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Variations on a theme of Soulé

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# The structure of the group $G(k[t])$ : Variations on a theme of Soulé

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Following Soulé's ideas from 1979, we give a presentation of the abstract group  $G(k[t])$  for any semisimple (connected) simply connected absolutely almost simple  $k$ -group  $G(k[t])$ . As an application, we give a description of  $G(k[t])$  in terms of direct limits, and show that the Whitehead group and the naive group of connected components of  $G(k[t])$  coincide.

## 1. Introduction

Let  $k$  be a field, and let  $G$  be a semisimple simply connected absolutely almost simple  $k$ -group. In the case that  $G$  is split, Soulé [1979] has given a presentation of the group  $G(k[t])$ , thus extending a theorem of Nagao [1959] for  $SL_2$  (see also [Serre 1977, II.1.6]). The goal of this note is to provide a presentation of  $G(k[t])$  in the general case.

We will follow Soulé's original ideas and study the action of  $G(k[t])$  on the Bruhat–Tits building [1984] of  $G$  corresponding to the field  $K = k((1/t))$ , where  $K$  is viewed as the completion of  $k(t)$  with respect to the valuation at  $\infty$ . As an application, we show that the Whitehead group of  $G$  coincides with the naive group of connected components of  $G$ .

## 2. Structure of the group $G(k[t])$

Throughout  $k$  and  $G$  will be as above. For convenience the group  $G(k[t])$  will be denoted by  $\Gamma$ .

**Notation and statement of the main theorem.** Let  $S$  be a maximal  $k$ -split torus of  $G$ , and let  $T$  be a maximal torus of  $G$  containing  $S$ . Recall that  $S_K$  is a maximal  $K$ -split torus of  $G_K$ . Let  $\tilde{k}/k$  be a finite Galois extension that splits  $T$  (hence also  $G$ ). Set  $\mathcal{G} = \text{Gal}(\tilde{k}/k)$  and  $\tilde{T} = T \times_k \tilde{k}$ .

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Let  $\tilde{\mathbf{G}} = \mathbf{G} \times_k \tilde{k}$  and  $\tilde{\mathbf{S}} = \mathbf{S} \times_k \tilde{k}$ . We choose compatible orderings on the root systems  $\Phi = \Phi(\mathbf{G}, \mathbf{S})$  and  $\tilde{\Phi} = \Phi(\tilde{\mathbf{G}}, \tilde{\mathbf{T}})$ ; see [Borel 1991]. We then have a set  $\Delta$  of relative simple roots and a set  $\tilde{\Delta}$  of absolute simple roots.

It will be convenient to maintain essentially the same notation as in Soulé’s paper:

- $A = k[t]$ ,  $K = k((1/t))$  and  $G = \mathbf{G}(K)$ .
- $\omega$  is the valuation on  $K$  at  $\infty$ , that is, the valuation on  $K$  having  $\mathbb{O} = k[[1/t]]$  as its ring of integers.

We also have the analogues of the above objects for  $\tilde{k}$ :

- $\tilde{A} = \tilde{k}[t]$ ,  $\tilde{K} = \tilde{k}((1/t))$ ,  $\tilde{\Gamma} = \mathbf{G}(\tilde{A})$ , and  $\tilde{\mathbb{O}} = \tilde{k}[[1/t]]$ .

At the level of buildings we set [Bruhat and Tits 1984, section 4.2]

- $\mathcal{T}$  the (affine) Bruhat–Tits building of the  $K$ -group  $\mathbf{G}_K := \mathbf{G} \times_k K$ , and
- $\tilde{\mathcal{T}}$  the Bruhat–Tits building of the  $\tilde{K}$ -group  $\mathbf{G}_{\tilde{K}} := \mathbf{G} \times_k \tilde{K}$ .<sup>1</sup>

Both  $\mathcal{T}$  and  $\tilde{\mathcal{T}}$  have a natural simplicial complex structure [ibidem, section 4.2.23].

Recall that  $\mathcal{T}$  is equipped with an action of  $\mathbf{G}(K)$  and that  $\tilde{\mathcal{T}}$  is equipped with an action of  $\mathbf{G}(\tilde{K}) \times \mathcal{G}$ . We have an isometric embedding  $j : \mathcal{T} \rightarrow \tilde{\mathcal{T}}$  that identifies  $\mathcal{T}$  with  $\tilde{\mathcal{T}}^{\mathcal{G}}$ . The hyperspecial group  $\mathbf{G}(\tilde{\mathbb{O}})$  of  $\mathbf{G}(\tilde{K})$  fixes a unique point  $\tilde{\phi}$  of  $\tilde{\mathcal{T}}$  [Bruhat and Tits 1972, section 9.1.9.c]. This point descends to a point  $\phi$  of  $\mathcal{T}$ .

We denote by  $\mathcal{A}$  the standard apartment of  $\mathcal{T}$  associated to  $\mathbf{S}$  (this is a real affine space) and similarly by  $\tilde{\mathcal{A}}$  the standard apartment associated to  $\tilde{\mathbf{T}}$ . The point  $\tilde{\phi}$  belongs to  $\tilde{\mathcal{A}}$  (ibidem). Since

$$\mathrm{Hom}_{k\text{-gr}}(\mathbf{G}_m, \mathbf{S}) \otimes_{\mathbb{Z}} \mathbb{R} \cong \mathrm{Hom}_{k\text{-gr}}(\mathbf{G}_m, \mathbf{T}) \otimes_{\mathbb{Z}} \mathbb{R} \cong (\mathrm{Hom}_{\tilde{k}\text{-gr}}(\mathbf{G}_{m,\tilde{k}}, \tilde{\mathbf{T}}) \otimes_{\mathbb{Z}} \mathbb{R})^{\mathcal{G}}$$

[Bruhat and Tits 1984, section 4.2], we have  $j(\mathcal{A}) = \tilde{\mathcal{A}}^{\mathcal{G}}$ , so  $\phi$  belongs to  $\mathcal{A}$  and

$$\mathcal{A} = \phi + \mathrm{Hom}_{k\text{-gr}}(\mathbf{G}_m, \mathbf{S}) \otimes_{\mathbb{Z}} \mathbb{R}.$$

By means of the canonical pairing  $\langle \cdot, \cdot \rangle : \mathrm{Hom}_{k\text{-gr}}(\mathbf{S}, \mathbf{G}_m) \times \mathrm{Hom}_{k\text{-gr}}(\mathbf{S}, \mathbf{G}_m) \rightarrow \mathbb{Z}$  we can then define the *sector* (quartier)

$$\mathcal{Q} := \phi + D, \quad \text{where } D := \{v \in \mathrm{Hom}_{k\text{-gr}}(\mathbf{S}, \mathbf{G}_m) \otimes_{\mathbb{Z}} \mathbb{R} \mid \langle b, \lambda \rangle \geq 0, \forall b \in \Delta\}.$$

The following result generalizes Soulé’s theorem [1979].

**Theorem 2.1.** *The set  $\mathcal{Q}$  is a simplicial fundamental domain for the action of  $\mathbf{G}(k[t])$  on  $\mathcal{T}$ . In other words, any simplex of  $\mathcal{T}$  is equivalent under the action of  $\mathbf{G}(k[t])$  to a unique simplex of  $\mathcal{Q}$ .*

<sup>1</sup>Since  $\mathbf{G} \times_K \tilde{K}$  is split, the assumptions of [Bruhat and Tits 1984, section 5.1.1.1] are satisfied. This allows us to do away with the “standard” assumption that the base field  $k$  be perfect.

**Buildings and valuations.** Let  $P$  be the minimal parabolic  $k$ -subgroup of  $G$  defined by  $S$  and  $\Delta$ . We denote by  $U = R_u(P)$  the unipotent radical of  $P$ .

We denote by  $\tilde{U}_{\tilde{a}}$  the split unipotent subgroup associated to a root  $\tilde{a} \in \tilde{\Phi}$ , and by  $\tilde{a}^\vee : SL_2 \rightarrow G$  the corresponding standard homomorphism; see [Springer 1979, Section 2.2].

The set of positive and negative roots with respect to the basis  $\Delta$  of  $\Phi$  will be denoted by  $\Phi^+$  and  $\Phi^-$ , respectively. Given  $b \in \Phi$ , the subset of absolute roots

$$\tilde{\Phi}^b := \{ \tilde{a} \in \tilde{\Phi} \mid \tilde{a}|_{S \times_k \tilde{k}} = b \text{ or } 2b \}$$

is positively closed in  $\tilde{\Phi}$ . It defines then a split  $\tilde{k}$ -unipotent subgroup  $\tilde{U}_b$  of  $\tilde{G}$  that descends to a split  $k$ -unipotent subgroup  $U_b$  of  $G$ . As in [Bruhat and Tits 1972], we make the convention that  $U_{2b} = 1$  if  $2b \notin \Phi$ .

For  $I \subset \Delta$ , we define along standard lines

$$S_I = \left( \bigcap_{b \in I} \ker(b) \right)^0 \subset S, \quad L_I = \mathcal{L}_G(S_I), \quad P_I = U_I \rtimes L_I.$$

Thus  $P_I$  is the standard parabolic subgroup of  $G$  of type  $I$  and  $L_I$  is its standard Levi subgroup (see [Borel 1991, Section 21.11]). Recall that the root system  $\Phi(L_I, S) = [I]$  is the subroot system of  $\Phi$  consisting of roots that are linear combinations of  $I$ ; the split unipotent  $k$ -group  $U_I$  is the subgroup of  $U$  generated by the  $U_b$  with  $b$  running over  $\Phi^+ \setminus [I]$ .

Given  $\tilde{a} \in \tilde{\Phi}$ , the group  $\tilde{U}_{\tilde{a}} := \tilde{U}_{\tilde{a}}(\tilde{K}) = \tilde{K}$  is equipped with the valuation  $\omega$ , which we denote by  $\tilde{\varphi}_{\tilde{a}} : \tilde{U}_{\tilde{a}} \rightarrow \mathbb{R} \cup \{\infty\}$ . This defines the Chevalley–Steinberg “donnée radicielle valuée”

$$(T(\tilde{K}), (\tilde{U}_{\tilde{a}}, M_{\tilde{a}})_{\tilde{a} \in \tilde{\Phi}}), \quad \text{where } M_{\tilde{a}} = T(\tilde{K}) \tilde{a}^\vee \left( \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix} \right)$$

[Bruhat and Tits 1972, exemple 6.2.3.b], and also a filtration  $(\tilde{U}_{\tilde{a},m})_{m \in \mathbb{Z}}$  of  $\tilde{U}_{\tilde{a}}$  where  $\tilde{U}_{\tilde{a},m} := \tilde{\varphi}^{-1}([m, +\infty[)$ . Note that  $\tilde{U}_{\tilde{a},0} = \tilde{U}_{\tilde{a}}(\tilde{\mathbb{C}})$ .

A crucial point of Bruhat–Tits theory is the descent of this data to  $G = G(K)$  [1984, section 5.1]. Given  $b \in \Phi$ , the commutative group  $U_b := U_b(K)$  is equipped with the descended valuation  $\varphi_b : U_b \rightarrow \mathbb{R} \cup \{\infty\}$ . The definition of  $\varphi_b$  is delicate, and is given as follows [Bruhat and Tits 1984, section 5.1.16]. Define

$$\tilde{U}_{b,m} := \prod_{\substack{\tilde{a} \in \tilde{\Phi}^b, \\ \tilde{a}|_{S \times_k \tilde{k}} = b}} \tilde{U}_{\tilde{a},m} \cdot \prod_{\substack{\tilde{a} \in \tilde{\Phi}^b, \\ \tilde{a}|_{S \times_k \tilde{k}} = 2b}} \tilde{U}_{\tilde{a},2m} \quad \text{for } m \in \mathbb{R}.$$

Then  $U_b$  is a subgroup of  $U_b(\tilde{K}) = \tilde{U}_b = \bigcup_{m \in \mathbb{R}} \tilde{U}_{b,m}$  and the descended valuation is defined by

$$\varphi_b(u) := \text{Sup}\{m \in \mathbb{R} \mid u \in \tilde{U}_{b,m}\}.$$

Note that<sup>2</sup>  $\Theta_b := \varphi_b(U_b \setminus \{e\})$  is either  $\mathbb{Z}$  or  $\frac{1}{2}\mathbb{Z}$ . As above, it gives rise to a filtration  $(U_{b,m})_{m \in \Theta_b}$  of  $U_b$  such that  $U_{b,0} = U_b(\mathbb{O})$ .

Again we make the convention that  $U_{2b} = 1$  if  $2b \notin \Phi$ .

**Description of the isotropy group of a vertex.** Given  $\Omega \subset \mathcal{Q}$ , we denote by  $\Gamma_\Omega$  the corresponding isotropy subgroup, namely the elements of  $\Gamma$  that fix all elements of  $\Omega$ . We introduce an analogous definition and notation for  $j(\Omega) \in \tilde{\mathcal{A}}$ . By Galois descent we have

$$\Gamma_\Omega = (\tilde{\Gamma}_{j(\Omega)})^{\mathfrak{G}}. \tag{2-1}$$

In particular, since  $\tilde{\Gamma}_{\tilde{\phi}} = \mathbf{G}(\tilde{\mathbb{O}}) \cap \tilde{\Gamma} = \mathbf{G}(\tilde{k})$  [Soulé 1973, section 1.1], we have  $\Gamma_\phi = (\tilde{\Gamma}_{\tilde{\phi}})^{\mathfrak{G}} = \mathbf{G}(\tilde{k})^{\mathfrak{G}} = \mathbf{G}(k)$ .

If  $x \in \mathcal{Q} \setminus \{\phi\}$  and if  $[x[$  is the halfline of origin  $x$  and direction  $\overrightarrow{\phi x}$ , we claim that  $\Gamma_x = \Gamma_{[x[}$ . If  $\mathbf{G}$  is split, this is proven in Soulé’s paper by reduction to the case of  $\mathbf{SL}_n$ . By applying the identity (2-1) to  $x$  and  $[x[$ , our claim now readily follows from the absolute case.

The isotropy of  $[x[$  in  $G = \mathbf{G}(K)$  is the Bruhat–Tits abstract parahoric group  $P_{[x[}$ . See [Bruhat and Tits 1972, section 7.1]. We have

$$P_{[x[} = U_{[x[} \cdot H, \quad \text{where } H = \text{Fix}_G(\mathcal{A}).$$

By [Bruhat and Tits 1984, section 5.2.2], we have  $H = \mathcal{X}_G(\mathcal{S})(\mathbb{O})$ . The group  $U_{[x[}$  is defined by means of the function [Bruhat and Tits 1972, section 6.4.2]

$$f_{[x[} : \Phi \rightarrow \mathbb{R} \cup \{\infty\}, \quad b \mapsto \inf\{s \in \mathbb{R} \mid b(y) + s \geq 0 \text{ for all } y \in [x[\}.$$

Hence

$$f_{[x[}(b) = \begin{cases} 0 & \text{if } b(x) = 0, \\ -b(x) & \text{if } b(x) > 0, \\ \infty & \text{if } b(x) < 0. \end{cases}$$

The group  $U_{[x[} \subset G$  is then the subgroup of  $G$  generated by the  $U_{b,m}$  for  $b \in \Phi^+$  and  $m \geq -b(x)$  ( $m \in \Theta_b$ ), together with the  $U_b(\mathbb{O})$  for  $b \in \Phi^-$  such that  $b(x) = 0$ . In other words, by distinguishing positive roots that vanish at  $x$ , we see that  $U_{[x[}$  is the subgroup of  $G$  generated by subgroups of the following three “shapes”:

- (I)  $U_{b,m}$  for  $b \in \Phi^+$  such that  $b(x) > 0$  and  $m \in \Theta_b$  such that  $m \geq -b(x)$ ;
- (II)  $U_b(\mathbb{O})$  for  $b \in \Phi^+$  such that  $b(x) = 0$ ;
- (III)  $U_b(\mathbb{O})$  for  $b \in \Phi^-$  such that  $b(x) = 0$ .

Define  $U_{[x[}^\pm := U_{[x[} \cap U^\pm(K)$  as in [Bruhat and Tits 1972, section 6.4.2]. These by definition generate  $U_{[x[}$ . On the other hand,  $U_{[x[}^+$  (respectively  $U_{[x[}^-$ ) is the subgroup

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<sup>2</sup>We use the notation  $\Theta_b$  rather than the more standard  $\Gamma_b$  found in [Bruhat and Tits 1972] to avoid any possible confusion with the notation used in Soulé’s paper.

of  $U_{[x]}$  generated by the subgroups of type (I) and (II) (respectively (III)); see [Bruhat and Tits 1972, proposition 6.4.9]. Define the subset of roots

$$I_x = \{b \in \Delta \mid b(x) = 0\}.$$

This definition makes sense if  $x$  is an element of  $\mathcal{A}$ , and we then have  $I_\phi = \Delta$ .

**Lemma 2.2.** *We have*

$$[I_x] \cap \Phi^+ = \{b \in \Phi^+ \mid b(x) = 0\}, \tag{2-2}$$

$$\Phi^+ \setminus [I_x] = \{b \in \Phi^+ \mid b(x) > 0\}, \tag{2-3}$$

$$[I_x] \cap \Phi^- = \{b \in \Phi^- \mid b(x) = 0\}. \tag{2-4}$$

*Proof.* Observe that if  $b \in [I_x]$ , then  $b$  is a linear combination of elements of  $I_x$ ; hence  $b(x) = 0$ . This implies that  $[I_x] \cap \Phi^+ \subset \{b \in \Phi^+ \mid b(x) = 0\}$ . Conversely, let  $b$  be a positive root such that  $b(x) = 0$ . Then  $b = \sum_{c \in \Delta} n_c c$ , where the  $n_c$  are nonnegative integers. Hence  $\sum_{c \in \Delta} n_c c(x) = 0$ . Since  $x \in \mathcal{Q}$ , we have  $c(x) \geq 0$ . Therefore  $n_c c(x) = 0$  and  $b$  is a linear combination of elements of  $I_x$ , proving (2-2). Since

$$\{b \in \Phi^+ \mid b(x) \neq 0\} = \{b \in \Phi^+ \mid b(x) > 0\},$$

we get also (2-3). Similar considerations apply to (2-4). □

It follows from (2-2) and (2-4) respectively that the subgroups of shape (II) and (III) are subgroups of  $L_{I_x}(\mathbb{C})$ , and (2-3) shows that the subgroups of shape (I) are subgroups of  $U_{I_x}(K)$ . Hence we get the inclusion

$$U_{[x]} \subset (U_{[x]} \cap U_{I_x}(K)) \rtimes L_{I_x}(\mathbb{C}) \subset P_{I_x}(K). \tag{2-5}$$

**Lemma 2.3.** (1)  $L_{I_x}(\mathbb{C}) \subset P_{[x]} \subset U_{I_x}(K) \rtimes L_{I_x}(\mathbb{C}) \subset P_{I_x}(K)$ ;

(2)  $U_{I_x}(K) \cap P_{[x]} \subset U_{[x]}^+$ ;

(3)  $\bigcup_{z \geq 1} (U_{[zx]}^+ \cap U_{I_x}(K)) = U_{I_x}(K)$ .

*Proof.* Let  $I = I_x$ .

(1) Since  $U_{[x]} \subset U_I(K) \rtimes L_I(\mathbb{C})$  and  $\mathcal{L}_{\mathbf{G}}(\mathcal{S}) \subset L_I$ , it follows that  $P_{[x]} = U_{[x]} \cdot H = U_{[x]} \cdot \mathcal{L}_{\mathbf{G}}(\mathcal{S})(\mathbb{C})$  is a subgroup of  $U_I(K) \rtimes L_I(\mathbb{C})$ .

Let us show that  $L_I(\mathbb{C}) \subset P_{[x]}$ . Let  $V_I$  be the unipotent radical of the minimal standard parabolic subgroup of  $L_I$ , namely the  $k$ -subgroup of  $U$  generated by the  $U_b$  such that  $b \in \Phi^+$  and  $b(x) = 0$ . We have [SGA3 1962/1964, théorème XXVI.5.1]

$$\bigcup_{g \in V_I(k)} g\Omega = L_I,$$

where  $\Omega$  stands for the big cell  $V_I^- \times_k \mathcal{L}_G(S) \times_k V_I$  of  $L_I$ . Since  $\mathbb{C}$  is local, it follows that

$$L_I(\mathbb{C}) = V_I(k) \cdot \Omega(\mathbb{C}) = V_I(k) \cdot V_I^-(\mathbb{C}) \cdot H \cdot V_I(\mathbb{C}).$$

We conclude that  $L_I(\mathbb{C}) \subset P_{[x]}$ .

(2) We claim that  $U(K) \cap P_{[x]} = U_{[x]}^+$ . This establishes (2) since  $U_I(K) \subset U(K)$ . To prove the claim, we need to show that  $U(K) \cap P_{[x]} \subset U_{[x]}^+$  (the reversed inclusion is obvious). With the notations of [Bruhat and Tits 1972, section 7], we have  $U(K) = U_D^+$  where  $D$  is the direction of the sector  $\mathfrak{Q}$ . By [ibidem, 7.1.4], we have

$$P_{[x]} \cap U(K) = U_{[x]+D},$$

where  $U_{[x]+D}$  is the subgroup of  $G(K)$  attached to the subset  $[x] + D = x + D$  of  $\mathcal{A}$ . This group is defined by means of the function [ibidem, section 6.4.2]

$$f_{x+D} : \Phi \rightarrow \mathbb{R} \cup \{\infty\}, \quad b \mapsto \inf\{s \in \mathbb{R} \mid b(y) + s \geq 0 \text{ for all } y \in x + D\}.$$

Hence

$$f_{x+D}(b) = \begin{cases} -b(x) & \text{if } b > 0, \\ \infty & \text{if } b < 0, \end{cases}$$

so  $U_{x+D} = U_{[x]}^+$  as desired.

(3) If  $b \in \Phi^+$  satisfies  $b(x) > 0$ , then the number  $\text{Inf}\{m \in \Theta_b \mid m + b(zx) \geq 0\}$  tends to  $-\infty$  as  $z$  tends to  $\infty$ . This readily yields  $\bigcup_{z \geq 1} (U_{[zx]}^+ \cap U_I(K)) = U_I(K)$ .  $\square$

**Remark 2.4.** Geometrically speaking, the  $K$ -parabolic  $P_{I_x} \times_k K$  is attached to the extremity of the halfline  $[x]$  in the spherical building at infinity; see [Garrett 1997, Section 16.9]. Since  $P_{[x]}$  is the isotropy group of the half line  $[x]$ , it fixes its extremity. This point of view yields another way to prove the inclusion  $P_{[x]} \subset P_{I_x}(K)$  which is part of Lemma 2.3(1).

Given  $b \in \Phi$ , we set

$$m_x(b) := \text{Inf}\{m \in \Theta_b \mid m + b(x) \geq 0\}.$$

Since  $\Gamma_x = P_{[x]} \cap \Gamma$ , we have the inclusion

$$\langle (U_{b, m_x(b)} \cdot U_{2b, m_x(2b)}) \cap \Gamma, b \in \Phi, b(x) \geq 0 \rangle \subset \Gamma_x. \tag{2-6}$$

**Proposition 2.5.** (1)  $\Gamma_x = (\Gamma_x \cap U_{I_x}(K)) \rtimes L_{I_x}(k)$ ;

(2)  $\Gamma_x = \langle (U_{b, m_x(b)} \cdot U_{2b, m_x(2b)}) \cap \Gamma, b(x) > 0 \rangle \rtimes L_{I_x}(k)$ ;

(3)  $\bigcup_{z \geq 1} \Gamma_{zx} = U_{I_x}(k[t]) \rtimes L_{I_x}(k)$ .



*Proof.* To lighten the notation we set  $I = I_x$ .

(1) According to Lemma 2.3(1),  $L_I(k) = \Gamma \cap L_I(\mathbb{C})$  fixes the point  $x$ . Hence the inclusion

$$(\Gamma_x \cap U_I(K)) \rtimes L_I(k) \subset \Gamma_x.$$

To prove the reverse inclusion we use the projection  $P_I(K) \rightarrow L_I(K)$ . The image of  $\Gamma_x$  inside  $L_I(K)$  is a subgroup of  $L_I(A)$ . On the other hand, by Lemma 2.3(1), the image of  $P_x$  inside  $L_I(K)$  is the subgroup  $L_I(\mathbb{C})$ . Hence the image of  $\Gamma_x$  inside  $L_I(K)$  is a subgroup of  $L_I(A) \cap L_I(\mathbb{C}) = L_I(k)$ . We thus have an exact sequence

$$1 \rightarrow (\Gamma_x \cap U_I(K)) \rightarrow \Gamma_x \rightarrow L_I(k)$$

which is a split surjection.

(2) Put  $V := \langle (U_{b,m_x(b)} \cdot U_{2b,m_x(2b)}) \cap \Gamma, b \in \Phi, b(x) > 0 \rangle$ . This is a subgroup of  $\Gamma_x$  by (2-6) and of  $U_I(K)$  by (2-5). So  $V \subset \Gamma_x \cap U_I(K)$ . For showing the reverse inclusion, it suffices to show that

$$\Gamma_x \cap U_I(K) \subset \langle (U_{b,m_x(b)} \cdot U_{2b,m_x(2b)}) \cap \Gamma, b(x) \geq 0 \rangle. \tag{2-7}$$

From Lemma 2.3(3) we have  $\Gamma_x \cap U_I(K) \subset \Gamma \cap U_{[x]}^+$ . Accordingly, it will suffice to show that  $\Gamma_x \cap U_{[x]}^+$  is a subgroup of the right side of (2-7). Let  $\Phi_{\text{red}}^+ = \{b_1, \dots, b_N\}$  be the subset of reduced positive roots (with an arbitrary order). The product induces an isomorphism of  $k$ -varieties  $\prod_{j=1}^N U_{b_j} \xrightarrow{\sim} U$  by [Borel 1991, Proposition 21.9]. In particular, we have compatible bijections

$$\begin{array}{ccc} \prod_{j=1}^N U_{b_j}(K) & \xrightarrow{\sim} & U(K) \\ \cup & & \cup \\ \prod_{j=1}^N U_{b_j}(A) & \xrightarrow{\sim} & U(A). \end{array}$$

By comparing these with the bijection [Bruhat and Tits 1972, section 6.4.9]

$$\prod_{j=1}^N U_{b_j, m_x(b_j)} \cdot U_{2b_j, m_x(2b_j)} \xrightarrow{\sim} U_{[x]}^+,$$

we can see that  $\Gamma_x \cap U_I(K) \subset U_{[x]}^+ \cap U(A)$  consists of products of elements  $(U_{b_j, m_x(b_j)} \cdot U_{2b_j, m_x(2b_j)}) \cap \Gamma$  with  $b_j(x) \geq 0$ .

(3) This follows from (1) and Lemma 2.3(3). □

**Action on the star of certain points.** We will now make use of the spherical building  $\mathcal{B}(\mathbf{G})$  of  $\mathbf{G}$  from [Tits 1974, Section 5]. Recall that  $\mathcal{B}(\mathbf{G})$  is a simplicial complex whose simplexes are the  $k$ -parabolic subgroups of  $\mathbf{G}$ . If  $\mathcal{Q}$  is such a parabolic subgroup, the faces of its associated simplex are the simplexes associated to the maximal proper  $k$ -parabolic subgroups of  $\mathcal{Q}$ . The standard apartment  $\mathfrak{A}$  of  $\mathcal{B}(\mathbf{G})$  is the subcomplex of  $k$ -parabolic subgroups containing  $\mathbf{S}$ , and the standard chamber  $\mathfrak{C}$  is the simplex associated to the minimal  $k$ -parabolic subgroup  $\mathbf{P}$ . We denote by  $\mathbf{W} = N_{\mathbf{G}}(\mathbf{S})/\mathcal{L}_{\mathbf{G}}(\mathbf{S})$  the relative Weyl group of  $\mathbf{G}$ .

If  $x \in \mathcal{T}$ , we denote by  $\mathcal{L}_x$  the *star* of  $x$  (étoile in French),<sup>3</sup> that is, the subspace of  $\mathcal{T}$  consisting of facets  $F$  such that  $x \in \overline{F}$  [Bruhat and Tits 1984, section 4.6.33].

We denote by  $\mathbf{S}_* = \text{Hom}_{k\text{-gr}}(\mathbf{G}_m, \mathbf{S})$  the group of cocharacters of  $\mathbf{S}$ . Inside the apartment  $\mathfrak{A} = \phi + \mathbf{S}_* \otimes_{\mathbb{Z}} \mathbb{R}$ , this corresponds to the lattice of points having type 0, that is, the type of  $\phi$ . The action of  $\mathbf{S}(K)$  on  $\mathcal{T}$  preserves  $\mathfrak{A}$ . More precisely, the element  $s \in \mathbf{S}(K)$  acts on  $\mathfrak{A}$  as the translation by the vector  $v_s$  defined by the property [Bruhat and Tits 1984, section 5.1.22]

$$\langle v_s, b \rangle = -\omega(b(s)) \quad \text{for all } b \in \Phi. \tag{2-8}$$

We denote by  $\mathfrak{C} \subset \mathbf{S}_* \otimes_{\mathbb{Z}} \mathbb{R}$  the vector chamber such that  $\phi + \mathfrak{C}$  is the unique chamber of the sector  $\mathfrak{Q}$  that contains the special point  $\phi$  in its adherence; see [Bruhat and Tits 1972, section 1.3.11].

**Lemma 2.6.** *Let  $x$  be a point of  $\mathbf{S}_* \cap \mathfrak{Q}$ . Then the chambers of  $\mathcal{L}_x \cap \mathfrak{Q}$  are the  $x + w\mathfrak{C}$  for  $w \in \mathbf{W}(k)$  satisfying  $I_x \subset w \cdot \Phi^+$ .*

*Proof.* Set  $I = I_x$ . The chambers of  $\mathcal{L}_x$  are the  $x + w\mathfrak{C}$  with  $w \in \mathbf{W}(k)$ . Let  $y \in \mathfrak{C}$ . If  $x + w\mathfrak{C} \subset \mathfrak{Q}$ , then

$$b(x + w \cdot y) = b(x) + (w^{-1} \cdot b)(y) \geq 0 \quad \text{for all } b \in \Delta.$$

It follows that if  $b \in I$ , that is,  $b(x) = 0$ , then  $(w^{-1} \cdot b)(y) \geq 0$ , and therefore  $b \in w(\Phi_+)$ . Conversely, if  $w \in \mathbf{W}(k)$  satisfies  $I \subset w(\Phi_+)$ , then the inequality above holds for  $\epsilon y$  for all  $b \in \Delta$  for  $\epsilon > 0$  small enough. Thus  $x + w \cdot (\epsilon y) \in \mathfrak{Q}$  and  $x + w\mathfrak{C} \subset \mathfrak{Q}$ . □

**Lemma 2.7.** *Let  $I$  be a subset of  $\Delta$ , and set  $\mathbf{W}_I := N_{L_I}(\mathbf{S})/\mathcal{L}_{\mathbf{G}}(\mathbf{S})$ . Let  $\mathfrak{A}_I$  be the union of the  $w\mathfrak{C}$  for  $w$  running over the elements of  $\mathbf{W}(k)$  satisfying  $I \subset w \cdot \Phi^+$ .*

(1)  $\mathbf{W}_I(k) \cdot \mathfrak{A}_I = \mathfrak{A}$ .

(2)  $\mathbf{P}_I(k) \cdot \mathfrak{A}_I = \mathfrak{B}(\mathbf{G})$ .

*Proof.* (1) We reason by induction on the cardinality of  $I$ . If  $I = \emptyset$ , then  $\mathfrak{A}_I = \mathfrak{A}$  and there is nothing to prove. Assume that  $I = I' \cup \{b\}$ . We are given a chamber  $w\mathfrak{C}$  of  $\mathfrak{A}$  with  $w \in \mathbf{W}(k)$ . We want to show that  $w\mathfrak{C}$  is equivalent under  $\mathbf{W}_I(k)$  to a

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<sup>3</sup>The terminology *link* is also used in the literature.

chamber of  $\mathfrak{A}_I$ . Since  $\mathbf{W}_{I'}(k) \subset \mathbf{W}_I(k)$ , we can assume by the induction hypothesis that  $I' \subset w \cdot \Phi^+$ . If  $b \in w \cdot \Phi^+$ , we have  $I \subset w \cdot \Phi^+$ . The other case is when  $-b \in w \cdot \Phi^+$ . Let  $s_b \in \mathbf{W}_I(k)$  be the reflection associated to  $b$ . Then  $s_b(b) = -b$ ; hence  $b \subset s_b w \cdot \Phi^+$ . For  $b' \in I'$ , we have  $s_b(b') = b' + mb$ , where  $m$  is nonnegative. Therefore

$$b' = s_b^2(b') = s_b(b' + mb) = s_b(b') - mb \in s_b w \cdot \Phi^+.$$

We conclude that  $I \subset s_b w \cdot \Phi^+$  and  $s_b \cdot (w\mathfrak{C}) \subset \mathfrak{A}_I$ .

(2) Again it suffices to prove that any chamber of  $\mathfrak{B}(\mathbf{G})$  is equivalent under  $\mathbf{P}_I(k)$  to a chamber of  $\mathfrak{A}_I$ . Let  $\mathfrak{C}'$  be a chamber of  $\mathfrak{B}(\mathbf{G})$ . Let  $\mathbf{P}'$  be the underlying minimal  $k$ -parabolic subgroup. By [Borel and Tits 1965, Proposition 4.4.b],  $\mathbf{P}_I \cap \mathbf{P}'$  contains a maximal  $k$ -split torus of  $\mathbf{P}_I$ . Since maximal  $k$ -split tori of  $\mathbf{P}_I$  are conjugate under  $\mathbf{U}_I(k)$ , it follows that there exists  $u \in \mathbf{U}_I(k)$  such that  $u\mathbf{S}u^{-1} \subset \mathbf{P}_I \cap \mathbf{P}'$ ; hence  $\mathbf{S} \subset u^{-1}\mathbf{P}'u$ . So we can assume that  $\mathbf{S} \subset \mathbf{P}'$ , that is, that  $\mathfrak{C}' \subset \mathfrak{A}$ . Then  $\mathfrak{C}' = w\mathfrak{C}$  for some  $w \in \mathbf{W}(k)$ . By (1),  $\mathfrak{C}'$  is then equivalent under  $\mathbf{W}_I(k)$  to a chamber of  $\mathfrak{A}_I$ . Since  $N_{L_I}(\mathbf{S})(k)$  maps onto  $\mathbf{W}_I(k)$ , we conclude that  $\mathfrak{C}'$  is then equivalent under  $\mathbf{P}_I(k)$  to a chamber of  $\mathfrak{A}_I$ . □

We come now to the following important step in Soulé's proof.

**Lemma 2.8.** *Let  $x \in \mathbf{S}_* \cap \mathfrak{Q}$ . Then  $\Gamma_x \cdot (\mathcal{L}_x \cap \mathfrak{Q}) = \mathcal{L}_x$ .*

*Proof.* We will make use of the canonical smooth model  $\mathfrak{P}_x/\mathbb{O}$  of the parahoric subgroup associated to  $x$  [Bruhat and Tits 1984, section 5.2]. As an  $\mathbb{O}$ -group scheme,  $\mathfrak{P}_x$  is isomorphic to  $\mathbf{G} \times_k \mathbb{O}$ , and we have an identification  $\mathfrak{P}_x(\mathbb{O}) = P_x$ . The star  $\mathcal{L}_x$  is the spherical building of  $\mathfrak{P}_x \times_{\mathbb{O}} k \cong \mathbf{G}$ ; see [Bruhat and Tits 1984, section 5.1.32]. Set for convenience  $I = I_x$ . By Lemma 2.6,  $\mathcal{L}_x \cap \mathfrak{Q}$  is identified with  $\mathfrak{A}_I$  in the spherical building  $\mathfrak{B}(\mathbf{G})$ . Furthermore, the chamber  $x + \mathfrak{C}$  identifies with  $\mathfrak{C}$ .

The inclusion  $\Gamma_x \cdot (\mathcal{L}_x \cap \mathfrak{Q}) \subset \mathcal{L}_x$  is clear. Let us prove the reverse inclusion. By definition, there exists  $\lambda \in \mathbf{S}_* \cap \mathfrak{Q}$  such that  $x = \lambda$ . Define  $g_\lambda = \lambda(1/t)^{-1} = \lambda(t) \in \mathbf{S}(K)$ . Since  $x = g_\lambda \cdot \phi$  by (2-8) above, we have

$$P_x = g_\lambda P_\phi g_\lambda^{-1}. \tag{2-9}$$

Thus  $\mathfrak{P}_x(\mathbb{O}) \cong P_x = g_\lambda \mathbf{G}(\mathbb{O}) g_\lambda^{-1} \subset \mathbf{G}(K)$ . In view of Lemma 2.7(2), it will suffice to establish the following.

**Claim 2.9.** *The image of the composite map*

$$\Gamma_x \subset P_x \longrightarrow (\mathfrak{P}_x \times_{\mathbb{O}} k)(k) \cong \mathbf{G}(k)$$

*contains  $\mathbf{P}_I(k)$ .*

The group  $L_I(k)$  commutes with  $g_\lambda$  inside  $\mathbf{G}(k(t))$ , and it is therefore included in the image in question (as we have already observed in Proposition 2.5). So it

is enough to check that  $g_\lambda U(k)g_\lambda^{-1} \subset \Gamma_x$ , or equivalently that  $g_\lambda U(k)g_\lambda^{-1} \subset \Gamma$ . This can be verified by working over the field  $\tilde{k}$  and checking the inclusion for the subgroups  $U_b(\tilde{k})$  of  $U(\tilde{k})$  for  $b \in \Phi^+$ . To verify this, we use that the product map induces a decomposition (with the notation of page 395)

$$\prod_{\substack{\tilde{a} \in \tilde{\Phi}^b, \\ \tilde{a}|_{S \times_k \tilde{k}} = b}} \tilde{U}_{\tilde{a}}(\tilde{k}) \cdot \prod_{\substack{\tilde{a} \in \tilde{\Phi}^b, \\ \tilde{a}|_{S \times_k \tilde{k}} = 2b}} \tilde{U}_{\tilde{a}}(\tilde{k}) \xrightarrow{\sim} U_b(\tilde{k}).$$

For  $\tilde{a} \in \tilde{\Phi}^b$  and  $s \in \tilde{k}$ , we have

$$g_\lambda U_{\tilde{a}}(s) g_\lambda^{-1} = \begin{cases} \tilde{U}_{\tilde{a}}(t^{(b,\lambda)}s) & \text{if } \tilde{a}|_{S \times_k \tilde{k}} = b, \\ \tilde{U}_{\tilde{a}}(t^{2(b,\lambda)}s) & \text{if } \tilde{a}|_{S \times_k \tilde{k}} = 2b. \end{cases}$$

Hence  $g_\lambda U_{\tilde{a}}(s) g_\lambda^{-1} \subset \tilde{\Gamma}$ . This establishes Claim 2.9. The proof of Lemma 2.8 is now complete. □

**End of the proof of Theorem 2.1.**

Two distinct points of  $\mathcal{Q}$  are not equivalent under  $\Gamma$ . Since two different points of  $\tilde{\mathcal{Q}}$  are not equivalent under  $\tilde{\Gamma}$  [Soulé 1979, 1.3], it follows that two distinct points in  $\mathcal{Q}$  are not equivalent under  $\Gamma$ .

A point of  $\mathcal{T}$  of type 0 is equivalent to a point of  $\mathcal{Q}$ . We denote by  $M \subset S(K) = S_* \otimes K^\times$  the subgroup generated by the  $\lambda(t)$  for  $\lambda$  running over  $S_*$ . We denote by  $M_+ \subset M$  the semigroup generated by the  $\lambda(t)$  for  $\lambda$  satisfying  $\langle b, \lambda \rangle \geq 0$  for all  $b \in \Delta$ . By a result of Raghunathan [1994, Theorem 3.4],<sup>4</sup> we have the decomposition

$$G(K) = \Gamma \cdot M \cdot G(\mathbb{C}).$$

Again, since  $N_G(S)(k)$  maps onto  $W(k)$  and  $W(k).M_+ = M$ , we have actually a decomposition

$$G(K) = \Gamma \cdot M_+ \cdot G(\mathbb{C}).$$

Since  $G(K)/G(\mathbb{C})$  is the set of points of type 0 of  $\mathcal{T}$ , this shows that every such point of  $\mathcal{T}$  is  $\Gamma$ -conjugated to a point of  $M \cdot \phi$ . But  $M_+ \cdot \phi \subset \mathcal{Q}$ , so we conclude that every such point of  $\mathcal{T}$  is  $\Gamma$ -conjugated to a point of  $\mathcal{Q}$ .

Every point of  $\mathcal{T}$  is equivalent to a point of  $\mathcal{Q}$ . Let  $y$  be a point of  $\mathcal{T}$ . Let  $F$  be a chamber of  $\mathcal{T}$  containing  $y$ . Then  $\bar{F}$  contains a (unique) point  $x$  whose type is that of  $\phi$ . By the preceding step, we can assume that  $x \in \mathcal{Q}$ . Then  $y$  belongs to  $\mathcal{L}_x$  and Lemma 2.8 shows that  $y$  is equivalent under  $\Gamma$  to a point of  $\mathcal{Q}$ .

From the above it follows that  $\mathcal{T} = \Gamma \cdot \mathcal{Q}$ , as stated in Theorem 2.1. □

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<sup>4</sup>This reference presupposes that the base field  $k$  is infinite, but this assumption is not necessary; see [Gille 1994, III.3.4.2] for details.

### 3. Applications

We give two applications of Theorem 2.1. The notation and assumptions are as in the previous section. We begin by recalling some basic facts about direct limits of groups.

**Direct limits of groups.** Direct limits of groups occur in geometric group theory [Serre 1977]. In what follows we will repeatedly encounter the following situation: We are given a family of subgroups  $(H_\lambda)_{\lambda \in \Lambda}$  of a group  $H$  (indexed by some set  $\Lambda$ ) and we wish to consider the group that is the direct limit of the groups  $(H_\lambda, H_\lambda \cap H_\mu)_{\lambda, \mu \in \Lambda}$  where the only transition maps are the inclusions  $H_\lambda \cap H_\mu \subset H_\lambda$  and  $H_\lambda \cap H_\mu \subset H_\mu$ . We call the resulting group *the direct limit of the family  $(H_\lambda)_{\lambda \in \Lambda}$  with respect to their intersections*.<sup>5</sup>

Let  $T$  be an abstract simplicial complex,  $E$  the set of its vertices, and  $\Phi$  the set of its simplexes. Denote by  $X$  the geometric realization of  $T$ . Let  $H$  be a group that acts in a simplicial way on  $T$ , and for which there exists a simplicial fundamental domain  $T'$ . Recall that  $T'$  is a subcomplex of  $T$  such that if  $E'$  (respectively  $\Phi'$ ) denotes the set of vertices (respectively simplexes) of  $T'$ , then for every  $s \in \Phi$ , there exists a unique  $s' \in \Phi'$  such that  $s \in H \cdot s'$ .

The isotropy subgroup of  $H$  corresponding to an element  $z$  (respectively a subset  $M$ ) of either  $T$  or  $X$  will be denoted by  $H_z$  (respectively  $H_M$ ).

**Theorem 3.1** [Soulé 1973]. *Let  $T, X, H, T'$  be as above. Assume that  $X$  is connected and simply connected and that the geometric realization  $X'$  of  $T'$  is connected. Then the group  $H$  is the direct limit of the family of isotropy subgroups  $(H_M)_{M \in E'}$  with respect to their intersections.*

Chebotarëv [1982] has established higher-dimensional generalizations of this result. As pointed out by one of the referees, when  $X$  has additional structures there are other presentations, which are useful in practice.

**Proposition 3.2.** *Under the hypothesis of Theorem 3.1, assume that  $X$  is equipped with a distance  $d$  such that*

- (i) *for any two points  $x$  and  $y$ , there is a unique geodesic linking  $x$  and  $y$ ;*
- (ii) *for any  $x \in X$ , there is an open neighborhood  $D_x$  of  $x$  such that  $D_x \cap F \neq \emptyset$  implies  $x \in \bar{F}$  for any simplex  $F$  of  $X$ ;*
- (iii)  *$H$  acts isometrically on  $X$ .*

*Furthermore, we assume that*

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<sup>5</sup>Another terminology, which is a slight abuse of language, is that  $H$  is the sum of the  $H_M$  amalgamated over their intersections [Serre 1977, II.1.7].

(iv) for each simplex  $F$  of  $X$ , the stabilizer of  $F$  (as a set) coincides with the isotropy group (pointwise stabilizer) of  $\bar{F}$ .

Then

(1) The group  $H$  is the direct limit of the family  $(H_M \cap H_N)_{M,N \in E'}$  with transition maps  $H_M \cap H_N \rightarrow H_M$  and  $H_M \cap H_N \rightarrow H_N$  for  $M, N$  belonging to an edge of  $X'$ .<sup>6</sup>

(2) The group  $H$  is the direct limit of the family of isotropy subgroups  $(H_x)_{x \in X'}$  with respect to their intersections.

Note that when  $X$  is a tree, the first statement of the proposition allows us to recover a classical result [Serre 1977, section 4.5, théorème 10].

**Remark 3.3.** Note that the first statement of the proposition is different than that of Theorem 3.1. The point is that two vertices of  $X'$  do not necessarily belong to a common edge. In other words, the presentation of  $H$  given by Proposition 3.2(1) has fewer relations than the one given by Theorem 3.1.

*Proof.* We prove both statements at the same time. We denote by  $H^\dagger$  the first limit and by  $H^\sharp$  the second one. We have an obvious surjective map  $H^\dagger \rightarrow H$ , while the inclusion  $E' \subset X$  gives rise to a map  $H \rightarrow H^\sharp$ . We denote by  $\zeta : H^\dagger \rightarrow H \rightarrow H^\sharp$  the composition of these two maps. It is enough then to show that  $H \rightarrow H^\sharp$  is surjective, and to produce a section  $\theta : H^\sharp \rightarrow H^\dagger$  of  $\zeta$ .

If  $x \in X$ , we denote by  $F_x \subset X$  the (open) simplex attached to  $x$ . Since every  $F_x$  contains in its closure a vertex  $M$ , our hypothesis on stabilizers implies that  $H_x \subset H_M$ . It follows that  $H \rightarrow H^\sharp$  is surjective.

To define the splitting  $\theta : H^\sharp \rightarrow H^\dagger$ , we proceed as follows. We are given  $x \in X$ , and  $M \in E'$  such that  $M \in \bar{F}_x$ . Since the action is simplicial, we have  $H_x = H_{F_x}$ . By our hypothesis on the stabilizers, we have then the inclusion  $H_x \subset H_M \subset H$ .

*Step 1: The composite map  $\theta_{x,M} : H_x \rightarrow H_M \rightarrow H^\dagger$  does not depend of the choice of  $M$ .* We note that two distinct choices  $M$  and  $N$  of vertices of  $\bar{F}_x$  define an edge of  $X'$ , so that the maps  $H_x \rightarrow H_M \rightarrow H^\dagger$  and  $H_x \rightarrow H_N \rightarrow H^\dagger$  agree since they agree on  $H_M \cap H_N$ . This establishes this step and defines a map  $\theta_x : H_x \rightarrow H^\dagger$ .

*Step 2: If  $y \in \bar{F}_x$ , then  $\theta_x$  and  $\theta_y$  agree on the subgroup  $H_x$  of  $H_y$ .* Since  $\bar{F}_y \subset \bar{F}_x$ , we can pick a vertex  $M \in \bar{F}_y$ . By definition  $\theta_{x,M}$  and  $\theta_{y,M}$  agree on  $H_y$ . Hence  $\theta_x$  and  $\theta_y$  agree on  $H_y$  by the first step.

*Step 3: Connectedness argument.* We are given  $x, y \in X$  and we want to show that  $\theta_x$  and  $\theta_y$  agree on  $H_x \cap H_y$ . Since  $H_x \cap H_y$  acts trivially on the geodesic  $[x, y]$ ,

<sup>6</sup>By taking  $M = N$  in  $E'$  we see that the groups  $H_M$  are part of our family. Observe that if  $M, N$  are vertices of a common edge  $F$ , then  $H_N \cap H_M$  is nothing but the isotropy group of  $\bar{F}$ .

we have  $H_x \cap H_y \subset H_z$  for all  $z \in [x, y]$ . We consider then the restrictions  $\Theta_z : H_x \cap H_y \subset H_z \rightarrow H^\dagger$  of  $\theta_z$  to  $H_x \cap H_y$  for  $z$  running over  $[x, y]$ .

Recall that  $D_z$  is the open neighborhood of  $z \in X$  given by hypothesis (ii).

*Step 4:* If  $z \in [x, y]$ , then  $\Theta_z = \Theta_{z'}$  for all  $z' \in D_z \cap [x, y]$ . Since  $z' \in F_{z'} \cap D_z$ , assumption (ii) implies that  $z \in \overline{F}_{z'}$ . Step 2 shows that  $\theta_z$  and  $\theta_{z'}$  agree on  $H_{z'} \subset H_z$ ; hence  $\Theta_z = \Theta_{z'}$ .

We now finish the proof of the proposition. Since the  $D_z \cap [x, y]$  define an open covering of the connected space  $[x, y]$ , Step 3 implies that  $\Theta_z$  does not depend on  $z$ . In particular  $\theta_x$  and  $\theta_y$  agree on  $H_x \cap H_y$ . By the universal property defining  $H^\sharp$ , we obtain a map  $\theta : H^\sharp \rightarrow H^\dagger$ . By construction  $\theta \circ \zeta = \text{id}_{H^\dagger}$ . □

For future use we record the following.

**Lemma 3.4.** *Let  $H$  be a group that is the direct limit of a family of subgroups  $(H_\alpha)_{\alpha \in \Lambda}$  of  $H$  with respect to their intersections.*

- (1) *Let  $\Lambda' \subset \Lambda$  be a directed subset, that is, for all  $\alpha, \beta \in \Lambda'$ , there exists  $\gamma \in \Lambda'$  such that  $H_\alpha \subset H_\gamma$  and  $H_\beta \subset H_\gamma$ . Then the direct limit of the family  $(H_\alpha)_{\alpha \in \Lambda'}$  with respect to their intersections is canonically isomorphic to the subgroup  $\bigcup_{\alpha \in \Lambda'} H_\alpha$  of  $H$ .*
- (2) *Let  $\Lambda = \bigsqcup_{j \in J} \Lambda_j$  be a partition of  $\Lambda$  in directed subsets. For  $j \in J$ , denote by  $H_j := \bigcup_{\alpha \in \Lambda_j} H_\alpha$  the subgroup of  $H$  associated to  $\Lambda_j$ . Then  $H$  is the direct limit of the family of subgroups  $(H_j)_{j \in J}$  of  $H$  with respect to their intersections.*

*Proof.* (1) Note that  $\bigcup_{\alpha \in \Lambda'} H_\alpha$  is a subgroup of  $H$  since  $\Lambda'$  is directed. For any group  $M$  we have

$$\text{Hom}_{\text{gr}}(H', M) = \varprojlim_{\alpha \in \Lambda'} \text{Hom}_{\text{gr}}(H_\alpha, M),$$

whence the statement.

(2) Denote by  $\tilde{H}$  the direct limit of the family of subgroups  $(H_j)_{j \in J}$  of  $H$  with respect to their intersections. The inclusion maps  $H_j \subset H$  agree over their intersections and hence give rise to a natural map  $\zeta : \tilde{H} \rightarrow H$ . For defining the reverse map, denote by  $\alpha \mapsto j(\alpha)$  the map  $\Lambda \rightarrow J$  that maps  $\alpha$  to the unique index  $j$  such that  $\alpha \in \Lambda_j$ . We then get maps

$$H_\alpha \hookrightarrow H_{j(\alpha)} \rightarrow \tilde{H} \quad \text{for } \alpha \in \Lambda.$$

Since these maps agree over their intersections, they yield a map  $\eta : H \rightarrow \tilde{H}$ . Given that the images of the  $H_\alpha$  generate  $H$  (respectively  $\tilde{H}$ ), we get that  $\eta \circ \zeta = \text{id}_{\tilde{H}}$  and  $\zeta \circ \eta = \text{id}_H$ . □

**The group  $G(k[t])$  as a direct limit.** Theorem 3.1 yields this:

**Corollary 3.5.** *Let  $V$  be the set of vertices of  $\mathfrak{Q}$ . The group  $\Gamma = G(k[t])$  is the direct limit of the family  $(\Gamma_x)_{x \in V}$  with respect to their intersections.*  $\square$

From the corollary we see that  $\Gamma$  is generated by the  $\Gamma_x$ . By Proposition 2.5(1),  $\Gamma_x$  consists of products of elements of  $G(k)$  and elements of  $U(k[t])$ , where  $U$  stands for the unipotent radical of the minimal parabolic subgroup attached to  $S$  and  $\Delta$ .

**Corollary 3.6.**  $G(k[t]) = \langle G(k), U(k[t]) \rangle.$   $\square$

Another presentation of  $\Gamma$  is given by means of Proposition 3.2(2).

**Corollary 3.7.** *The group  $\Gamma = G(k[t])$  is the direct limit of the family  $(\Gamma_x)_{x \in \mathfrak{Q}}$  with respect to their intersections.*

*Proof.* We have to check that hypotheses (i) through (iv) of Proposition 3.2 are satisfied for the action of  $\Gamma$  on the Bruhat–Tits building  $\mathcal{T}$ , which is a metric space.

(i) Any two points of  $\mathcal{T}$  are linked by a unique geodesic [Bruhat and Tits 1972, section 2.5].

(ii) By [ibidem, lemme 2.5.11], for any  $x \in X$  there exists an open ball  $D_x$  of center  $x$  such that for any simplex  $F$  of  $X$ ,  $D_x \cap F \neq \emptyset$  implies  $x \in \bar{F}$ .

(iii) The group  $G(K)$  acts isometrically on  $\mathcal{T}$  (ibidem).

(iv) Since  $G$  is simply connected, the stabilizer of a simplex  $F$  of  $\mathcal{T}$  (or facet with the terminology of Bruhat and Tits) under  $\Gamma \subset G(K)$  is also its pointwise stabilizer [Bruhat and Tits 1984, proposition 4.6.32] and also of  $\bar{F}$  [Bruhat and Tits 1972, proposition 2.4.13].

The corollary now follows from Proposition 3.2.  $\square$

We shall now give a nicer presentation of  $\Gamma$ . Given a subset  $I \subset \Delta$ , define  $\mathfrak{Q}_I := \{x \in \mathfrak{Q} \mid I_x = I\}$ . It is a subcone of  $\mathfrak{Q}$ , that is,  $z\mathfrak{Q}_I \subset \mathfrak{Q}_I$  for all  $z > 0$ . Define the subgroup  $\Gamma_I = U_I(k[t]) \rtimes L_I(k)$ .

**Lemma 3.8.** (1) *The  $(\Gamma_x)_{x \in \mathfrak{Q}_I}$  form a directed family of subgroups of  $\Gamma$ .*

(2)  *$\Gamma_I$  is the direct limit of the  $\Gamma_x$  for  $x \in \mathfrak{Q}_I$ .*

*Proof.* (1) The sector  $\mathfrak{Q}$  is equipped with the partial order  $x \leq y$  if  $y - x \in \mathfrak{Q}$ . By restriction, we get a partial order on  $\mathfrak{Q}_I$  that is directed. Indeed, given  $x, y \in \mathfrak{Q}_I$ , we have  $x + y \in \mathfrak{Q}_I$  and  $x + y \geq x$  and  $x + y \geq y$ .

Let  $x, y$  be elements of  $\mathfrak{Q}_I$  such that  $x \leq y$ . Then  $b(y) \geq b(x)$  for all  $b \in [I]^+$ ; hence  $m_y(b) \leq m_x(b)$  for all  $b \in [I]^+$ . It follows that for  $b \in [I]^+$  we have

$$U_{b, m_x(b)} \cdot U_{2b, m_x(2b)} \subset U_{b, m_y(b)} \cdot U_{2b, m_y(2b)}.$$



Now Proposition 2.5(2) shows that  $\Gamma_x \subset \Gamma_y$ . Since  $\mathfrak{Q}_I$  is a directed subset of  $\mathfrak{Q}$ , we conclude that the  $(\Gamma_x)_{x \in \mathfrak{Q}_I}$  form a directed family of subgroups of  $\Gamma$ .

(2) By Lemma 3.4(1), it is enough to show that

$$\bigcup_{x \in \mathfrak{Q}_I} \Gamma_x = \Gamma_I. \tag{3-1}$$

Proposition 2.5(1) shows that the inclusion  $\subset$  holds. Conversely, suppose we are given an element  $g \in \Gamma_I$ . Let  $x \in \mathfrak{Q}_I$ . By Proposition 2.5(3) there is a real number  $z \geq 1$  such that  $g \in \Gamma_{zx}$ . Since  $zx \in \mathfrak{Q}_I$ ,  $g$  belongs to the left side of (3-1).  $\square$

**Theorem 3.9.** *The group  $\Gamma = \mathbf{G}(k[t])$  is the direct limit of the family of subgroups  $(\Gamma_I)_{I \subset \Delta}$  with respect to their intersections*

*Proof.* Lemma 3.8(2) shows that  $\Gamma_I$  is the limit of the directed family of subgroups  $(\Gamma_x)_{x \in \mathfrak{Q}_I}$ . To finish the proof we apply Lemma 3.4(2) to the decomposition  $\mathfrak{Q} = \bigsqcup_{I \subset \Delta} \mathfrak{Q}_I$  of  $\mathfrak{Q}$  into directed subsets.  $\square$

**Application to Whitehead groups.** Let  $\mathbf{G}(k)^+$  be the (normal) subgroup of  $\mathbf{G}(k)$  generated by the  $(R_u \mathbf{P})(k)$  for  $\mathbf{P}$  running over all parabolic  $k$ -subgroups of  $\mathbf{G}$ . If  $\text{card}(k) \geq 4$ , Tits [1964] has shown that every proper normal subgroup of  $\mathbf{G}(k)^+$  is central. The quotient  $W(k, \mathbf{G}) = \mathbf{G}(k)/\mathbf{G}(k)^+$  is the Whitehead group of  $\mathbf{G}$  by [Tits 1978]. By Tits’s result this group detects whether  $\mathbf{G}(k)$  is projectively simple.

It turns out that the Whitehead group admits another characterization. Denote by  $H\mathbf{G}(k)$  the (normal) subgroup of  $\mathbf{G}(k)$  composed of elements  $g \in \mathbf{G}(k)$  for which there exists an element  $h \in \Gamma = \mathbf{G}(k[t])$  such that  $h(0) = e$  and  $h(1) = g$ . We denote by  $\pi_0(k, \mathbf{G}) = \mathbf{G}(k)/H\mathbf{G}(k)$  this naive group of connected components of  $\mathbf{G}$ .

**Theorem 3.10.** *There is a canonical isomorphism  $W(k, \mathbf{G}) \xrightarrow{\sim} \pi_0(k, \mathbf{G})$ .*

*Proof.* The unipotent radical  $\mathbf{V}$  of a  $k$ -parabolic subgroup  $\mathbf{Q}$  of  $\mathbf{G}$  is a split unipotent group, so it satisfies  $H(\mathbf{V})(k) = \mathbf{V}(k)$ . Hence we have  $\mathbf{G}(k)^+ \subset H\mathbf{G}(k)$  and a surjection  $\mathbf{G}(k)/\mathbf{G}(k)^+ \rightarrow \pi_0(k, \mathbf{G}) = \mathbf{G}(k)/H\mathbf{G}(k)$ . It remains to show that  $H\mathbf{G}(k) \subset \mathbf{G}(k)^+$ . Let  $g \in H\mathbf{G}(k)$ , and choose  $h \in \mathbf{G}(k[t])$  satisfying  $h(0) = e$  and  $h(1) = g$ . According to Corollary 3.6, the element  $h$  can be written in the form

$$h = g_1 u_1 g_2 u_2 \cdots g_n u_n$$

with  $g_i \in \mathbf{G}(k)$  and  $u_i \in \mathbf{U}(k[t])$ , where  $\mathbf{U}$  is the unipotent radical of a minimal parabolic  $k$ -subgroup of  $\mathbf{G}$ . We can assume that  $u_i(0) = e$ , so the condition  $h(0) = e$  reads  $g_1 \cdots g_n = e$ . It follows that

$$h = g'_1 u_1 g'^{-1}_1 \cdots g'_n u_n g'^{-1}_n,$$

with  $g'_1 = g_1$ ,  $g'_2 = g_1 g_2$  and so on up to  $g'_n = g_1 \cdots g_n = e \in \mathbf{G}(k)$ . Hence, as desired

$$g = h(1) = g'_1 u_1(1) g'^{-1}_1 \cdots g'_n u_n(1) g'^{-1}_n \in \mathbf{G}(k)^+. \quad \square$$

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