem A].

Next suppose  $n \geq 2$  and  $\alpha : f_V \rightarrow f_W$  is a morphism in  $\vec{M}$  as in (4.2.2). The iterated pushout product  $\alpha^{\square_{2n}} \in (\vec{M}^{\square})^{\Sigma_n}$  is the commutative square

(6.1.6) 
$$\begin{array}{ccc} Z & \xrightarrow{\zeta_1} & Y_1 \\ \zeta_0 \downarrow & & \downarrow f_W^{\square_n} \\ Y_0 & \xrightarrow{\alpha_1^{\square_n}} & W_1^{\otimes n} \end{array}$$

in  $M^{\Sigma_n}$  for some object  $Z$  with  $\zeta_1 = \text{Ev}_0(\alpha^{\square_{2n}})$ . Note that  $\zeta_1$  is not an iterated pushout product because  $\text{Ev}_0$  and  $\square_2$  do not commute. Applying  $f_X \square_{\Sigma_n} (-)$ , the morphism  $f_X \square_{\Sigma_n} \alpha^{\square_{2n}}$  is the commutative

square

$$(6.1.7) \quad \begin{array}{ccc} [(X_1 \otimes Z) \amalg_{X_0 \otimes Z} (X_0 \otimes Y_0)]_{\Sigma_n} & \xrightarrow{\varphi} & [(X_1 \otimes Y_1) \amalg_{X_0 \otimes Y_1} (X_0 \otimes W_1^{\otimes n})]_{\Sigma_n} \\ f_X \square_{\Sigma_n} \zeta_0 \downarrow & & \downarrow f_X \square_{\Sigma_n} f_W^{\square n} \\ (X_1 \otimes Y_0)_{\Sigma_n} & \xrightarrow{(X_1 \otimes \alpha_1^{\square n})_{\Sigma_n}} & (X_1 \otimes W_1^{\otimes n})_{\Sigma_n} \end{array}$$

in  $M$ . Suppose  $\alpha$  is a cofibration in  $\vec{M}^{\square}$ . This means that the morphism  $\alpha_0: V_0 \rightarrow W_0$  and the pushout corner morphism  $\alpha_1 \otimes f_W: V_1 \amalg_{V_0} W_0 \rightarrow W_1$  are cofibrations in  $M$ . We must show that  $f_X \square_{\Sigma_n} \alpha^{\square 2n}$  is a cofibration in  $\vec{M}^{\square}$ . In other words, we must show that, in (6.1.7):

- (1)  $\varphi = \text{Ev}_0(f_X \square_{\Sigma_n} \alpha^{\square 2n})$  is a cofibration in  $M$ .
- (2) The pushout corner morphism of  $f_X \square_{\Sigma_n} \alpha^{\square 2n}$  is a cofibration in  $M$ .

We will prove (1) and (2) in Lemmas 6.1.8 and 6.1.10, respectively. □

**Lemma 6.1.8** *The morphism  $\varphi$  in (6.1.7) is a cofibration in  $M$ .*

**Proof** Taking  $\Sigma_n$ -coinvariants and taking pushouts commute by the commutation of colimits. So  $\varphi$  is also the induced morphism from the pushout of the top row to the pushout of the bottom row in the commutative diagram

$$(6.1.9) \quad \begin{array}{ccccc} (X_1 \otimes Z)_{\Sigma_n} & \xleftarrow{(f_X \otimes Z)_{\Sigma_n}} & (X_0 \otimes Z)_{\Sigma_n} & \xrightarrow{(X_0 \otimes \zeta_0)_{\Sigma_n}} & (X_0 \otimes Y_0)_{\Sigma_n} \\ (X_1 \otimes \zeta_1)_{\Sigma_n} \downarrow & & (X_0 \otimes \zeta_1)_{\Sigma_n} \downarrow & & \downarrow (X_0 \otimes \alpha_1^{\square n})_{\Sigma_n} \\ (X_1 \otimes Y_1)_{\Sigma_n} & \xleftarrow{(f_X \otimes Y_1)_{\Sigma_n}} & (X_0 \otimes Y_1)_{\Sigma_n} & \xrightarrow{(X_0 \otimes f_W^{\square n})_{\Sigma_n}} & (X_0 \otimes W_1^{\otimes n})_{\Sigma_n} \end{array}$$

in  $M$ . Here the left square is commutative by definition, and the right square is  $X_0 \otimes_{\Sigma_n} (-)$  applied to  $\alpha^{\square 2n}$  in (6.1.6).

We consider the Reedy category  $D$  with three objects  $\{-1, 0, 1\}$ , a morphism  $0 \rightarrow -1$  that lowers the degree, a morphism  $0 \rightarrow 1$  that raises the degree, and no other nonidentity morphisms. Using the Quillen adjunction [Hovey 1999, proof of 5.2.6],

$$M^D \begin{array}{c} \xrightarrow{\text{colim}} \\ \xleftarrow{\text{constant}} \end{array} M,$$

to show that  $\varphi$  is a cofibration in  $M$ , it is enough to show that (6.1.9) is a Reedy cofibration in  $M^D$ . So we must show that, in (6.1.9):

- (1) The left and the middle vertical arrows are cofibrations in  $M$ .
- (2) The pushout corner morphism of the right square is a cofibration in  $M$ .

The objects  $X_0$  and  $X_1$  in  $M^{\Sigma_n^{\text{op}}}$  are cofibrant in  $M$ . The morphism  $\zeta_1 = \text{Ev}_0(\alpha^{\square 2n}) \in M^{\Sigma_n}$  is an underlying cofibration in  $M$ . Indeed, since  $\alpha \in \vec{M}_{\text{proj}}^{\square}$  is a cofibration, so is the iterated pushout product

$\alpha^{\square_{2n}}$  by the pushout product axiom [White and Yau 2019a]. In particular,  $\text{Ev}_0(\alpha^{\square_{2n}})$  is a cofibration in  $M$ . The condition  $(\heartsuit)$  in  $M$  (for the morphism  $\emptyset \rightarrow X_i$ ) now implies that the left and the middle vertical morphisms  $X_i \otimes_{\Sigma_n} \zeta_1$  in (6.1.9) are cofibrations in  $M$ .

Finally, since  $X_0 \in M^{\Sigma_n^{\text{op}}}$  is cofibrant in  $M$  and since the pushout corner morphism of  $\alpha^{\square_{2n}} \in (\vec{M}_{\text{proj}}^{\square})^{\Sigma_n}$  is a cofibration in  $M$ , the condition  $(\heartsuit)$  in  $M$  again implies the pushout corner morphism of the right square  $X_0 \otimes_{\Sigma_n} \alpha^{\square_{2n}}$  in (6.1.9) is a cofibration in  $M$ .  $\square$

**Lemma 6.1.10** *The pushout corner morphism of  $f_X \square_{\Sigma_n} \alpha^{\square_{2n}}$  in (6.1.7) is a cofibration in  $M$ .*

**Proof** The pushout corner morphism of  $f_X \square_{\Sigma_n} \alpha^{\square_{2n}}$  is the morphism  $f_X \square_{\Sigma_n} (\alpha_1^{\square_n} \otimes f_W^{\square_n})$ . This is taking the  $\Sigma_n$ -coinvariants of the pushout product in the diagram

$$\begin{array}{ccc}
 X_0 \otimes (Y_0 \amalg_Z Y_1) & \xrightarrow{\text{Id} \otimes (\alpha_1^{\square_n} \otimes f_W^{\square_n})} & X_0 \otimes W_1^{\otimes n} \\
 f_X \otimes \text{Id} \downarrow & \text{pushout} & \downarrow \\
 X_1 \otimes (Y_0 \amalg_Z Y_1) & \longrightarrow & [X_1 \otimes (Y_0 \amalg_Z Y_1)] \amalg_{[X_0 \otimes (Y_0 \amalg_Z Y_1)]} (X_0 \otimes W_1^{\otimes n}) \\
 & & \searrow f_X \square (\alpha_1^{\square_n} \otimes f_W^{\square_n}) \\
 & & X_1 \otimes W_1^{\otimes n} \\
 & \xrightarrow{\text{Id} \otimes (\alpha_1^{\square_n} \otimes f_W^{\square_n})} & 
 \end{array}$$

in  $M^{\Sigma_n}$  with  $\alpha_1^{\square_n} \otimes f_W^{\square_n}$  the pushout corner morphism of  $\alpha^{\square_{2n}} \in (\vec{M}_{\text{proj}}^{\square})^{\Sigma_n}$  in (6.1.6). Since  $\alpha^{\square_{2n}}$  is a cofibration in  $\vec{M}^{\square}$ , its pushout corner morphism  $\alpha_1^{\square_n} \otimes f_W^{\square_n}$  is a cofibration in  $M$ . So the condition  $(\heartsuit)$  in  $M$  implies that  $f_X \square_{\Sigma_n} (\alpha_1^{\square_n} \otimes f_W^{\square_n})$  is a cofibration in  $M$ .  $\square$

### 6.2 Underlying cofibrancy of cofibrant Smith ideals for entrywise cofibrant operads

**Theorem 6.2.1** *Suppose  $M$  is a cofibrantly generated monoidal model category satisfying  $(\heartsuit)$  and  $(\clubsuit)_{\text{t.cof}}$ , in which the domains and the codomains of the generating (trivial) cofibrations are small with respect to the entire category. Suppose  $\mathcal{O}$  is an entrywise cofibrant  $\mathcal{C}$ -colored operad in  $M$ . Then  $\text{Alg}(\vec{\mathcal{O}}^{\square}; \vec{M}^{\square})$  and  $\text{Alg}(\vec{\mathcal{O}}^{\otimes}; \vec{M}^{\otimes})$  admit transferred semi-model structures, and cofibrant Smith  $\mathcal{O}$ -ideals are underlying cofibrant in  $(\vec{M}_{\text{proj}}^{\square})^{\mathcal{C}}$ . In particular, if  $M$  is also stable, then there is a Quillen equivalence*

$$\text{Alg}(\vec{\mathcal{O}}^{\square}; \vec{M}^{\square}) \xrightleftharpoons[\text{ker}]{\text{coker}} \text{Alg}(\vec{\mathcal{O}}^{\otimes}; \vec{M}^{\otimes}).$$

**Proof** If  $\mathcal{O}$  is entrywise cofibrant in  $M$ , then  $\vec{\mathcal{O}}^{\square} = L_1 \mathcal{O}$  is entrywise cofibrant in  $\vec{M}^{\square}$ , and  $\vec{\mathcal{O}}^{\otimes} = L_0 \mathcal{O}$  is entrywise cofibrant in  $\vec{M}_{\text{inj}}^{\otimes}$  by Proposition 5.2.2. Furthermore, because  $M$  satisfies  $(\clubsuit)_{\text{t.cof}}$ , so does  $\vec{M}^{\otimes}$ , by the exact same proof as in Theorem 4.2.1 (but now  $X_0$  and  $X_1$  are cofibrant in  $M$ , and we appeal to  $(\clubsuit)_{\text{t.cof}}$  in  $M$  instead of  $(\spadesuit)$ ). Thus, we have transferred semi-model structures

- $\text{Alg}(\vec{\mathcal{O}}^{\otimes}; \vec{M}^{\otimes})$  by [White and Yau 2018a, 6.2.3] applied to  $\vec{M}_{\text{inj}}^{\otimes}$ , and
- $\text{Alg}(\vec{\mathcal{O}}^{\square}; \vec{M}^{\square})$  by [loc. cit.] applied to the colored operad  $\mathcal{O}^s$  in  $M$  in Proposition 3.3.19.



Using [Theorem 4.4.1](#), it is enough to prove the assertion that cofibrant Smith  $\mathbb{O}$ -ideals are underlying cofibrant in  $(\vec{M}_{\text{proj}}^\square)^\mathfrak{C}$ . Writing  $\varnothing^{\vec{\mathbb{O}}^\square}$  for the initial  $\vec{\mathbb{O}}^\square$ -algebra, first we claim that  $\varnothing^{\vec{\mathbb{O}}^\square}$  is underlying cofibrant in  $(\vec{M}_{\text{proj}}^\square)^\mathfrak{C}$ . Indeed, for each color  $d \in \mathfrak{C}$ , the  $d$ -colored entry of the initial  $\vec{\mathbb{O}}^\square$ -algebra is the object

$$\varnothing_d^{\vec{\mathbb{O}}^\square} = \vec{\mathbb{O}}^\square\left(\begin{smallmatrix} d \\ \varnothing \end{smallmatrix}\right) = \left(\varnothing^M \rightarrow \mathbb{O}\left(\begin{smallmatrix} d \\ \varnothing \end{smallmatrix}\right)\right)$$

in  $\vec{M}^\square$ , where  $\varnothing^M$  is the initial object in  $M$  and the symbol  $\varnothing$  in  $\left(\begin{smallmatrix} d \\ \varnothing \end{smallmatrix}\right)$  is the empty  $\mathfrak{C}$ -profile. Since  $\mathbb{O}$  is assumed entrywise cofibrant, it follows that each entry of the initial  $\vec{\mathbb{O}}^\square$ -algebra  $\varnothing^{\vec{\mathbb{O}}^\square}$  is underlying cofibrant in  $\vec{M}^\square$ . Indeed, the pushout corner morphism of

$$\begin{array}{ccc} \varnothing^M & \longrightarrow & \varnothing^M \\ \downarrow & & \downarrow \\ \varnothing^M & \longrightarrow & \mathbb{O}\left(\begin{smallmatrix} d \\ \varnothing \end{smallmatrix}\right) \end{array}$$

is the cofibration  $\varnothing^M \rightarrow \mathbb{O}\left(\begin{smallmatrix} d \\ \varnothing \end{smallmatrix}\right)$  in  $M$ , so, by [Theorem 2.4.1\(1\)](#),  $\varnothing_d^{\vec{\mathbb{O}}^\square}$  is cofibrant in  $\vec{M}^\square$ .

By [Proposition 4.2.5](#), the semi-model structure on  $\text{Alg}(\vec{\mathbb{O}}^\square; \vec{M}^\square)$  is right-induced by the forgetful functor  $U$  to  $(\vec{M}_{\text{proj}}^\square)^\mathfrak{C}$  and is cofibrantly generated by  $\vec{\mathbb{O}}^\square \circ (L_0 I \cup L_1 I)_c$  and  $\vec{\mathbb{O}}^\square \circ (L_0 J \cup L_1 J)_c$  for  $c \in \mathfrak{C}$ , where  $I$  and  $J$  are the generating (trivial) cofibrations in  $M$ . Suppose  $A$  is a cofibrant  $\vec{\mathbb{O}}^\square$ -algebra. We must show that  $A$  is underlying cofibrant in  $(\vec{M}_{\text{proj}}^\square)^\mathfrak{C}$ . By [\[Hirschhorn 2003, 11.2.2\]](#), the cofibrant  $\vec{\mathbb{O}}^\square$ -algebra  $A$  is the retract of the colimit of a transfinite composition, starting with  $\varnothing^{\vec{\mathbb{O}}^\square}$ , of pushouts of morphisms in  $\vec{\mathbb{O}}^\square \circ (L_0 I \cup L_1 I)_c$  for  $c \in \mathfrak{C}$ . Since  $\varnothing^{\vec{\mathbb{O}}^\square}$  is underlying cofibrant in  $\vec{M}_{\text{proj}}^\square$  and since the class of cofibrations in a model category, such as  $(\vec{M}_{\text{proj}}^\square)^\mathfrak{C}$ , is closed under transfinite compositions [\[ibid., 10.3.4\]](#), the following lemma will finish the proof.  $\square$

The proof of [Lemma 6.2.3](#) below uses the next definition, from [\[White and Yau 2018a, 4.3.5\]](#):

**Definition 6.2.2** ( $\mathbb{O}_A$  for  $\mathbb{O}$ -algebras) For a  $\mathfrak{C}$ -colored operad  $\mathbb{O}$  in  $M$  and  $A \in \text{Alg}(\mathbb{O}; M)$ , define the  $\mathfrak{C}$ -colored symmetric sequence  $\mathbb{O}_A$  as follows. For  $d \in \mathfrak{C}$  and orbit  $[c] \in \Sigma_\mathfrak{C}$ , define the component

$$\mathbb{O}_A\left(\begin{smallmatrix} d \\ [c] \end{smallmatrix}\right) \in M^{\Sigma_{[c]}^{\text{op}} \times \{d\}}$$

as the reflexive coequalizer of the diagram, in  $M^{\Sigma_{[c]}^{\text{op}} \times \{d\}}$ ,

$$\coprod_{[a] \in \Sigma_\mathfrak{C}} \mathbb{O}\left(\begin{smallmatrix} d \\ [a], [c] \end{smallmatrix}\right) \otimes_{\Sigma_{[a]}} (\mathbb{O} \circ A)_{[a]} \begin{array}{c} \xrightarrow{d_1} \\ \xrightarrow{d_0} \end{array} \coprod_{[a] \in \Sigma_\mathfrak{C}} \mathbb{O}\left(\begin{smallmatrix} d \\ [a], [c] \end{smallmatrix}\right) \otimes_{\Sigma_{[a]}} A_{[a]}.$$

$\longleftarrow^s$

The three arrows in this diagram are as follows:

- $d_0$  is induced by the composition of  $\mathbb{O}$ .
- $d_1$  is induced by the  $\mathbb{O}$ -algebra structure on  $A$ .
- The common section  $s$  is induced by the unit  $A \rightarrow \mathbb{O} \circ A$ .

**Lemma 6.2.3** Under the hypotheses of [Theorem 6.2.1](#), suppose  $\alpha : f \rightarrow g$  is a morphism in  $(L_0 I \cup L_1 I)_c$  for some color  $c \in \mathcal{C}$ , and

$$\begin{array}{ccc} \vec{\mathcal{O}}^\square \circ f & \longrightarrow & B_0 \\ \vec{\mathcal{O}}^\square \circ \alpha \downarrow & & \downarrow j \\ \vec{\mathcal{O}}^\square \circ g & \longrightarrow & B_\infty \end{array}$$

is a pushout in  $\text{Alg}(\vec{\mathcal{O}}^\square; \vec{M}^\square)$  with  $B_0$  cofibrant and  $UB_0 \in (\vec{M}_{\text{proj}}^\square)^\mathcal{C}$  cofibrant. Then  $Uj$  is a cofibration in  $(\vec{M}_{\text{proj}}^\square)^\mathcal{C}$ . In particular,  $B_\infty$  is also cofibrant and  $UB_\infty \in (\vec{M}_{\text{proj}}^\square)^\mathcal{C}$  is cofibrant.

**Proof** By the filtration in [\[White and Yau 2018a, 4.3.16\]](#) and the fact that cofibrations are closed under pushouts, to show that  $Uj \in (\vec{M}_{\text{proj}}^\square)^\mathcal{C}$  is a cofibration, it is enough to show that, for each  $n \geq 1$  and each color  $d \in \mathcal{C}$ , the morphism

(6.2.4) 
$$\vec{\mathcal{O}}_{B_0}^\square \left( \begin{smallmatrix} d \\ nc \end{smallmatrix} \right) \square_{\Sigma_n} \alpha^{\square_{2n}}$$

in  $\vec{M}_{\text{proj}}^\square$  is a cofibration, where  $nc = (c, \dots, c)$  is the  $\mathcal{C}$ -profile with  $n$  copies of the color  $c$ . The object  $\vec{\mathcal{O}}_{B_0}^\square$  is as in [Definition 6.2.2](#) for  $\vec{\mathcal{O}}^\square$  and  $B_0$ , and  $\alpha^{\square_{2n}}$  is the  $n$ -fold pushout product of  $\alpha$ . Recall that  $\vec{M}_{\text{proj}}^\square$  satisfies  $(\heartsuit)_{\text{cof}}$  by [Theorem 6.1.5](#) and that  $\vec{\mathcal{O}}^\square$  is entrywise cofibrant in  $\vec{M}_{\text{proj}}^\square$  because  $\mathcal{O}$  is entrywise cofibrant in  $M$ . The cofibrancy of  $B_0 \in \text{Alg}(\vec{\mathcal{O}}^\square; \vec{M}^\square)$  and [\[ibid., 6.2.4\]](#) applied to  $\vec{\mathcal{O}}^\square$  now imply that  $\vec{\mathcal{O}}_{B_0}^\square$  is entrywise cofibrant in  $\vec{M}^\square$ . By the condition  $(\heartsuit)_{\text{cof}}$  in  $\vec{M}_{\text{proj}}^\square$  once again, we can conclude that the morphism (6.2.4) is a cofibration because  $\alpha$  is a cofibration in  $\vec{M}^\square$ .  $\square$

**Corollary 6.2.5** Suppose  $M$  is the stable module category of  $k[G]$ -modules for some field  $k$  whose characteristic divides the order of  $G$ . Then, for each  $\mathcal{C}$ -colored operad  $\mathcal{O}$  in  $M$ , there is a Quillen equivalence

$$\text{Alg}(\vec{\mathcal{O}}^\square; \vec{M}^\square) \xrightleftharpoons[\text{ker}]{\text{coker}} \text{Alg}(\vec{\mathcal{O}}^\otimes; \vec{M}^\otimes).$$

**Proof** The stable module category is a stable model category that satisfies the hypotheses of [Theorem 6.2.1](#) in which every object is cofibrant [\[Hovey 1999, 2.2.12; White and Yau 2020, Section 12\]](#).  $\square$

There are several more examples where [Theorem 4.4.1](#) likely applies to all entrywise cofibrant operads, but where  $(\heartsuit)$  has not been checked. For example, the positive flat stable model structure on symmetric spectra built on compactly generated spaces have the property that, for any entrywise cofibrant colored operad  $\mathcal{O}$ , cofibrant  $\mathcal{O}$ -algebras forget to cofibrant spectra [\[Pavlov and Scholbach 2018, Section 2\]](#), but the authors do not know a reference proving the same for  $\vec{M}^\square$ .

**Conjecture 6.2.6** The positive flat stable model structure on symmetric spectra built on compactly generated spaces satisfies the conclusion of [Theorem 6.2.1](#).

Similarly, by analogy with the positive flat model structure on symmetric spectra, one would expect the positive flat model structure on  $G$ -equivariant orthogonal spectra to satisfy this property.

**Conjecture 6.2.7** If  $M = GSp_{\mathcal{O}}$  is the positive flat stable model structure on  $G$ -equivariant orthogonal spectra, then it satisfies the property that, if  $\mathbb{C}$  is an entrywise cofibrant  $\mathcal{C}$ -colored operad and  $A$  is a cofibrant  $\mathbb{C}$ -algebra, then  $UA$  is cofibrant in  $M^{\mathcal{C}}$ . Furthermore,  $M$  satisfies the conclusion of [Theorem 6.2.1](#) for any compact Lie group  $G$ .

Recent work of Hill, Hopkins and Ravenel has illustrated that the positive (flat) model structure on  $GSp_{\mathcal{O}}$  is not quite right. One also needs an equifibrancy condition, also known as completeness. There is a positive complete model structure on  $GSp_{\mathcal{O}}$ , and it satisfies the commutative monoid axiom [[Gutiérrez and White 2018](#), Section 5]. However, the authors do not know if a positive, complete, flat variant has been worked out.

**Problem 6.2.8** Let  $G$  be a compact Lie group.

- (1) Work out a positive complete flat stable model structure on  $GSp_{\mathcal{O}}$ .
- (2) Prove that it satisfies the condition that all colored operads are admissible.
- (3) Prove that cofibrant operad algebras forget to cofibrant underlying objects.
- (4) Prove that this model structure satisfies the conclusion of [Theorem 6.2.1](#).

In a related vein, we have the following problem:

**Problem 6.2.9** Let  $M_s$  (resp.  $M_s^+$ ) denote Schwede's global positive (flat) model structure [[2018](#)] and let  $M_h$  (resp.  $M_h^+$ ) denote Hausmann's positive (flat) model structure for  $G$ -symmetric spectra [[2017](#)].

- (1) Prove that all colored operads are admissible in  $M_s, M_s^+, M_h$  and  $M_h^+$ .
- (2) Prove that, if  $\mathbb{C}$  is entrywise cofibrant, then cofibrant  $\mathbb{C}$ -algebras forget to underlying cofibrant objects in  $M_s^+$  and  $M_h^+$  in each color.
- (3) Prove that  $M_s^+$  and  $M_h^+$  satisfy the conclusion of [Theorem 6.2.1](#).

Lastly, injective model structures on various categories of spectra have the property that all objects are cofibrant, so the condition about the forgetful functor preserving cofibrancy is trivial. However, not all operads are admissible. A likely remedy is to develop *positive injective* model structures (by requiring cofibrations to be isomorphisms in level zero), which would automatically be Quillen equivalent to existing stable model structures on spectra, but the authors do not know a reference where this is done.

**Problem 6.2.10** Let  $M$  denote the category of symmetric spectra.

- (1) Prove that the positive injective stable model structure  $M_i^+$  is a monoidal model category.
- (2) Prove that all operads are admissible in  $M_i^+$ . If so, then automatically cofibrant  $\mathbb{C}$ -algebras forget to cofibrant underlying objects.
- (3) Prove that  $M_i^+$  satisfies the conclusion of [Theorem 6.2.1](#).
- (4) Do the same for symmetric spectra valued in a general base model category  $\mathcal{C}$ , where stabilization is with respect to an endofunctor  $G$ .

- (5) Do the same for orthogonal spectra and equivariant orthogonal spectra, possibly restricting to  $\Delta$ -generated spaces, as is done in [White 2022, Section 5.8].
- (6) Produce a model structure on the category of  $S$ -modules, Quillen equivalent to the one in [Elmendorf et al. 1997], with the property that cofibrant commutative ring spectra are underlying cofibrant. Do the same for general entrywise cofibrant colored operads, and prove that the conclusion of Theorem 6.2.1 holds in this setting.

## 7 Semi-model categories and $\infty$ -categories for operad algebras

In this paper, we often transferred model structures, using  $(\heartsuit)$ , or semi-model structures, using Definition 6.1.1 or using  $\Sigma_{\mathcal{C}}$ -cofibrant operads  $\mathbb{O}$ , to categories of  $\mathbb{O}$ -algebras. The language of  $\infty$ -categories could also be used to study the homotopy theory of  $\mathbb{O}$ -algebras. We work in the model of quasicategories, ie everywhere we write  $\infty$ -category we mean quasicategory. The main results of this section, Theorems 7.3.1 and 7.3.3, show that the two approaches—namely, semi-model categories and  $\infty$ -categories—are equivalent in a suitable sense for  $\Sigma_{\mathcal{C}}$ -cofibrant  $\mathcal{C}$ -colored operads that are *not* necessarily admissible.

### 7.1 Preliminaries on $\infty$ -operads

As detailed in [Lurie 2017, 4.5.4.7 and 4.5.4.12], the crucial property needed to compare a model structure on  $\mathbb{O}$ -algebras with the corresponding  $\infty$ -category structure is that the forgetful functor

$$U: \text{Alg}(\mathbb{O}; M) \rightarrow M^{\mathcal{C}}$$

preserves and reflects homotopy sifted colimits, that is,  $N(\mathcal{C})$ -indexed homotopy colimits, where  $\mathcal{C}$  is a small category such that the nerve  $N(\mathcal{C})$  is sifted [Lurie 2009, 5.5.8.1].

Lurie [2017, 4.5.4.12] proves this property for the  $\text{Com}$ -operad and a restrictive class of model categories  $M$ , namely combinatorial and freely powered (4.5.4.2) monoidal model categories. Lurie then deduces [ibid., 4.5.4.7] that the underlying  $\infty$ -category  $N(\text{CAlg}(M)^{\mathcal{C}})[W_{\text{Com}}^{-1}]$  of the model category  $\text{CAlg}(M)$ —where  $(-)^{\mathcal{C}}$  refers to taking cofibrant objects and  $W_{\text{Com}}$  is the class of weak equivalences of  $\text{Com}$ -algebras—is equivalent as an  $\infty$ -category to  $\text{CAlg}(N(M^{\mathcal{C}})[W^{-1}])$ , obtained as the  $\infty$ -category of commutative monoids valued in the symmetric monoidal  $\infty$ -category  $N(M^{\mathcal{C}})[W^{-1}]$  associated to  $M$ . Here  $N(M^{\mathcal{C}})$  denotes the homotopy coherent nerve of the simplicial category  $M^{\mathcal{C}}$ , and the notation  $(-)[W^{-1}]$  refers to the  $\infty$ -categorical meaning of inverting the class  $W$  [ibid., 1.3.4.1]. To be precise, the  $\infty$ -category  $N(M^{\mathcal{C}})[W^{-1}]$  can be constructed via a fibrant replacement of the pair  $(M^{\mathcal{C}}, W)$  in the category of marked simplicial sets [loc. cit.].

Following the model of Lurie’s proof, it is possible to prove that, whenever  $M$  is a simplicial monoidal model category and  $\mathbb{O}$  is an *admissible*  $\Sigma_{\mathcal{C}}$ -cofibrant simplicial colored operad (Theorems 4.1.1 and 5.2.1), then the forgetful functor preserves and reflects homotopy sifted colimits, and the  $\infty$ -category obtained from the model category of  $\mathbb{O}$ -algebras is equivalent as an  $\infty$ -category to the  $\infty$ -category obtained from

$N^{\otimes} \mathbb{C}$ -algebras in the  $\infty$ -category associated to  $M$  [Pavlov and Scholbach 2018, 7.9 and 7.11]. Here  $N^{\otimes} \mathbb{C}$  is the operadic nerve of  $\mathbb{C}$  [Lurie 2017, 2.1.1.23], ie Lurie's model for the  $\infty$ -operad associated to the simplicial colored operad  $\mathbb{C}$ . Consequently, for *admissible*  $\Sigma_{\mathcal{C}}$ -cofibrant colored simplicial operads, the homotopy theory obtained via the model category route matches the homotopy theory obtained via the  $\infty$ -category route.

We extend this result in two ways. First, we will show that it holds when  $\mathbb{C}$  is only *semiadmissible* instead of *admissible* (ie  $\text{Alg}(\mathbb{C}; M)$  has a transferred semi-model structure). Second, we will show the same thing for the setting of enriched  $\infty$ -operads. For the latter, we work in a monoidal model category  $M$  (not necessarily simplicial) and consider a colored operad  $\mathbb{C}$  valued in  $M$ . Note that, if  $M$  is a  $\mathcal{V}$ -model category for some monoidal model category  $\mathcal{V}$  and  $\mathbb{C}$  is a colored operad valued in  $\mathcal{V}$ , then there is a colored operad  $\mathbb{C}'$  valued in  $M$  with the same algebras (obtained by tensoring the levels of  $\mathbb{C}$  with the unit of  $M$ ), so we focus on the case when  $\mathbb{C}$  is valued in  $M$ . In this case, there is an associated *enriched  $\infty$ -operad* [Chu and Haugseng 2020] as we now describe. First, we must restate [Haug seng 2019, 4.1]:

**Definition 7.1.1** Let  $M$  be a monoidal model category. A *subcategory of flat objects* is a full symmetric monoidal subcategory  $M^b$  (which implies the unit is flat) that satisfies the following two conditions:

- (1) All cofibrant objects are flat (that is, are in  $M^b$ ).
- (2) If  $X$  is flat and  $f$  is a weak equivalence in  $M^b$ , then  $X \otimes f$  is a weak equivalence.

If the unit of  $M$  is cofibrant, then the subcategory of cofibrant objects is a subcategory of flat objects [Haug seng 2019, 4.2], by Ken Brown's lemma. We note that, if the unit of  $M$  is cofibrant, then the same is true for both  $\vec{M}_{\text{proj}}^{\square}$  and  $\vec{M}^{\otimes}$ . The purpose of the definition above is to avoid assuming the monoidal unit is cofibrant, as this would rule out positive (flat) model structures on spectra (which do admit a subcategory of flat objects, namely the cofibrant objects of the flat model structure, by [ibid., 4.11]). White [2017; 2022] gives many examples of model categories with a subcategory of flat objects (namely, the subcategory of cofibrant objects), including spaces, simplicial sets, chain complexes, diagram categories, simplicial presheaves and various categories of spectra.

With Definition 7.1.1 in hand, we are ready to describe the enriched  $\infty$ -operad associated to a colored operad  $\mathbb{C}$  valued in  $M$ , following [Haug seng 2019, Section 4]. First, the inclusions  $M^c \hookrightarrow M^b \hookrightarrow M$  induce equivalences of localizations when all three are localized with respect to their subcategories of weak equivalences. Next, the symmetric monoidal localization  $M^b \rightarrow M^b[W^{-1}] \simeq M[W^{-1}]$  of [Lurie 2017, 4.1.7.4] gives a functor from  $\infty$ -operads enriched in  $M^b$  to  $\infty$ -operads enriched in  $M[W^{-1}]$ . But, because  $M^b$  is a 1-category, the former are simply strict colored operads in  $M^b$ . The following is a combination of [Chu and Haugseng 2020, 1.1.3; Haug seng 2019, 4.4]:

**Proposition 7.1.2** Let  $M$  be a symmetric monoidal model category and  $M^b$  a subcategory of flat objects. Then the  $\infty$ -category of  $\infty$ -operads enriched in  $M[W^{-1}]$  is equivalent to the  $\infty$ -category of enriched colored operads in  $M^b$ , with the Dwyer–Kan equivalences inverted.

With these preliminary results and definitions in hand, we are ready to prove the main results of the section.

## 7.2 Homotopy sifted colimits

Following the model of [Lurie 2017, 4.5.4.7 and 4.5.4.12], we must first prove that the forgetful functor

$$U : \text{Alg}(\mathbb{C}; M) \rightarrow M^{\mathcal{C}}$$

preserves and reflects homotopy sifted colimits, even when  $\text{Alg}(\mathbb{C}; M)$  is only a semi-model category. It suffices to prove this in the case where  $\mathbb{C}$  is a colored operad in  $M$ , as the case where  $\mathbb{C}$  is a simplicial colored operad and  $M$  is a simplicial model category follows from our discussion above regarding  $\mathcal{V}$ -model categories.

It is known that, for every cofibrantly generated monoidal model category  $M$ , every  $\Sigma_{\mathcal{C}}$ -cofibrant colored operad  $\mathbb{C}$  in  $M$  is semiadmissible. In other words, there is a transferred semi-model structure on  $\mathbb{C}$ -algebras [White and Yau 2018a, 6.3.1]. An alternative approach assumes  $M$  satisfies  $(\clubsuit)$  and appeals to [ibid., 6.2.3] for such a semi-model structure. It is also known that there are  $\Sigma_{\mathcal{C}}$ -cofibrant colored operads  $\mathbb{C}$  whose category of  $\mathbb{C}$ -algebras do *not* admit a full model structure [Batatin and White 2021, 2.9]. Hence, the results in this section really do apply to previously unknown examples, and complete the study of semi-model structures on operad algebras set out in [White and Yau 2018a; 2019b; 2020; 2023]. For completeness, we handle the case of both symmetric and nonsymmetric colored operads [Muro 2011], noting that, for the nonsymmetric case, being  $\Sigma_{\mathcal{C}}$ -cofibrant is the same as being entrywise cofibrant.

**Proposition 7.2.1** *Suppose  $M$  is a cofibrantly generated monoidal model category and  $\mathbb{C}$  is a  $\Sigma_{\mathcal{C}}$ -cofibrant (symmetric)  $\mathcal{C}$ -colored operad valued in  $M$ . Then the forgetful functor  $U : \text{Alg}(\mathbb{C}; M) \rightarrow M^{\mathcal{C}}$  preserves and reflects homotopy sifted colimits.*

**Proof** We follow the proof from [Pavlov and Scholbach 2018, 7.9], which is itself based on the proof of [Lurie 2017, 4.5.4.12]. First, as pointed out in [Lurie 2017], the reflection property is implied by the preservation property, and it is sufficient to prove that  $U$  preserves homotopy colimits indexed by a small category  $\mathcal{D}$  such that the nerve  $N(\mathcal{D})$  is homotopy sifted.

Consider the projective model structure  $(M^{\mathcal{C}})^{\mathcal{D}}$ , the projective semi-model structure  $\text{Alg}(\mathbb{C}; M)^{\mathcal{D}}$  guaranteed by [Barwick 2010, 3.4] and the forgetful functor

$$U^{\mathcal{D}} : \text{Alg}(\mathbb{C}; M)^{\mathcal{D}} \rightarrow (M^{\mathcal{C}})^{\mathcal{D}}.$$

Let

$$F : (M^{\mathcal{C}})^{\mathcal{D}} \rightarrow M^{\mathcal{C}} \quad \text{and} \quad F_{\text{Alg}(\mathbb{C})} : \text{Alg}(\mathbb{C}; M)^{\mathcal{D}} \rightarrow \text{Alg}(\mathbb{C}; M)$$

denote the colimit functors with respect to  $\mathcal{D}$ . The proof in [Lurie 2017, 4.5.4.12] reduces us to proving that the canonical isomorphism of functors

$$\alpha : F \circ U^{\mathcal{D}} \cong U \circ F_{\text{Alg}(\mathbb{C})} : \text{Alg}(\mathbb{C}; M)^{\mathcal{D}} \rightarrow M^{\mathcal{C}}$$

persists after everything is derived.

Let  $LF$  and  $LF_{\text{Alg}(\mathbb{O})}$  denote the left derived functors of  $F$  and  $F_{\text{Alg}(\mathbb{O})}$ , obtained via cofibrant replacement in  $(M^{\mathcal{C}})^{\mathfrak{D}}$  and  $\text{Alg}(\mathbb{O}; M)^{\mathfrak{D}}$ , respectively. Since  $U$  and  $U^{\mathfrak{D}}$  preserve weak equivalences, as in [loc. cit.], we are reduced to proving that the induced natural transformation  $\bar{\alpha}: LF \circ U^{\mathfrak{D}} \rightarrow U \circ LF_{\text{Alg}(\mathbb{O})}$  is an isomorphism in the homotopy category. This means that, for every cofibrant  $A$  in  $\text{Alg}(\mathbb{O}; M)^{\mathfrak{D}}$ , we must show that

$$\bar{\alpha}: LF(U^{\mathfrak{D}}A) \rightarrow U(LF_{\text{Alg}(\mathbb{O})}(A))$$

is a weak equivalence.

The right-hand side is canonically weakly equivalent to  $U(F_{\text{Alg}(\mathbb{O})}(A))$  because  $A$  is projectively cofibrant, and this is weakly equivalent to  $F(U^{\mathfrak{D}}A)$  via  $\alpha$ . At this point, the proof in [loc. cit.] requires a detailed analysis of so-called “good” objects and morphisms in  $(M^{\mathcal{C}})^{\mathfrak{D}}$ . However, when  $\mathbb{O}$  is  $\Sigma_{\mathcal{C}}$ -cofibrant, the situation is much simpler, because  $U$  takes cofibrant algebras to cofibrant objects of  $M^{\mathcal{C}}$  [White and Yau 2018a, 6.3.1] (and [Muro 2011, 9.5] for the nonsymmetric case).

Furthermore, the  $\mathfrak{D}$ -constant operad  $\mathbb{O}^{\mathfrak{D}}$ , taking value  $\mathbb{O}$  at every  $a \in \mathfrak{D}$ , is  $\Sigma_{\mathcal{C}}$ -cofibrant in  $\text{Alg}(\mathbb{O}; M)^{\mathfrak{D}}$ . This can be seen directly, as  $\Sigma_{\mathcal{C}}$ -cofibrancy for an operad  $P$  valued in  $M^{\mathfrak{D}}$  is the condition that, for each  $a \in \mathfrak{D}$  and each  $(\mathcal{C}; d) \in \Sigma_{\mathcal{C}}^{\text{op}} \times \mathcal{C}$ , the object  $P_a(\binom{d}{\mathcal{C}})$  ( $= \mathbb{O}(\binom{d}{\mathcal{C}})$  in our case) is projectively cofibrant in  $M^{\Sigma_{\mathcal{C}}^{\text{op}} \times \mathcal{C}}$ . Hence, by [White and Yau 2018a, 6.3.1] (and [Muro 2011, 9.5] for the nonsymmetric case), the functor  $U^{\mathfrak{D}}$  also preserves cofibrancy, since the projective semi-model structure transferred from the semi-model structure on  $\text{Alg}(\mathbb{O}; M)$  is the same as the transferred semi-model structure on  $\mathbb{O}^{\mathfrak{D}}$ -algebras in the projective model structure  $(M^{\mathcal{C}})^{\mathfrak{D}}$ . Hence,  $U^{\mathfrak{D}}A$  is cofibrant in  $(M^{\mathcal{C}})^{\mathfrak{D}}$ , and so  $LF(U^{\mathfrak{D}}A) \simeq F(U^{\mathfrak{D}}A)$ , as required.  $\square$

**Remark 7.2.2** Following the model of [Lurie 2017] (or [Pavlov and Scholbach 2018]), after establishing Proposition 7.2.1, the next step should be to prove that the semi-model category  $\text{Alg}(\mathbb{O}; M)$  describes the  $\infty$ -category of  $N^{\otimes \mathbb{O}}$ -algebras in the  $\infty$ -category associated to  $M$ , as discussed above. However, when  $\text{Alg}(\mathbb{O}; M)$  is only a semi-model structure, an additional step is needed. We need to know that homotopy colimits (given by colimits of projectively cofibrant objects in  $\text{Alg}(\mathbb{O}; M)^{\mathfrak{D}}$ ) agree with  $\infty$ -categorical colimits. In the case of full model structures, one knows that the projective model structure on  $\text{Alg}(\mathbb{O}; M)^{\mathfrak{D}}$  describes the  $\infty$ -category of functors, and that a Quillen adjunction gives rise to an adjunction of  $\infty$ -categories. For the case of semi-model categories, we invoke [Monaco 2021, A.10] for the latter.

**Remark 7.2.3** We conjecture that Proposition 7.2.1 remains true for entrywise cofibrant colored operads  $\mathbb{O}$  if  $M$  satisfies  $(\clubsuit)$  and we replace appeals to [White and Yau 2018a, 6.3.1] above by appeals to [ibid., 6.2.3]. However, the proof of this would require a detailed analysis of “good” objects and would take us too far afield.

### 7.3 Semi-model categories and $\infty$ -categories of operad algebras

With the previous proposition in hand, we are ready for the main result of this section. The slogan for this result is that, for any  $\Sigma_{\mathcal{C}}$ -free (symmetric) colored operad  $\mathbb{O}$  and any reasonable monoidal model

category  $M$ , the semi-model category of  $\mathbb{C}$ -algebras in  $M$  describes the corresponding  $\infty$ -category of  $\mathbb{C}$ -algebras in the symmetric monoidal  $\infty$ -category described by  $M$ . This is true of both

- (1) the unenriched case, where  $M$  is a simplicial monoidal model category,  $\mathbb{C}$  is a simplicial colored operad and the  $\infty$ -operad associated to  $\mathbb{C}$  is the operadic nerve  $N^{\otimes} \mathbb{C}$  of  $\mathbb{C}$  [Lurie 2017, 2.1.1.23];
- (2) the enriched case, where  $M$  is a monoidal model category,  $\mathbb{C}$  is a colored operad valued in  $M$  and we use the theory of enriched  $\infty$ -operads to define the  $\infty$ -category of  $\mathbb{C}$ -algebras (as recalled in Section 7.1 and spelled out in [Chu and Haugseng 2020; Haugseng 2019]).

For both cases, we handle the cases where  $\mathbb{C}$  is a symmetric colored operad and where  $\mathbb{C}$  is a nonsymmetric colored operad simultaneously. We handle the enriched case first.

**Theorem 7.3.1** *Suppose  $M$  is a cofibrantly generated monoidal model category that admits a subcategory of flat objects  $M^b$  and  $\mathbb{C}$  is a  $\Sigma_{\mathcal{C}}$ -cofibrant (symmetric)  $\mathcal{C}$ -colored operad valued in  $M^b$ .*

- *Let  $\text{Alg}(\mathbb{C}; M)^c[W_{\mathbb{C}}^{-1}]$  be the  $\infty$ -category obtained from the semi-model category  $\text{Alg}(\mathbb{C}; M)$  by first passing to the subcategory of cofibrant objects, and then inverting the weak equivalences between  $\mathbb{C}$ -algebras.*
- *Let  $\text{Alg}(\mathbb{C}; M[W^{-1}])$  be the  $\infty$ -category obtained by first passing from  $M$  to the (symmetric) monoidal category  $M[W^{-1}]$  and then passing to  $\mathbb{C}$ -algebras, where  $\mathbb{C}$  is viewed as a colored operad in  $M[W^{-1}] \simeq M^b[W^{-1}]$ .*

*Then the natural comparison functor*

$$\text{Alg}(\mathbb{C}; M)^c[W_{\mathbb{C}}^{-1}] \rightarrow \text{Alg}(\mathbb{C}; M[W^{-1}])$$

*is an equivalence of  $\infty$ -categories.*

**Proof** The proof of [Haug seng 2019, 4.10] goes through directly by replacing the appeal to [Pavlov and Scholbach 2018, 7.8] with an appeal to Proposition 7.2.1. That is, we consider the forgetful functors from both categories to the  $\infty$ -category associated to  $M^c$ , and appeal to the Barr–Beck theorem for  $\infty$ -categories [Lurie 2017, 4.7.3.16] to see that these forgetful functors are monadic right adjoints (this is where Proposition 7.2.1 is needed). We appeal to [Haug seng 2019, 3.8], which occurs entirely on the  $\infty$ -category level, for the usual formula for free  $\mathbb{C}$ -algebras and the observation that the two associated monads on  $M^c$  have equivalent underlying endofunctors. This proof works for both symmetric and nonsymmetric colored operads  $\mathbb{C}$ , as both are known to inherit transferred semi-model structures from  $M^c$ , and as Proposition 7.2.1 applies in both settings. □

**Remark 7.3.2** The proof of [ibid., 4.10] relies on the observation that a Quillen adjunction  $F : M \rightleftarrows N : G$  induces an adjunction between the underlying  $\infty$ -categories. We appeal to [Monaco 2021, A.10] for the semi-model category analogue of this fact.



We turn now to the unenriched case.

**Theorem 7.3.3** *Suppose  $M$  is a cofibrantly generated simplicial monoidal model category and  $\mathbb{O}$  is a  $\Sigma_{\mathcal{C}}$ -cofibrant (symmetric) simplicial  $\mathcal{C}$ -colored operad.*

- *Let  $N(\text{Alg}(\mathbb{O}; M)^c)[W_{\text{Alg}(\mathbb{O})}^{-1}]$  be the  $\infty$ -category obtained from the semi-model category  $\text{Alg}(\mathbb{O}; M)$  by first passing to the subcategory of cofibrant objects, then taking the nerve and then inverting the weak equivalences.*
- *Let  $\text{Alg}(N^{\otimes} \mathbb{O}; N(M^c)[W^{-1}])$  be the  $\infty$ -category of  $N^{\otimes} \mathbb{O}$ -algebras valued in the  $\infty$ -category  $N(M^c)[W^{-1}]$  associated to  $M$ .*

*Then the natural comparison functor*

$$N(\text{Alg}(\mathbb{O}; M)^c)[W_{\text{Alg}(\mathbb{O})}^{-1}] \rightarrow \text{Alg}(N^{\otimes} \mathbb{O}; N(M^c)[W^{-1}])$$

*is an equivalence of  $\infty$ -categories.*

**Proof** We deliberately phrased the proof of [Theorem 7.3.1](#) so that word for word it proves this result as well (again with the critical step hinging on an appeal to [Proposition 7.2.1](#)). We only stated the two theorems separately to highlight the difference between enriched and unenriched  $\infty$ -operads, and the connection to where the colored operad  $\mathbb{O}$  is valued.  $\square$

**Remark 7.3.4** One can show that [Theorems 7.3.1](#) and [7.3.3](#) are false in general in the symmetric case if the  $\Sigma_{\mathcal{C}}$ -cofibrancy of  $\mathbb{O}$  is dropped. Well-known counterexamples include the operad  $\text{Com}$  and  $M = \text{Ch}(\mathbb{F}_p)$ . However, every  $\mathcal{C}$ -colored operad  $\mathbb{O}$  admits a  $\Sigma_{\mathcal{C}}$ -cofibrant replacement  $Q\mathbb{O}$ . If  $\mathbb{O}$  is semiadmissible and admits rectification with  $Q\mathbb{O}$  (meaning there is a Quillen equivalence of semi-model categories between  $\text{Alg}(\mathbb{O}; M)$  and  $\text{Alg}(Q\mathbb{O}; M)$ ), then [Theorems 7.3.1](#) and [7.3.3](#) do apply to  $\mathbb{O}$ , since the weak equivalence  $Q\mathbb{O} \rightarrow \mathbb{O}$  induces an equivalence  $N^{\otimes} Q\mathbb{O} \rightarrow N^{\otimes} \mathbb{O}$ , and hence we can use the two-out-of-three property to deduce the statement for  $\mathbb{O}$  from the statement for  $Q\mathbb{O}$ . Conditions on  $M$  under which rectification hold are provided in [\[White 2017\]](#) (for  $\text{Com}$  rectifying to  $E_{\infty}$ ) and [\[White and Yau 2019b\]](#) (for general colored operads), among other places.

**Remark 7.3.5** [Theorem 7.3.1](#) answers positively the question raised in [\[Haugsgeng 2019, 4.13\]](#) about extending [\[ibid., 4.10\]](#) to  $\Sigma$ -cofibrant operads and semi-model category structure on  $\text{Alg}(\mathbb{O}; M)$ . As pointed out by Haugsgeng, the assumptions on  $M$  and  $\mathbb{O}$  are much weaker than those required to get a full model structure on  $\mathbb{O}$ -algebras. In particular, [Theorem 7.3.1](#) applies not only to the examples listed by Haugsgeng — namely, spaces, simplicial sets, chain complexes and symmetric spectra — but also to equivariant spaces, equivariant orthogonal spectra, motivic symmetric spectra, the stable module category, chain complexes over a field of nonzero characteristic, simplicial presheaves, the projective model structure on small functors [\[Chorny and White 2018\]](#), the folk model structure on the category of small categories (or groupoids), various abelian model structures arising from the theory of cotorsion pairs, and left Bousfield localizations of these categories.

These examples are detailed in [White 2017; 2022; White and Yau 2018a; 2020]. In several of these examples (eg chain complexes over a field of nonzero characteristic, examples arising from cotorsion pairs, and algebras over left Bousfield localizations  $L_{\mathcal{C}}M$ ), categories of algebras are known to have transferred semi-model structures but are not known to have transferred model structures. For chain complexes over  $\mathbb{F}_2$ , there is even an explicit example of a category of  $\mathbb{O}$ -algebras that has a transferred semi-model structure that is not a model structure [Batatin and White 2021, 2.9]. For algebras over a left Bousfield localization  $L_{\mathcal{C}}M$ , many examples are discussed in [White and Batatin 2015; Batatin and White 2022; 2024; White 2021].

In most of the examples listed above, the unit is cofibrant and cofibrant objects are flat, so the category of cofibrant objects is our  $M^b$  (note that left Bousfield localization does not change the class of cofibrant objects). For the positive (flat) model structure on equivariant orthogonal spectra (resp. motivic symmetric spectra), one can use the cofibrant objects of the flat model structure, just as Haugseng [2019] does for symmetric spectra, as discussed in [Hovey and White 2020] (resp. [Pavlov and Scholbach 2018], building on work of Hornbostel).

We conclude with a specialization of Theorem 7.3.1 to the main examples of interest in the present paper.

**Lemma 7.3.6** *Suppose  $M$  is a monoidal model category that admits a subcategory of flat objects,  $M^b$ . Then  $\vec{M}_{inj}^{\otimes}$  also admits a subcategory of flat objects.*

**Proof** In  $\vec{M}^{\otimes}$ , we take the full subcategory consisting of arrows  $f: X_1 \rightarrow X_2$ , where  $X_1$  and  $X_2$  are in  $M^b$ . This is a symmetric monoidal subcategory of  $\vec{M}^{\otimes}$ , as the monoidal unit  $\text{Id}: \mathbb{1} \rightarrow \mathbb{1}$  is flat and the tensor product of two flat arrows is flat. Condition (1) of Definition 7.1.1 holds because cofibrations are entrywise, and (2) holds because the tensor product and weak equivalences are entrywise.  $\square$

**Corollary 7.3.7** *Suppose  $M$  is a cofibrantly generated monoidal model category that admits a subcategory of flat objects  $M^b$ . Suppose  $\mathbb{O}$  is a  $\Sigma_{\mathcal{C}}$ -cofibrant  $\mathcal{C}$ -colored operad valued in  $M^b$ . Then the transferred semi-model structures of Corollary 5.2.3 on  $\text{Alg}(\vec{\mathbb{O}}^{\otimes}; \vec{M}^{\otimes})$  and  $\text{Alg}(\vec{\mathbb{O}}^{\square}; \vec{M}^{\square})$  describe the corresponding  $\infty$ -categories, in the sense of Theorem 7.3.1. If, in addition,  $M$  is stable, then the Quillen equivalence of Corollary 5.2.3 yields an equivalence of  $\infty$ -categories.*

**Proof** This follows from Theorem 7.3.1, applied to

- $\vec{M}_{inj}^{\otimes}$  and the colored operad  $\vec{\mathbb{O}}^{\otimes}$ , appealing to Lemma 7.3.6 for the subcategory of flat objects and to Proposition 5.2.2 for the  $\Sigma_{\mathcal{C}}$ -cofibrancy; and
- $M$  and the colored operad  $\mathbb{O}^s$ , with the assumed subcategory of flat objects on  $M$  — as Proposition 3.3.19 shows,  $\mathbb{O}^s$  is  $\Sigma_{\mathcal{C} \sqcup \mathcal{C}}$ -cofibrant, and the transferred semi-model structure on  $\mathbb{O}^s$ -algebras coincides with the transferred semi-model structure on  $\text{Alg}(\vec{\mathbb{O}}^{\square}; \vec{M}^{\square})$ .

The statement about Quillen equivalences follows from [Monaco 2021, A.11].  $\square$

We note that, in the examples mentioned after [Definition 7.1.1](#), we could take  $M^b$  to be the subcategory of cofibrant objects of  $M$ . In these examples, every  $\Sigma_{\mathcal{C}}$ -cofibrant  $\mathcal{C}$ -colored operad is already entrywise cofibrant. Hence, it is no loss of generality to assume  $\mathbb{C}$  is valued in  $M^b$  instead of in  $M$  for these examples.

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