

# Self-points on elliptic curves

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Let  $E/\mathbb{Q}$  be an elliptic curve of conductor N and let p be a prime. We consider trace-compatible towers of modular points in the noncommutative division tower  $\mathbb{Q}(E[p^{\infty}])$ . Under weak assumptions, we can prove that all these points are of infinite order and determine the rank of the group they generate. Also, we use Kolyvagin's construction of derivative classes to find explicit elements in certain Tate–Shafarevich groups.

#### 1. Introduction

**1.1.** *Definition of self-points.* Let  $E/\mathbb{Q}$  be an elliptic curve and write *N* for its conductor. As proved in [Breuil et al. 2001], there exists a modular parametrisation

$$\varphi_F: X_0(N) \to E$$

that is a surjective morphism defined over  $\mathbb{Q}$  and maps the cusp  $\infty$  on the modular curve  $X_0(N)$  to O. The open subvariety  $Y_0(N)$  in  $X_0(N)$  is a moduli space for the set of pairs (A, C), where A is an elliptic curve and C is a cyclic subgroup in A of order N. More precisely, if  $k/\mathbb{Q}$  is a field, then  $Y_0(N)(k)$  is in bijection with the set of such pairs (A, C) with A and C defined over k, up to isomorphism over the algebraic closure  $\overline{k}$ .

In particular, we may consider the pairs  $x_C = (E, C)$  for any given cyclic subgroup *C* of order *N* in *E* as a point in  $Y_0(N)(\mathbb{C})$ . Its image  $P_C = \varphi_E(x_C)$  under the modular parametrisation is called a *self-point* of *E*. The field of definition of the point  $P_C$  on *E* is the same as the field of definition  $\mathbb{Q}(C)$  of *C*. The compositum of all  $\mathbb{Q}(C)$  will be denoted by  $K_N$ ; it is the smallest field *K* such that the Galois group Gal( $\overline{K}/K$ ) acts by scalars on E[N].

More generally, for any integer m we define a number field  $K_m$  as follows. There is a Galois representation attached to the m-torsion points on E, given by

$$\bar{\rho}_m : \operatorname{Gal}(\overline{\mathbb{Q}}/\mathbb{Q}) \longrightarrow \operatorname{Aut}(E[m]) \cong \operatorname{GL}_2(\mathbb{Z}/m\mathbb{Z}) \longrightarrow \operatorname{PGL}_2(\mathbb{Z}/m\mathbb{Z}).$$

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The field  $K_m$  is the field fixed by the kernel of  $\bar{\rho}_m$ . The Galois group of the extension  $K_m/\mathbb{Q}$  can be viewed via  $\bar{\rho}_m$  as a subgroup of  $\mathrm{PGL}_2(\mathbb{Z}/m\mathbb{Z})$ .

We will call *higher self-point* the image under  $\varphi_E$  of any pair (A, C) in which A is an elliptic curve that is isogenous to E over  $\overline{\mathbb{Q}}$ , though the most interesting case of higher self-points is the case when the isogeny between E and A is of degree a prime power  $p^n$ . In particular, this prime p is allowed to divide the conductor N.

This construction imitates the definition of Heegner points, where one uses pairs (A, C) with A having complex multiplication. More generally, modular points on elliptic curves were considered earlier by Harris [1979] without any restriction on A. This article is a sequel to the articles [Delaunay and Wuthrich 2008] and [Wuthrich 2007] on self-points, where we have emphasised already that the theory of self-points differs from the well-known theory of Heegner points. For instance, there does not seem to be a link between the root numbers and the question of whether the self-points are of infinite order.

We present here not only a generalisation of the previous results on self-points, but also we introduce the construction of derivative classes à la Kolyvagin. Indeed, Kolyvagin [1990] was able to find upper bounds on certain Selmer groups by constructing cohomology classes starting from Heegner points. We propose here to do the analogue for self-points. But the situation is radically different as the Galois groups involved are noncommutative; rather than finding upper bounds of Selmer groups over the base field, we will find *lower* bounds on Selmer groups over certain number fields.

**1.2.** *The results for self-points.* The main question that arises first is whether we can determine if the self-points are of infinite order in the Mordell–Weil group  $E(\mathbb{Q}(C))$ . It was shown in [Delaunay and Wuthrich 2008] that the self-points are always of infinite order if the conductor is a prime number. We extend here the method and provide a framework to treat the general case. In Section 5.2 we will prove the following.

**Theorem 12.** Let  $E/\mathbb{Q}$  be a semistable elliptic curve of conductor  $N \neq 30$  or 210. Then all the self-points are of infinite order.

But the methods are more general and we are able to prove that they are of infinite order in most cases. In fact, we conjecture that this holds whenever *E* does not admit complex multiplication. In Section 6.2 we will give a self-point of finite order on a curve with complex multiplication. In the largest generality, we are able to prove in Theorem 2 that there is at least one self-point of infinite order under the assumption that  $j(E) \notin \frac{1}{2}\mathbb{Z}$ .

Next we address the question of the rank of the group generated by self-points in  $E(K_N)$ . If N is prime, we saw that the only relation among the self-points is that the sum of all of them is a torsion point in  $E(\mathbb{Q})$ . For a general conductor, we

find that for all proper divisors d of N and all cyclic subgroups B in E of order d, the sum of all self-points  $P_C$  with  $C \supset B$  is torsion. This is proved in Proposition 4 as a consequence of the existence of the degeneracy maps on modular curves. For a lot of semistable curves, the following result shows that these are the only relations among self-points.

**Theorem 14.** Let  $E/\mathbb{Q}$  be a semistable elliptic curve. Suppose that N is not equal to 30 or 210. Suppose that for each prime  $p \mid N$  such that  $\bar{\rho}_p$  is not surjective, there is a prime  $\ell \mid N$  such that the Tamagawa number  $c_\ell$  is not divisible by p. Then the group generated by the self-points is of rank N.

We think that this may hold more generally.

**Conjecture.** Let  $E/\mathbb{Q}$  be an elliptic curve without complex multiplication. Then all the self-points are of infinite order and the only relations among them are produced by the degeneracy maps as described in Proposition 4. In particular, the rank of the group generated by self-points is equal to

$$\delta(N) = \prod_{p|N} \left\lceil (1 - p^{-2}) \cdot p^{\operatorname{ord}_p(N)} \right\rceil,$$

where  $\lceil x \rceil$  denotes the smallest integer no less than x.

The expression  $\delta(N)$  in the conjecture is equal to N if and only if N is square-free.

**1.3.** *The results for higher self-points.* We are particularly interested in higher self-points that are modular points coming from a pair (E', C') in which E' has an isogeny to E of degree a power of a prime p. We treat two cases: when p is a prime of good reduction and when p is a prime of multiplicative reduction.

For simplicity we only sketch the results for the good case here, that is,  $p \nmid N$ . See Section 7 for more details.

We fix now a cyclic subgroup *C* in *E* of order *N*; the following construction depends on this choice, but our notation will not reflect this. Let *D* be a cyclic subgroup of *E* of order  $p^{n+1}$  and let E' = E/D. Given any self-point  $P_C$ , we may consider the image *C'* of *C* under the isogeny  $E \rightarrow E'$ . The higher self-point  $Q_D$  is defined to be the image of  $(E', C') \in Y_0(N)$  under the modular parametrisation  $\varphi_E$ . It is a point in the Mordell–Weil group of *E* over the field  $\mathbb{Q}(C, D)$ , which is contained in  $K_{p^{n+1}N}$ . In Corollary 20, we are able to prove that the higher self-points are all of infinite order in some cases.

**Theorem 1.** Let  $E/\mathbb{Q}$  be a semistable curve of conductor N not equal to 30 or 210. Suppose that p is a prime such that p > N, and such that the Galois representation  $\bar{\rho}_p$ : Gal $(\overline{\mathbb{Q}}/\mathbb{Q}) \rightarrow \text{PGL}_2(\mathbb{F}_p)$  is surjective. Let s be the rank of the group generated by the self-points in  $E(K_N)$ . Then the higher self-points in  $E(K_{p^{n+1}N})$  generate a group of rank  $s \cdot (p+1) \cdot p^n$ . If one assumes that the prime is of ordinary reduction for E, one can weaken the condition on the bad reduction substantially.

Furthermore these higher self-points are trace-compatible in the following sense. Let *D* be a cyclic subgroup of order  $p^{n+1}$ , and let  $a_p$  be the *p*-th Fourier coefficient of the modular form associated to the isogeny class of *E*. Then we have

$$\sum_{D'\supset D} Q_{D'} = a_p \cdot Q_D,$$

where the sum runs over all cyclic subgroups D' of order  $p^{n+2}$  containing D. For any number field F, we will write

$$\rho_{F,p}$$
: Gal $(\overline{F}/F) \longrightarrow$  Aut $(T_p E) \cong$  GL $_2(\mathbb{Z}_p) \longrightarrow$  PGL $_2(\mathbb{Z}_p)$ 

for the representation of  $\operatorname{Gal}(\overline{F}/F)$  on the Tate module  $T_pE$ . If the Galois representation  $\rho_{K_N,p}$  is surjective, then we can reformulate the above relation by saying that the trace of  $Q_{D'}$  from its field of definition to the field of definition of  $Q_D$  is equal to  $a_p \cdot Q_D$ . This trace compatibility reminds one of the definition of an Euler system. However, the field  $\mathbb{Q}(C, D)$  is not Galois over  $\mathbb{Q}$  and the Galois closure is not an abelian extension, and worse not even a solvable extension.

The higher self-points are the only known towers of points of infinite order in the division tower  $\mathbb{Q}(E[p^{\infty}])$  of E. Nevertheless the growth of the rank of the Mordell–Weil group should often be faster than the lower bound  $(p+1)p^n$  that we establish here in many cases. This is due to changing signs in the functional equations and the corresponding parity results on the corank of Selmer groups. See [Coates et al. 2009; Mazur and Rubin 2008]. These results predict, under the assumption of the finiteness of the Tate–Shafarevich group, that there should be more points of infinite order in the division tower that are not accounted for by higher self-points. Furthermore the higher self-points do not seem to be linked in any obvious way to root numbers. Also it is completely unknown if there is a relation to L-functions (or to noncommutative p-adic L-functions as in [Coates et al. 2005]) in analogy to the Gross–Zagier formula for Heegner points.

**1.4.** *Derivatives.* Kolyvagin [1990] has used Heegner points of infinite order to construct cohomology classes that obstruct the existence of further points of infinite order. We aim to use a similar construction to build cohomology classes from higher self-points of infinite order.

Let *p* be a prime of either good ordinary reduction or of multiplicative reduction. If *p* does not divide the conductor *N*, define  $F_n = K_{p^{n+1}N}$ ; otherwise let  $F_n = K_{p^nN}$ . Put  $F = F_{-1}$ . If we suppose that  $\rho_{F,p} : \text{Gal}(\overline{F}/F) \to \text{PGL}_2(\mathbb{Z}_p)$  is surjective, then  $\text{Gal}(F_n/F) = \text{PGL}_2(\mathbb{Z}/p^{n+1}\mathbb{Z})$ . We are interested in a particular cyclic subgroup *A* in  $\text{Gal}(F_n/F)$ . Choosing a  $\mathbb{Z}_p$ -basis of the quadratic unramified extension  $\mathbb{O}$  of  $\mathbb{Z}_p$  gives a map

$$\mathbb{O}^{\times} \to \operatorname{GL}_2(\mathbb{Z}_p) \to \operatorname{PGL}_2(\mathbb{Z}_p) \to \operatorname{PGL}_2(\mathbb{Z}/p^{n+1}\mathbb{Z}),$$

whose image is a cyclic group  $A_n$  of order  $(p+1) \cdot p^n$ . By a slight abuse of notation we will denote the subfield of  $F_n$  fixed by  $A_n$  by  $F_n^A$ .

The construction of derivatives provides us with a map

$$\partial_n : \mathrm{H}^1(A_n, S) \to \mathrm{III}(E/F_n^A).$$

The source is a cohomology group of the saturated group S of higher self-points (see Section 8 for the definitions). Although we do not know its exact structure, we can prove that it contains at least  $p^n$  elements. It seems plausible to think that the map  $\partial_n$  is very often injective, but we do have no means to prove this in a single case. Nevertheless, we are able to show the existence of points of infinite order in  $E(F_n^A)$  whenever the map is not injective. Here is the final result:

**Theorem 21.** Let  $E/\mathbb{Q}$  be an elliptic curve. Suppose E does not have potentially good supersingular reduction for any prime of additive reduction. Let p be a prime of either good ordinary or multiplicative reduction. Assume that  $\rho_{F,p}$  is surjective and that  $K_N$  contains a self-point of infinite order. Then we have

$$\#\operatorname{Sel}_{p^n}(E/F_n^A) \ge p^n.$$

The construction of derivatives relies on a property of modular representation theory. The higher self-points generate in the Mordell–Weil group a copy of the irreducible Steinberg representation. More precisely, if  $H_n$  denotes  $Gal(F_n/F)$ , there is a certain  $\mathbb{Q}[H_n]$ -module in  $E(F_n) \otimes \mathbb{Q}$  that is irreducible, but this module is no longer irreducible over  $\mathbb{F}_{\ell}[H_n]$  when  $\ell$  divides  $(p+1) \cdot p^n$ . Perhaps the idea of using modular representation theory to study Selmer groups, which was developed in [Greenberg 2008], could shed new light on these derivatives.

#### 2. The fundamental theorem

**Theorem 2.** Let  $E/\mathbb{Q}$  be an elliptic curve of conductor N. If the *j*-invariant of E is not in  $\frac{1}{2}\mathbb{Z}$ , then there is at least one self-point  $P_C$  of infinite order in  $E(K_N)$ .

*Proof.* Let *p* be a prime that divides the denominator of the *j*-invariant of *E*. If possible, we avoid p = 2. Note that  $p^2$  may divide *N*, but we know that *E* acquires multiplicative reduction over some extension of  $\mathbb{Q}$  at *p*.

First we fix an embedding of  $\overline{\mathbb{Q}}$  into  $\overline{\mathbb{Q}}_p$ . We consider the modular parametrisation over  $\overline{\mathbb{Z}}_p$ . The modular curve  $X_0(N)$  over  $\overline{\mathbb{Z}}_p$  has a neighbourhood of the cusp  $\infty$  consisting of pairs (A, C) of a Tate curve of the form  $A = \overline{\mathbb{Q}}_p^{\times}/q^{\mathbb{Z}}$ , together with a cyclic subgroup *C* of order *N* generated by the *N*-th root of unity. The parameter *q* is a *p*-adic analytic uniformiser at  $\infty$ , so that the Spf  $\overline{\mathbb{Z}}_p[[q]]$  is

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the formal completion of  $X_0(N)/\overline{\mathbb{Z}}_p$  at the cusp  $\infty$ ; see [Katz and Mazur 1985, Chapter 8].

Let  $f_E = \sum a_n q^n$  be the normalised newform associated to *E*. Then  $f_E/q \cdot dq$  is the associated differential. Let  $c_E$  be the Manin constant (of the not necessarily strong Weil curve *E*), that is, the number such that  $\varphi_E^*(\omega_E) = c_E \cdot f_E/q \cdot dq$ , where  $\omega_E$  is the invariant differential on *E*. The rigid analytic map induced by  $\varphi_E$  on the completion can now be characterised as

$$\log_E(\varphi_E(q)) = \int_0^{\varphi_E(q)} \omega_E = c_E \cdot \int_0^q f_E \frac{dq}{q} = c_E \cdot \sum_{n \ge 1} \frac{a_n}{n} \cdot q^n.$$
(1)

Here  $\log_E$  denotes the formal logarithm associated to E from the formal group  $\hat{E}(\overline{\mathfrak{m}})$  to the maximal ideal  $\hat{\mathbb{G}}_a(\overline{\mathfrak{m}}) = \overline{\mathfrak{m}}$  of  $\overline{\mathbb{Z}}_p$ . We deduce from this description the following lemma that will be useful later. Write  $|\cdot|_p$  for the normalised absolute value such that  $|p|_p = p^{-1}$ .

**Lemma 3.** Let (A, C) be a point in  $Y_0(N)(\overline{\mathbb{Q}}_p)$  such that A is isomorphic to the Tate curve with parameter  $q_0 \neq 0$  and C is isomorphic to the Galois module of N-th roots of unity  $\mu[N]$ . If  $|q_0|_p < p^{-1/(p-1)}$ , then  $\varphi_E(A, C)$  is a point of infinite order on  $E(\overline{\mathbb{Q}}_p)$ .

*Proof.* Under the condition on the absolute value of  $q_0$ , we know that the sum on the right-hand side of (1) converges. We consider the sum

$$z = c_E \cdot \sum_{n \ge 1} \frac{a_n}{n} \cdot q_0^n.$$

Since the Manin constant is known to be an integer (see [Edixhoven 1991]), the absolute value of the right-hand side is

$$|z|_p = |c_E|_p \cdot \left| q_0 + \frac{a_p}{p} q_0^p \right|_p$$

as these are the terms of large absolute value. However note that the condition on  $q_0$  implies that the second term on the right side is actually slightly smaller that the first, and hence the absolute value of the sum is bounded by

$$|z|_p = |c_E|_p \cdot |q_0|_p < p^{-1/(p-1)}.$$

Therefore the value of z lies in the domain of convergence of the p-adic elliptic exponential  $\exp_E$ , and we obtain that  $\varphi_E(A, C) = \exp_E(z)$ . Since we know that  $|z|_p \neq 0$ , we can deduce that  $\exp_E(z)$  is not a torsion point in  $E(\overline{\mathbb{Q}}_p)$ .

We may now finish proving the theorem. Since *E* has multiplicative reduction over  $\overline{\mathbb{Z}}_p$ , exactly one of the  $x_C = (E, C)$  if in the neighbourhood of  $\infty$  on  $X_0(N)$ ;

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it is represented by the *p*-adic Tate parameter  $q_E$  associated to *E* together with the group *C* isomorphic to  $\mu[N]$ . If  $p \neq 2$ , then we know that

$$|q_E|_p = |j(E)|_p^{-1} \le p^{-1} < p^{-1/(p-1)},$$

and if p had to be chosen to be equal to 2 in the beginning then we know that

$$|q_E|_2 = |j(E)|_2^{-1} \leq p^{-2} < p^{-1/(p-1)}.$$

Hence in any case, the lemma applies and provides us with a point of infinite order among the self-points.  $\Box$ 

If the chosen prime p is such that  $p^2$  does not divide N, then  $q_E$  lies in  $p^v \mathbb{Z}_p$ , where  $v = -\operatorname{ord}_p(j(E))$ . Hence the proof's point  $P_C$  will be defined over  $\mathbb{Q}_p$ .

The restriction at p = 2 seems unnecessary. Often one can deduce the result of the theorem by hand for curves whose *j*-invariant is an odd integer divided by 2. We present here an easy example. For the curve 2450o1 in Cremona's tables [1997] with *j*-invariant -189/2, the 2-adic Tate parameter is equal to  $2+2^2+2^4+\mathbf{O}(2^9)$ and the newform is  $f_E = q - q^2 + q^4 + \mathbf{O}(q^8)$ . From this one concludes that  $\log_E(P_C) = 2^3 + \mathbf{O}(2^5)$ . So  $P_C$  is of infinite order. Nevertheless we do not see any easy argument to prove that  $P_C \neq O$  for a general curve with  $j(E) \in \frac{1}{2}\mathbb{Z} \setminus \mathbb{Z}$ , as it seems that the 2-adic valuation of  $\log_E(P_C)$  can be arbitrarily large.

**2.1.** *A torsion self-point.* We believe that this theorem is still valid if E is a curve with integral *j*-invariant as long as the curve does not admit complex multiplication. But not all self-points are of infinite order. We present here a surprisingly easy example of a self-point that is torsion.

The curve 27a2 admits a cyclic isogeny of degree 27 defined over  $\mathbb{Q}$  to the curve 27a4. Let *E* be either of the two curves. Then *E* has exactly one cyclic subgroup of order 27 defined over  $\mathbb{Q}$ , that is, *E* admits a self-point in  $E(\mathbb{Q})$ . Since the rank of  $E(\mathbb{Q})$  is zero, the self-point has to be of finite order. Note that these curves have complex multiplication. See Section 6.2 for more detailed computations on these self-points.

# 3. Relations

In [Delaunay and Wuthrich 2008] it is shown that the self-points on a curve of prime conductor satisfy exactly one relation. What kind of relations could occur among the self-points for a curve of conductor N? Here is a first part of an answer. First, we need some more notation. The Galois group  $G = G_N = \text{Gal}(K_N/\mathbb{Q})$  was identified with a subgroup of  $\text{PGL}_2(\mathbb{Z}/N\mathbb{Z})$ . For any divisor d of N, we define the image of  $G_N$  under the projection  $\text{PGL}_2(\mathbb{Z}/N\mathbb{Z}) \to \text{PGL}_2(\mathbb{Z}/d\mathbb{Z})$  as  $G_d$  and by  $K_d$  its fixed field in  $K_N$ . In other words,  $K_d$  is the smallest number field for which the absolute Galois groups acts by scalars on E[d].

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**Proposition 4.** The sum of all self-points is a torsion point defined over  $\mathbb{Q}$ . If  $d \neq N$  is an integer dividing N, then there are relations of the form

$$R_B: \sum_{C\supset B} P_C$$
 is torsion in  $E(K_d)$ ,

where B is any given cyclic subgroup of order d and C runs through all cyclic groups of order N containing B.

*Proof.* The degeneracy map  $\pi : X_0(N) \to X_0(d)$  induces  $\pi^* : J_0(d) \to J_0(N)$  on Jacobians. Given a cyclic subgroup *B* of order *d* on *E*, we may consider the point  $x_B = (E, B)$  on  $X_0(d)$ . The divisor class

$$\pi^*[(x_B) - (\infty)] = \sum_{C \supset B} [(x_C)] - \pi^*[(\infty)]$$

is in the image of  $\pi^*$  in  $J_0(N)$  and hence in the kernel of the map  $\varphi_E : J_0(N) \to E$  because N is the exact conductor of E. This gives the relation  $R_B$ .

Taking d = 1 gives the result that the sum of all self-points is a torsion point. Since this sum is fixed by the Galois group, it has to be a rational point.

#### 4. The Steinberg representations

The aim is to describe certain irreducible representations that will appear in the study of self-points. Let N > 1 be an integer. We are interested in the group  $P = PGL_2(\mathbb{Z}/N\mathbb{Z})$ . We will decompose the  $\mathbb{Q}[P]$ -module V whose basis  $\{e_C\}$  as a  $\mathbb{Q}$ -vector space is in bijection with the projective line  $\mathbb{P}^1(\mathbb{Z}/N\mathbb{Z})$  and on which the P-action is given by the usual permutation on the basis. So it can be written as

$$V = \bigoplus_{C \in \mathbb{P}^1(\mathbb{Z}/N\mathbb{Z})} \mathbb{Q}e_C = \mathrm{Ind}_B^P(\mathbb{1}_B),$$

where B is a Borel subgroup of P and  $\mathbb{1}_B$  is its trivial representation.

**Theorem 5.** The  $\mathbb{Q}[\operatorname{PGL}_2(\mathbb{Z}/N\mathbb{Z})]$ -module V splits into the sum  $V = \bigoplus_{D|N} W_D$  of irreducible  $\mathbb{Q}[\operatorname{PGL}_2(\mathbb{Z}/N\mathbb{Z})]$ -modules  $W_D$ , where D runs through all divisors of N. Let  $D = \prod_p p^{d_p}$  be the prime decomposition of a divisor D of N. Define

$$\delta_p = \lceil p^{d_p} - p^{d_p-2} \rceil = \begin{cases} 1 & \text{if } d_p = 0, \\ p & \text{if } d_p = 1, \\ p^{d_p} - p^{d_p-2} & \text{if } d_p > 1. \end{cases}$$

Then  $W_D$  has dimension  $\delta(D) = \prod_{p \mid D} \delta_p$  as a Q-vector space.

*Proof.* We split the proof into three parts according to whether N is a prime, a prime power or any integer. The first two cases could also be treated by invoking [Silberger 1970, Theorem 3.3 on page 58], but, since we need the explicit description of  $W_D$  later on, we prefer to prove this theorem in detail. Since the proof is inductive on N, we will now write  $P_N$  for PGL<sub>2</sub>( $\mathbb{Z}/N\mathbb{Z}$ ) and  $V_N$  for its V.

*Case:* N is prime. Write p = N. The claim is simply that the  $\mathbb{Q}[P]$ -module  $V_p$  splits into two irreducible components  $W_1 \oplus W_p$ . We define  $W_1$  to be the 1-dimensional subspace of V generated by the vector  $v_1 = \sum_C e_C$ , where the sum runs over all C in  $\mathbb{P}^1(\mathbb{F}_p)$ . Of course,  $W_1 = V_p^P$  is an irreducible  $\mathbb{Q}[P]$ -submodule of  $V_p$  and the space

$$W_p = \left\{ \sum a_C \cdot e_C \mid \sum a_C = 0 \right\}$$

is a complement to it. It remains to show that  $W_p$  is irreducible. Let g be an element of order p in P, such as the class of  $\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$ . On  $V_p \otimes \mathbb{C}$  the element g acts with eigenvalues 1, 1,  $\zeta$ ,  $\zeta^2$ , ...,  $\zeta^{p-1}$ , where  $\zeta$  is a primitive p-th root of unity. Hence on  $W_p$  every p-th root of unity appears exactly once as an eigenvalue. So the only possibility for  $W_p$  to split up into two  $\mathbb{Q}[P]$ -submodules would have to involve a 1-dimensional and a (p-1)-dimensional submodule.

As we can see from the fact that  $PSL_2(\mathbb{F}_p)$  is a simple group when p > 3 and by direct calculations for p = 2 and 3, there are only two one-dimensional representations of  $PGL_2(\mathbb{F}_p)$ : the trivial representation and the one with kernel  $PSL_2(\mathbb{F}_p)$  of index 2. Since  $PSL_2(\mathbb{F}_p)$  acts transitively on  $\mathbb{P}^1(\mathbb{F}_p)$ , the one-dimensional subrepresentations of  $V_p$  must be contained in  $V_p^{PSL_2(\mathbb{F}_p)} = W_1$ .

*Case:* N is a prime power. We write  $N = p^k$  with p prime. We prove the statement by induction on k. The case k = 1 has been treated already; thus we may assume that  $k \ge 2$ . The claim is that  $V_{p^k}$  splits as  $\bigoplus W_{p^m}$ , where m runs from 0 to k.

There is a reduction map  $\alpha : \mathbb{P}^1(\mathbb{Z}/p^k\mathbb{Z}) \to \mathbb{P}^1(\mathbb{Z}/p^{k-1}\mathbb{Z})$  that is surjective and all of whose fibres contain *p* elements. Define

$$V' = \left\{ \sum a_C e_C \mid a_C = a_{C'} \text{ whenever } \alpha(C) = \alpha(C') \right\}.$$

It is easy to see that V' is canonically isomorphic to  $V_{p^{k-1}}$  as a vector space, so we will identify them. The action of  $P_{p^k}$  factors through the quotient  $P_{p^k} \to P_{p^{k-1}}$  induced by reduction. By induction, V' splits as a  $\mathbb{Q}[P_{p^{k-1}}]$ -module into the sum  $V' = \bigoplus_{m=0}^{k-1} W_{p^m}$ ; this also decomposes V' into irreducible  $\mathbb{Q}[P_{p^k}]$ -modules. As a complement to V', we define

$$W_{p^k} = \left\{ \sum a_C e_C \mid \sum_{\alpha(C)=D} a_C = 0 \text{ for all } D \text{ in } \mathbb{P}^1(\mathbb{Z}/p^{k-1}\mathbb{Z}) \right\}.$$

It is clear that  $W_{p^k}$  is a  $\mathbb{Q}[P_{p^k}]$ -submodule of  $V_{p^k}$ . If k > 1 then its dimension is equal to

$$\dim_{\mathbb{Q}} W_{p^{k}} = \#\mathbb{P}^{1}(\mathbb{Z}/p^{k}\mathbb{Z}) - \#\mathbb{P}^{1}(\mathbb{Z}/p^{k-1}\mathbb{Z})$$
$$= (p+1) \cdot p^{k-1} - (p+1) \cdot p^{k-2} = p^{k} - p^{k-2}.$$

It remains to show that  $W_{p^k}$  is irreducible.

Let  $\infty$  be any point in  $\mathbb{P}^1(\mathbb{F}_p)$  and write  $U^{\infty}$  for the preimage of  $\infty$  under the reduction map  $\mathbb{P}^1(\mathbb{Z}/p^k\mathbb{Z}) \to \mathbb{P}^1(\mathbb{F}_p)$ . Within V, we define a linear subspace

$$V^{\infty} = \left\{ \sum a_C e_C \mid a_C = 0 \text{ if } C \in U^{\infty} \right\}$$

of dimension  $p^k$  and let  $W^{\infty} = W_{p^k} \cap V^{\infty}$  and  $V'^{\infty} = V' \cap V^{\infty}$ . Let g be an element of  $P_{p^k}$  of order  $p^k$  whose fixed points lie in  $U^{\infty}$ . If  $\infty$  is (0:1), then we may take the class of the matrix  $\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$ . The element g acts on  $V^{\infty} \otimes \mathbb{C}$  such that every  $p^k$ -th root of unity appears exactly once. The eigenvalues of g on the subspace  $V'^{\infty}$ are all  $p^{k-1}$ -st roots of unity. Hence on  $W^{\infty}$  every primitive  $p^k$ -th root of unity appears exactly once as an eigenvalue. So  $W^{\infty}$  is an irreducible  $\mathbb{Q}[\langle g \rangle]$ -module, and so if  $W_{p^k}$  splits as a  $\mathbb{Q}[P_{p^k}]$ -module, then  $W^{\infty}$  has to be completely contained in one of the summands. But for any two distinct points  $\infty$  and  $\infty'$  in  $\mathbb{P}^1(\mathbb{F}_p)$  the spaces  $W^{\infty}$  and  $W^{\infty'}$  span the whole of  $W_{p^k}$ . Hence  $W_{p^k}$  cannot be reducible.

The general case follows fairly easily from the previous cases. Let  $N = \prod p^{n_p}$  be the prime decomposition of N. We may suppose that N is not a prime power, since we have treated this case already. Now the group  $P_N$  splits as

$$P_N = \operatorname{PGL}_2(\mathbb{Z}/N\mathbb{Z}) = \prod_{p|N} \operatorname{PGL}_2(\mathbb{Z}/p^{n_p}\mathbb{Z}) = \prod_{p|N} P_{p^{n_p}}$$

by the Chinese remainder theorem. Similarly, we have

$$\mathbb{P}^{1}(\mathbb{Z}/_{N\mathbb{Z}}) = \prod_{p \mid N} \mathbb{P}^{1}(\mathbb{Z}/_{p^{n_{p}}\mathbb{Z}}) \quad \text{and so} \quad V_{N} = \bigotimes_{p \mid N} V_{p^{n_{p}}}$$

as a  $\mathbb{Q}[P_N]$ -module. Now we use the previous case to rewrite

$$V_N = \bigotimes_{p|N} \bigoplus_{m=0}^{n_p} W_{p^m}.$$

Let D be any divisor of N and  $\prod p^{d_p}$  its prime factorisation. Then define

$$W_D = \bigotimes_{p \mid D} W_{p^{d_p}}.$$

It is clear from the representation theory of direct products that  $W_D$  is irreducible. Rearranging the above decomposition of  $V_N$ , we arrive at the desired expression  $V_N = \bigoplus_{D|N} W_D$ .

**Proposition 6.** Let p be a prime. Let G be a subgroup of a Borel subgroup of  $PGL_2(\mathbb{F}_p)$  acting on  $V_p = \bigoplus \mathbb{Q}e_C$ . Suppose that the class of  $\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$  belongs to G. Then  $V_p$  decomposes into irreducible  $\mathbb{Q}[G]$ -modules as  $W_1 \oplus W'_1 \oplus W'_p$ , where  $W'_p$  is an irreducible  $\mathbb{Q}[G]$ -module of dimension p-1.

*Proof.* Let  $C_0$  be the element of  $\mathbb{P}^1(\mathbb{F}_p)$  fixed by the Borel group containing G. By assumption, we know that  $C_0$  is the only fixed point of G acting on  $\mathbb{P}^1(\mathbb{F}_p)$ . Hence  $V_p$  contains two linearly independent vectors that are fixed by G, namely  $e_{C_0}$  and  $v_0 = \sum_{C \neq C_0} e_C$ . The  $\mathbb{Q}[G]$ -submodule

$$W'_p = \left\{ \sum_{C \neq C_0} a_C \cdot e_C \mid \sum_{C \neq C_0} a_C = 0 \right\}$$

is a complement to  $V_p^G$ . Now use the class g of the matrix  $\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$  as before to show that  $W'_p$  is irreducible since the eigenvalues of g on  $W'_p$  are exactly the set of all primitive p-th roots of unity.

In fact one can show that Theorem 5 holds even for the complex representation  $V \otimes \mathbb{C}$  as  $\mathbb{C}[\text{PGL}_2(\mathbb{Z}/N\mathbb{Z})]$ -modules. On the other hand, Proposition 6 really relies on the fact that we are only considering decompositions as  $\mathbb{Q}[G]$ -modules. For instance, we may well take *G* to be the cyclic group generated by the matrix  $\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$ ; then of course  $W'_p \otimes \mathbb{C}$  will split into 1-dimensional representations. But since the *p*-th roots of unity are not all defined over  $\mathbb{Q}$ , at least if p > 2, this decomposition does not hold in general for  $W'_p$ .

We can now reformulate the statement of Proposition 4 as follows. There is a *G*-equivariant map  $\iota: V_N \to E(K_N) \otimes \mathbb{Q}$ , defined by sending  $e_C$  to  $P_C$ . It has a kernel containing all submodules  $W_d$  for  $d \neq N$  dividing *N*. So it induces a map  $\iota: W_N \to E(K_N) \otimes \mathbb{Q}$  that is *G*-equivariant. By the fundamental Theorem 2, this morphism is nontrivial if  $j \notin \frac{1}{2}\mathbb{Z}$ . Hence we can deduce the following corollary.

**Corollary 7.** The self-points generate a group of rank at most  $\delta(N)$  inside  $E(K_N)$ . If  $W_N$  is an irreducible  $\mathbb{Q}[G_N]$ -module and the *j*-invariant is not in  $\frac{1}{2}\mathbb{Z}$ , then the self-points generate a group of rank  $\delta(N)$  and the Galois group acts like the Steinberg representation  $W_N$  on it.

# 5. Self-points on semistable curves

We will suppose in this section that the curve  $E/\mathbb{Q}$  is semistable. In particular, the *j*-invariant cannot belong to  $\frac{1}{2}\mathbb{Z}$  since all primes dividing N must appear in the

denominator of j(E) and there is no curve of conductor 2. Hence the fundamental Theorem 2 applies to E.

**5.1.** *Some lemmata.* Recall that  $K_m$  was defined to be the field fixed by the kernel of  $\bar{\rho}_m$ . We denote the Galois group  $\operatorname{Gal}(K_m/\mathbb{Q})$  by  $G_m$  and think of it as a subgroup in  $\operatorname{PGL}_2(\mathbb{Z}/m\mathbb{Z})$ .

In what follows, we often have to split up the primes dividing N into two groups. Let s, standing for "surjective", be the product of all primes p dividing N such that the representation  $\bar{\rho}_p$  is surjective. Let m, standing for "méchant", be the product of the remaining primes dividing N. Note that there are not many choices for m as described in the following lemma.

**Lemma 8.** We have  $m \in \{1, 2, 3, 4, 5, 6, 7, 10\}$ . If  $p \mid m$ , then  $G_p$  is contained in a Borel group of PGL<sub>2</sub>( $\mathbb{F}_p$ ) and hence is either a cyclic or a metacyclic<sup>1</sup> group.

*Proof.* Let  $p \mid m$ . By a theorem of Serre [1996], the curve admits a *p*-isogeny  $E \to E'$  defined over  $\mathbb{Q}$ , and either *E* or *E'* must have a point of order *p* defined over  $\mathbb{Q}$ . Then by Mazur's theorem [1978] on torsion points on elliptic curves over  $\mathbb{Q}$ , and we know now that  $p \leq 7$  and that  $m \leq 10$ .

**Lemma 9.** Let  $E/\mathbb{Q}$  be a semistable elliptic curve. Then the largest prime p dividing N is such that the representation  $\bar{\rho}_p$  is surjective, and p-1 > m unless N is 30 or 210.

*Proof.* If *N* is divisible by a prime  $p \ge 13$ , then the largest prime *p* dividing *N* cannot divide *m* and satisfies p - 1 > m because  $m \le 10$  by the previous lemma. Hence we are left with a finite list of possible *N* to check. This can be done easily; to illustrate it we show in Table 1 the list of curves of square-free conductors *N* whose prime divisors are among  $\{2, 3, 5, 7\}$ . For the full proof, we would need to list also conductors divisible by 11, but then the list will be far too long to be included here. However the only three exceptional isogeny classes can already be seen in this table.

To each isogeny class, we give the number *i* of isogenous curves, the maximal degree *d* of an isogeny among them, the value of *m*, and the largest p | N such that  $\bar{\rho}_p$  is surjective. This ends the proof.

**Lemma 10.** Let  $E/\mathbb{Q}$  be a semistable elliptic curve with 6 | N and such that the representation  $\bar{\rho}_2$  is surjective onto  $PGL_2(\mathbb{F}_2)$ . If there exists a prime p | N such that  $3 \nmid c_p$ , then  $K_2$  cannot be contained in  $K_3$ .

*Proof.* We wish to derive a contradiction from the assumption that  $K_2$  is contained in  $K_3$ . By assumption, the Galois group  $G_2$  of the extension  $K_2/\mathbb{Q}$  is PGL<sub>2</sub>( $\mathbb{F}_2$ ), which is isomorphic to the symmetric group on three letters  $\mathfrak{S}_3$ . The Galois group

<sup>&</sup>lt;sup>1</sup>metacyclic: a semidirect product of cyclic groups

Ν	14a	15a	21a	<b>30</b> a	35a	42a	70a	105a	210a	210b	210c	210d	210e
i	6	8	6	8	3	6	4	4	8	8	6	4	8
d	18	16	8	12	9	8	4	4	12	12	8	4	16
т	2	1	1	6	1	2	2	1	6	6	2	2	2
р	7	5	7	5	7	7	7	7	7	7	7	7	7

**Table 1.** Some of the "evil curves" to be treated separately inLemma 9.

 $G_3$  is contained in PGL<sub>3</sub>( $\mathbb{F}_3$ ) =  $\mathfrak{S}_4$ . Therefore the Galois group Gal( $K_3/K_2$ ) is contained in the Klein group  $V_4$  of  $\mathfrak{S}_4$ .

Suppose first that the reduction of *E* at *p* is split multiplicative. Let  $q_E$  be the Tate parameter of *E* over  $\mathbb{Q}_p$ . Choose a place *v* above *p* in  $K_2$  and a place *w* above *v* in  $K_3$ . Then the completion  $K_{3,w}$  is equal to  $\mathbb{Q}_p(\zeta_3, \sqrt[3]{q_E})$  and  $K_{2,v}$  is equal to  $\mathbb{Q}_p(\sqrt{q_E})$ . Since 3 does not divide  $c_p \ge 1$ , we know that  $q_E$  cannot be a cube. Therefore the degree of  $K_{3,w}/K_{2,v}$  is divisible by 3. This is impossible since the degree of  $K_3/K_2$  must be a power of 2.

If the reduction is nonsplit multiplicative at p, one can do the same argument but transposed to the extension L of  $\mathbb{Q}_p$  over which E acquires split multiplicative reduction. As  $L/\mathbb{Q}_p$  is of degree 2, we still find that the degree of  $K_{3,w}/K_{2,v}$  must be a multiple of 3.

**Lemma 11.** Let  $E/\mathbb{Q}$  be a semistable elliptic curve. For (ii) and (iii) below, we assume that if 2 | N and 3 | N, then there is a prime p | N such that  $3 \nmid c_p$ .

- (i)  $G_s$  acts transitively on the set  $\mathbb{P}^1(\mathbb{Z}/_{s\mathbb{Z}})$  of cyclic subgroups of order s in E.
- (ii) The Steinberg representation  $W_s$  is irreducible as a  $\mathbb{Q}[G_s]$ -module.
- (iii) Suppose  $W_m$  decomposes into irreducible  $\mathbb{Q}[G_m]$ -modules as  $U_1 \oplus \cdots \oplus U_k$ . Then  $W_N$  decomposes into irreducible  $\mathbb{Q}[G_N]$ -modules as

$$W_N = \bigoplus_{i=1}^k (U_i \otimes W_s).$$

*Proof.* We will first prove by induction the statement in (ii) with *s* replaced by any of its divisors *r*, assuming the additional hypothesis. If r = p is prime then  $G_p = \text{PGL}_2(\mathbb{F}_p)$  and Theorem 5 shows that  $W_p$  is irreducible as a  $\mathbb{Q}[G_p]$ -module. Let *p* be the largest prime factor of *r*. We may suppose that *r* is composite and so p > 2. Put  $t = r/p \ge 2$ . We assume that  $W_t$  is an irreducible  $\mathbb{Q}[G_t]$ -module. We wish to prove that  $W_r$  is an irreducible  $\mathbb{Q}[G_r]$ -module.



The Galois group  $H_p = \text{Gal}(K_r/K_t)$  is isomorphic to that of the extension  $K_p/K_t \cap K_p$ . Hence  $H_p$  is a normal subgroup of  $G_p = \text{PGL}_2(\mathbb{F}_p)$ . We use the fact that  $\text{PSL}_2(\mathbb{F}_p)$  is simple for p > 3. So  $H_p$  is either all of  $G_p$ ,  $\text{PSL}_2(\mathbb{F}_p)$ , the trivial group or, in the case p = 3, the Klein group  $V_4$  in  $\text{PGL}_2(\mathbb{F}_3) = \mathfrak{S}_4$ . Treating the four cases separately, we will prove that  $W_p$  is an irreducible  $\mathbb{Q}[H_p]$ -module.

If  $H_p$  is all of  $G_p$ , then  $W_p$  is irreducible as a  $\mathbb{Q}[H_p]$ -module by Theorem 5. If  $H_p$  is equal to  $PSL_2(\mathbb{F}_p)$ , then  $W_p$  could split at most into two subspace of equal dimension since  $PSL_2(\mathbb{F}_p)$  has index 2 in  $PGL_2(\mathbb{F}_p)$ . But the dimension of  $W_p$  is odd unless p = 2, which we excluded. Hence  $W_p$  is irreducible.

Next, we will exclude the case when  $H_p$  is trivial. If it were so, then there is a surjective map from  $G_t$  onto  $G_p = \text{PGL}_2(\mathbb{F}_p)$ . The group  $G_t$  is contained in  $\text{PGL}_2(\mathbb{Z}/t\mathbb{Z})$ , whose order is

$$\prod_{\ell \mid t} \ell \cdot (\ell+1) \cdot (\ell-1).$$

So the order of  $G_t$  cannot be divisible by p since p is larger than any of the  $\ell$ , unless p = 3 and t = 2. It is also impossible that there is a surjective map from  $PGL_2(\mathbb{F}_2)$  onto  $PGL_2(\mathbb{F}_3)$ . So  $H_p$  is not trivial.

Finally, we treat the case when  $H_p$  is the Klein group in PGL<sub>2</sub>( $\mathbb{F}_3$ ). Since p = 3, we have t = 2. As  $G_2 = PGL_2(\mathbb{F}_2) = \mathfrak{S}_3$ , the only possibility for this case is when  $K_2$  is contained in  $K_3$ . But it was shown in Lemma 10 that this is not possible under our additional hypothesis.

Let *X* be a sub- $\mathbb{Q}[G_r]$ -module of  $W_r = W_p \otimes W_t$ . As  $H_p$  acts trivially on  $W_t$ , we deduce that there is a subspace *Z* of  $W_t$  such that  $X = W_p \otimes Z$ . By the induction hypothesis, we know that  $W_t$  is irreducible as a  $\mathbb{Q}[G_t]$ -module. Hence  $Z = W_t$  and we have shown that  $W_r$  is  $\mathbb{Q}[G_r]$ -irreducible.

Now we will prove (i). If the additional hypothesis is verified, then  $W_s$  is an irreducible  $\mathbb{Q}[G_s]$ -module by (ii); hence  $G_s$  acts transitively on  $\mathbb{P}^1(\mathbb{Z}/_s\mathbb{Z})$ . But the only place where we used the additional hypothesis in the proof of (ii) is when we excluded the possibility that  $H_p$  is the Klein group in PGL<sub>2</sub>( $\mathbb{F}_3$ ). But since the

Klein group acts transitively on  $\mathbb{P}^1(\mathbb{F}_3)$ , we can prove directly the truth of (i) in general.

Finally we must prove (iii). We follow again along the same lines as the proof of (ii). Of course, we may assume that m > 1. Let  $1 \le i \le k$ , and let r | s. We will prove by induction that  $U_i \otimes W_r$  is an irreducible  $\mathbb{Q}[G_{rm}]$ -module. Let p be the largest prime dividing r and let t = r/p. By induction, we may suppose that  $U_i \otimes W_t$  is  $G_{tm}$ -irreducible. Let  $H_p = \text{Gal}(K_{rm}/K_{tm}) \subset \text{PGL}_2(\mathbb{F}_p)$ . As before, if we can prove that  $W_p$  is an irreducible  $\mathbb{Q}[H_p]$ -module, then we know that  $U_i \otimes W_r =$  $U_i \otimes W_t \otimes W_p$  is  $G_{rm}$ -irreducible. Once again we must exclude only the possibility that  $H_p$  is trivial or equal to the Klein group  $V_4$  in  $\text{PGL}_2(\mathbb{F}_3)$ .

Suppose first that p = 2. By maximality of p, we must have t = 1. If  $H_p$  is trivial, then there is a surjective map from  $G_m$  to  $PGL_2(\mathbb{F}_2)$ . Running through all the possible odd m in Lemma 8, we find that only m = 3 can be possible. Moreover in this case we must have  $K_2 = K_3$ . Again we use Lemma 10 to exclude this possibility.

We treat now the case that p = 3. Then t = 1 or t = 2. Suppose that  $H_p$  is trivial. There must be a surjective map from  $G_{tm}$  to  $PGL_2(\mathbb{F}_3) \cong \mathfrak{S}_4$ . We can check that if t = 1, then we must have m = 7 since otherwise  $\#G_m$  will not be a multiple of 3. But  $\#G_7$  is not divisible by 24. If t = 2, then m can only be 5 or 7. Again it cannot be 7. So we must have  $G_{tm} \subset \mathfrak{S}_3 \times (\mathbb{Z}/4\mathbb{Z} \ltimes \mathbb{Z}/5\mathbb{Z})$ , and it is easy to check that the latter group does not have a subquotient isomorphic to  $\mathfrak{S}_4$ .

Continuing with the case p = 3, we suppose now that  $H_p$  is the Klein group in PGL<sub>2</sub>( $\mathbb{F}_3$ ). This time we have a surjection of  $G_{tm}$  onto  $\mathfrak{S}_3$ . If t = 1, we can again check that there is no possibility for  $G_m$ . So suppose that t = 2. Then  $G_{tm}$  is contained in  $\mathfrak{S}_3 \times G_m$ . Then the only possibility for the surjection is that  $G_m$  lies in its kernel and PGL<sub>2</sub>( $\mathbb{F}_2$ ) maps isomorphically onto  $\mathfrak{S}_3$ . In this case we would have that  $K_2$  is contained in  $K_3$ . Once again Lemma 10 excludes this.

The very last step is to assume that p > 3 and that  $H_p$  is trivial. Then there is a surjective map from  $G_{tm}$  to  $PGL_2(\mathbb{F}_p)$ . By the maximality of p, we know that  $\#PGL_2(\mathbb{Z}/t\mathbb{Z})$  is not divisible by p. Therefore  $p \neq m$  must divide  $\#G_m$ . Running through the list of possible groups in Lemma 8, we find that this is not possible.  $\Box$ 

#### 5.2. Results for semistable curves.

**Theorem 12.** Let  $E/\mathbb{Q}$  be a semistable elliptic curve of conductor N with N not equal to 30 or 210. Then all the self-points  $P_C$  are of infinite order in  $E(\mathbb{Q}(C))$ .

*Proof.* By Lemma 9, we may choose a prime p dividing N such that  $\bar{\rho}_p$  is surjective and such that p - 1 > m.

Any cyclic subgroup *C* of order *N* may be written as  $C = A \oplus B$ , with *A* of order *m* and *B* of order s = N/m. Now we use the previous lemma. For any fixed *A*, the group  $G_N$  acts transitively on the set  $\{A \oplus B\}_B$  as *B* runs over all cyclic

subgroups of order *s* in *E*. Hence all self-points  $\{P_C\}$  with the *m*-part *A* fixed are conjugate in  $E(K_N)$ . In particular, if m = 1, then all self-points are conjugate and the fundamental Theorem 2 proves the theorem. So suppose now that m > 1.

Now we use the *p*-adic proof of Theorem 2. We identify the curve  $E/\overline{\mathbb{Q}}_p$  with the Tate curve  $\overline{\mathbb{Q}}_p^{\times}/q_E^{\mathbb{Z}}$ . Fix a cyclic subgroup *A* of order *m* in *E*, and let  $B = \mu[s]$  and  $C = A \oplus B$ . Since any self-point is conjugate to such a point, it is sufficient to prove that  $P_C$  is of infinite order.

For each  $\ell \mid m$ , let  $A_{\ell}$  be the  $\ell$ -torsion part of A. Write A'' for the direct sum of all  $A_{\ell}$  such that  $A_{\ell}$  is generated by the  $\ell$ -th roots of unities  $\mu[\ell]$  in  $E(\overline{\mathbb{Q}}_p)$ . Write A' for the sum of all other  $A_{\ell}$ . So  $A = A' \oplus A''$ . Denote the order of A' by m' and likewise the order of A'' by m''. Now we consider the isogeny  $\psi$  with kernel A', given by

$$0 \longrightarrow A' \longrightarrow E \xrightarrow{\psi} E' \longrightarrow 0.$$

If  $\hat{A}'$  is the kernel of the dual isogeny  $\hat{\psi}: E' \to E$ , then we may consider the point

$$x'_{C} = (E', \hat{A}' \oplus \psi(A'') \oplus \psi(B)) \in X_{0}(N)(\overline{\mathbb{Q}}_{p}),$$

which is nothing other than the Atkin–Lehner involution  $w_{m'}$  applied to the point  $x_C = (E, C)$ . We know already that  $\psi(B) = \mu[k]$  and  $\psi(A'') = \mu[m'']$ , but we also see that the group  $\hat{A}'$  is isomorphic to  $\mu[m']$ . Hence the point  $x'_C$  lies now close to the cusp  $\infty$  and its Tate-parameter will be a certain *m'*-th root *u* of  $q_E$ . Since

$$|u|_p = (|q_E|_p)^{1/m'} = p^{-c_p/m'} < p^{-1/(p-1)}$$

because  $m' \leq m , we can apply Lemma 3 to show that <math>\varphi_E(x'_C)$  is of infinite order. But the Atkin–Lehner involutions  $w_\ell$  act like multiplication by  $-a_\ell \in \{\pm 1\}$  for all primes  $\ell$  dividing N, as shown in [Atkin and Lehner 1970]. Therefore  $P_C = \varphi_E(x_C) = \pm \varphi_E(x'_C) + T$ , where T is a point of finite order, and hence  $P_C$  is of infinite order.

As remarked earlier we have a  $G_N$ -equivariant map

$$\iota: W_N \to E(K_N) \otimes \mathbb{Q}$$

Part (ii) of Lemma 11 shows this:

**Theorem 13.** Let  $E/\mathbb{Q}$  be a semistable elliptic curve with N not equal to 30 or 210. Suppose all the representations  $\bar{\rho}_p$  for all primes  $p \mid N$  are surjective. Then the group generated by the self-points is of rank N and the Galois group acts like the irreducible Steinberg representation  $W_N$  on it.

We prove now an extension of this theorem to the case when  $m \neq 1$ . In particular  $W_N$  might not be irreducible anymore. Unfortunately we cannot prove that the rank is N in general for a semistable curve since we have to exclude the possibility

that the curve has two distinct isogenies of the same degree defined over  $\mathbb{Q}$ : If the curve has two isogenies of degree p over  $\mathbb{Q}$ , then in the decomposition of  $W_N$  into irreducible  $\mathbb{Q}[G]$ -modules, there will be a representation that appears with multiplicity 2. The second hypothesis in the following theorem excludes this possibility, but it is also needed elsewhere to be able to apply the lemmata from the previous section.

**Theorem 14.** Let  $E/\mathbb{Q}$  be a semistable elliptic curve. Suppose that N is not equal to 30 or 210. Suppose that for each prime  $p \mid N$  such that  $\bar{\rho}_p$  is not surjective, there is a prime  $\ell \mid N$  such that the Tamagawa number  $c_\ell$  is not divisible by p. Then the group generated by the self-points is of rank N.

*Proof.* As a consequence of the second hypothesis, we know that for each p | N there is an element of order p in  $G_p$ . See the appendix of [Serre 1968]. Since either  $G_p$  is all of PGL<sub>2</sub>( $\mathbb{F}_p$ ) or it is contained in the Borel subgroup, we conclude that either  $G_p$  acts transitively on  $\mathbb{P}^1(\mathbb{F}_p)$  or it has one single fixed point, which we will call  $C_p \in \mathbb{P}^1(\mathbb{F}_p)$ .

Let  $p \mid m$ . Then by Proposition 6, the  $\mathbb{Q}[G_p]$ -module  $W_p$  decomposes as the sum of the trivial part  $W'_1$  and an irreducible part  $W'_p$  of dimension p - 1. If m is not prime it can only be either  $2 \cdot 3$  or  $2 \cdot 5$  by Mazur's theorem. If m = 6, then  $W_6$  decomposes as  $W'_1 \oplus W'_2 \oplus W'_3 \oplus W'_6$ , where  $W'_6 = W'_2 \otimes W'_3$ . To see that the latter is also irreducible one needs only to note that the dimension of  $W'_2$  is 1. In the same way, for m = 10, we have an irreducible component  $W'_{10}$ .

Using Lemma 11, we know now that  $W_N$  decomposes as

$$W_N = \bigoplus_{d \mid m} (W'_d \otimes W_s)$$

into irreducible  $\mathbb{Q}[G_N]$ -modules. We must now prove that none of the components belongs to the kernel of the map  $\iota: W_N \to E(K) \otimes \mathbb{Q}$ .

First recall the definition of  $W'_d \otimes W_s$ . It contains all elements

$$\sum_{C\in\mathbb{P}^1(\mathbb{Z}/N\mathbb{Z})}a_Ce_C\in\bigoplus_{C\in\mathbb{P}^1(\mathbb{Z}/N\mathbb{Z})}\mathbb{Q}e_C,$$

subject to the following three conditions.

- For all  $N \neq b \mid N$  and all cyclic subgroups B of order b, the sum  $\sum_{C \supset B} a_C$  vanishes.
- For all primes  $p \mid d$  and all  $C \supset C_p$ , we have  $a_C = 0$ .
- For all primes  $p \mid (m/d)$  and all  $C \not\supseteq C_p$ , we have  $a_C = 0$ .

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Let  $d \mid m$ . Define A to be the direct sum of  $C_p$  for all  $p \mid (m/d)$ . So A is a cyclic group of order m/d. The map  $\iota$  on  $W'_d \otimes W_s$  is induced from the map

$$\iota_d: \bigoplus_D \mathbb{Q} e_{A \oplus D} \longrightarrow E(K) \otimes \mathbb{Q},$$

where *D* runs through all the cyclic subgroups *D* in *E* of order  $d \cdot s$  such that *D* does not contain any of the  $C_p$  with  $p \mid d$ . Since this map sends  $e_{A \oplus D}$  to the self-point  $P_{A \oplus D}$ , it follows from Theorem 12 that the map  $\iota_d$  is not trivial.

Now we use the relations in Proposition 4 to see that, for all b | ds and all cyclic groups *B* of order *b* not containing any of the  $C_p$ , we have  $\sum_{D \supset B} e_{A \oplus D} \in \ker \iota_d$ . Hence the only irreducible part of the domain of  $\iota_d$  that does not lie in the kernel is  $W'_d \otimes W_s$  Hence  $\iota_d$  induces an injection  $W'_d \otimes W_s \to E(K) \otimes \mathbb{Q}$ .

The hypothesis in this last theorem is fulfilled for the very large part of semistable curves. We could not find a strong Weil curve with N < 10,000 for that the theorem would not apply. The first curve which does not satisfy the hypothesis with p = 3 is 651e2 since it has  $G_3 = \mathbb{Z}/2\mathbb{Z}$ , and the Tamagawa numbers are  $c_3 = 3$ ,  $c_7 = 3$ , and  $c_{31} = 3$ . For p = 2, the examples that do not satisfy the hypothesis are exactly those that have all 2-torsion points defined over  $\mathbb{Q}$ , as for instance 30a2.

# 6. Examples

Table 2 shows some computations done for the optimal curves (with one exception) of smallest conductor. We do not give the complete explanation of how one obtains these results. For more detail, we refer the reader to [Delaunay and Wuthrich 2008] and [Wuthrich 2007]. We will consider two curves in more detail later.

N	11a1	14a1	15a1	17a1	19a1	20a1	21a1	24a1	26a1
torsion	5	$2 \cdot 3$	$2 \cdot 4$	4	3	$2 \cdot 3$	$2 \cdot 4$	$2 \cdot 4$	3
isogeny	25	18	16	4	9	6	8	8	9
$W_N$	1	2	1	1	1	2	1	4	1
rank	11	14	15	17	19	15	21	18*	26
N	26b1	27a <b>2</b>	30a1	32a1	33a1	34a1	35a1	37a1	38a1
<i>N</i> torsion	26b1 7	27a <b>2</b> 3	30a1 2 · 3	32a1 4	33a1 2 · 2	34a1 2 · 3	35a1 3	37a1 1	38a1 3
<i>N</i> torsion isogeny	26b1 7 7	27a <b>2</b> 3 27	30a1 2 · 3 12	32a1 4 4	33a1 2 · 2 4	34a1 2 · 3 6	35a1 3 9	37a1 1 1	38a1 3 9
N torsion isogeny W <sub>N</sub>	26b1 7 7 1	27a <b>2</b> 3 27 5	30a1 2 · 3 12 4	32a1 4 4 ?	33a1 2 · 2 4 1	34a1 2 · 3 6 2	35a1 3 9 1	37a1 1 1	38a1 3 9 1

 Table 2. The ranks of the group generated by self-points for some curves.

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We label the curves as in Cremona's tables [1997]. The first line of our table shows the structure of the torsion group over  $\mathbb{Q}$ ; for example,  $2 \cdot 4$  means that  $E(\mathbb{Q})_{\text{tors}} = \mathbb{Z}_{2\mathbb{Z}} \oplus \mathbb{Z}_{4\mathbb{Z}}$ . The next line indicates the largest degree of a cyclic isogeny defined over  $\mathbb{Q}$  on E. The last two lines are those containing information about self-points: First we counted the number of irreducible  $\mathbb{Q}[G_N]$ -modules in  $W_N$ , and finally we computed the rank of the group generated by self-points in  $E(K_N)$ .

The two values in bold face are lower than the usual conjectured rank, which is no surprise since these two curves have complex multiplication. When there is no \* sign next to the rank, the value is proved using the results in the previous section. The sign \* indicates that we have only empirically computed the rank using the following method.

Using high precision computation we may find a very good approximation to the values of  $\int_{-\infty}^{\infty} dz$ 

$$z_C = \int_{x_C}^{\infty} f_E(q) \frac{dq}{q}$$

as elements of  $\mathbb{C}$ , where *C* runs over all cyclic subgroups of order *N* in *E*. Hence  $z_C$  maps to  $P_C$  under  $\mathbb{C} \to \mathbb{C}/\Lambda_E \to E(\mathbb{C})$ , where  $\Lambda_E = \mathbb{Z}\omega_1 \oplus \mathbb{Z}\omega_2$  is the period lattice of *E*. Let *t* be the order of the torsion subgroup of *E* over  $\mathbb{Q}$ . Consider the abelian group spanned by  $\frac{1}{t}\omega_1$ ,  $\frac{1}{t}\omega_2$  and all the  $z_C$  in a complex vector space of dimension  $2 + \#\mathbb{P}^1(\mathbb{Z}/N\mathbb{Z})$ . Using the LLL algorithm, we find small vectors in this lattice. These are likely to give relations

$$b_1\omega_1 + b_2\omega_2 + \sum_C a_C z_C = 0$$

with  $b_1$ ,  $b_2$ , and  $a_c$  all integers. This yields a probable relation among the selfpoints. Unfortunately we might not catch those relations involving torsion points on *E* not defined over  $\mathbb{Q}$ . So to increase the likelihood of finding all relations we multiply *t* by a product of small primes. For all cases for which we were able to determine the rank, this empirical computation gave the same answer. In principle these computations could be made rigorous by considering exact estimates for the error terms.

**6.1.** *Conductor 24.* We present here an example of a curve where we are unable to determine the rank of the group generated by self-points. The Mordell–Weil group of the curve 24a1, given by the equation

$$E: \quad y^2 = x^3 - x^2 - 4 \cdot x + 4,$$

is  $E(\mathbb{Q}) = \mathbb{Z}_{2\mathbb{Z}} \oplus \mathbb{Z}_{4\mathbb{Z}}$ . The situation is rather complicated and we do not explain all computations here. The field  $K_4$  turns out to be  $\mathbb{Q}(i, \sqrt{3})$ , which happens to be equal to  $\mathbb{Q}(E[4])$ . There is are two nontrivial Galois-orbits of 4-torsion points, one over  $\mathbb{Q}(\sqrt{3})$  and the other over  $\mathbb{Q}(\sqrt{-3})$ . Hence the representation  $V_4$  splits as

$$V_4 = \mathbb{1} \oplus \mathbb{1} \oplus \mathbb{1} \oplus \mathbb{1} \oplus \mathbb{1} \oplus \mathbb{1} (\sqrt{3}) \oplus \mathbb{1} (\sqrt{-3}),$$

where  $\mathbb{1}(\sqrt{d})$  is the one-dimensional representation corresponding to the Dirichlet character associated to  $\mathbb{Q}(\sqrt{d})$ . Now the field  $K_8$  can be computed too; it coincides with  $\mathbb{Q}(E[8])$  in this case. It is a degree 16 extension of discriminant  $2^{36} \cdot 3^{12}$ , and contains the extension  $\mathbb{Q}(i, \sqrt{2}, \sqrt{3})$ . The subextension  $K_4$  is fixed by the centre of the Galois group  $G_8$ . The group  $G_8$  admits two irreducible 2-dimensional representations, one of which we call  $Z_2$ . Then the representation  $V_8$  splits in many components and we find that

$$W_8 = \mathbb{1}(\sqrt{2}) \oplus \mathbb{1}(\sqrt{-2}) \oplus Z_2 \oplus Z_2.$$

The first two factors correspond to two pairs of lines in E[8] defined over  $\mathbb{Q}(\sqrt{2})$  and  $\mathbb{Q}(\sqrt{-2})$  respectively. The other lines are defined over fields of degree 4.

Using that the field  $K_3$  intersects  $K_8$  in  $\mathbb{Q}(\sqrt{-3})$ , we find that  $W_{24}$  splits into 4 irreducible factors  $W_{24} = W_3(\sqrt{2}) \oplus W_3(\sqrt{-2}) \oplus Z_6 \oplus Z_6$ . Here  $Z_6 = W_3 \otimes Z_2$  is an irreducible representation of dimension 6. In particular, this representation appears with multiplicity 2. So the usual proof that there are no further relations among self-points will not work.

The cyclic subgroup of order 8 in *E* that corresponds to  $\mu[8]$  over  $\mathbb{Q}_3$  contains the rational 4-torsion point. So one of the two factors of dimension 3 in  $W_{24}$ certainly appears in  $E(K_N) \otimes \mathbb{Q}$ . But we are unable to show that any other selfpoints are of infinite order by means of Theorem 12.

Though we can only conclude that the rank r of the group generated by the self-points satisfies  $3 \le r \le 18$ , we strongly believe that r = 18, as suggested by the empirical computations.

**6.2.** Conductor 27. There are four curves of conductor 27 forming the isogeny graph

$$27a2 \leftarrow 27a1 \leftarrow 27a3 \leftarrow 27a4$$

The isogenies  $\leftarrow$  are all of degree 3, and in the sense that they are drawn here, the kernels are  $\mathbb{Z}/_{3\mathbb{Z}}$  while the dual isogenies have kernel  $\mu[3]$ . Over the field  $F = \mathbb{Q}(\sqrt{-3}) = \mathbb{Q}(\zeta)$ , with  $\zeta$  a third root of unity, the curves 27a1 and 27a3 become isomorphic, the same holds for the curves 27a2 and 27a4. The first pair has complex multiplication by the maximal order  $\mathbb{Z}[\zeta]$ , while the second pair has complex multiplication by  $\mathbb{Z}[3\zeta]$ .

Let *E* be the curve 27a2 defined by  $y^2 + y = x^3 - 270 \cdot x - 1708$ .

**Theorem 15.** The self-points on the curve 27a2 generate a group of rank 20 in  $E(K_{27})$ . There are exactly two linearly independent self-points defined over  $K_3 = \mathbb{Q}(\sqrt[6]{-3})$ , and they generate a subgroup of finite index in  $E(K_3)$ .

The proof is contained in the following explanations, but we do omit certain computations.

The field  $K_3$  is equal to  $\mathbb{Q}(\sqrt[6]{-3})$ , and the Galois group  $G_3$  is a dihedral group of order 6. In fact some 3-torsion points are defined over  $F = \mathbb{Q}(\sqrt{-3})$ , some others are over  $\mathbb{Q}(\sqrt[3]{-3})$ , and we have  $V_3 = \mathbb{1} \oplus \mathbb{1}(\sqrt{-3}) \oplus Z_2$ , where  $Z_2$  is the unique irreducible 2-dimensional representation of  $G_3$ .

In order to determine the structure of  $V_{27}$ , we need to use the theory of complex multiplication. Let  $H_{27}$  be the subgroup  $\text{Gal}(K_{27}/F)$  inside  $G_{27}$ . We know that the representation  $\bar{\rho}_{27,F}$  now maps to

$$\bar{\rho}_{27,F}: H_{27} \longrightarrow \frac{\operatorname{Aut}_{\mathbb{O}/27\mathbb{O}}(E[27])}{(\mathbb{Z}/27\mathbb{Z})^{\times}} = \frac{(\mathbb{O}/27\mathbb{O})^{\times}}{(\mathbb{Z}/27\mathbb{Z})^{\times}}$$
$$\xrightarrow{\cong} \left\{ \begin{pmatrix} 1 & * \\ 0 & 1 \end{pmatrix} \in \operatorname{PGL}_{2}(\mathbb{Z}/27\mathbb{Z}) \right\} \xrightarrow{\cong} \mathbb{Z}/27\mathbb{Z},$$

where  $\mathbb{O} = \mathbb{Z}[3\zeta]$  is the ring of endomorphisms of E/F. It is possible to verify that  $H_{27}$  is equal to this group, and hence  $G_{27}$  is a dihedral group of order 54 generated by  $h = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$  and  $s = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$ . The computation of  $V_{27}$  is now easy and one finds

$$W_{27} = \mathbb{1} \oplus \mathbb{1}(\sqrt{-3}) \oplus Z_2 \oplus Z_2 \oplus Z_{18}.$$

Here  $Z_2$  is the unique 2-dimensional irreducible  $\mathbb{Q}[G_{27}]$ -module (the action of h has trace -1), and  $Z_{18}$  is the unique irreducible 18-dimensional  $\mathbb{Q}[G_{27}]$ -module (it splits over  $\mathbb{C}$  into six 2-dimensional representations). Since the curve 27a2 is not the strong Weil curve in the isogeny class, the modular parametrisation  $\varphi_E$  from the elliptic curve  $X_0(27)$  to E is not an isomorphism but an isogeny of degree 3. The curve  $X_0(27)$  has six cusps represented by the classes  $\{\infty, 0, \frac{1}{3}, \frac{2}{3}, \frac{2}{9}, \frac{4}{9}\}$ . The group  $X_0(27)(\mathbb{Q})$  contains the cusps  $\infty$  and 0 and the self-point obtained from the isogeny  $27a2 \rightarrow 27a4$ . They form exactly the kernel of  $\varphi_E$ . The other cusps are mapped to the 3-torsion points defined over F on E. In fact  $E(F) = \mathbb{Z}/3\mathbb{Z}$  and  $E(K_3)_{\text{tors}} = \mathbb{Z}/3\mathbb{Z} \oplus \mathbb{Z}/3\mathbb{Z}$ . A two-descent over  $K_3$  shows that the 2-Selmer group of  $E/K_3$  has two copies of  $\mathbb{Z}/2\mathbb{Z}$  in it.

The trivial factor in  $W_{27}$  corresponds to the self-point obtained from the 27isogeny defined over  $\mathbb{Q}$  on 27a2. We know that it is the point O in  $E(\mathbb{Q})$ . The factor  $\mathbb{1}(\sqrt{-3})$  in  $W_{27}$  must also belong to the kernel of  $\iota: W_{27} \to E(K_{27}) \otimes \mathbb{Q}$ since the Mordell–Weil group E(F) is of rank 0. Of the factors  $Z_2$  at least one must be in the kernel since the rank of  $E(K_3)$  is bounded by 2 from above. It is not hard to check by looking at traces of Frobenii that the torsion subgroup of  $E(K_{27})$ only contains nine 3-torsion points. Since the degree of  $\varphi_E$  is 3, there are at most 27 points in  $X_0(27)(K_{27})$  that map to torsion points in  $E(K_{27})$  under  $\varphi_E$ . Since there are 36 points  $x_C$ , we conclude that at least 9 self-points are of infinite order. Looking at the decomposition of  $W_{27}$ , we see that  $Z_{18}$  cannot belong to the kernel of *i*.

Finally we have to show that there is a self-point of infinite order in  $E(K_3)$ . This will show that the second copy of  $Z_2$  does not belong to the kernel of  $\iota$ . This can be done numerically. The point  $\tau_c = \frac{1}{6} \cdot (-1 + \sqrt{-3})$  in the upper half plane corresponds to a point  $x_c$  in  $X_0(27)$ . We find that

$$-\frac{1}{8}(36 \cdot s^5 + 15 \cdot s^4 - 45 \cdot s^3 - 18 \cdot s^2 + 69 \cdot s + 99) \quad \text{with } s = \sqrt[6]{-3}$$

is the *x*-coordinate of the self-point  $P_C$  in  $E(K_3)$ . Its canonical height is 1.5191 and hence  $P_C$  is of infinite order. This point  $P_C$  and its conjugates over *F* will generate a group of rank 2 in  $E(K_3)$ . Since we have computed the 2-Selmer group earlier, we conclude that the rank of  $E(K_3)$  is as claimed equal to 2.

It seems plausible that this  $P_C$  can also be constructed as an "exotic Heegner point" using the construction of Bertolini, Darmon and Prasanna [ $\geq 2009$ ], but the authors exclude there explicitly the case of conductor N = 27.

# 7. Higher self-points

In this section, we investigate three particular cases of higher self-points. Let  $E/\mathbb{Q}$  be an elliptic curve of conductor N. For any cyclic subgroup D in E we may consider the isogenous curve E/D with a suitable choice of a cyclic subgroup of order N in it. In the first case, we use subgroups D defined over  $\mathbb{Q}$  to construct new points and for the two other cases we use subgroups D of prime-power order  $p^n$ , first when p divides the conductor and then when it does not divide the conductor.

**7.1.** Self-points via rational isogenies. Let D be a cyclic subgroup in E defined over  $\mathbb{Q}$ . Suppose for simplicity that the order of D is prime to N. Then for any cyclic subgroup C of order N on E,

$$Q_D = \varphi_E(E/D, (C+D)/D)$$

is a higher self-point defined over the same field as  $P_C$ . It would be interesting to know in general when  $P_C$  and  $Q_D$  are linearly independent. For instance this can be shown on the curves of conductor 11: There are 3 curves in the isogeny class, and hence we find, for any fixed *C*, one self-point and two higher self-points on *E* defined over  $\mathbb{Q}(C)$ . Using the canonical height pairing, we can prove the linear independence of these three points computed explicitly on *E*. So the rank of  $E(\mathbb{Q}(C))$  will have to be at least 3. See [Delaunay and Wuthrich 2008] and [Wuthrich 2007] for more details on this example.

In some cases the method of the proof of Theorem 12 can be used to show that  $Q_D$  is also of infinite order. But the methods of the proof of Theorem 14 will not be sufficient to prove the independence of  $P_C$  and  $Q_D$ .

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**7.2.** The multiplicative case. Let now p be a prime dividing N exactly once, that is, E has multiplicative reduction at p. Let M be such that  $N = p \cdot M$ . As a base-field we will consider here the number field  $F = K_M$ , the smallest field such that its absolute Galois group acts as scalars on E[M]. In the particular situation when N = p is prime then  $F = \mathbb{Q}$ ; the same is true for instance if E is a curve of conductor 14 and p = 7.

For any  $n \ge 0$ , we define now  $F_n$  to be the field  $K_{p^nN}$  and  $H_n$  to be the Galois group of  $F_n/F$ . Via the Galois representation

$$\rho_{F,p}$$
: Gal $(\overline{F}/F) \longrightarrow \operatorname{Aut}(T_p E) \cong \operatorname{GL}_2(\mathbb{Z}_p) \longrightarrow \operatorname{PGL}_2(\mathbb{Z}_p),$ 

the group  $H_n$  identifies with a subgroup of  $PGL_2(\mathbb{Z}/p^{n+1}\mathbb{Z})$ .

Fix a subgroup *B* of order *M* in *E*. Let  $n \ge 0$ , and let *D* be a cyclic subgroup of order  $p^{n+1}$  in *E*. Let A = D[p] and  $C = A \oplus B$ , which is a cyclic subgroup of order *N*. Write  $\psi$  for the isogeny  $E \rightarrow E'$  of kernel *D* and  $\hat{\psi}$  for its dual. Define

$$C' = \ker(\hat{\psi})[p] \oplus \psi(B),$$

which is a cyclic subgroup of E' of order  $M \cdot p = N$ . The image of the point  $y_D = (E', C') \in Y_0(N)$  through the map  $\varphi_E$  will be denoted by  $Q_D$ . It is by definition a higher self-point. We will say that " $Q_D$  lies over  $P_C$ " or "over B".

In particular, if n = 0, then D = A is a cyclic subgroup of order p. From the construction above, we see that the point  $y_D$  is nothing but  $w_p(x_C)$ , where  $w_p$  is the Atkin–Lehner involution on  $X_0(N)$ . Hence we have that  $Q_D = -a_p \cdot P_C + T$  for some 2-torsion point T defined over  $\mathbb{Q}$ . Here  $a_p = \pm 1$  is, as before, the Hecke eigenvalue of the newform  $f_E$  attached to the isogeny class of E.

Let *D* be a cyclic subgroup of *E* of order  $p^{n+1}$ . By the definition of the Hecke operator  $T_p$  on  $J_0(N)$ , we have  $T_p((y_D) - (\infty)) = \sum_{D' \supset D} ((y_{D'}) - (\infty))$ , where the sum runs over all cyclic subgroups *D'* in *E* of order  $p^{n+2}$  containing *D*. This gives us the relation

$$a_p \cdot Q_D = \sum_{D' \supset D} Q_{D'}.$$
 (2)

Hence by induction, we know that  $Q_D$  is of infinite order if the self-point  $P_C$  is.

**Lemma 16.** Let B be a fixed subgroup of order M in E, and let  $n \ge 0$ . Then  $\sum_D Q_D$  is a torsion point in E(F), where the sum is over all cyclic subgroups D of E of order  $p^{n+1}$ .

*Proof.* Suppose first that n = 0. Then we sum over all cyclic subgroups D = A of order p, which gives

$$\sum_{D} Q_D = \sum_{C \supset B} (-a_p P_C + T) = (p+1) \cdot T - a_p \sum_{C \supset B} P_C.$$

The first term on the right side is clearly torsion and the second term contains exactly one of the relations from Proposition 4. Now by induction, we assume that the statement holds for *n*. But then  $\sum_{D'} Q_{D'}$ , with the sum running over all cyclic subgroups D' of order  $p^{n+2}$ , is, by (2), equal to  $a_p \cdot \sum_D Q_D$ , with the sum now running over cyclic subgroups of order  $p^{n+1}$ .

The Q-vector space with basis  $\{e_D\}_D$  in bijection with  $\mathbb{P}^1(\mathbb{Z}/p^{n+1}\mathbb{Z})$  is a natural  $\mathbb{Q}[H_n]$ -module. Define

$$V'_{(n)} = \frac{\bigoplus_A \mathbb{Q} e_D}{\mathbb{Q}(\sum_D e_D)},$$

which is a vector space of dimension  $p^{n+1} + p^n - 1$ .

Fix a cyclic subgroup B of order M in E. By the previous lemma, there is a morphism of  $\mathbb{Q}[H_n]$ -modules given by

$$\iota_n = \iota_{B,n} : V'_{(n)} \longrightarrow E(F_n) \otimes \mathbb{Q}, \quad e_D \longmapsto Q_D$$

We assume that the Galois representation  $\rho_{F,p}$  is surjective onto  $PGL_2(\mathbb{Z}_p)$ . So  $H_n$  is isomorphic to  $PGL_2(\mathbb{Z}/p^{n+1}\mathbb{Z})$  and the  $\mathbb{Q}[H_n]$ -module  $V'_{(n)}$  is the Steinberg representation, which was denoted by  $V_{p^n}/W_1$  in Section 4.

**Theorem 17.** Suppose  $E/\mathbb{Q}$  is an elliptic curve and p a prime of multiplicative reduction. Suppose that  $\rho_{F,p}$  is surjective and that there is a self-point  $P_C$  of infinite order in  $E(F_0)$ . Then for all  $n \ge 0$  and all cyclic subgroups D of order  $p^{n+1}$  with  $D[p] \subset C$ , the point  $Q_D$  is of infinite order. They generate in  $E(F_n) \otimes \mathbb{Q}$  a  $\mathbb{Q}[H_n]$ -module isomorphic to the representation  $V'_{(n)}$  of dimension  $p^{n+1} + p^n - 1$ .

As a special case, we recover [Delaunay and Wuthrich 2008, Theorem 8] in the case when N = p is prime and  $F = \mathbb{Q}$ .

*Proof.* We only have to show that  $\iota_n$  is injective. Suppose  $n \ge 0$  is the smallest value such that  $\iota_n$  is not injective. Since  $V'_{(n)} = W_{p^{n+1}} \oplus V'_{(n-1)}$  if n > 0 and  $V'_{(0)} = W_p$ , this means that  $\iota_n$  induced on  $W_{p^{n+1}}$  is not injective. Since this is an irreducible  $\mathbb{Q}[H_n]$ -module when  $\rho_{F,p}$  is surjective, this means that  $\iota_n$  is trivial on  $W_{p^{n+1}}$ . This is impossible since we have shown that all  $Q_D$  above  $P_C$  are of infinite order.  $\Box$ 

**7.3.** The good case. Let p be a prime not dividing N, that is, of good reduction for E. Let F be a number field such that E(F) contains a self-point  $P_C$  of infinite order. We fix the corresponding cyclic subgroup C of order N in E.

For any  $n \ge 0$ , let  $F_n$  be the smallest Galois extension of F such that the absolute Galois group Gal $(\overline{F}/F)$  acts via scalars on  $E[p^{n+1}]$ ; hence  $F_n = F \cdot K_{p^{n+1}}$ . Define  $H_n$  to be the Galois group Gal $(F_n/F)$ , which will be considered as a subgroup of  $PGL_2(\mathbb{Z}/p^{n+1}\mathbb{Z})$ .

For any  $n \ge 0$  and any cyclic subgroup D of order  $p^{n+1}$ , we construct a higher self-point  $Q_D$  in  $E(F_n)$  as follows. Let  $\psi : E \to E/D$  be the isogeny associated to *D*. Put  $y_D = (E/D, \psi(C)) \in Y_0(N)$  and  $Q_D = \varphi_E(y_D)$ . This is a higher self-point "above  $P_C$ ".

Again we may use the definition of the Hecke operator  $T_p$  to prove that, for all  $n \ge 0$  and D as before,

$$a_p \cdot Q_D = \sum_{D' \supset D} Q_{D'},\tag{3}$$

where the sum runs over all cyclic subgroups D' of order  $p^{n+2}$  in E containing D. Furthermore we have

$$a_p \cdot P_C = \sum_D Q_D, \tag{4}$$

with the sum running over all cyclic subgroups D of order p in E.

Let  $V_{(n)} = V_{p^{n+1}}$  be the  $\mathbb{Q}[H_n]$ -module whose basis  $\{e_D\}_D$  as a vector space over  $\mathbb{Q}$  is in bijection with  $\mathbb{P}^1(\mathbb{Z}/p^{n+1}\mathbb{Z})$ . We have a  $H_n$ -morphism defined by

$$\iota_n = \iota_{C,n} : V_{(n)} \longrightarrow E(F_n) \otimes \mathbb{Q}, \quad e_D \longmapsto Q_D$$

**Theorem 18.** Let  $E/\mathbb{Q}$  be an elliptic curve of conductor N. Let p be a prime of good and ordinary reduction for E. Let F be a number field such that E(F)contains a self-point  $P_C$  of infinite order. Suppose that the representation  $\rho_{F,p}$  is surjective. Then all higher self-points  $Q_D$  constructed above are of infinite order and they generate a group of rank  $p^n \cdot (p+1)$ .

*Proof.* By induction on *n*, using the formulae (3) and (4) and the hypothesis that *p* is ordinary to guarantee that  $a_p \neq 0$ .

The above easy proof of the theorem breaks down if E has supersingular reduction at p, for  $a_p$  is then almost always equal to 0.

**Theorem 19.** Let  $E/\mathbb{Q}$  be a semistable elliptic curve of conductor N not equal to 30 or 210. Let p > N be a supersingular prime for E. Let  $F = K_N$ . Suppose that the representation  $\rho_{F,p}$  is surjective. Then all higher self-points  $Q_D$  above a given self-point  $P_C$  are of infinite order, and they generate a group of rank  $p^n \cdot (p+1)$ .

*Proof.* We follow the proof of Theorem 12. Let  $\ell > 2$  be a prime dividing N. We proved that the self-points are of infinite order by showing that when a certain Atkin–Lehner involution is applied to one of the conjugates of  $x_c$ , one obtains a point  $\ell$ -adically close to the cusp  $\infty$  on  $X_0(N)(\overline{\mathbb{Q}}_\ell)$ .

Let  $Q_D$  be a higher self-point above the self-point  $P_C$ . Since  $\rho_{F,p}$  is surjective, the point  $Q_D$  will be conjugate over  $K_N$  to all other higher self-points above the same self-point. Therefore without loss of generality we may assume that the cyclic subgroup D on E corresponds to  $\mu[p^{n+1}]$  in  $E(\overline{\mathbb{Q}}_\ell)$ . Then the point  $y_D = (E', C')$ is represented by a Tate curve over  $\overline{\mathbb{Q}}_\ell$  with parameter  $q_{E'}$  equal to the  $p^{n+1}$ -st power of  $q_E$ . Let *r* be a divisor of *N* such that  $w_r(y_D)$  is the pair  $(E'', \mu[N])$ , with E'' the Tate curve with parameter  $q_{F'}^{1/r}$ . Using that  $p > N \ge r$ , we find that

$$|q_{E'}^{1/r}|_{\ell} = |q_E|_{\ell}^{p^{n+1}/r} \leqslant \ell^{-(p/r) \cdot p^n} \leqslant \ell^{-1} < \ell^{-1/(\ell-1)},$$

and hence Lemma 3 shows that  $\varphi_E(E'', \mu[N])$  is of infinite order. Then as usual  $Q_D$  differs from  $\pm \varphi_E(w_r(y_D))$  by a torsion point. So  $Q_D$  is of infinite order.

Since the representation  $W_{p^n}$  is irreducible for  $PGL_2(\mathbb{Z}/p^{n+1}\mathbb{Z})$ , we can show by induction that the rank of the group generated by higher self-points is dim $(V_{(n)}) = p^n \cdot (p+1)$ .

Putting the previous two results together, we are able to show a corollary that holds for all but finitely many primes p.

**Corollary 20.** Suppose  $E/\mathbb{Q}$  is a semistable curve of conductor N not equal to 30 or 210. Let p be a prime such that p > N, (so it is of good reduction), and such that  $\bar{\rho}_p$ : Gal( $\overline{\mathbb{Q}}/\mathbb{Q}$ )  $\rightarrow$  PGL<sub>2</sub>( $\mathbb{F}_p$ ) is surjective. Let s be the rank of the group generated by self-points in  $E(K_N)$ . Then the higher self-points in  $E(K_{p^{n+1}N})$  generate a group of rank  $s \cdot (p+1) \cdot p^n$ .

*Proof.* Take  $F = K_N$  in the previous theorems. We only have to show the condition that  $\rho_{F,p}$  is surjective. It is enough to show that  $\bar{\rho}_{F,p} : \text{Gal}(\bar{F}/F) \to \text{PGL}_2(\mathbb{F}_p)$  has all of  $\text{PSL}_2(\mathbb{F}_p)$  in its image, since the representation  $V_{p^n}$  will still have the same decomposition.

Let  $H_p$  be the group  $\operatorname{Gal}(K_{Np}/K_N)$ , that is, the image of  $\bar{\rho}_{F,p}$ . It is equal to the normal subgroup in  $\operatorname{Gal}(K_p/\mathbb{Q}) \cong \operatorname{PGL}_2(\mathbb{F}_p)$  corresponding to the subextension  $K_p/K_N \cap K_p$ . Since p > 11 when p > N, we have that  $\operatorname{PGL}_2(\mathbb{F}_p)$  has only three normal subgroups, namely itself,  $\operatorname{PSL}_2(\mathbb{F}_p)$  and {1}. By the remark above, we only have to exclude that  $H_p$  is not trivial.

If  $H_p$  was trivial, then p, dividing the order of  $PGL_2(\mathbb{F}_p)$ , would have to divide the order of  $G_N$ , which is a subgroup of  $PGL_2(\mathbb{Z}/N\mathbb{Z})$ . But if p > N, then pcannot divide the order of  $PGL_2(\mathbb{Z}/N\mathbb{Z})$ , except when p = 3 and N = 2, which cannot occur as a conductor.

#### 8. Derivatives

Let  $E/\mathbb{Q}$  be an elliptic curve of conductor N. Let p be an odd prime of ordinary, either good or multiplicative, reduction. To treat the cases of higher self-points discussed in the Sections 7.2 and 7.3 simultaneously, we choose now a base field F. If E has good ordinary reduction at p, then F is any number field such that E(F)contains a self-point  $P_C$  of infinite order. If p divides N, then F is a number field such that the absolute Galois group of F acts by scalars on E[N/p].

We will suppose from now on that  $\rho_{F,p}$ :  $\operatorname{Gal}(\overline{F}/F) \to \operatorname{PGL}_2(\mathbb{Z}_p)$  is surjective.

We suppose that  $F_n$  is the smallest extension of F such that the Galois group  $H_n = \text{Gal}(F_n/F)$  acts by scalars on  $E[p^{n+1}]$ . By assumption the map  $\rho_{F,p}$  induces an isomorphism from  $H_n$  to  $\text{PGL}_2(\mathbb{Z}/p^{n+1}\mathbb{Z})$ . Also, this implies that  $E(F_n)$  has no p-torsion elements.

Let  $\mathbb{O}$  be the ring of integers in the unramified quadratic extension of  $\mathbb{Q}_p$ . Choosing a basis of  $\mathbb{O}$  over  $\mathbb{Z}_p$  and viewing each element  $u \in \mathbb{O}^{\times}$  as the  $(\mathbb{Z}_p$ -linear) multiplication by u on  $\mathbb{O}$ , we get a homomorphism

 $\Psi: \mathbb{O}^{\times} \to \operatorname{GL}_2(\mathbb{Z}_p) \to \operatorname{PGL}_2(\mathbb{Z}_p),$ 

whose kernel is  $\mathbb{Z}_p^{\times}$ . The image of the composition

$$\mathbb{O}^{\times} \to \mathrm{PGL}_2(\mathbb{Z}_p) \to \mathrm{PGL}_2(\mathbb{Z}/p^{n+1}\mathbb{Z}) \to H_n$$

will be denoted by  $A_n$ . This is a cyclic group of order  $(p+1) \cdot p^n = \#\mathbb{P}^1(\mathbb{Z}/p^{n+1}\mathbb{Z})$ . It is the projective version of the nonsplit Cartan group in  $\operatorname{GL}_2(\mathbb{Z}/p^{n+1}\mathbb{Z})$ . To simplify notation, we will write  $F_n^A$  for the subfield of  $F_n$  fixed by  $A_n$ .

**Theorem 21.** Let  $E/\mathbb{Q}$  be an elliptic curve. Suppose E does not have potentially good supersingular reduction for any prime of additive reduction. Let p be a prime of either good ordinary or multiplicative reduction. Let F be the number field as above and assume that  $\rho_{F,p}$  is surjective. Then we have  $\#\operatorname{Sel}_{p^n}(E/F_n^A) \ge p^n$ , where A is any nonsplit Cartan group in PGL<sub>2</sub>( $\mathbb{Z}_p$ ).

The proof of this theorem will be completed in Section 8.3.

Since there are no *p*-torsion points in  $E(F_n)$ , as  $\rho_{F,p}$  is assumed to be surjective, there is an isomorphism  $H^1(F_n^A, E[p^k]) \rightarrow H^1(F_n, E[p^k])^{A_n}$  induced by the restriction map. This implies that the map

$$\operatorname{Sel}_{p^n}(E/F_n^A) \to \operatorname{Sel}_{p^n}(E/F_n)^{A_n}$$

is injective. We conjecture that the elements in the Selmer group constructed in Theorem 21 do not lie in the image of the Kummer map, but represent nontrivial elements in the Tate–Shafarevich group  $\text{III}(E/F_n^A)$ . If so, these classes in the Tate– Shafarevich group will capitulate in the extension  $F_n/F_n^A$ , since the elements of the Selmer group in the theorem restrict to elements in the image of the higher selfpoints inside  $\text{Sel}_{p^n}(E/F_n)$ . It would be very interesting to verify this conjecture in some cases, but even for the smallest cases like p = 11 it seems completely impossible to compute the classes explicitly. Nevertheless it is natural to make this conjecture when comparing it to Kolyvagin's conjecture on the nontriviality of derivative classes of Heegner points (as investigated in [Jetchev et al. 2007]).

# 8.1. The field extension.

**Lemma 22.** The cyclic group  $A_n$  intersects trivially any Borel subgroup in  $H_n$ .

*Proof.* We prove the statement that the image of  $\Psi$  in  $PGL_2(\mathbb{Z}_p)$  intersects trivially any of its Borel subgroups *B*. Let *L* be the  $\mathbb{Z}_p$ -line in  $\mathbb{O}$  such that *B* is the stabiliser under the action of  $PGL_2(\mathbb{Z}_p)$  on  $\mathbb{P}^1(\mathbb{Z}_p)$  viewed as the set of  $\mathbb{Z}_p$ -modules in  $\mathbb{O}$ generated by a unit. Let  $\alpha \in \mathbb{O}^{\times}$  be any element with a nontrivial image under  $\Psi$ . Then  $\alpha \notin \mathbb{Z}_p^{\times}$  cannot fix *L*.

This implies in particular that any generator  $\alpha_n$  of  $A_n$  acts simply transitively on the set  $\mathbb{P}^1(\mathbb{Z}/p^{n+1}\mathbb{Z})$ .

**Lemma 23.** Let v be either a place of ordinary reduction above p or an infinite place or a place of potentially multiplicative reduction. Then the image of

$$\bar{\rho}_{F_v,p}: \operatorname{Gal}(\bar{F}_v/F_v) \to \operatorname{PGL}_2(\mathbb{Z}/p^{n+1}\mathbb{Z})$$

lies in a Borel subgroup of  $PGL_2(\mathbb{Z}/p^{n+1}\mathbb{Z})$ .

*Proof.* First suppose that v divides p. Since E is of ordinary reduction at v, there is a cyclic subgroup of  $E[p^{n+1}]$  of order  $p^{n+1}$  that is fixed by the Galois group Gal $(\overline{F}_v/F_v)$ . This subgroup consists of all elements of  $E[p^{n+1}]$  with trivial reduction over  $\overline{F}_v$ . Therefore the image of  $\overline{\rho}_{F_v,p}$  is contained in the stabiliser of this point in  $\mathbb{P}^1(\mathbb{Z}/p^{n+1}\mathbb{Z})$ , which is a Borel subgroup.

Now, let v be a place of split multiplicative reduction for E. From the description of E as a Tate curve over  $F_v$ , we see that there is subgroup isomorphic to  $\mu[p^{n+1}]$  inside  $E[p^{n+1}]$ . As before  $\operatorname{Gal}(\overline{F}_v/F_v)$  will fix this subgroup and hence the image of  $\overline{\rho}_{F_v,p}$  is contained in a Borel subgroup.

Next, we suppose that v is a place of bad reduction, but not of split multiplicative type. Then by hypothesis, E has either nonsplit multiplicative or additive and potentially multiplicative reduction. In both cases there exists a quadratic extension L of  $F_v$ , unramified in the first case and ramified in the second, such that E has split multiplicative reduction over L; see [Serre 1972, page 312]. Hence  $E[p^{n+1}]$  can be described as the set of  $\zeta^i \cdot a^j$ , with  $\zeta$  a primitive  $p^{n+1}$ -st root of unity,  $a = p^{n+1}$ -st root of the Tate-parameter q and  $0 \le i, j < p^{n+1}$ ; the action of  $\sigma \in \text{Gal}(\overline{F_v}/F_v)$  is given by  $\sigma * (\zeta^i \cdot a^j) = \chi_L(\sigma) \cdot \sigma(\zeta)^i \cdot \sigma(a)^j$ , where  $\chi_L$  is the quadratic character associated to  $L/F_v$ . Therefore the subgroup generated by  $\zeta$  is still fixed under  $\text{Gal}(\overline{F_v}/F_v)$ .

Finally, we have to treat the case when v is an infinite place. But for any p, there is a cyclic subgroup of order  $p^{n+1}$  in  $E(\mathbb{R})$ ; hence the image is contained in a Borel subgroup.

Remark: We used here in a crucial way the assumption that p is a prime of ordinary reduction. Certainly it will not hold for places of additive reduction that are potentially supersingular.

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**Proposition 24.** Suppose that none of the primes of additive reduction for E are potentially good supersingular. Then then extension  $F_n/F_n^A$  is nowhere ramified. Moreover all places above  $\infty$ , p, and N split completely in this extension.

*Proof.* Since  $F_n$  is a subfield of  $F(E[p^{\infty}])$ , it is unramified outside  $\infty$ , p, and N. By the previous lemma, the decomposition group of a place v dividing  $\infty \cdot p \cdot N$  in F inside  $H_n$  is contained in a Borel. Since any Borel intersects  $A_n = \text{Gal}(F_n/F_n^A)$  trivially by Lemma 22, the places above  $\infty \cdot p \cdot N$  in  $F_n^A$  split completely.  $\Box$ 

# 8.2. The A-cohomology of the Steinberg representation. Let

$$V'_n = \left\{ f : \mathbb{P}^1(\mathbb{Z}/p^{n+1}\mathbb{Z}) \to \mathbb{Q} \mid \sum_D f(D) = 0 \right\}$$

be the  $\mathbb{Q}[H_n]$ -module considered earlier in Section 7.2. It is a  $\mathbb{Q}$ -vector space of dimension m - 1 with  $m = (p + 1) \cdot p^n$ . There is a natural lattice  $T'_n$  in  $V'_n$  that is fixed by  $H_n$ , defined by

$$T'_n = \left\{ f : \mathbb{P}^1(\mathbb{Z}/p^{n+1}\mathbb{Z}) \to \mathbb{Z} \mid \sum_D f(D) = 0 \right\}$$

Lemma 25.  $H^1(A_n, T'_n) = \mathbb{Z}_{m\mathbb{Z}}.$ 

*Proof.* The  $A_n$ -fixed part of  $V'_n$  is trivial, since  $A_n$  acts transitively on  $\mathbb{P}^1(\mathbb{Z}/p^{n+1}\mathbb{Z})$ : A function  $f : \mathbb{P}^1(\mathbb{Z}/p^{n+1}\mathbb{Z}) \to \mathbb{Q}$  that is fixed by  $A_n$  would necessarily be constant, but then  $\sum_D f(D) = 0$  implies that f = 0. Consider now the exact sequence

$$0 \to T'_n \to V'_n \to V'_n / T'_n \to 0$$

of  $H_n$ -modules, which induces an isomorphism  $(V'_n/T'_n)^{A_n} \to \mathrm{H}^1(A_n, T'_n)$  since  $\mathrm{H}^1(H_n, V'_n) = 0$  as  $V'_n$  is divisible. So we are looking to determine the  $A_n$ -fixed functions in

$$V'_n/T'_n = \left\{ f : \mathbb{P}^1(\mathbb{Z}/p^{n+1}\mathbb{Z}) \to \mathbb{Q}/\mathbb{Z} \mid \sum_D f(D) = 0 \right\}.$$

Such a function must be constant, since  $A_n$  acts transitively. Say  $f(D) = f_0$ . Then  $m \cdot f_0 = 0$ , so  $f_0 \in (1/m)\mathbb{Z}$  gives the result.

**Proposition 26.** If U is any lattice in  $V'_n$  fixed by  $H_n$ , then  $\# H^1(A_n, U) = m$ .

*Proof.* The lattice U is contained in a scaled version of  $T'_n$  with finite index, say  $0 \to U \to T'_n \to Z \to 0$ . Since the Herbrand quotient<sup>2</sup> satisfies  $h(A_n, Z) = 1$  for the finite  $A_n$ -module Z, we have  $\# H^1(A_n, U) = h(A_n, U) = h(A_n, T'_n) = \# H^1(A_n, T'_n) = m$ .

It is not true in general that  $H^1(A_n, U)$  is cyclic. For n = 0, it can have up to three cyclic factors.

<sup>&</sup>lt;sup>2</sup>We set  $h(G, A) = \# H^1(G, A) / \# H^2(G, A)$  for a finite cyclic group G acting on a G-module A.

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## 8.3. Proof of Theorem 21. We have an injection

$$\iota: V'_n \to E(F_n) \otimes \mathbb{Q}, \quad f \mapsto \sum_D f(D) \cdot Q_D,$$

where  $Q_D$  is the higher self-point constructed in Sections 7.2 and 7.3. Let  $S_n$  be the saturated group generated by the higher self-points in  $E(F_n)$ , that is,

 $S_n = \{ P \in E(F_n) \mid \text{ there is a } k > 0 \text{ such that } k \cdot P \in \mathbb{Z}[H_n] \cdot Q_D \}.$ 

By definition all torsion points in  $E(F_n)$  belong to  $S_n$ ; moreover we have

$$0 \to E(F_n)_{\text{tors}} \to S_n \to U_n \to 0,$$

where  $U_n$  can be identified as a  $H_n$ -stable lattice in the image of  $\iota$ . Because there are no  $A_n$ -fixed elements in  $U_n$ , we find

$$0 \longrightarrow \mathrm{H}^{1}(A_{n}, E(F_{n})_{\mathrm{tors}}) \longrightarrow \mathrm{H}^{1}(A_{n}, S_{n}) \longrightarrow \mathrm{H}^{1}(A_{n}, U_{n})$$
$$\longrightarrow \mathrm{H}^{2}(A_{n}, E(F_{n})_{\mathrm{tors}}) \longrightarrow \mathrm{H}^{2}(A_{n}, S_{n}) \longrightarrow 0.$$

Since the Herbrand quotient  $h(A_n, E(F_n)_{tors})$  is trivial, we find

$$# H^{1}(A_{n}, S_{n}) = # H^{1}(A_{n}, U_{n}) \cdot # H^{1}(A_{n}, S_{n})$$
  
$$\geq # H^{1}(A_{n}, U_{n}) = m = (p+1) \cdot p^{n}$$

by Proposition 26. Note also that since  $E(F_n)$  has no *p*-torsion points, we know that  $\# H^1(A_n, S_n)[p^n] = \# H^1(A_n, U_n)[p^n] = p^n$ . Consider the natural inclusion of  $S_n$  into  $E(F_n)$ . The cokernel of this inclusion  $Y_n$  is a free  $\mathbb{Z}$ -module. The long exact sequence

$$0 \longrightarrow E(F_n^A)_{\text{tors}} \longrightarrow E(F_n^A) \longrightarrow Y_n^{A_n} \longrightarrow H^1(A_n, S_n) \longrightarrow H^1(A_n, E(F_n))$$
(5)

shows that  $Y_n^{A_n}$  has the same rank as  $E(F_n^A)$ .

Composing the last map in the above sequence with the inflation map will be called the *derivation map* 

$$\partial_n : \mathrm{H}^1(A_n, S_n) \longrightarrow \mathrm{H}^1(A_n, E(F_n)) \succ \overset{\mathrm{inf}}{\longrightarrow} \mathrm{H}^1(F_n^A, E).$$

Since  $S_n$  has no *p*-torsion elements, we can identify the  $p^n$ -torsion part of the source with

$$\left(\frac{S_n}{p^n S_n}\right)^{A_n} \xrightarrow{\cong} \mathrm{H}^1(A_n, S_n)[p^n] ,$$

and therefore we call the image of  $\partial_n$  the *derived classes* of higher self-points.

**Lemma 27.** The image of  $\partial_n$  is contained in  $\coprod(E/F_n^A)$ .

*Proof.* Let  $\kappa$  be the lift of an element in the image of  $\partial_n$  under the map

$$\mathrm{H}^{1}(F_{n}^{A}, E[m']) \longrightarrow \mathrm{H}^{1}(F_{n}^{A}, E)[m']$$

for a sufficiently large m'. Since the extension  $F_n/F_n^A$  is nonramified at a place v outside the set  $\Sigma$  of places in  $F_n^A$  above p, N or  $\infty$ , the restriction of  $\kappa$  to  $\mathrm{H}^1(F_{n,v}^A, E[m'])$  will lie in  $\mathrm{H}_f^1(F_n^A, E[m'])$ . Now for any place v in  $\Sigma$ , the place v splits completely in extension  $F_n/F_n^A$  by Proposition 24. Therefore the restriction of  $\kappa$  to  $\mathrm{H}^1(F_{n,v}^A, E[m'])$  is trivial since it comes from the inflation

$$\mathrm{H}^{1}(F_{n}/F_{n}^{A}, E(F_{n})) \longrightarrow \mathrm{H}^{1}(F_{n}^{A}, E).$$

Hence  $\kappa$  belongs to the Selmer group within  $H^1(F_n^A, E[m'])$ .

We can now end the proof of Theorem 21. Denote by *s* the minimal number of generators of the kernel of  $\partial_n$ . From the long exact sequence (5), we see that the rank of  $Y_n^{A_n}$  is at least *s*. So, if  $\partial_n$  is not injective, then rank $(E(F_n^A))$  is positive. So either the image of  $\partial$ , lifted to the Selmer group, will contribute  $p^n$  elements or else  $E(F_n^A)$  will give rise to a copy of  $\mathbb{Z}/p^n\mathbb{Z}$  in  $\operatorname{Sel}_{p^n}(E/F_n^A)$ .

We add here a comment on the case when E has supersingular reduction at p. It turns out that construction of derivative classes in  $H^1(F_n^A, E)$  using higher selfpoints works the same, provided that the higher self-points are of infinite order. The main difference is that the cohomology classes do not belong to the Tate– Shafarevich group. In fact, under the assumption that the derivative map is not trivial, they will provide classes that are orthogonal to elements from the Selmer group and could be used to bound the Selmer group from above, just like Kolyvagin's classes built from Heegner points. Unfortunately we do not know a way of proving the assumption; hence these derivative classes cannot be used to say something about the Selmer group.

**8.4.** *Derivative of self-points.* Besides constructing derivative classes of higher self-points, we can also produce cohomology classes from self-points. We only sketch here the results whose proofs are similar to the previous sections.

Let  $E/\mathbb{Q}$  be an elliptic curve of conductor N. Assume for simplicity that N = p is prime. Put  $K = K_p$ . It is known that  $\rho_p$  is surjective; for more details see [Delaunay and Wuthrich 2008]. So the Galois group  $G = \text{Gal}(K/\mathbb{Q})$  is isomorphic to  $\text{PGL}_2(\mathbb{F}_p)$ . Let A be any cyclic subgroup of order p + 1 in G.

**Theorem 28.** There is map  $\partial$  to the Tate–Shafarevich group  $\operatorname{III}(E/K^A)$  from a group of order at least p + 1. If r is the difference of the rank of  $E(\mathbb{Q}(C))$  and  $E(\mathbb{Q})$ , then

$$\# \operatorname{Sel}_{p+1}(E/K^A) \ge (p+1)^r \cdot \# E(\mathbb{Q})[p+1].$$

As before we consider the saturation of the self-points *S* in E(K). We know that *S* modulo its torsion part is a lattice *U* in the Steinberg representation of PGL<sub>2</sub>( $\mathbb{F}_p$ ). As we have seen in Section 8.2, the cohomology group H<sup>1</sup>(*A*, *U*) will have p + 1 elements. In [Delaunay and Wuthrich 2008, Section 4], we computed the torsion subgroup of E(K). Using this we obtain that  $E(K^A)_{\text{tors}} = E(\mathbb{Q})_{\text{tors}}$  and

$$\mathrm{H}^{1}(A, E(K)_{\mathrm{tors}}) = \mathrm{H}^{2}(A, E(K)_{\mathrm{tors}}) = \begin{cases} \mathbb{Z}/2\mathbb{Z}, \\ 0, \end{cases}$$

the nontrivial case occurring exactly when E is one of the curves 17a2, 17a3, 17a4 or any Neumann–Setzer curve. As before, this shows that  $H^1(A, S)$  has either p+1 or 2(p+1) elements. The derivative map is again

$$\partial: \mathrm{H}^{1}(A, S) \longrightarrow \mathrm{H}^{1}(A, E(K)) \longrightarrow \mathrm{H}^{1}(K^{A}, E),$$

and its image is in the Tate–Shafarevich group  $III(E/K^A)$ . Denote by *Y* the quotient of E(K) by *S*. Then ker  $\partial$  is the quotient of  $Y^A$  by  $E(K^A)$ . If this map  $\partial$  is not injective, then there is a  $y \in Y^G$ , lifting to a point of infinite order  $Q \in E(K)$ , such that *Q* does not belong to  $E(K^A)$  but a nonzero multiple of it does. So either  $\partial$  is surjective or there are points of infinite order defined over  $K^A$  that only become divisible in E(K).

We should add that the control theorem for the Selmer group is not necessarily perfect; the kernel of  $\operatorname{Sel}_{p+1}(E/K^A) \to \operatorname{Sel}_{p+1}(E/K)$  can be of order 1 or 2.

It is also worth adding another particular property of  $K^A$ : the *L*-series of *E* over  $K^A$  is the product of  $\prod_{\rho} L(E, \rho, s)$ , where  $\rho$  runs over all distinct irreducible representations of PGL<sub>2</sub>( $\mathbb{F}_p$ ) except the Steinberg representation and the nontrivial 1-dimensional representation. It is not known whether this *L*-series admits analytic continuation.

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christian.wuthrich@nottingham.ac.uk

School of Mathematical Sciences, University of Nottingham, Nottingham NG72RD, United Kingdom