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of special line bundles on curves



# Secant spaces and syzygies of special line bundles on curves

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On a special line bundle L on a projective curve C we introduce a geometric condition called  $(\Delta_q)$ . When  $L = K_C$ , this condition implies  $gon(C) \ge q + 2$ . For an arbitrary special L, we show that  $(\Delta_3)$  implies that L has the well-known property  $(M_3)$ , generalising a similar result proved by Voisin in the case  $L = K_C$ .

### 1. Introduction

In this paper we introduce some new geometric methods in the study of the Koszul cohomology groups of a projective curve with coefficients in an invertible sheaf. The basic set-up is as follows.

Let C be a smooth complex projective curve of genus g, and L a very ample line bundle of degree d on C with  $h^0(C, L) = r + 1$ . Consider a coherent sheaf  $\mathcal{F}$  on C and let  $V = H^0(C, L)$ ; one has natural complexes of vector spaces

$$\bigwedge^{p+1} V \otimes H^0(\mathcal{F} \otimes L^{q-1}) \longrightarrow \bigwedge^p V \otimes H^0(\mathcal{F} \otimes L^q) \longrightarrow \bigwedge^{p-1} V \otimes H^0(\mathcal{F} \otimes L^{q+1}),$$

whose cohomology  $K_{p,q}(C, \mathcal{F}; L)$  is called the (p,q) (mixed) Koszul cohomology group of C with respect to  $\mathcal{F}$  and L. These vector spaces give information about the minimal resolution of the graded module

$$\gamma(C,\mathcal{F};L)=\bigoplus_k H^0(\mathcal{F}\otimes L^k)$$

over the symmetric (polynomial) algebra  $R = S^*V$  in a well-known way (see [Aprodu and Nagel 2010]). The most important cases are obtained for  $\mathcal{F} = \mathbb{O}_C$ ; the corresponding graded R-module  $\bigoplus_k H^0(L^k)$  is denoted by  $\gamma(C; L)$  and its Koszul

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cohomology groups by  $K_{p,q}(C; L)$ . The choice  $L = K_C$  is of central importance, and its study is at the origin of several results and conjectures on this subject. The guiding notions are the so-called properties  $(N_p)$ .

**Definition 1.1.** The line bundle L has property  $(N_0)$  if and only if the natural restriction map  $\rho: R \longrightarrow \gamma(C; L)$  is surjective, i.e., L is normally generated. For  $p \ge 1$ , we say that the bundle L satisfies the property  $(N_p)$  if and only if it is normally generated and  $K_{i,j}(C; L) = 0$  for all  $j \ne 1$  and all  $1 \le i \le p$ .

Roughly speaking,  $(N_p)$  holds if and only if the minimal resolution of  $\gamma(C; L)$  behaves nicely up to the p-th step. These notions have provided an excellent motivation on these problems in two important cases, namely in the case  $L = K_C$  and in the case  $\deg(L) \gg 0$ . As an example, we recall the following:

**Theorem 1.2** [Green and Lazarsfeld 1985]. If  $\deg(L) \ge 2g+1+p$ , then L has property  $(N_p)$ . If  $\deg(L) \ge 2g+p$ , then L has property  $(N_p)$  unless C is hyperelliptic or L embeds C in  $\mathbb{P}^{g+p}$  with a (p+2)-secant p-plane.

Property  $(N_p)$  for special line bundles is also highly interesting; the study of possible divisorial cases in the moduli space of pairs (C, L), for special line bundles L with  $h^1(C, L) > 1$  and which fail property  $(N_p)$ , has revealed a whole class of counterexamples for the slope conjecture [Farkas 2009]. However, the relations between the properties  $(N_p)$  and the geometry of the projective model  $\varphi_L(C)$  when L is a special line bundle different from  $K_C$ , especially if  $h^1(C, L) > 1$ , remain somewhat mysterious. Already  $(N_0)$  and  $(N_1)$  have escaped a systematic classification for obvious reasons: normal generation and ideal generation of special projective curves behave essentially wildly and it is therefore very difficult to get even a conjectural picture of how the resolution of  $\gamma(C; L)$  might look like (see [Aprodu and Nagel 2010, Section 4.4] for a short discussion).

A possible solution comes from the study of other properties of  $\gamma(C; L)$ , called  $(M_q)$ , which were introduced in [Green and Lazarsfeld 1986] for  $q \ge 1$ . We shall work with a slightly weaker condition than there, in the spirit of [Ehbauer 1994].

**Definition 5.3.** The line bundle *L* has property  $(M_q)$  if  $K_{n,1}(C; L) = 0$  for all  $n \ge r - q$ .

These are properties enjoyed by the *tail* of the resolution of  $\gamma(C; L)$ ; i.e., property  $(M_q)$  holds for L if the resolution of  $\gamma(C; L)$  has a nice behaviour at the last q steps. Another, perhaps more suggestive, point of view consists of considering the resolution of the module  $\gamma(C, K_C; L)$ . Since it is dual to  $\gamma(C; L)$ , properties  $(M_q)$  for L correspond to nice behaviour of the *head* of the resolution of  $\gamma(C, K_C; L)$ . In a landmark paper, Petri [1925] had already focused his attention on the module

 $\gamma(C, K_C; L)$  when L is special. Arbarello and Sernesi [1978] showed that Petri's analysis contains a proof of  $(M_1)$  for all L on a nonrational curve C and a characterisation of the validity of  $(M_2)$  when L is special. Note that when  $L = K_C$  the self-duality of the resolution of  $\gamma(C; K_C)$  implies that property  $(M_q)$  is equivalent to property  $(N_{q-1})$ , so the result discussed in [Arbarello and Sernesi 1978] generalises Petri's celebrated analysis of the ideal of the canonical model of a nonhyperelliptic curve (see [Saint-Donat 1973]).

The present paper is devoted to the study of  $(M_3)$  for a special L. This property has been already studied and characterised for  $L = K_C$  by Schreyer [1991], by Voisin [1988] and when  $\deg(L) \gg 0$  by Ehbauer [1994]. The main issue in considering the case of any special line bundle, not considered by them, is to find natural geometric conditions on C and L. We introduce the following definition:

**Definition 2.3.** Assume that  $r \ge 4$ , and let  $2 \le q \le 1 + r/2$ . We say that a reduced effective divisor  $D = x_1 + \cdots + x_{r-q+2}$  on C satisfies condition  $(\Delta_q)$  with respect to L if the following conditions are satisfied:

- (a)  $h^0(L(-D)) = q$ .
- (b) L(-D) is basepoint-free.
- (c)  $h^0(L(-D+x_i)) = h^0(L(-D))$  for all i = 1, ..., r-q+2.

In the case  $L = K_C$ , a divisor D satisfies condition  $(\Delta_q)$  if it defines a primitive  $g_{g-q+1}^1$ . In general, D defines an (r-q)-plane in  $\mathbb{P}^r$  which is precisely (r-q+2)secant to  $\varphi_L(C) \subset \mathbb{P}^r$ . This condition has appeared in [Green and Lazarsfeld 1985] in the case q=2 and in [Voisin 1988], where it is called  $(H_1)$ , in the case q=3. In both cases they have proved to be the key for  $(M_2)$  to hold for  $K_C$  (equivalent to Petri's theorem) and for  $(M_3)$  to hold for  $K_C$ , respectively. More precisely, a divisor  $D = x_1 + \dots + x_{g-1}$  satisfying condition  $(\Delta_2)$  for  $K_C$  defines a primitive  $g_{g-1}^1$ , and the existence of such a D can be seen to be equivalent to C being not exceptional, i.e., to  $Cliff(C) \ge 2$ : this is how Green and Lazarsfeld arrive at Petri's theorem involving  $(\Delta_2)$  and using the Mumford–Martens theorem. On the other hand, via an elaborate analysis, Voisin showed for  $g \ge 11$  that  $(\Delta_3)$  plus Cliff $(C) \ge 3$  imply that a general projection in  $\mathbb{P}^5$  of the canonical model of C satisfies  $(M_3)$ . It is interesting to note that this is achieved by excluding in particular that the projected curve lies in certain surfaces that are intersection of quadrics in  $\mathbb{P}^5$ . Here one cannot but observe the analogy with the way Ehbauer [1994] proved  $(M_3)$  for L such  $deg(L) \gg 0$ : while his method is different from Voisin's, he is led to consider the same list of surfaces.

Our main result involves condition ( $\Delta_3$ ) plus a transversality condition as the key hypothesis. Specifically, we prove the following:

**Theorem 5.4.** Assume  $g \ge 14$ ,  $r \ge 5$ , that L is very ample and special of degree  $\ge r + 13$ , that each component of the locus of (r - 1)-secant (r - 3)-planes has the expected dimension r - 4, and that the general such (r - 3)-plane in each component satisfies  $(\Delta_3)$  with respect to L. Then L satisfies  $(M_3)$  unless  $Cliff(C) \le 2$ .

The relation between condition  $(\Delta_3)$  and the vanishing of the  $K_{i,1}(C; L)$  for all  $i \ge r-3$  is roughly the following: Nonzero elements of the  $K_{i,1}(C; L)$  can be seen to correspond to certain subvarieties containing the curve  $\varphi_L(C) \subset \mathbb{P}^r$  and defined by quadrics. On the other hand, the existence of divisors satisfying  $(\Delta_3)$  plays the role of a generality condition which prevents the curve from being contained in such a variety. This simple contradiction works quite efficiently once the curve is projected in  $\mathbb{P}^5$ , and that's how we prove the theorem. Note that the condition  $Cliff(C) \ge 3$  cannot be removed, as easy examples show.

For higher q we have a similar contradiction. But the verification that  $(M_q)$  holds once hypotheses similar to those of the theorem are satisfied becomes much more involved as  $q \ge 4$ , and would require a classification of certain classes of varieties that is not yet available.

It is interesting to note that in Theorem 1.2 the existence of secant spaces is related to the exceptions to the validity of  $(N_p)$ ; hence, it is not satisfied in general. On the other hand, in Theorem 5.4 the existence of secant spaces, implied by condition  $(\Delta_3)$ , is satisfied in general.

A final note in the case  $L = K_C$ . The condition  $\operatorname{Cliff}(C) \geq 2$  already implies the existence of divisors satisfying  $(\Delta_2)$ . Similarly, the use of condition  $(\Delta_3)$  made by Voisin [1988] plays a role in the proof, but is not required for the validity of  $(M_3)$ : all that is required is that  $\operatorname{Cliff}(C) \geq 3$ ; in fact the main difficulty in that work consists of proving that  $\operatorname{Cliff}(C) \geq 3$  implies the existence of D satisfying  $(\Delta_3)$ . This suggests, more generally, that  $\operatorname{Cliff}(C) \geq q$  might imply the existence of divisors D satisfying  $(\Delta_q)$  with respect to  $K_C$ .

The paper is organised as follows. In Section 2 we introduce the main condition  $(\Delta_q)$  and study its general properties. In Section 3 we specialise to the case of canonical curves. In Section 4 we relate condition  $(\Delta_q)$  to the geometry of the curve in  $\mathbb{P}^r$ , and in Section 5 we recall the definition of syzygy schemes and prove Theorem 5.4.

### 2. The condition $(\Delta_q)$

**2A.** *Secant loci.* For any  $n \ge 1$ , we denote by  $C_n$  the n-th symmetric product of C and by  $\Xi_n \subset C \times C_n$  the universal divisor. Let

$$C \stackrel{\pi}{\longleftarrow} C \times C_n$$

$$\downarrow^{\pi_n}$$

$$C_n$$

be the projections. For any globally generated line bundle L on C, the sheaf on  $C_n$ 

$$E_L := \pi_{n*}(\pi^*L \otimes \mathbb{O}_{\Xi_n})$$

is locally free of rank n and is called the *secant bundle* of L. We have a homomorphism of locally free sheaves on  $C_n$ 

$$\pi_{n*}\pi^*L \xrightarrow{e_{L,n}} E_L$$

$$\parallel$$

$$H^0(L) \otimes \mathbb{O}_{C_n}$$

Note that  $e_{L,n}$  is generically surjective if  $n \le r$ .

We will denote by  $V_n^k(L) \subset C_n$  the closed subscheme defined by the condition

$$\operatorname{rank}(e_{L,n}) \leq k$$
.

Standard facts about determinantal subschemes (see, for example, [Arbarello et al. 1985]) imply that if nonempty, then  $V_n^k(L)$  has dimension  $\geq n - (r+1-k)(n-k)$ , which is the *expected dimension*.

Of special interest are the cases k = n - 1. The scheme  $V_n^{n-1}(L)$  is supported on the set of  $D \in C_n$  which do not impose independent conditions on L, and its expected dimension is 2n - r - 2. If n = r, we can prove the following:

**Lemma 2.1.** If  $r \ge 4$  then  $V_r^{r-1}(L)$  is nonempty and of pure dimension r-2.

*Proof.* Let  $\Sigma$  be a nonempty component of  $V_r^{r-1}(L)$  with  $\operatorname{codim}(\Sigma) \leq 1$ , i.e., with  $\dim(\Sigma) \geq r-1$ . Consider the morphisms

$$C_{r-1} \times C \xrightarrow{\sigma} C_r$$

$$\downarrow^{\pi_{r-1}}$$

$$C_{r-1}$$

Then  $\pi_{r-1}(\sigma^{-1}(\Sigma)) = C_{r-1}$ . This implies that if  $x_1, \ldots, x_{r-1} \in C$  are general points then the pencil  $|L(-x_1 - \cdots - x_{r-1})|$  has basepoints, which is impossible. Therefore  $V_r^{r-1}(L)$  has pure dimension r-2.

For the same reason, if  $A = x_1 + \cdots + x_{r-2}$  is a general effective divisor of degree r-2, then L(-A) is basepoint-free and not composed with an involution. The plane curve  $\Gamma := \varphi_{L(-A)}(C) \subset \mathbb{P}^2$  is singular and birational to C. Letting  $x_{r-1}, x_r \in C$  be such that  $\varphi_{L(-A)}(x_{r-1}) = \varphi_{L(-A)}(x_r)$  is a singular point of  $\Gamma$ , the divisor  $x_1 + \cdots + x_{r-2} + x_{r-1} + x_r$  belongs to  $V_r^{r-1}(L)$ , which shows nonemptiness.  $\square$ 

Let us record the following useful fact, which is a direct generalisation of [Arbarello et al. 1985, Lemma 1.7, p. 163]:

**Lemma 2.2.** Assume that  $q \ge 2$ ,  $r - q + 2 \ge 4$  and  $V_{r-q+2}^{r-q+1}(L) \ne \emptyset$ . Then no irreducible component of  $V_{r-q+2}^{r-q+1}(L)$  is contained in  $V_{r-q+2}^{r-q}(L)$ .

*Proof.* Let  $D = x_1 + \dots + x_{r-q+2}$  be a general element in a component of  $V_{r-q+2}^{r-q+1}(L)$ . Assume by contradiction that  $D \in V_{r-q+2}^{r-q}(L)$ . Then  $\dim\langle D \rangle \leq r-q-1$ . We may assume that  $\langle D \rangle = \langle x_1 + \dots + x_{r-q+1} \rangle$ . Then for a general  $x \in C$  we have  $\dim\langle x_1 + \dots + x_{r-q+1} + x \rangle \leq r-q$  and therefore  $x_1 + \dots + x_{r-q+1} + x \in V_{r-q+2}^{r-q+1}(L)$ . To conclude, note that  $x_1 + \dots + x_{r-q+1} + x$ , D belong to the same component of  $V_{r-q+2}^{r-q+1}(L)$  and  $\dim\langle D \rangle < \dim\langle x_1 + \dots + x_{r-q+1} + x \rangle$ , contradicting the generality of D.

A consequence of Lemma 2.2 is that the locally closed subscheme  $S_{r-q+2}(L) \subset C_{r-q+2}$  defined as

$$S_{r-q+2}(L) := V_{r-q+2}^{r-q+1}(L) \setminus V_{r-q+2}^{r-q}(L)$$

is dense in any irreducible component of  $V_{r-q+2}^{r-q+1}(L)$ . In particular, any property which is satisfied by general divisors in any irreducible component of  $V_{r-q+2}^{r-q+1}(L)$  is also valid for  $S_{r-q+2}(L)$ . Note that the expected dimension is r-2q+2 in this case. For the particular case q=2, Lemma 2.1 shows that the dimension of  $S_r(L)$  coincides with the expected dimension r-2.

### **2B.** Condition $(\Delta_a)$ . We introduce our basic condition:

**Definition 2.3.** Assume that  $r \ge 4$ , and let  $2 \le q \le 1 + r/2$ . We say that a reduced effective divisor  $D = x_1 + \cdots + x_{r-q+2}$  on C satisfies condition  $(\Delta_q)$  with respect to L if the following conditions are satisfied:

- (a)  $h^0(L(-D)) = q$ .
- (b) L(-D) is basepoint-free.
- (c)  $h^0(L(-D+x_i)) = h^0(L(-D))$  for all i = 1, ..., r-q+2.

In terms of projective geometry, the conditions defining  $(\Delta_q)$  can be rephrased as follows:

- (a) The linear span  $\langle D \rangle \subset \mathbb{P}^r$  is an (r-q)-plane.
- (b)  $\langle D \rangle \cap C = \text{Supp}(D)$ .
- (c)  $x_1, \ldots, x_{r-q+2}$  are in linearly general position in  $\langle D \rangle$  (but not in  $\mathbb{P}^r$  of course); i.e.,  $\langle D x_i \rangle = \langle D \rangle$  for all i.

In terms of symmetric products, the conditions defining  $(\Delta_q)$  correspond to the following:

- (a)  $D \in S_{r-q+2}(L)$ .
- (b)  $\{D\} + C \subset S_{r-q+3}(L)$ .

(c) 
$$D \notin \text{Im}\{V_{r-q+1}^{r-q}(L) \times C \to C_{r-q+2}\}.$$

Note that, from Lemma 2.2, a general point in any irreducible component of  $V_{r-q+2}^{r-q+1}(L)$  satisfies condition (a). Clearly, divisors  $D=x_1+\cdots+x_{r-q+2}$  as in Definition 2.3 fill an open subset of  $S_{r-q+2}(L)$ .

**Proposition 2.4.** Assume that L is special and embeds C with a (r-q+2)-secant (r-q)-plane  $\langle D \rangle \subset \mathbb{P}^r$ . Then  $h^0(\mathbb{O}_C(D)) \leq 2$ .

*Proof.* Assume that  $L = K_C(-B)$ , and set  $r_B := h^0(\mathbb{O}_C(B)) - 1 = h^1(L) - 1$ . From the Riemann–Roch theorem applied to L, we obtain  $\deg(B) = r_B - r + g - 1$ , and hence  $\deg(B+D) = g - q + r_B + 1$ . From Riemann–Roch applied to L(-D), we obtain  $h^0(\mathbb{O}_C(B+D)) = r_B + 2$ . Since the addition map of divisors  $|B| \times |D| \to |B+D|$  is finite on its image, it follows that  $\dim |D| \le 1$ .

**Remark 2.5.** (i) A divisor D satisfies  $(\Delta_q)$  with respect to  $K_C$  if and only if |D| is a primitive  $g_{g-q+1}^1$ . In particular,  $(\Delta_2)$  is equivalent to |D| being a primitive  $g_{g-1}^1$  on C, and such a D does not exist if and only if C is trigonal or a nonsingular plane quintic (see [Green and Lazarsfeld 1985]). Note that hyperelliptic curves are excluded automatically by our assumptions if  $L = K_C$ . We shall treat the canonical case in a separate section.

(ii) If L is nonspecial of degree  $d=g+r\geq 2g$ , then there is no divisor  $D\in C_{r-g+1}$  satisfying condition  $(\Delta_{g+1})$  with respect to L. In fact this would imply that L(-D) is basepoint-free of degree (g+r)-(r-g+1)=2g-1 and dimension r-(r-g)=g, and this is impossible. If g=1, this means that no  $D\in C_r$  satisfies  $(\Delta_2)$  with respect to L: in fact,  $C\subset \mathbb{P}^r$  has degree r+1 and any r distinct points of C are independent.

**Terminology.** Assume L to be special and very ample,  $h^0(L) = r + 1$ , and let  $2 \le q \le r - 1$ . It is convenient to introduce the following:

- We say that condition  $(\Delta_q)$  holds on a component V of  $V_{r-q+2}^{r-q+1}(L)$  if the general element  $D \in V$  satisfies  $(\Delta_q)$  with respect to L. We say that  $(\Delta_q)$  holds on C with respect to L if it holds on every component of  $V_{r-q+2}^{r-q+1}(L)$ .
- We say that  $(\Delta_q)$  holds on C with respect to L in the strong sense if it holds, and moreover all components of  $V_{r-q+2}^{r-q+1}(L)$  have dimension equal to the expected dimension r-2q+2. A necessary condition for this to happen is that  $r \ge 2q-2$ .
- When we say " $\dim(Z) = d$ ", we mean that each irreducible component of Z has dimension d.

Most of our results are proved only under the assumption that  $(\Delta_q)$  holds in the strong sense.

**Proposition 2.6.** Assume that  $\dim(V_{r-q+2}^{r-q+1}(L)) = r - 2q + 2$ . Then  $(\Delta_q)$  holds on C with respect to L in the strong sense if and only if the following conditions are satisfied:

- $(1) \dim(V_{r-q+3}^{r-q+1}(L)) \le r 2q + 1.$
- (2)  $\dim(V_{r-q+1}^{r-q}(L)) = r 2q$ .

*Proof.* Note that the expected dimension of the locus  $V_{r-q+3}^{r-q+1}(L)$  is  $r-3q+3 \le r-2q+1$ .

The proof relies on the observation that any map defined by addition of divisors is finite on its image. Assume  $\dim(V^{r-q+1}_{r-q+3}(L)) \leq r-2q+1$  and  $\dim(V^{r-q}_{r-q+1}(L)) = r-2q$ . Let  $D \in S_{r-q+2}(L)$  be a general element in an irreducible component. Then by definition  $h^0(L(-D)) = q$ , hence condition (a) from Definition 2.3 is satisfied. We prove that L(-D) has no basepoints, i.e., condition (b). Suppose that x is a basepoint of L(-D); then D+x is in  $V^{r-q+1}_{r-q+3}(L)$  and depends on r-2q+2 parameters, contradicting the assumption on  $\dim(V^{r-q+1}_{r-q+3}(L))$ . We have seen that condition (c) is equivalent to  $D \notin \operatorname{Im}\{V^{r-q}_{r-q+1}(L) \times C \to C_{r-q+2}\}$ . By the dimensionality assumptions, the image of the addition map cannot fill a dense set of a component of  $S_{r-q+2}(L)$ .

Conversely, assume that  $(\Delta_q)$  hold on C with respect to L in the strong sense. Suppose that  $V_{r-q+1}^{r-q}(L)$  has a component Z with  $\dim(Z) \geq r-2q+1$ . Then by the dimensionality hypothesis, the image of the set Z+C inside  $V_{r-q+2}^{r-q+1}(L)$  must fill a component, and all its points violate  $(\Delta_q)$ . If there is a component Y of  $V_{r-q+3}^{r-q+1}(L)$  having dimension  $\geq r-2q+2$ , then a general element  $D' \in Y$  can be written as D' = D + x, where, again by the dimensionality assumption, D must fill a component of  $V_{r-q+2}^{r-q+1}(L)$ . From the definition, D fails property (b) of  $(\Delta_q)$ , a contradiction.

**Remark 2.7.** Recall that in the case q=2 the dimension of the locus  $V_r^{r-1}(L)$  equals the expected dimension r-2 (Lemma 2.1) but it can be reducible: when  $L=K_C$  and  $g\geq 6$  this happens precisely when C is either trigonal or bielliptic (see [Teixidor i Bigas 1984]). In the trigonal case  $V_{g-1}^{g-2}(K_C)$  has two components, and in both of them  $(\Delta_2)$  does not hold. In the bielliptic case  $(\Delta_2)$  holds in one component but not in the other. A characterisation of the pairs (C,L) for which  $V_r^{r-1}(L)$  is reducible is unknown to us when L is arbitrary.

**Lemma 2.8.** Assume  $r \ge 5$  and  $2 \le q \le (r+1)/2$ . Assume that  $(\Delta_q)$  holds on C with respect to L in the strong sense. Then, for every general  $x \in C$ ,  $(\Delta_q)$  holds on C with respect to L(-x) in the strong sense.

*Proof.* As noted before, it suffices to prove the same statement for the locally closed subschemes  $S_{r-q+1}$ . Let  $x \in C$  be a point such that, for each irreducible component of  $S_{r-q+2}(L)$ , it is not in the support of all divisors of that component and it is in

the support of some divisor in it that satisfies  $(\Delta_q)$  with respect to L. We have a diagram of spaces and maps

$$C_{r-q+1} \times \{x\} \xrightarrow{\phi} C_{r-q+2}$$

$$\uparrow \qquad \qquad \uparrow$$

$$S_{r-q+1}(L(-x)) \longrightarrow S_{r-q+2}(L)$$

where all the maps are inclusions. Let  $\Sigma \subset S_{r-q+1}(L(-x))$  be an irreducible component. Assume that  $\dim(\Sigma) \geq r-2q+2$ . Then  $\phi(\Sigma)$  is a component of  $\overline{S_{r-q+2}(L)}$  and all divisors in  $\phi(\Sigma)$  contain x in their support. This contradicts our assumptions. The second possibility is that  $\dim(\Sigma) = r-2q+1$  and that all divisors  $D \in \Sigma$  do not satisfy  $(\Delta_q)$  with respect to L(-x). Then  $\phi(\Sigma) \subset \overline{S_{r-q+2}(L)}$  and all  $D+x \in \phi(\Sigma)$  do not satisfy  $(\Delta_q)$  with respect to L. Since this condition is satisfied for a general choice of  $x \in C$ , we deduce that there is a component of  $\overline{S_{r-q+2}(L)}$  with no elements satisfying  $(\Delta_q)$  with respect to L, a contradiction.  $\square$ 

### 3. The case $L = K_C$

In this case the notation specialises as follows:

- $V_{g-q+1}^{g-q}(K_C) = C_{g-q+1}^1$ .
- $S_{g-q+1}(K_C) = C_{g-q+1}^1 \setminus C_{g-q+1}^2$ .
- The expected dimension of  $V_{g-q+1}^{g-q}(K_C)$  is g-2q+1.
- A divisor  $D \in C^1_{g-q+1}$  satisfies  $(\Delta_q)$  with respect to  $K_C$  for some  $q \ge 2$  if and only if it defines a *primitive*  $g^1_{g-q+1}$ , i.e., it is complete, basepoint-free and the residual is also basepoint-free.

For brevity, when in this section we say that a *condition* ( $\Delta_q$ ) is satisfied, we assume implicitly "with respect to  $K_C$ ".

The condition  $(\Delta_q)$  is well defined in the range  $2 \le q \le g-1$ . When  $[(g-1)/2] < q \le g-1$ , the existence of a  $D \in C_{g-q+1}$  satisfying  $(\Delta_q)$  is equivalent to the existence of a primitive  $g_{g-q+1}^1$  with g-q+1 < (g+3)/2, and therefore C becomes more and more special as q grows, because its gonality decreases. On the other hand, when  $2 \le q \le [(g-1)/2]$ , the condition that there exists D satisfying  $(\Delta_q)$  should imply that  $\text{Cliff}(C) \ge q$  (this is true for q=2,3, see the Remark 3.4 below). In this range, if this implication is true then the existence of a  $D \in C_{g-q+1}$  satisfying  $(\Delta_q)$  implies that C is more and more general as q grows. We are able to clarify this, assuming only that C has Clifford dimension 1.

**Proposition 3.1.** Assume  $g \ge 2q + 1$  and  $q \ge 2$ . Consider the following conditions:

- (i) The condition  $(\Delta_q)$  holds on C in the strong sense.
- (ii)  $C \subset \mathbb{P}^{g-1}$  is not contained in a q-dimensional variety of minimal degree g-q.
- (iii) For all  $1 \le e \le q$ , there does not exist a  $\overline{D} \in C_{e+1}$  satisfying  $(\Delta_{g-e})$ .
- (iv)  $gon(C) \ge q + 2$ .

We have (i)  $\Longrightarrow$  (ii)  $\Longleftrightarrow$  (iv).

*Proof.* (iv)  $\iff$  (iii). gon(C) < q+2 if and only if there exists a primitive  $g_{e+1}^1$  for some  $1 \le e \le q$ , and this is equivalent to the existence of  $\overline{D} \in C_{e+1}$  satisfying  $(\Delta_{g-e})$ .

- (ii)  $\iff$  (iii). The existence of a primitive  $g_{e+1}^1$  for some  $1 \le e \le q$  is equivalent to the existence of  $A \in W_{q+1}^1 \setminus W_{q+1}^2$ , possibly with basepoints. The union of the linear spans  $\langle E \rangle$  for  $E \in |A|$  is a q-dimensional variety of minimal degree.
- (i)  $\Longrightarrow$  (iii). If there exists  $\overline{D} \in C^1_{e+1}$  satisfying  $(\Delta_{g-e})$  for some  $1 \le e \le q$ , then the locus

$$W := \{\overline{D} + x_1 + \dots + x_{g-(q+e)} : \overline{D} \in C^1_{e+1} \text{ satisfying } (\Delta_{g-e}), x_i \in C\} \subset C_{g-q+1}$$

consists of divisors not satisfying  $(\Delta_q)$  and has dimension

$$\dim(W) \ge g - (q + e) + 1 \ge g - 2q + 1.$$

Therefore  $\overline{W}$  is a component of  $C^1_{g-q+1}$ , contradicting (i).

**Remark 3.2.** The proof of the implication (i)  $\Longrightarrow$  (iii) fails if g = 2q. In fact, a general curve C of genus g = 2q has a primitive  $g_{q+1}^1$  and  $(\Delta_q)$  holds on C in the strong sense. In this case  $V_{q+1}^q(K_C) = C_{q+1}^1$  is reducible in several components of dimension 1: their number is given by Castelnuovo's formula [Arbarello et al. 1985, p. 211].

**Remark 3.3.** The implication (ii)  $\Longrightarrow$  (i) does not hold. In fact, if C is a bielliptic curve then gon(C) = 4. On the other hand,  $C_{g-1}^1$  has two components [Teixidor i Bigas 1984], both having dimension g-3, equal to the expected dimension, but  $(\Delta_2)$  holds only on one of them. Therefore, in this case the implication holds only in a weak sense.

**Remark 3.4.** If  $(\Delta_2)$  holds then  $\operatorname{Cliff}(C) \geq 2$ . This has been proved in [Green and Lazarsfeld 1985] using Mumford–Martens. Note that they only assumed that  $(\Delta_2)$  holds on *some* component of  $C_{g-1}^1$ . The implication  $(\Delta_3)$  holds  $\Longrightarrow \operatorname{gon}(C) \geq 5$  has been considered in [Voisin 1988]. In both cases q = 2, 3, the converse implication

$$Cliff(C) \ge q \implies (\Delta_q)$$
 holds on some component of  $C_{g-q+1}^1$ 

has also been proved.

**Remark 3.5.** Assume g is odd. On a general curve C of Clifford dimension 1 there is a  $D \in C_{(g+3)/2}$  satisfying  $(\Delta_{(g-1)/2})$ . The reason is that C has gonality (g+3)/2, and a pencil computing its gonality is necessarily primitive. Therefore a divisor D in the pencil satisfies  $(\Delta_{(g-1)/2})$ .

In the case  $L = K_C$ , Proposition 2.6 implies:

**Proposition 3.6.** Let C be a curve of genus  $g \ge 2q + 2$  such that the dimension of the locus  $W_{g-q}^1(C)$  equals the expected dimension g - 2q - 2 and  $\dim(W_{g-q+2}^2(C)) \le g - 2q - 2$ . Then  $(\Delta_q)$  holds on C in the strong sense.

*Proof.* Since  $\dim(W^1_{g-q}(C)) = g-2q-2$ , we obtain  $\dim(V^{g-q-1}_{g-q}(K_C)) = g-2q-1$ , which is (2) of Proposition 2.6 in this case. From "excess linear series" it follows that the dimension of  $W^1_{g-q+1}(C)$  also equals the expected dimension g-2q, and hence  $\dim(V^{g-q}_{g-q+1}(K_C)) = g-2q+1$ . Finally,  $\dim(W^2_{g-q+2}(C)) \le g-2q-2$  implies that  $\dim(V^{g-q}_{g-q+2}(K_C)) \le g-2q$ , as  $V^{g-q}_{g-q+2}(K_C) = C^2_{g-q+2}$ . Hence all the conditions required in Proposition 2.6 are satisfied. □

**Remark 3.7.** If the curve C is of gonality (q+1) or less, then the hypotheses of Proposition 3.6 are not satisfied. Indeed, if A is a  $g_{q+1}^1$ , then  $W_{g-q}^1(C)$  contains the variety  $\{A\} + W_{g-2q-1}(C)$ , which is of dimension g-2q-1.

If the curve C is instead of gonality (q + 2), then the hypothesis that

$$\dim(W_{g-q}^{1}(C)) = \rho(g, 1, g-q) = g - 2q - 2$$

coincides with the *linear growth condition* on the dimension of Brill–Noether loci, from [Aprodu 2005]. It was proved there that this condition implies Green's conjecture, i.e., condition  $(M_q)$ .

**Remark 3.8.** If q = 2, and C is neither trigonal, bielliptic nor plane quintic, the hypotheses of Proposition 3.6 are satisfied. Indeed, if one of the two fails, then we obtain a contradiction with the Mumford–Martens dimension theorem. Likewise, for q = 3 the failure of the hypotheses contradicts Keem's dimension theorem [Voisin 1988, Proposition II.0].

**Corollary 3.9.** Assume that  $g \ge 2q + 2$ ,  $q \ge 2$ , and that  $\dim(C_{g-q+2}^2) \le g - 2q$ . If  $(\Delta_{q+1})$  holds on C in the strong sense then  $(\Delta_q)$  also holds on C in the strong sense.

Applying Proposition 3.6 and Lemma 2.8, we obtain the following existence result:

**Corollary 3.10.** For a general triple (C, L, D), with L special and  $D \in V_{r-q+2}^{r-q+1}(L)$ , the condition  $(\Delta_q)$  is satisfied.

The meaning of generality in the statement is that L is a general projection of the canonical bundle, and hence the speciality index equals 1. More precise existence results are proved by Coppens and Martens, and by Farkas; see [Farkas 2008, Theorem 0.5] and the references therein.

### **4.** Condition $(\Delta_q)$ and geometry

**Proposition 4.1.** Assume that  $r \ge \max\{4, 2q - 1\}$ . Suppose that L is special and condition  $(\Delta_q)$  holds on C with respect to L in the strong sense. Then  $\varphi_L(C) \subset \mathbb{P}^r$  is not contained in a q-dimensional variety of minimal degree (r - q + 1) unless r = 2q - 1 and C has a basepoint-free  $g_{q+1}^1$ .

*Proof.* Assume that  $r \ge 2q$ . We note that C has no  $g_{q+1}^1$ . Indeed, if we have a  $g_{q+1}^1$ , then  $A + C_{r-2q+1}$ , with  $A \in |g_{q+1}^1|$ , fill up a component of  $V_{r-q+2}^{r-q+1}(L)$ , and any element of this locus fails condition (c) of the definition of  $(\Delta_q)$ .

Assume by contradiction that  $\varphi_L(C) \subset X$ , a q-dimensional variety of minimal degree r-q+1. Then X is ruled by a one-dimensional family of (q-1)-planes. Let  $\Lambda$  be a general such (q-1)-plane, and let  $E=\Lambda\cap\varphi_L(C)$  and  $n=\deg(\Lambda\cap\varphi_L(C))$ . Then  $n\geq q+2$  by what we have just shown. Decompose E=A+B with  $\deg(A)=q+1$ . Let  $D=A+y_1+\cdots+y_{r-2q+1}$  with the  $y_i$  general points of C. Then  $D\in V_{r-q+2}^{r-q+1}(L)$ , but it does not satisfy  $(\Delta_q)$ . On the other hand, the divisor D depends on 1+(r-2q+1)=r-2q+2 parameters. Therefore it is a general point of a component of  $V_{r-q+2}^{r-q+1}(L)$ , a contradiction.

In the case r = 2q - 1, the only possibility for C to be on a variety of minimal degree is that C have a basepoint-free  $g_{q+1}^1$ , and, in this case,  $S_{q+1}(L)$  will have a rational component. The case when X is a cone over the Veronese surface can be treated similarly, by general projection to  $\mathbb{P}^{2q-1}$  using Lemma 2.8.

Note that if C is contained in an e-dimensional variety of minimal degree (r - e + 1) with  $e \le q$ , then it is contained also in a q-dimensional variety of minimal degree (r - q + 1) [Harris 1981].

As we will see, the validity of property  $(M_3)$  is tightly connected with properties of surfaces of low degree in  $\mathbb{P}^5$ . As an illustration of the geometric content of Definition 2.3, we study surfaces of degree  $n \le 6$ .

**Proposition 4.2.** Assume that r = 5, L is special and  $(\Delta_3)$  holds on C with respect to L in the strong sense. Then  $\varphi_L(C) \subset \mathbb{P}^5$  is not contained in a nonsingular surface of degree  $\leq 6$  unless it has a  $g_4^1$ .

*Proof.* Assume that  $C \subset S$ , a nonsingular surface of degree  $n \le 6$ . Consider the case n = 6. The possibilities for a nonsingular surface of degree 6 in  $\mathbb{P}^5$  are described in [Ionescu 1984], and are the following: (i) an elliptic scroll with sectional genus g = 1 and e = 0; (ii) a Castelnuovo surface with sectional genus g = 2 defined by the embedding in  $\mathbb{P}^5$  of the blow-up  $X = \operatorname{Bl}_{p_1,\dots,p_6,q}(\mathbb{P}^2)$  of  $\mathbb{P}^2$  at seven general points via the very ample linear system  $|\mathcal{L}| = |4H - E_1 - E_2 - \dots - E_6 - 2A|$  (with the obvious notation) corresponding to the system of plane quartics passing simply through  $p_1, \dots, p_6$  and doubly through q.

In case (i), let  $\ell \subset S$  be a general line of the ruling, and let  $k = \deg(\mathbb{O}_C(\ell))$ . Then  $k \geq 2$ , and if k = 2 then C is bielliptic, so it has a  $g_4^1$ . If  $k \geq 3$ , then adding a general  $p \in C$  to a subdivisor of degree 3 of  $\mathbb{O}_C(\ell)$  we obtain an element of  $S_4(L)$  which does not satisfy  $(\Delta_3)$  and which depends on two parameters, a contradiction.

In case (ii), the system |H-A| is a pencil of conics on the surface S. The divisors  $D \in |\mathbb{O}_C(H-A)|$  have degree say  $m \ge 3$  and dim  $|D| \ge 1$ . If  $m \le 4$  then C has a  $g_4^1$ . Otherwise the divisors D contain subdivisors of degree 4 contradicting the other conditions.

If n = 5, then S is a Del Pezzo surface. Let  $|\gamma|$  be a pencil of conics on S and let  $N = \mathbb{O}_C(\gamma)$ . Then N gives a  $g_4^1$  or contradicts  $(\Delta_3)$ , depending on whether  $\deg(N) \leq 4$  or  $\deg(N) \geq 5$ .

If 
$$n = 4$$
, the conclusion follows from Proposition 4.1.

### 5. Condition $(\Delta_3)$ and Koszul cohomology

In this section, we briefly recall the relation between Koszul cohomology and vector bundles, as well as the definition of syzygy schemes.

Consider X a smooth projective variety, and let L be a globally generated line bundle on X. We let

$$\varphi_L: X \to \mathbb{P}(H^0(L)^{\vee}) \cong \mathbb{P}^r, \quad r+1 = h^0(L)$$

be the morphism defined by L.

We have an exact sequence

$$0 \longrightarrow M_L \longrightarrow H^0(L) \otimes \mathbb{O}_X \longrightarrow L \longrightarrow 0, \tag{1}$$

where  $M_L = \varphi^*(\Omega_{\mathbb{P}^r}(1))$  is locally free of rank r. If r = 1, i.e., if |L| is a basepoint-free pencil, then  $M_L = L^{-1}$ . Taking the n-th exterior power  $(1 \le n \le r)$  we obtain the exact sequence

$$0 \longrightarrow \bigwedge^{n} M_{L} \longrightarrow \bigwedge^{n} H^{0}(L) \otimes \mathbb{O}_{X} \longrightarrow \bigwedge^{n-1} M_{L} \otimes L \longrightarrow 0.$$
 (2)

For any coherent sheaf  $\mathcal{F}$  on X, twisting the sequence above with  $\mathcal{F}$ , with powers of L and taking global sections, we obtain isomorphisms

$$K_{n,m}(X, \mathcal{F}; L) \cong \operatorname{Coker} \{ \bigwedge^{n+1} H^0(L) \otimes H^0(\mathcal{F} \otimes L^{m-1}) \to H^0(\bigwedge^n M_L \otimes \mathcal{F} \otimes L^m) \}.$$

The syzygy schemes were introduced and studied in [Green 1984; Ehbauer 1994]. The idea behind the definition of syzygy schemes is that one reason for which a linearly normal curve C in  $\mathbb{P}^r$  has some nonvanishing  $K_{n,1}$  is that C lies on a variety of special type. The varieties under question are cut out by quadrics; more precisely, by the quadrics involved in syzygies.

The general set-up is the following. Let C be a smooth curve, L a globally generated (preferably very ample) line bundle on C and set  $V = H^0(L)$ . Start with the short exact sequence of sheaves on the projective space

$$0 \longrightarrow \mathcal{I}_C \longrightarrow \mathbb{O}_{\mathbb{P}^r} \longrightarrow \mathbb{O}_C \longrightarrow 0.$$

Note that for any n and m we have  $K_{n,m}(\mathbb{P}^r, \mathbb{O}_C; \mathbb{O}_{\mathbb{P}^r}(1)) \cong K_{n,m}(C; L)$ .

Taking Koszul cohomology with respect to  $\mathbb{O}_{\mathbb{P}^r}(1)$ , and using the vanishing of Koszul cohomology on the projective space, we obtain isomorphisms

$$K_{n,m}(C;L) \cong K_{n-1,m+1}(\mathbb{P}^r, \mathcal{I}_C; \mathbb{O}_{\mathbb{P}^r}(1)),$$

for any n and m except for the cases (n, m) = (0, 0) or (n, m) = (1, -1). On the other hand, from the general description of mixed Koszul cohomology, we know that

$$K_{n-1,m+1}(\mathbb{P}^r, \mathcal{I}_C; \mathbb{O}_{\mathbb{P}^r}(1))$$

$$\cong \operatorname{Coker} \{ \bigwedge^n V \otimes H^0(\mathcal{I}_C(m)) \to H^0(\Omega^{n-1}_{\mathbb{D}^r}(n+m) \otimes \mathcal{I}_C) \}.$$

Observe that for the case m = 1 we have  $H^0(\mathcal{I}_C(m)) = 0$ , and hence we obtain an isomorphism

$$K_{n,1}(C;L) \cong H^0(\Omega^{n-1}_{\mathbb{P}^r}(n+1) \otimes \mathcal{I}_C);$$

in particular, any nonzero Koszul cohomology class  $\alpha \in K_{n,1}(C;L)$  corresponds to a section in  $H^0(\Omega^{n-1}_{\mathbb{P}^r}(n+1))$  vanishing along C. The zero-scheme of this section is called the *syzygy scheme* associated to  $\alpha$ , and is denoted by  $\mathrm{Syz}(\alpha)$ . Note that a syzygy scheme is cut out by quadrics, as the sheaf  $\Omega^{n-1}_{\mathbb{P}^r}(n+1)$  is a subsheaf of  $\bigwedge^{n-1}V\otimes\mathbb{O}_{\mathbb{P}^r}(2)$ . The scheme-theoretic intersection of all the syzygy schemes is denoted by  $\mathrm{Syz}_n(C)$ . It contains C and is cut out by quadrics as well.

We record next two remarkable classification results concerning syzygy schemes, due to Green and Ehbauer.

**Theorem 5.1** (Green's  $K_{p,1}$ ). If  $K_{r-1,1}(C, L) \neq 0$ , then C is a rational normal curve and  $\operatorname{Syz}_{r-1}(C) = C$ . If C is of degree  $\geq r+2$  and  $K_{r-2,1}(C, L) \neq 0$ , then  $\operatorname{Syz}_{r-2}(C)$  is a surface of minimal degree (r-1).

**Theorem 5.2** (Ehbauer). If C has degree  $\geq r + 13$  and  $K_{r-3,1}(C, L) \neq 0$ , then  $\operatorname{Syz}_{r-3}(C)$  is either a surface of minimal degree (r-1), a surface of degree r or a threefold of minimal degree (r-2).

We recall the following:

**Definition 5.3.** The line bundle *L* has property  $(M_q)$  if  $K_{n,1}(C; L) = 0$  for all  $n \ge r - q$ .

We prove:

**Theorem 5.4.** Assume  $g \ge 14$ ,  $r \ge 5$ , L is very ample and special of degree  $\ge r + 13$ , and  $(\Delta_3)$  holds on C with respect to L in the strong sense. Then L satisfies  $(M_3)$  unless  $gon(C) \le 4$ .

*Proof.* Applying Ehbauer's characterisation of syzygy schemes, if L fails property  $(M_3)$ , then C lies either on a surface of minimal degree, on a threefold of minimal degree or on a surface of degree r. The first two cases are excluded by Proposition 4.1. Projecting generically to  $\mathbb{P}^5$  and applying Lemma 2.8 and Proposition 4.2, we see that C cannot lie on a smooth surface of degree 5. If it lies on a singular surface of degree 5 in  $\mathbb{P}^5$ , then, projecting from a singular point, the curve in  $\mathbb{P}^4$  lies on a surface of minimal degree. In particular, since the curve is of gonality  $\geq 5$ , the image of C in  $\mathbb{P}^4$  has a k-secant line for some  $k \geq 5$ , and hence the image of C in  $\mathbb{P}^5$  has a one-dimensional family of k-secant 2-planes with  $k \geq 5$ , which contradicts the assumptions.

**Remark 5.5.** The same argument together with Green's  $K_{p,1}$ -theorem gives a similar statement for the weaker property  $(M_2)$ .

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