Algebra & Number Theory

Volume 10 2016 _{No. 10}

Weight functions on Berkovich curves

Matthew Baker and Johannes Nicaise



Weight functions on Berkovich curves

Matthew Baker and Johannes Nicaise

Let *C* be a curve over a complete discretely valued field *K*. We give tropical descriptions of the weight function attached to a pluricanonical form on *C* and the essential skeleton of *C*. We show that the Laplacian of the weight function equals the pluricanonical divisor on Berkovich skeleta, and we describe the essential skeleton of *C* as a combinatorial skeleton of the Berkovich skeleton of the minimal *snc*-model. In particular, if *C* has semistable reduction, then the essential skeleton coincides with the minimal skeleton. As an intermediate step, we describe the base loci of logarithmic pluricanonical line bundles on minimal *snc*-models.

1. Introduction

We denote by *R* a complete discrete valuation ring with quotient field *K* and algebraically closed residue field *k*. Let *X* be a smooth and proper *K*-variety. Mustață and Nicaise [2015] defined the *essential skeleton* Sk(*X*) of *X*, which is a finite simplicial complex embedded in the Berkovich analytification X^{an} of *X*. It is a union of faces of the Berkovich skeleton of any strict normal crossings model of *X*, but it does not depend on the choice of such a model. It was proven in [Nicaise and Xu 2013] that, when *k* has characteristic zero and the canonical line bundle on *X* is semiample, the essential skeleton is a strong deformation retract of X^{an} and can be identified with the dual intersection complex of the special fiber of any minimal *dlt*-model of *X* over *R*. The definition of the essential skeleton was based on the construction of a *weight function* wt_{ω} on X^{an} attached to a pluricanonical form ω on *X*, which measures the degeneration of the pair (*X*, ω) locally at a point of X^{an} . The aim of the present paper is to give an explicit description of the weight function and the essential skeleton in the case where *X* is a curve, and to relate them to potential theory on graphs.

Let *C* be a smooth, proper, geometrically connected curve over *K*. Denote by $\mathbb{H}_0(C)$ the Berkovich analytification C^{an} minus the points of type I and IV. In Section 2 we construct a metric on $\mathbb{H}_0(C)$ using the geometry of normal crossings models of *C* over *R*. This is similar to the construction of the skeletal metric in

MSC2010: primary 14D10; secondary 14E30, 14T05.

Keywords: Berkovich spaces, degenerations of curves, tropical geometry.

the case where K is algebraically closed [Baker et al. 2013], but our metric is not invariant under base change and cannot be obtained from the skeletal metric in any direct way. Using this metric, we can speak of integral affine functions on finite subgraphs of C^{an} and Laplacians of such functions. Section 3 is the heart of the paper; here we provide combinatorial descriptions of the weight function wt_{ω} attached to a rational *m*-canonical form ω on C and of the essential skeleton of C. Our first main result, Theorem 3.2.3, states that the Laplacian of the restriction of the weight function to the Berkovich skeleton of a suitable *snc*-model of C equals the *m*-canonical divisor of the Berkovich skeleton, which is defined in terms of graph theory. Our second main result, Theorem 3.3.13, states that the essential skeleton of a curve C of positive genus is the subgraph of the Berkovich skeleton of the minimal *snc*-model of C obtained by contracting all the tails of rational curves. In particular, if C has semistable reduction, then the essential skeleton of C is equal to the Berkovich skeleton of its minimal *snc*-model. The proof of Theorem 3.3.13 is based on Theorem 3.3.6, which describes the base locus of the logarithmic relative pluricanonical bundles of the minimal snc-model of C. We also prove that, in the semistable reduction case, it suffices to look at weight functions of 2-canonical forms to recover the essential skeleton; moreover, if the essential skeleton of C is bridgeless, then canonical forms suffice (see Theorem 3.4.6). Finally, in the Appendix, we describe a different natural metric on $\mathbb{H}_0(C)$ which behaves better under (tame) base change and which is closer to the skeletal metric from [Baker et al. 2013].

1.1. Notation.

1.1.1. We denote by *R* a complete discrete valuation ring with quotient field *K* and algebraically closed residue field *k*. We assume that the valuation v_K on *K* is normalized, i.e., that $v_K(t) = 1$ for any uniformizer *t* in *R*, and we define an absolute value $|\cdot|_K$ on *K* by setting $|a|_K = \exp(-v_K(a))$ for every *a* in K^* . We fix an algebraic closure K^a of *K*. The absolute value $|\cdot|_K$ extends uniquely to an absolute value on K^a , which we still denote by $|\cdot|_K$. We write $\widehat{K^a}$ for the completion of K^a with respect to $|\cdot|_K$.

1.1.2. By a curve over *K*, we will mean a geometrically connected smooth proper *K*-variety of dimension one. For every scheme *S* we denote by S_{red} the maximal reduced closed subscheme. For every *R*-scheme \mathscr{X} we set $\mathscr{X}_K = \mathscr{X} \times_R K$ and $\mathscr{X}_k = \mathscr{X} \times_R k$. If \mathcal{L} is a line bundle on a scheme *X* and *D* is a Cartier divisor on *X*, then we write $\mathcal{L}(D)$ for the line bundle $\mathcal{L} \otimes \mathcal{O}_X(D)$, as usual.

1.1.3. We will work with the category of *K*-analytic spaces as defined by Berkovich [1990]. We assume a basic familiarity with the theory of analytic curves over K; see for instance [Baker et al. 2013].

2. The metric on the Berkovich analytification of a K-curve

2.1. Metric graphs associated to curves with normal crossings.

2.1.1. When we speak of a discrete graph G, we mean a finite connected undirected multigraph, i.e., we allow multiple loops and multiple edges between vertices. We denote the vertex set of G by V(G) and the set of edges by E(G). A weighted discrete graph is a couple (G, w) where G is a discrete graph and w is a function

$$w: V(G) \to \mathbb{R}.$$

2.1.2. A discrete graph *G* has a geometric realization Γ , which is defined as follows: We start from the set V(G) and we attach one copy of the closed interval [0, 1] between two vertices v_1 and v_2 for each edge of *G* with endpoints $\{v_1, v_2\}$. If *G* is endowed with a weight function *w* that takes values in $\mathbb{Z}_{>0}$, then we can turn the topological space Γ into a metric space by declaring that the length of every edge *e* between two adjacent vertices v_1 and v_2 is equal to

$$\ell(e) = \frac{1}{w(v_1) \cdot w(v_2)}.$$
(2.1.3)

In these definitions, we allow the possibility that $v_1 = v_2$. We call the metric space Γ the metric graph associated with (G, w).

2.1.4. Let *X* be a connected separated *k*-scheme of finite type of pure dimension one. We say that *X* has normal crossings if the only singular points of X_{red} are ordinary double points. We associate a weighted discrete graph (G(X), w) to *X* as follows. The vertex set of G(X) is the set of irreducible components of *X* and the edge set of G(X) is the set of singular points of X_{red} . If *e* is an edge corresponding to a singular point *x* of X_{red} , then the end points of *e* are the vertices corresponding to the irreducible components of *X* containing *x*. In particular, *e* is a loop if and only if *x* is a singular point of an irreducible component of *X*. If *v* is a vertex of G(X) corresponding to an irreducible component *E* of *X*, then the weight w(v) is defined to be the multiplicity of *X* along *E*, i.e., the length of the local ring of *X* at the generic point of *E*. The metric graph associated with (G(X), w) will be denoted by $\Gamma(X)$.

2.2. Models with normal crossings.

2.2.1. Let *C* be a curve over *K*. An *nc*-model of *C* is a regular flat proper *R*-scheme \mathscr{C} , endowed with an isomorphism of *K*-schemes $\mathscr{C}_K \to C$, such that the special fiber \mathscr{C}_k has normal crossings. We call \mathscr{C} an *snc*-model of *C* if, moreover, \mathscr{C}_k has strict normal crossings, which means that its irreducible components (endowed with the induced reduced structure) are regular. If \mathscr{C} and \mathscr{C}' are *nc*-models of *C*, then a morphism of *R*-schemes $h : \mathscr{C}' \to \mathscr{C}$ is called a morphism of *nc*-models

if the morphism $h_K : \mathscr{C}'_K \to \mathscr{C}_K$ obtained by base change to K commutes with the isomorphisms to C. Morphisms of *snc*-models are defined analogously. We say that \mathscr{C}' dominates \mathscr{C} if there exists a morphism of *nc*-models $\mathscr{C}' \to \mathscr{C}$; such a morphism is automatically unique. We denote this property by $\mathscr{C}' \ge \mathscr{C}$. The relation \ge defines a partial ordering on the set of isomorphism classes of *nc*-models of C. This partial ordering is filtered, and the *snc*-models form a cofinal subset since any *nc*-model can be transformed into an *snc*-model by blowing up at the self-intersection points of the irreducible components of the special fiber. We say that the curve C has semistable reduction if any relatively minimal *nc*-model of Chas a reduced special fiber. Beware that this does not imply that the minimal *snc*model has reduced special fiber, as blowing up at self-intersection points introduces components of multiplicity two.

2.2.2. Denote by C^{an} the Berkovich analytification of C, and let \mathscr{C} be an *snc*-model of C. If E is an irreducible component of \mathscr{C}_k and v denotes the corresponding vertex of the weighted discrete graph $(G(\mathscr{C}_k), w)$, then w(v) is precisely the multiplicity of E in the divisor \mathscr{C}_k . Mustață and Nicaise [2015, §3.1] defined a canonical topological embedding of the metric graph $\Gamma(\mathscr{C}_k)$ into C^{an} , generalizing a construction by Berkovich. The image of this embedding is called the Berkovich skeleton of the model \mathscr{C} and denoted by Sk(\mathscr{C}). By [Mustață and Nicaise 2015, 3.1.5], the embedding of Sk(\mathscr{C}) into C^{an} has a canonical continuous retraction

$$\rho_{\mathscr{C}}: C^{\mathrm{an}} \to \mathrm{Sk}(\mathscr{C}).$$

If we let \mathscr{C} vary over the class of *snc*-models of *C*, ordered by the domination relation, then the maps $\rho_{\mathscr{C}}$ induce a homeomorphism

$$C^{\mathrm{an}} \to \varprojlim_{\mathscr{C}} \mathrm{Sk}(\mathscr{C}).$$

This is easily proven by an adaptation of the argument in [Baker et al. 2013, Theorem 5.2] (where it is assumed that the base field is algebraically closed). It is straightforward to generalize these constructions to *nc*-models, either by copying the arguments or by observing that blowing up \mathscr{C} at all the self-intersection points of irreducible components of \mathscr{C}_k , we get an *snc*-model \mathscr{C}' of *C* and the morphism $\mathscr{C}' \to \mathscr{C}$ induces an isometry $\Gamma(\mathscr{C}'_k) \to \Gamma(\mathscr{C}_k)$ (the effect of this operation on $\Gamma(\mathscr{C}_k)$ is that we add a vertex in the middle of every loop).

2.3. Definition of the metric.

2.3.1. Let *C* be a curve over *K*, and denote by $\mathbb{H}_0(C)$ the subset of C^{an} obtained by removing the points of type I and IV.

Lemma 2.3.2. For every nc-model \mathscr{C} of C, the Berkovich skeleton $Sk(\mathscr{C})$ is contained in $\mathbb{H}_0(C)$. Moreover, $\mathbb{H}_0(C)$ is the union of the skeleta $Sk(\mathscr{C})$ where \mathscr{C} runs through any cofinal set of nc-models of \mathscr{C} .

Proof. The points of type II on C^{an} are precisely the divisorial points in the sense of [Mustață and Nicaise 2015, 2.4.7], and the points of type II and III are precisely the monomial points. Thus the first part of the statement is obvious from the construction of Sk(\mathscr{C}). The second part follows from the fact that every monomial point lies in the skeleton of some *snc*-model and the fact that, if $\mathscr{C}' \to \mathscr{C}$ is a morphism of *nc*-models of *C*, the skeleton Sk(\mathscr{C}) is included in Sk(\mathscr{C}') [Mustață and Nicaise 2015, Proposition 3.1.7].

The following theorem explains how to define a natural metric on the set $\mathbb{H}_0(C)$.

Theorem 2.3.3. There exists a unique metric on $\mathbb{H}_0(C)$ such that, for every nomodel \mathcal{C} of C, the map

$$\Gamma(\mathscr{C}_k) \to \mathbb{H}_0(C)$$

is an isometric embedding.

Proof. The uniqueness of the metric is obvious from Lemma 2.3.2. Thus it suffices to prove its existence. Let \mathscr{C} and \mathscr{C}' be *nc*-models of *C* such that \mathscr{C}' dominates \mathscr{C} . Then the skeleton Sk(\mathscr{C}) is contained in Sk(\mathscr{C}') by [Mustață and Nicaise 2015, Proposition 3.1.7], and it suffices to show that the corresponding embedding $\Gamma(\mathscr{C}_k) \to \Gamma(\mathscr{C}'_k)$ is an isometry. Since we can decompose the morphism $\mathscr{C}' \to \mathscr{C}$ into a finite composition of point blow-ups, we can assume that $\mathscr{C}' \to \mathscr{C}$ is the blow-up of \mathscr{C} at a closed point *x* of \mathscr{C}_k . If *x* is a regular point of $(\mathscr{C}_k)_{red}$ then the claim is obvious. If *x* is a singular point then $(G(\mathscr{C}'_k), w)$ is obtained from $(G(\mathscr{C}_k), w)$ by adding a vertex on the edge *e* corresponding to *x* and giving it weight $w(v_1) + w(v_2)$, where v_1 and v_2 are the (not necessarily distinct) endpoints of *e*. The lengths of the segment *e* in the metric graphs $\Gamma(\mathscr{C}_k)$ and $\Gamma(\mathscr{C}'_k)$ are the same, because

$$\frac{1}{w(v_1) \cdot w(v_2)} = \frac{1}{w(v_1) \cdot (w(v_1) + w(v_2))} + \frac{1}{(w(v_1) + w(v_2)) \cdot w(v_2)}.$$

Remark 2.3.4. There is another metric on $\mathbb{H}_0(C)$ that is induced by the piecewise integral affine structure on the skeleta of *snc*-models; we will explain its construction in the Appendix. Although this second metric arises more naturally and behaves better under base change, the one we defined in Theorem 2.3.3 seems to be the correct one for the purposes of potential theory. A similar discrepancy appears in the nonarchimedean study of germs of algebraic surfaces, which is in many ways analogous to the setup we consider here, see Section 7.4.10 of [Jonsson 2015].

3. The weight function and the essential skeleton

3.1. The weight function attached to a pluricanonical form.

3.1.1. We fix a *K*-curve *C*. Let *m* be a positive integer and let ω be a nonzero rational *m*-canonical form on *C*. Thus ω is a nonzero rational section of the *m*-canonical line bundle $\omega_{C/K}^{\otimes m}$. As such, it defines a Cartier divisor on *C*, which we

denote by $\operatorname{div}_C(\omega)$. If \mathscr{C} is any *snc*-model of *C*, we can also view ω as a rational section of the logarithmic relative *m*-canonical line bundle

$$\omega_{\mathscr{C}/R}(\mathscr{C}_{k,\mathrm{red}})^{\otimes n}$$

and we denote the corresponding divisor on \mathscr{C} by $\operatorname{div}_{\mathscr{C}}(\omega)$. Note that the horizontal part of $\operatorname{div}_{\mathscr{C}}(\omega)$ is simply the schematic closure of $\operatorname{div}_{C}(\omega)$ in \mathscr{C} .

3.1.2. Mustață and the second author [2015, 4.5.4] attached to ω a so-called *weight function* wt $_{\omega}$. In our setting (the case of curves) we can characterize its restriction to $\mathbb{H}_0(C)$ in the following way. Recall that the points of type II on C^{an} are precisely the divisorial points in the sense of [Mustață and Nicaise 2015, 2.4.7], and that the points of type II and III are precisely the monomial points.

Proposition 3.1.3. The weight function

 $\operatorname{wt}_{\omega} : \mathbb{H}_0(C) \to \mathbb{R}$

is the unique function with the following properties for every snc-model \mathcal{C} of C:

- The restriction of wt_ω to Sk(%) is continuous with respect to the metric topology (which coincides with the Berkovich topology on Sk(%)).
- (2) Let E be an irreducible component of C_k. We denote by N and v the multiplicities of E in C_k and div_C(ω), respectively. If x is the divisorial point of C^{an} attached to (C, E) (equivalently, the vertex of Sk(C) corresponding to E), then

$$\operatorname{wt}_{\omega}(x) = \frac{\nu}{N}.$$

Proof. It is shown in [Mustață and Nicaise 2015, 4.4.3] that the weight function is continuous (even piecewise affine) on Sk(\mathscr{C}), and the description at divisorial points is part of its definition. Uniqueness is clear from Lemma 2.3.2 and the fact that the divisorial points are dense in the skeleton of every *snc*-model of *C* (by the proof of [Mustață and Nicaise 2015, 2.4.8], they correspond precisely to the points on $\Gamma(\mathscr{C})$ with rational barycentric coordinates in the sense of 3.1.2 of the same work.

3.1.4. Beware that the weight function is not continuous with respect to the Berkovich topology on $\mathbb{H}_0(C)$ (see [Mustață and Nicaise 2015, Remark 4.6] for a counterexample). The explicit description of the weight function given in Theorem 3.2.3 below shows in particular that it is continuous with respect to the metric topology on $\mathbb{H}_0(C)$ (which is strictly finer than the Berkovich topology).

3.2. The Laplacian of the weight function.

3.2.1. By a *pair* over *K*, we mean a couple (C, δ) consisting of a *K*-curve *C* and a divisor δ on *C*. An *nc*-model of a pair (C, δ) is an *nc*-model \mathcal{C} of *C* such that the sum of \mathcal{C}_k with the schematic closure of δ is a normal crossings divisor on \mathcal{C} . An *snc*-model of (C, δ) is defined analogously. Note that for every point *x* in the

support of δ , the specialization of x to \mathscr{C}_k lies in a unique irreducible component Eof \mathscr{C}_k , and the multiplicity of \mathscr{C}_k along E is equal to the degree of x over K, by the normal crossings condition. The skeleton of (\mathscr{C}, δ) is defined to be the intersection of $\mathbb{H}_0(C)$ with the convex hull in C^{an} of $\mathrm{Sk}(\mathscr{C})$ and the support of δ . We will denote it by $\mathrm{Sk}(\mathscr{C}, \delta)$. Thus we obtain $\mathrm{Sk}(\mathscr{C}, \delta)$ from $\mathrm{Sk}(\mathscr{C})$ by adding, for each point x in the support of δ , the open branch running from $\mathrm{Sk}(\mathscr{C})$ towards x. This construction is similar to the definition of the skeleton of a strictly semistable pair in [Gubler et al. 2016], but there it is assumed that K is algebraically closed and that \mathscr{C}_k is reduced and has strict normal crossings. By restricting the metric on $\mathbb{H}_0(C)$ to the skeleton $\mathrm{Sk}(\mathscr{C}, \delta)$, we can view the skeleton as a metric graph with some half-open edges of infinite length. Then it makes sense to speak about a \mathbb{Z} -affine function fon $\mathrm{Sk}(\mathscr{C}, \delta)$ (i.e., a continuous real-valued function that is integral affine on every edge) and the Laplacian $\Delta(f)$ of such a function (the divisor on $\mathrm{Sk}(\mathscr{C}, \delta)$ whose degree at a vertex is the sum of the outgoing slopes of f).

3.2.2. Our aim is to give a combinatorial description of the weight function wt_{ω} on $\mathbb{H}_0(C)$ attached to a nonzero rational *m*-canonical form ω on *C*. For this description we need to introduce the *m*-canonical divisor of a labeled graph. Let *G* be a discrete graph without loops, where we allow some of the edges of *G* to be half-open (i.e., the edge has only one adjacent vertex and is unbounded at the other side). Assume that each vertex *v* of *G* is labeled by a couple of nonnegative integers (N(v), g(v)). Then the canonical divisor of *G* is defined by

$$K_G = \sum_{v \in V(G)} N(v)(\operatorname{val}(v) + 2g(v) - 2)v,$$

where val(v) denotes the valency at v, that is, the number of edges (bounded and unbounded) in G adjacent to v. When N(v) = 1 and g(v) = 0 for every vertex v, this is just the usual definition of the canonical divisor of a discrete graph. The m-canonical divisor of G is defined as m times the canonical divisor K_G .

Theorem 3.2.3. We fix a K-curve C. Let m be a positive integer and let ω be a nonzero rational m-canonical form on C. Let δ be any divisor on C whose support contains the support of div_C(ω) and let \mathcal{C} be an snc-model for the pair (C, δ):

- (1) The weight function wt_{ω} is \mathbb{Z} -affine on every edge of $Sk(\mathscr{C}, \delta)$.
- (2) For every point x in the support of δ , the weight function wt_{ω} has constant slope on the path running from Sk(\mathscr{C}) to x in C^{an} , and this slope is equal to

$$N(m + \deg_x(\operatorname{div}_C(\omega))),$$

where N denotes the multiplicity of the unique component in \mathcal{C}_k containing the specialization of x.

(3) The Laplacian of the restriction of wt_ω to Sk(C, δ) is equal to the m-canonical divisor of the graph Sk(C, δ) if we label each vertex v with (N(v), g(v)),

2060

where N(v) is the multiplicity of the corresponding irreducible component in \mathscr{C}_k and g(v) denotes its genus.

Proof. (1) It follows from the proof of [Mustață and Nicaise 2015, 4.4.3] that wt_{ω} is \mathbb{Z} -affine on Sk(\mathscr{C}), because no point in the support of div_{*C*}(ω) specializes to a singular point of (\mathscr{C}_k)_{red}. Here some care is needed, since the \mathbb{Z} -affine structure in [Mustață and Nicaise 2015] is not the same as the one induced by our metric; it corresponds to the metric one obtains by replacing the definition in (2.1.3) by

$$\ell(e) = \frac{1}{\operatorname{lcm}\{w(v_1), w(v_2)\}}.$$

Since this multiplies every edge length by an integer factor, every \mathbb{Z} -affine function in the sense of [Mustață and Nicaise 2015] is also \mathbb{Z} -affine with respect to the metric we use; we will come back to this point in the Appendix. The fact that wt_{ω} is also \mathbb{Z} -affine on the unbounded edges of Sk((\mathcal{C}, δ)) is a consequence of (2).

(2) Let x be a closed point of C. We can compute the slope of wt_{ω} on the path running from Sk(\mathscr{C}) to x as follows. Denote by E the unique irreducible component of \mathscr{C}_k containing the specialization x_k of x. Denote by N the multiplicity of E in \mathscr{C}_k and by ν the multiplicity of E in div $\mathscr{C}(\omega)$. Let $h : \mathscr{C}' \to \mathscr{C}$ be the blow-up at x_k . Then \mathscr{C}' is again an *snc*-model of (C, δ) and its skeleton Sk(\mathscr{C}') is obtained from Sk(\mathscr{C}) by adding a closed interval I in the direction of x. The length of this interval is $1/N^2$, since the exceptional component E' of the blow-up has multiplicity N in \mathscr{C}'_k . Moreover, the multiplicity of E' in div $_{\mathscr{C}'}(\omega)$ is equal to

$$\nu + m + \deg_x(\operatorname{div}_C(\omega))),$$

because

$$\omega_{\mathfrak{C}'/R}^{\otimes m}(\mathfrak{C}'_{k,\mathrm{red}}) = (h^* \omega_{\mathfrak{C}/R}^{\otimes m}(\mathfrak{C}_{k,\mathrm{red}})) \otimes \mathcal{O}_{\mathfrak{C}'}(mE')$$

as submodules of the pushforward of $\omega_{C/K}^{\otimes m}$ to \mathscr{C}' . Thus if we denote by v and v' the vertices of Sk(\mathscr{C}') corresponding to E and E', respectively, then wt_{ω}(v) = v/N and

$$\operatorname{wt}_{\omega}(v') = (m + v + \operatorname{deg}_{x}(\operatorname{div}_{C}(\omega)))/N$$

Since v and v' are precisely the endpoints of I, we see that wt_{ω} has slope

$$N(m + \deg_x(\operatorname{div}_C(\omega)))$$

on *I* if we orient *I* from v to v'. Replacing \mathscr{C} by \mathscr{C}' and repeating the argument, we conclude that wt_{ω} has constant slope

$$N(m + \deg_x(\operatorname{div}_C(\omega)))$$

along the whole path from v to x.

(3) It remains to compute the Laplacian $\Delta(wt_{\omega})$ of wt_{ω} on $Sk(\mathscr{C}, \delta)$. Let v_0 be a vertex of $Sk(\mathscr{C})$ corresponding to an irreducible component E_0 of \mathscr{C}_k . Denote by x_1, \ldots, x_a the points in the support of δ that specialize to a point in E_0 , and by y_1, \ldots, y_b the intersection points of E_0 with the other irreducible components of \mathscr{C}_k .

For each $i \in \{1, ..., b\}$ we denote by E_i the unique irreducible component of \mathscr{C}_k intersecting E_0 at y_i . For each $i \in \{0, ..., b\}$ we write v_i and N_i for the multiplicities of E_i in div $\mathscr{C}(\omega)$ and \mathscr{C}_k , respectively. Then the edges of Sk(\mathscr{C}) adjacent to v_0 correspond precisely to the points $y_1, ..., y_b$, and the unbounded edges of Sk(\mathscr{C}, δ) adjacent to v_0 are precisely the paths from v_0 to the points $x_1, ..., x_a$. We have already computed the slopes of wt $_{\omega}$ along these unbounded edges, and taking into account the edge lengths of Sk(\mathscr{C}) we find that the degree of $\Delta(wt_{\omega})$ at v_0 is equal to

$$\sum_{i=1}^{a} (N_0 m + \deg_{x_i}(\operatorname{div}_C(\omega))) + \sum_{j=1}^{b} (\nu_j N_0 - \nu_0 N_j).$$

This is nothing but

$$mN_0a + N_0(E_0 \cdot (\operatorname{div}_{\mathscr{C}}(\omega) - \frac{\nu_0}{N_0} \mathscr{C}_k)) = mN_0a + N_0(E_0 \cdot \operatorname{div}_{\mathscr{C}}(\omega)).$$

By adjunction, the restriction of the line bundle $\omega_{\ell/R}(\mathscr{C}_{k,red})^{\otimes m}$ to E_0 is precisely

$$\omega_{E_0/k}(y_1+\cdots+y_b)^{\otimes m}.$$

By computing the degree of this line bundle we find that the degree of $\Delta(wt_{\omega})$ at v_0 is equal to

$$mN_0(a+b+2g(E_0)-2),$$

where $g(E_0)$ denotes the genus of E_0 . By definition, this is exactly the degree of the *m*-canonical divisor of Sk((\mathcal{C}, δ) at v_0 .

3.2.4. We can use Theorem 3.2.3 to describe the Laplacian of the restriction of the weight function to the skeleton of *any snc*-model \mathscr{C} of *C*. Beware that the weight function is not necessarily affine on the edges of Sk(\mathscr{C}), only piecewise affine. The Laplacian of such a function is still defined, but it is no longer supported on the vertices of Sk(\mathscr{C}), in general. We denote by $(\rho_{\mathscr{C}})_*$ the map on divisors induced by linearity from the retraction map $\rho_{\mathscr{C}} : C^{\text{an}} \to \text{Sk}(\mathscr{C})$. We have

 $(\rho_{\mathscr{C}})_*(x) = \deg(x) \cdot \rho_{\mathscr{C}}(x)$

for every type I point x of C^{an} .

Corollary 3.2.5. Let \mathscr{C} be any snc-model of *C*. We denote by *f* the restriction of wt_{ω} to $Sk(\mathscr{C})$ and by $mK_{Sk(\mathscr{C})}$ the *m*-canonical divisor of $Sk(\mathscr{C})$, where we label each vertex of $Sk(\mathscr{C})$ by its multiplicity and genus as before. Then

$$\Delta(f) = m K_{\mathrm{Sk}(\mathscr{C})} - (\rho_{\mathscr{C}})_* (\mathrm{div}_C(\omega)).$$

In particular, if ω is regular, then $\Delta(f) \leq m K_{Sk(\mathscr{C})}$.

Proof. We can always dominate \mathscr{C} by an *snc*-model \mathscr{C}' of the pair $(C, \operatorname{div}_C(\omega))$. If we denote by f' the restriction of wt_{ω} to Sk(\mathscr{C}'), then it follows easily from Theorem 3.2.3 that

$$\Delta(f') = m K_{\mathrm{Sk}(\mathscr{C}')} - (\rho_{\mathscr{C}'})_*(\mathrm{div}_C(\omega)).$$

Denote by ρ : Sk(\mathscr{C}') \rightarrow Sk(\mathscr{C}) the map of metric graphs obtained by restricting $\rho_{\mathscr{C}}$ to Sk(\mathscr{C}'). Since the fibers of ρ are metric trees, it is straightforward to check that $\Delta(f) = \rho_*(\Delta(f'))$. On the other hand, we also have that

$$\rho_*(\rho_{\mathscr{C}'})_*(\operatorname{div}_C(\omega)) = (\rho_{\mathscr{C}})_*(\operatorname{div}_C(\omega)),$$

and by factoring $\mathscr{C}' \to \mathscr{C}$ into point blow-ups, one sees that $\rho_*(K_{\mathrm{Sk}(\mathscr{C}')}) = K_{\mathrm{Sk}(\mathscr{C})}$. Thus the formula is valid for \mathscr{C} , as well.

Example 3.2.6. Let *C* be an elliptic curve over *K* of Kodaira–Néron reduction type II (see [Silverman 1994, IV§8]) and let ω be a generator for the relative canonical line bundle of the minimal regular model of *C*. Let \mathscr{C} be the minimal *snc*-model of *C*. Then the special fiber of \mathscr{C} is of the form

$$\mathscr{C}_k = E_1 + 2E_2 + 3E_3 + 6E_4,$$

where each component E_i is a rational curve, E_4 intersects each other component in precisely one point, and there are no other intersection points. The skeleton Sk(\mathscr{C}) consists of four vertices v_1, \ldots, v_4 corresponding to the components E_1, \ldots, E_4 . These are joined by three edges of respective lengths $\ell(v_1v_4) = 1/6$, $\ell(v_2v_4) = 1/12$ and $\ell(v_3v_4) = 1/18$. Moreover,

$$\operatorname{div}_{\mathscr{C}}(\omega) = E_1 + 2E_2 + 3E_3 + 5E_4$$

and the weight function wt_{ω} is affine on Sk(\mathscr{C}) with values 1, 1, 1, 5/6 at the vertices v_1 , v_2 , v_3 , v_4 , respectively. Direct computation shows that

$$\Delta \operatorname{wt}_{\omega} = 6v_4 - v_1 - 2v_2 - 3v_3,$$

which is also the canonical divisor of $Sk(\mathscr{C})$ (labeled with multiplicities and genera).

Remark 3.2.7. It is worth noting that Corollary 3.2.5 uniquely determines wt_{ω} up to an additive constant as a function on $\mathbb{H}_0(C)$, and that this description of wt_{ω} does not require *K* to be discretely valued (if we replace *snc*-models by semistable models). This gives us a way to define wt_{ω} for any curve *C* over any nontrivially valued nonarchimedean field *K* and any nonzero rational *m*-canonical form ω on *C*. M. Temkin [2014] has recently discovered a different way to extend the definition of wt_{ω} to the nondiscretely valued setting, and his method works in any dimension.

3.3. The essential skeleton.

3.3.1. Let *C* be a *K*-curve of genus $g(C) \ge 1$ and let ω be a nonzero regular *m*-canonical form on *C*, for some positive integer *m*. Then it is easy to deduce from the properties of the weight function wt_{ω} in Theorem 3.2.3 that this function is bounded below, and that its locus of minimal values is a union of closed faces of Sk(\mathscr{C}) for any *snc*-model \mathscr{C} of (*C*, div_{*C*}(ω)). Corollary 3.2.5 shows that this remains true for any *snc*-model \mathscr{C} of *C* (one needs to observe that the weight function is *concave* on every edge of Sk(\mathscr{C}) because its Laplacian is nonpositive at each point

in the interior of an edge), see [Mustață and Nicaise 2015, Theorem 4.7.5] for a more general statement. The locus of minimal values of wt_{ω} was called the Kontsevich–Soibelman skeleton of the pair (C, ω) in [Mustață and Nicaise 2015, 4.7.1] and denoted by $Sk(C, \omega)$. The essential skeleton Sk(C) is the union of the Kontsevich–Soibelman skeleta $Sk(C, \omega)$ over all the nonzero regular pluricanonical forms ω on C, see [Mustață and Nicaise 2015, Definition 4.10]. The aim of this section is to compare the essential skeleton Sk(C) to the skeleton $Sk(\mathscr{C})$ of the minimal *snc*-model \mathscr{C} of C.

3.3.2. We first recall the description of $Sk(C, \omega)$, the Kontsevich–Soibelman skeleton, in terms of an *snc*-model \mathscr{C} of *C* (see [Mustață and Nicaise 2015, Theorem 4.7.5] for a more general result; in our setting, it can also be easily deduced from Proposition 3.1.3 and Theorem 3.2.3). We write

$$\mathscr{C}_k = \sum_{i \in I} N_i E_i$$

and we denote by v_i the multiplicity of E_i in $\operatorname{div}_{\mathscr{C}}(\omega)$, for every $i \in I$. We say that the vertex of Sk(\mathscr{C}) corresponding to a component E_j , $j \in I$, is ω -essential if

$$\frac{\nu_j}{N_j} = \min\left\{\frac{\nu_i}{N_i} \mid i \in I\right\}.$$

We say that an edge in Sk(\mathscr{C}) is ω -essential if its adjacent vertices are ω -essential and the point of \mathscr{C}_k corresponding to the edge is not contained in the closure of div_{*C*}(ω) (i.e., the horizontal part of div_{\mathscr{C}}(ω)). Then Sk(*C*, ω) is the union of the ω -essential faces of Sk(\mathscr{C}). Note however that, by its very definition, Sk(*C*, ω) does not depend on the choice of a particular model \mathscr{C} .

3.3.3. In order to determine the essential skeleton Sk(C), we will need a description of the base locus of the logarithmic pluricanonical bundle on the minimal *snc*-model of C. Let \mathscr{C} be any *snc*-model of C. We label the vertices of the skeleton Sk(\mathscr{C}) by the multiplicities and genera of the corresponding irreducible components of \mathscr{C}_k . We define a *tail* in Sk(\mathscr{C}) as a connected subchain with successive vertices v_0, \ldots, v_n where v_n has valency one in Sk(\mathscr{C}), v_i has valency 2 in Sk(\mathscr{C}) for $1 \le i < n$, and v_i has genus zero for $1 \le i \le n$. We say that the tail is *maximal* if v_0 has valency at least 3 in Sk(\mathscr{C}) or v_0 has positive genus. The vertex v_0 is called the starting point of the maximal tail and v_n is called its end point. We call the components of \mathscr{C}_k corresponding to the vertices v_1, \ldots, v_n inessential components of \mathscr{C}_k . The *combinatorial skeleton* of $Sk(\mathcal{C})$ is the subspace that we obtain by replacing every maximal tail by its starting point. Thus in Example 3.2.6, the combinatorial skeleton of $Sk(\mathscr{C})$ consists only of the vertex v_4 . Note that contracting maximal tails may create new ones, but we do *not* repeat the operation to contract those. For instance, if C is an elliptic K-curve of reduction type I_n^* (see [Silverman 1994, IV§8]) and \mathscr{C} is its minimal *snc*-model, then the combinatorial skeleton of Sk(\mathscr{C}) is the subchain formed by the n + 1 vertices of multiplicity two. Note that \mathscr{C}_k can never consist entirely of inessential components, by our assumption that $g(C) \ge 1$ (this follows from basic intersection theory and adjunction, see for instance [Nicaise 2013, Lemma 3.1.2]). We also observe that, if *C* has semistable reduction and \mathscr{C} is its minimal *snc*-model, there are no inessential components in \mathscr{C}_k because the end point of a tail would correspond to a rational (-1)-curve, which contradicts the minimality of \mathscr{C} .

3.3.4. We will need a technical lemma on two-dimensional log regular schemes. We refer to [Kato 1989] for the basic theory of log schemes, and to [Kato 1994] for the theory of log regular schemes.

Lemma 3.3.5. Let A be a normal Noetherian local ring of dimension 2 and let $D = D_0 + D_1$ be a reduced Weil divisor on X = Spec A with prime components D_0 and D_1 . We define a log scheme X^+ by endowing X with the divisorial log structure induced by D. Assume that X^+ is log regular. Then D_0 and D_1 are \mathbb{Q} -Cartier, and $D_0 \cdot D_1 \leq 1$ with equality if and only if A is regular.

Proof. We denote by \mathcal{M} the multiplicative monoid consisting of the elements of A that are invertible on $X \setminus D$, and we consider the characteristic monoid

$$\overline{\mathcal{M}} = \mathcal{M}/A^{\times}.$$

By the log regularity assumption, D_0 and D_1 are regular and $\overline{\mathcal{M}}$ is a toric monoid of dimension 2. In particular, its groupification $\overline{\mathcal{M}}^{gp}$ is a rank two lattice. The ring A is regular if and only if the monoid $\overline{\mathcal{M}}$ is generated by two elements, that is, $\overline{\mathcal{M}} \cong \mathbb{N}^2$.

Let e_0 and e_1 be the primitive generators of the one-dimensional faces of $\overline{\mathcal{M}}$. Then $e_0 \wedge e_1$ generates

$$m \cdot \bigwedge^2 (\overline{\mathcal{M}}^{\mathrm{gp}}),$$

for a unique positive integer *m* (in other words, *m* is the absolute value of the determinant of (e_0, e_1)), and m = 1 if and only if *A* is regular. Since the fan of the log scheme Spec *A* is canonically isomorphic with Spec $\overline{\mathcal{M}}$ by [Kato 1994, Proposition 10.1], we know that (up to renumbering), D_i is the zero locus in Spec *A* of the prime ideal $\overline{\mathcal{M}} \setminus \mathbb{N}e_i$ of $\overline{\mathcal{M}}$, for i = 0, 1 (by which we mean the zero locus of its inverse image in \mathcal{M}). Moreover, any representative \tilde{e}_i of e_i in \mathcal{M} is a regular local parameter on D_i , and the characteristic monoid at the generic point of D_i is $\overline{\mathcal{M}}/\mathbb{N}e_{1-i}$, see the proof of [Eriksson et al. 2015, Proposition 4.3.2(1)] for a similar computation. It follows that $mD_i = \operatorname{div}(\tilde{e}_{1-i})$, so that D_1 and D_2 are Q-Cartier, and $mD_1 \cdot D_2 = 1$. Thus

$$D_1 \cdot D_2 = 1/m \le 1,$$

with equality if and only if A is regular.

Theorem 3.3.6. Let C be a K-curve of genus $g(C) \ge 1$ and let \mathscr{C} be its minimal snc-model. If m is a sufficiently divisible positive integer, then the base locus of the line bundle $\omega_{\mathscr{C}/R}(\mathscr{C}_{k, \text{red}})^{\otimes m}$ on \mathscr{C} is the union of the inessential components of \mathscr{C}_k .

Proof. Let *m* be a positive integer. Using adjunction, one sees that the line bundle $\omega_{\ell/R}(\mathscr{C}_{k,red})^{\otimes m}$ has negative degree or degree 0 on each rational curve in \mathscr{C}_k that intersects the other components in precisely one point or two points, respectively. It follows at once that the union of the inessential components in \mathscr{C}_k is contained in the base locus of $\omega_{\ell/R}(\mathscr{C}_{k,red})^{\otimes m}$. We will show there are no other points in the base locus if *m* is sufficiently divisible. If $\mathscr{C}_{k,red}$ is either an elliptic curve or a loop of rational curves, then *C* has genus one and $\omega_{\ell/R}(\mathscr{C}_{k,red})^{\otimes m}$ is trivial for some m > 0 by [Liu et al. 2004, Lemma 5.7 and Theorem 6.6]. Thus we can discard these cases in the remainder of the proof.

We can choose a reduced divisor H on \mathscr{C} with the following properties:

- The divisor *H* does not contain any prime component of \mathscr{C}_k (in other words, *H* is horizontal) and $H + \mathscr{C}_k$ is a divisor with strict normal crossings.
- We have *H* · *E* = 1 if *E* is a prime component of 𝔅_k that corresponds to the end point of a maximal tail in Sk(𝔅), and *H* · *E* = 0 for every other prime component of 𝔅_k.

We denote by S^+ the scheme S = Spec R endowed with its standard log structure (the divisorial log structure induced by the closed point of S) and by \mathscr{C}^+ the log scheme we obtain by endowing \mathscr{C} with the divisorial log structure associated with the divisor $\mathscr{C}_k + H$. Then \mathscr{C}^+ is log regular in the sense of [Kato 1994] because $\mathscr{C}_k + H$ has strict normal crossings.

By Lipman's generalization [1969, Theorem 27.1] of Artin's contractibility criterion, any chain of rational curves in \mathscr{C}_k can be contracted to a rational singularity. In particular, there exists a morphism $h : \mathscr{C} \to \mathfrak{D}$ of normal proper *R*-models of *C* that contracts precisely the rational components of \mathscr{C}_k that meet the rest of the special fiber in exactly one or two points. We endow \mathfrak{D} with the divisorial log structure associated with $\mathfrak{D}_k + h_*H$ and denote the resulting log scheme by \mathfrak{D}^+ . It follows from [Ito and Schröer 2015, §3] that \mathfrak{D}^+ is still log regular (this is the reason why we added the horizontal divisor *H*). The morphism *h* induces a morphism of log schemes $h : \mathscr{C}^+ \to \mathfrak{D}^+$, and this morphism is log étale since it is a composition of log blow-ups.

We consider the canonical line bundle

$$\omega_{\mathcal{C}^+/S^+} = \det \Omega^1_{\mathcal{C}^+/S^+}$$

on \mathscr{C} . It follows easily from [Eriksson et al. 2015, Proposition 3.3.4] that $\omega_{\mathscr{C}^+/S^+}$ is isomorphic to $\omega_{\mathscr{C}/R}(\mathscr{C}_{k,\text{red}} + H)$. We can copy the proofs of [Eriksson et al. 2015,

3.3.2 and Proposition 3.3.6] to show that the coherent sheaf $\Omega^1_{\mathcal{D}^+/S^+}$ on \mathcal{D} is perfect, so that we can define the canonical line bundle

$$\omega_{\mathfrak{D}^+/S^+} = \det \Omega^1_{\mathfrak{D}^+/S^+}$$

on \mathfrak{D} (the results in [Eriksson et al. 2015] were formulated for H = 0 but the arguments carry over immediately). Since *h* is log étale, [Eriksson et al. 2015, Proposition 3.3.6] also implies that we have a canonical isomorphism $\omega_{\mathfrak{C}^+/S^+} \cong h^* \omega_{\mathfrak{D}^+/S^+}$.

By Lemma 3.3.5, the divisor h_*H is Q-Cartier. Thus by choosing *m* sufficiently divisible, we can assume that mh_*H is Cartier. We will prove that the line bundle $\omega_{\mathfrak{D}^+/S^+}^{\otimes m}(-mh_*H)$ on \mathfrak{D} is ample. This implies that its pullback to \mathscr{C} is semiample (that is, some tensor power is generated by its global sections). But this pullback is isomorphic to $\omega_{\mathscr{C}^+/S^+}^{\otimes m}(-h^*h_*mH)$, which is a subbundle of

$$\omega_{\mathcal{C}^+/S^+}^{\otimes m}(-mH) \cong \omega_{\mathcal{C}/R}(\mathcal{C}_{k,\mathrm{red}})^{\otimes m}$$

that coincides with $\omega_{\mathscr{C}/R}(\mathscr{C}_{k,\text{red}})^{\otimes m}$ away from the inessential components of \mathscr{C}_k (note that, for every closed point x of h_*H , the inverse image $h^{-1}(x)$ is a maximal tail of inessential components in \mathscr{C}_k).

Thus it is enough to show that $\omega_{\mathfrak{D}^+/S^+}^{\otimes m}(-mh_*H)$ is ample. By [Liu 2002, Chapter 7, Proposition 5.5], it suffices to show that it has positive degree on every prime component E of \mathfrak{D}_k . By adjunction, the restriction of $\omega_{\mathfrak{D}^+/S^+}$ to E is isomorphic to $\omega_{E/k}(F)$ where F is the reduced divisor on E supported on the intersection points of E with the other components of $\mathfrak{D}_k + h_*H$. Note that either E has positive genus, or F consists of at least three points including at least two intersections points of E with the other components of \mathfrak{D}_k , since we contracted all the other components in \mathfrak{C}_k . Therefore, we only need to show that $h_*H_0 \cdot E < 1$ for every prime component H_0 of H. This follows from Lemma 3.3.5 (note that \mathfrak{D} is singular at every point of $h_*H \cap \mathfrak{D}_k$ by minimality of \mathfrak{C}).

Remark 3.3.7. In the language of [Nicaise and Xu 2013], the proof of Theorem 3.3.6 can also be interpreted as follows: The model \mathscr{C}' for *C* that we obtain from the minimal *snc*-model \mathscr{C} by contracting all the inessential components in the special fiber is a minimal *dlt*-model of *C*. Even for curves, minimal *dlt*-models are not unique, because we can construct a new one by blowing up an intersection point of two components in the special fiber (in the language of the minimal model program, the minimality of a *dlt*-model only expresses that the logarithmic relative canonical line bundle is semiample). However, the set of isomorphism classes of minimal *dlt*-models has a unique minimal element with respect to the dominance relation (defined as in 2.2.1), and this is precisely the isomorphism class of \mathscr{C}' . Beware that such a unique minimal isomorphism class need no longer exist if we replace *C* by a *K*-variety of dimension ≥ 2 .

3.3.8. If *C* has semistable reduction, we can be more precise; we will show in Theorem 3.3.11 that the logarithmic 2-canonical line bundle on the minimal *snc*-model of *C* is generated by global sections. This follows at once from Theorem 7 in [Lee 2005], which states that $\omega_{\mathcal{C}_{\min}/R}^{\otimes m}$ is generated by global sections if $m \ge 2$ and \mathcal{C}_{\min} is the minimal regular model of a curve *C* of genus $g \ge 2$ (recall that the minimal regular model of a curve with semistable reduction coincides with its minimal *nc*-model). Although we only deal with the semistable case, we feel that our alternative proof of Theorem 3.3.11 is still interesting, because it uses a different method and it is substantially simpler than the proof of the more general result in [Lee 2005]. It does not seem possible to deduce Theorem 3.3.6 from the semiampleness of $\omega_{\mathcal{C}_{\min}/R}$ in a direct way, because of the discrepancy between the minimal regular model and the minimal *nc*-model of *C* if *C* does not have semistable reduction. We start by proving two elementary lemmas.

Lemma 3.3.9. Let \mathscr{X} be a regular flat proper *R*-scheme of relative dimension one and let \mathcal{L} be a line bundle on \mathscr{X} . Let *E* be an irreducible component of multiplicity *N* in \mathscr{X}_k and let a be an integer in $\{1, \ldots, N\}$. If the restriction of $\mathcal{L}((1-a)E)$ to *E* has negative degree, then

$$H^0(aE, \mathcal{L}|_{aE}) = 0.$$

Proof. We prove this by induction on *a*. The case a = 1 is obvious. Assume that a > 1 and that the property holds for a - 1. If $\mathcal{L}((1-a)E)$ has negative degree on *E* then the same holds for $\mathcal{L}((b-a)E)$ for all $b \ge 1$ because $E^2 \le 0$. We consider the short exact sequence

$$0\longrightarrow \mathcal{L}|_{aE}\otimes \mathcal{I}\longrightarrow \mathcal{L}|_{aE}\longrightarrow \mathcal{L}|_{(a-1)E}\longrightarrow 0,$$

where \mathcal{I} is the ideal sheaf of (a - 1)E in aE. By our induction hypothesis, it suffices to show that

$$H^0(aE, \mathcal{L}|_{aE} \otimes \mathcal{I}) = 0.$$

This follows from the isomorphism of \mathcal{O}_{aE} -modules

$$\mathcal{L}|_{aE} \otimes \mathcal{I} \cong \mathcal{L}((1-a)E)|_E$$

on E.

Lemma 3.3.10. Let \mathscr{X} be a regular flat proper *R*-scheme of relative dimension one and let \mathcal{L} be a line bundle on \mathscr{X} . Let *D* be a reduced connected divisor supported on \mathscr{X}_k . Suppose that the restriction of \mathcal{L} to each component in *D* has nonpositive degree, and that this degree is negative for at least one component. Then

$$H^0(D, \mathcal{L}|_D) = 0.$$

Proof. This follows easily by induction on the number r of irreducible components of D. If r = 1 the result is obvious. Suppose that r > 1 and let E be a component of D on which \mathcal{L} has negative degree. Then every section of \mathcal{L} on D vanishes on E,

2068

so it is also a section of $\mathcal{L}(-E)$ on D. The line bundle $\mathcal{L}(-E)$ has negative degree on each irreducible component of D that intersects E, so we can apply the induction hypothesis to this line bundle and to every connected component of D - E.

Theorem 3.3.11. Let C be a K-curve of genus $g(C) \ge 1$ with semistable reduction and let \mathscr{C} be its minimal snc-model. Then the logarithmic 2-canonical line bundle $\omega_{\mathscr{C}/R}(\mathscr{C}_{k, \text{red}})^{\otimes 2}$ on \mathscr{C} is generated by its global sections.

Proof. It will be convenient to start from the minimal *nc*-model \mathscr{C}' of *C* instead of the minimal *snc*-model \mathscr{C} . We will show that $\omega_{\mathscr{C}/R}^{\otimes 2}$ is generated by global sections. This implies the desired result; the line bundle $\omega_{\mathscr{C}/R}(\mathscr{C}_{k,red})$ is isomorphic to the pullback of $\omega_{\mathscr{C}'/R} \cong \omega_{\mathscr{C}'/R}(\mathscr{C}'_k)$ through the morphism $g : \mathscr{C}' \to \mathscr{C}$, since \mathscr{C}'_k is reduced and \mathscr{C} is a composition of log blow-ups if we endow both models with the divisorial log structure associated with their special fibers. We can assume that *C* has genus at least 2, since otherwise $\omega_{\mathscr{C}'/R}$ is trivial.

Let x be a closed point of \mathscr{C}'_k and denote by $h : \mathfrak{D} \to \mathscr{C}'$ the blow-up of \mathscr{C}' at x and by E_0 the exceptional curve of h. Then $\omega_{\mathscr{C}'/R}^{\otimes 2}$ is globally generated at x if and only if the morphism

$$H^0(\mathfrak{D}, h^*\omega_{\mathscr{C}/R}^{\otimes 2}) \to H^0(E_0, h^*\omega_{\mathscr{C}/R}^{\otimes 2}|_{E_0})$$

is surjective. To prove surjectivity, it suffices to show that $H^1(\mathfrak{D}, h^* \omega_{\mathfrak{C}/R}^{\otimes 2}(-E_0))$ vanishes. By Serre duality, this is equivalent to showing that $H^0(\mathfrak{D}_k, \mathcal{L}) = 0$, with

$$\mathcal{L} = (\omega_{\mathfrak{D}/R} \otimes (h^* \omega_{\mathfrak{C}/R}^{-2})(E_0))|_{\mathfrak{D}_k} \cong (\omega_{\mathfrak{D}/R}^{-1}(3E_0))|_{\mathfrak{D}_k}$$

We write

$$\mathfrak{D}_k = N_0 E_0 + \sum_{i=1}^r E_i,$$

where N_0 is one or two, depending on whether x is a regular or singular point of \mathscr{C}'_{k} .

We first observe that the restriction of \mathcal{L} to N_0E_0 has no nonzero global sections. Because the restriction of the line bundle $\mathcal{L}((1 - N_0)E_0)$ to E_0 has negative degree we can apply Lemma 3.3.9. Thus every section of \mathcal{L} on \mathfrak{D}_k is also a section of

$$\mathcal{L}' = (\omega_{\mathfrak{D}/R}^{-1}((3-N_0)E_0))|_{\mathfrak{D}_k}.$$

Note that \mathcal{L}' has degree -1 on E_0 if $N_0 = 1$ and degree 0 if $N_0 = 2$. Next, we consider any component $E_i \neq E_0$ in \mathfrak{D}_k . By the adjunction formula, the degree of \mathcal{L}' on E_i is given by

$$\deg(\mathcal{L}'|_{E_i}) = 2 - 2p_a(E_i) + E_i^2 + (3 - N_0)E_0 \cdot E_i.$$
(3.3.12)

By the projection formula, $E_i^2 = h(E_i)^2 - \delta$, where

- $\delta = 0$ if x does not lie on $h(E_i)$,
- $\delta = 1$ if x is a regular point of $h(E_i)$ (then $E_0 \cdot E_i = 1$),
- $\delta = 4$ if x is a self-intersection point of $h(E_i)$ (then $N_0 = 2$ and $E_i \cdot E_0 = 2$).

Thus if $E_i^2 + (3 - N_0)E_0 \cdot E_i$ is positive, we have $\delta = 1$ and $h(E_i)^2 = 0$, which means that $\mathscr{C}'_k = h(E_i)$ and $p_a(E_i) = p_a(h(E_i)) \ge 2$ by our assumption on the genus of *C*. Note also that $E_i^2 + (3 - N_0)E_0 \cdot E_i = 0$ implies that $\delta = 1$ and $h(E_i)^2 = -1$, and thus $p_a(E_i) = p_a(h(E_i)) > 0$, since otherwise $h(E_i)$ would be an exceptional curve on \mathscr{C}' , contradicting minimality.

It follows that the number in (3.3.12) is negative, unless

- $p_a(E_i) = 1$ and $E_i^2 + (3 N_0)E_0 \cdot E_i = 0$, or
- $p_a(E_i) = 0$ and $E_i^2 + (3 N_0)E_0 \cdot E_i \in \{-2, -1\}.$

If $p_a(E_i) = 1$ and $E_i^2 + (3 - N_0)E_0 \cdot E_i = 0$ then $h(E_i)$ is a (-1)-curve of arithmetic genus one and x is a point on $h(E_i)$ that does not lie on any other component of \mathcal{C}'_k . Similarly, if $p_a(E_i) = 0$ and $E_i^2 + (3 - N_0)E_0 \cdot E_i = -1$ then $h(E_i)$ must be a regular rational (-2)-curve and x is a point on $h(E_i)$ that does not lie on any other component of \mathcal{C}'_k . Finally, if $p_a(E_i) = 0$ and $E_i^2 + (3 - N_0)E_0 \cdot E_i = -2$ then $h(E_i)$ contains x or $h(E_i)$ is a regular rational curve of self-intersection number -2.

From these observations, we can deduce the following properties:

- The divisor \mathfrak{D}_k contains at most one component E_i on which \mathcal{L}' has positive degree. In that case, this degree equals one, and $h(E_i)$ is a regular rational (-2)-curve and it is the only component of \mathscr{C}'_k that contains x. Then each connected component of $\mathfrak{D}_k N_0 E_0 E_i$ contains a curve on which \mathcal{L}' has negative degree, since such a component cannot consist entirely of regular rational (-2)-curves. It follows from Lemma 3.3.10 that \mathcal{L}' has no nonzero global sections on $\mathfrak{D}_k N_0 E_0 E_i$. Then \mathcal{L} has no nonzero global sections on \mathfrak{D}_k , because every section vanishes at the two intersection points of E_i with $\mathfrak{D}_k N_0 E_0$.
- Assume that \mathcal{L}' has nonpositive degree on every component of \mathfrak{D}_k . The divisor \mathfrak{D}_k contains at least one component E_i on which \mathcal{L}' has negative degree, if x lies on only one component of \mathfrak{C}'_k then we can take $E_i = E_0$. In the other case, all components of \mathfrak{D}_k on which \mathcal{L}' has degree zero are regular rational curves that intersect the rest of \mathfrak{D}_k in precisely two points, and \mathfrak{D}_k cannot consist entirely of such curves because of our assumption that $g(C) \ge 2$. Thus Lemma 3.3.10 again implies that \mathcal{L}' has no nonzero global sections on $\mathfrak{D}_{k,red}$, so that $H^0(\mathfrak{D}_k, \mathcal{L}) = 0$.

This concludes the proof.

We are now ready to compare the essential skeleton of a *K*-curve *C* of positive genus to the Berkovich skeleton $Sk(\mathscr{C})$ of its minimal *snc*-model \mathscr{C} . Recall from 3.3.3 that the *combinatorial skeleton* of $Sk(\mathscr{C})$ is the subspace that we obtain by replacing every maximal tail by its starting point.

Theorem 3.3.13. Let C be a K-curve of genus $g(C) \ge 1$ and let \mathscr{C} be its minimal snc-model.

- The essential skeleton Sk(C) is equal to the combinatorial skeleton of Sk(C) (as a subspace of C^{an}). In particular, Sk(C) is a strong deformation retract of C^{an}.
- (2) If C has semistable reduction, then $Sk(C) = Sk(\mathcal{C})$. Moreover,

$$\operatorname{Sk}(C) = \bigcup_{\omega} \operatorname{Sk}(C, \omega),$$

where ω runs through the set of nonzero regular 2-canonical forms on *C*.

Proof. (1) It follows from Corollary 3.2.5 that, for every nonzero regular pluricanonical form ω on C, the weight function wt_{ω} is strictly increasing along every tail of Sk(\mathscr{C}) if we orient the tail from its starting point to its end point. Thus the essential skeleton Sk(C) is contained in the combinatorial skeleton of Sk(\mathscr{C}). The converse inclusion is a consequence of Theorem 3.3.6; we choose a positive integer m such that the base locus of $\omega_{\mathscr{C}/R}(\mathscr{C}_{k,red})^{\otimes m}$ is the union of inessential components of \mathscr{C}_k . If x is a singular point of $\mathscr{C}_{k,red}$ that does not lie on an inessential component and ω is a global section of $\omega_{\mathscr{C}/R}(\mathscr{C}_{k,red})^{\otimes m}$ that does not vanish at x, then the weight function wt_{ω} vanishes on the edge of Sk(\mathscr{C}) and it is nonnegative on the whole skeleton Sk(\mathscr{C}), so that the edge belongs to Sk(C, ω). Thus the combinatorial skeleton of Sk(\mathscr{C}) is equal to

$$\bigcup_{\omega} \operatorname{Sk}(C, \omega),$$

where ω runs through any basis of the *R*-module

$$H^0(\mathscr{C}, \omega_{\mathscr{C}/R}(\mathscr{C}_{k, \mathrm{red}})^{\otimes m}).$$

(2) As we have already observed in 3.3.3, the special fiber of \mathscr{C} does not contain any inessential components. Therefore, the combinatorial skeleton of Sk(\mathscr{C}) is equal to Sk(\mathscr{C}) and thus also to the essential skeleton Sk(C) by point (1). The proof of (1), together with Theorem 3.3.11, shows that 2-canonical forms ω suffices to generate the whole essential skeleton Sk(C).

3.4. The subset of the essential skeleton cut out by canonical forms.

3.4.1. Let *C* be a *K*-curve of genus $g \ge 1$ and denote by \mathscr{C} its minimal *snc*-model. We assume that \mathscr{C}_k is reduced. Looking at the definition of the essential skeleton in 3.3.1, it is natural to ask which part of the essential skeleton we recover by taking the union of the Kontsevich–Soibelman skeleta Sk(C, ω) where ω runs through the set of nonzero canonical (rather than pluricanonical) forms on *C*. In this section, we will show that one obtains the union of all the closed *nonbridge edges* and all the vertices of positive genus of the skeleton Sk(\mathscr{C}). Recall that a *bridge* in a graph *G* is an edge that is not contained in any nontrivial cycle, or equivalently, that is contained in every spanning tree.

2070

3.4.2. Let $G = G(\mathscr{C}_k)$ be the dual graph of the special fiber \mathscr{C}_k , and let $v : \widetilde{\mathscr{C}}_k \to \mathscr{C}_k$ be a normalization morphism. The set V(G) of vertices v of G is in bijection with the set of connected components C_v of $\widetilde{\mathscr{C}}_k$. Let E(G) denote the set of edges of G, each endowed with a fixed (but arbitrary) orientation. If x is the singular point of \mathscr{C}_k corresponding to an edge e, then the choice of an orientation on e amounts to choosing a point y in $v^{-1}(x)$; if the oriented edge \vec{e} points towards the vertex v, then we take y to be the unique point of $v^{-1}(x)$ lying on C_v .

3.4.3. By the cohomological flatness of $\mathscr{C} \to \operatorname{Spec}(R)$ and Grothendieck–Serre duality, the module $H^1(\mathscr{C}, \omega_{\mathscr{C}/R})$ is free, so that

$$H^0(\mathscr{C}_k, \omega_{\mathscr{C}/R}) \otimes k \cong H^0(\mathscr{C}_k, \omega_{\mathscr{C}_k/k}).$$

We can identify $H^0(\mathscr{C}_k, \omega_{\mathscr{C}_k/k})$ with the space of *Rosenlicht differentials* on \mathscr{C}_k . A Rosenlicht differential ω is, by definition, the data of a meromorphic differential ω_v on C_v for each $v \in V(G)$ such that:

- (1) Each ω_v has at worst logarithmic poles at the inverse images under ν of the singular points of \mathscr{C}_k , and is regular everywhere else.
- (2) If x is a singular point of \mathscr{C}_k and $\nu^{-1}(x) = \{y_1, y_2\}$, then the residues of ω at y_1 and y_2 sum to zero.

Given $\omega \in H^0(\mathscr{C}_k, \omega_{\mathscr{C}_k/k})$ and an oriented edge $\vec{e} \in E(G)$, let $\operatorname{res}_{\vec{e}}(\omega)$ be the residue of ω at the point of $\widetilde{\mathscr{C}}_k$ corresponding to \vec{e} . By the residue theorem, the sum

$$\operatorname{res}(\omega) := \sum_{e \in E(G)} \operatorname{res}_{\vec{e}}(\omega)(\vec{e})$$

belongs to $H_1(G, k)$, so that we obtain a morphism of k-vector spaces

res :
$$H^0(\mathscr{C}_k, \omega_{\mathscr{C}_k/k}) \to H_1(G, k),$$

which is called the residue map.

Lemma 3.4.4. The residue map fits into a short exact sequence of k-vector spaces:

$$0 \longrightarrow \bigoplus_{v \in V(G)} H^0(C_v, \omega_{C_v/k}) \xrightarrow{\alpha} H^0(\mathscr{C}_k, \omega_{\mathscr{C}_k/k}) \xrightarrow{\operatorname{res}} H_1(G, k) \longrightarrow 0.$$

Proof. By the definition of Rosenlicht differentials and the residue map, the kernel of res is equal to $\bigoplus_{v \in V(G)} H^0(C_v, \omega_{C_v/k})$. Surjectivity of the residue map now follows by a dimension count, since

$$\dim_k H^0(\mathscr{C}_k, \omega_{\mathscr{C}_k/k}) = \dim_k H_1(G, k) + \sum_{v \in V(G)} \dim_k H^0(C_v, \omega_{C_v/k}) = g. \quad \Box$$

Lemma 3.4.5. Let ω be a regular canonical form on *C* and let *v* be a vertex of genus zero of Sk(\mathscr{C}). Then *v* belongs to Sk(*C*, ω) if and only if some edge adjacent to *v* belongs to Sk(*C*, ω).

Proof. The "if" part follows from the fact that $Sk(C, \omega)$ is closed, so we only need to prove the converse implication. We denote by f the restriction of wt_{ω} to $Sk(\mathscr{C})$. Assume that v lies in $Sk(C, \omega)$, that is, f reaches its minimal value at v. By Corollary 3.2.5 and the assumption that v has genus zero, the degree of $\Delta(f)$ at v is strictly less than the valency of v in $Sk(\mathscr{C})$. Since f has integer slopes, this means that at least one of the outgoing slopes of f from v must be zero, so that the corresponding edge also lies in $Sk(C, \omega)$.

Theorem 3.4.6. If C is a K-curve of genus $g \ge 1$ whose minimal snc-model \mathscr{C} over R is semistable, then the union $S = \bigcup_{\omega} \operatorname{Sk}(C, \omega)$, as ω runs through the set of nonzero global sections of $\omega_{C/K}$, is equal to the union of all the closed nonbridge edges and all the vertices of positive genus of $\operatorname{Sk}(\mathscr{C})$.

Proof. Multiplying a nonzero canonical form ω with $a \in K^{\times}$ shifts the weight function wt_{ω} by $v_K(a)$ and does not affect Sk(C, ω). Moreover, since \mathscr{C}_k is reduced, wt_{ω} takes integer values at the vertices of Sk(\mathscr{C}). Thus in the definition of S, we only need to consider canonical forms ω whose minimal value on Sk(\mathscr{C}) equals zero (recall from 3.3.1 that this minimal value is always reached at a vertex).

Now it is clear from the definition of the weight function that wt_{ω} vanishes at an edge, or vertex, of Sk(\mathscr{C}) if and only if ω generates $\omega_{\mathscr{C}/R}(\mathscr{C}_k)$ at the corresponding point of \mathscr{C}_k or at the generic point of the corresponding irreducible component of \mathscr{C}_k , respectively. Thus, in order to find the faces of Sk(\mathscr{C}) that lie in *S*, we need to determine which singular points and irreducible components of \mathscr{C}_k lie in the base locus of

$$\omega_{\mathscr{C}/R}(\mathscr{C}_k) \cong \omega_{\mathscr{C}/R}.$$

For this aim, we can use Rosenlicht differentials; a point of \mathscr{C}_k lies in the base locus of $\omega_{\mathscr{C}/R}$ if and only if it lies in the base locus of $\omega_{\mathscr{C}_k/k}$ on \mathscr{C}_k , by the surjectivity of the reduction map

$$H^0(\mathscr{C}, \omega_{\mathscr{C}/R}) \to H^0(\mathscr{C}_k, \omega_{\mathscr{C}_k/k}).$$

Using the morphism α in Lemma 3.4.4, we can find an element of $H^0(\mathscr{C}_k, \omega_{\mathscr{C}_k/k})$ that generates $\omega_{\mathscr{C}_k/k}$ at the generic point of every component of positive genus of \mathscr{C}_k . In particular, all the vertices of positive genus of Sk(\mathscr{C}) belong to S. By Lemma 3.4.5, it now suffices to determine which edges of Sk(\mathscr{C}) lie in S. The residue theorem immediately implies that a bridge never belongs to S, while the surjectivity of the residue map in Lemma 3.4.4 shows that every nonbridge edge lies in S. This concludes the proof.

Remark 3.4.7. By Theorem 3.3.13, the essential skeleton Sk(C) is always connected, but it is easy to use the proof of Theorem 3.4.6 to produce examples of a curve *C* and a nonzero canonical form ω such that $Sk(C, \omega)$ is disconnected (for instance, when $Sk(\mathscr{C})$ is a chain with vertices of positive genus).

3.5. An alternate approach to computing the essential skeleton of a maximally degenerate semistable curve.

3.5.1. Let *C* be a *K*-curve of genus $g \ge 1$ and denote by \mathscr{C} its minimal *snc*-model. There is an elegant way to prove Theorems 3.3.13(2) and 3.4.6 using potential theory on metric graphs if we assume that *C* is a *maximally degenerate K*-curve. This assumption is common in tropical geometry; it means that \mathscr{C}_k is reduced and that all the irreducible components of \mathscr{C}_k are rational curves. This implies that the metric graph Sk(\mathscr{C}) still has genus *g*. The proofs yield some additional information about the structure of Sk(*C*, ω) for certain explicit 2-canonical forms ω . They also have the advantage that they can be extended to the nondiscretely valued setting (see Remark 3.2.7).

3.5.2. For background on potential theory on metric graphs, see for instance [Baker 2008]. We recall that a *tropical rational function* on a metric graph Γ is a real-valued continuous piecewise affine function on Γ with integral slopes, and that the divisor of such a function is defined by $\operatorname{div}(f) = -\Delta(f)$. In other words, the degree of $\operatorname{div}(f)$ at a point of Γ is the sum of the *incoming* slopes of f. Two divisors on Γ are called *equivalent* if they differ by the divisor of a tropical rational function. We begin with a combinatorial lemma needed for our alternate proof of Theorem 3.4.6.

Lemma 3.5.3. Let G be a discrete graph without loops and denote by Γ the metric graph associated with G. Let T be a spanning tree of Γ , let e be an edge of Γ not contained in T, and let Z(T, e) be the unique cycle in $T \cup e$. Let D be an effective divisor on Γ which is equivalent to the canonical divisor K_G and whose support contains a point p_i from the relative interior of each edge $e_i \neq e$ contained in the complement of T. Finally, let f be a tropical rational function on Γ with $\operatorname{div}(f) = D - K_G$. Then the locus of points $p \in \Gamma$ where f achieves its minimum value is equal to Z(T, e).

Proof. Let Sk(f) be the locus of $p \in \Gamma$ at which f attains its minimum value. For each $p \in Sk(f)$, f can be strictly increasing in at most val(p) - 2 tangent directions, since it has slope at least 1 in each such direction and nonnegative slope in every other direction and the total sum of outgoing slopes of f at p is at most

$$\deg_p K_G = \operatorname{val}(p) - 2.$$

Thus there are at least two tangent directions at p along which f is constant. It follows that every connected component of Sk(f) is a graph in which every vertex has valency at least 2. However, Sk(f) cannot contain any of the points p_i , since the sum of the outgoing slopes of f at p_i is equal to $-\deg_{p_i} D < 0$. Thus $Sk(f) \subset T \cup e$, and the only possible cycle in Sk(f) is Z(T, e). Hence, Sk(f) = Z(T, e).

We obtain the following strengthening of Theorem 3.4.6 in this context (it can also be deduced directly from Lemma 3.4.4 and the proof of Theorem 3.4.6):

Proposition 3.5.4. Assume that C is maximally degenerate. If e is a nonbridge edge of $Sk(\mathscr{C})$, then there exists a nonzero canonical form $\omega \in H^0(C, \omega_{C/K})$ such that $Sk(C, \omega)$ is a simple cycle with e in its support.

Proof. Since *e* is not a bridge, there exists a spanning tree *T* of Sk(\mathscr{C}) not containing *e*. Let $e = e_0, e_1, \ldots, e_{g-1}$ be the edges of Sk(\mathscr{C}) not contained in *T*, and choose a type II point p_i in the relative interior of e_i for every *i* in $\{1, \ldots, g-1\}$ (type II points are the divisorial points in the terminology of [Mustață and Nicaise 2015]). We set $D_0 = p_1 + \cdots + p_{g-1}$. We would like to find a divisor \widetilde{D}_0 on *C* such that $(\rho_{\mathscr{C}})_*(\widetilde{D}_0) = D_0$. Unfortunately, this is not possible, since only the vertices of Sk(\mathscr{C}) lift to *K*-rational points of *C*.

This issue can be solved in the following way. Let K' be a finite Galois extension of K whose degree n = [K' : K] is not divisible by the characteristic of k. We denote by R' the valuation ring of K'. Set $C' = C \times_K K'$ and let \mathcal{C}' be the minimal resolution of $\mathcal{C} \times_R R'$. Then it is well known, and easy to see, that \mathcal{C}' is the minimal *snc*-model of C', and \mathcal{C}'_k is reduced. Moreover, the projection morphism $\pi : (C')^{an} \to C^{an}$ induces a homeomorphism $Sk(\mathcal{C}') = \pi^{-1}(Sk(\mathcal{C})) \to Sk(\mathcal{C})$, and $Sk(\mathcal{C}')$ is obtained from $Sk(\mathcal{C})$ by subdividing each edge into n edges. Now we choose each point p_i to be a vertex of $Sk(\mathcal{C}')$ in the relative interior of e_i . Then we can find a divisor \widetilde{D}_0 on C' such that $(\rho_{\mathcal{C}'})_*(\widetilde{D}_0) = D_0$.

Since $H^0(C', \omega_{C'/K'})$ has dimension g and \widetilde{D}_0 has degree g - 1, there exists a nonzero $\omega' \in H^0(C', \omega_{C'/K'}(-\widetilde{D}_0))$. Let f be the restriction of $\operatorname{wt}_{\omega'}$ to $\operatorname{Sk}(\mathscr{C}')$. By Corollary 3.2.5, we have

$$\operatorname{div}(f) = (\rho_{\mathscr{C}'})_*(\operatorname{div}_{C'}(\omega')) - K_{\operatorname{Sk}(\mathscr{C}')}.$$

If we set $D = (\rho_{\mathcal{C}'})_*(\operatorname{div}_{C'}(\omega'))$, then $D \ge D_0$ by construction. Now it follows from Lemma 3.5.3 that $\operatorname{Sk}(C', \omega') = \operatorname{Sk}(f)$ is a simple cycle that contains *e*.

It remains to produce a nonzero element ω of $H^0(C, \omega_{C/K})$ such that $\text{Sk}(C, \omega) = \text{Sk}(C', \omega')$. Multiplying ω' with a suitable element of $(K')^{\times}$, we can assume that the minimal value of $\text{wt}_{\omega'}$ on $\text{Sk}(\mathscr{C}')$ is equal to 0. We denote by $\omega \in H^0(C, \omega_{C/K})$ the trace of ω' with respect to the Galois extension K'/K. Then it is easy to see that $\text{wt}_{\omega} = \text{wt}_{\omega \otimes_K K'} \ge \text{wt}_{\omega'}$ on $\text{Sk}(\mathscr{C})$. It is also clear that every singular point x of \mathscr{C}'_k is fixed under the action of Gal(K'/K). Thus the logarithmic residues at x of the conjugates of ω' are all equal, and their sum is nonzero if and only if the logarithmic residue of $\omega'_{\omega'}$ if and only if it lies in the zero locus of wt_{ω} . Since $\text{Sk}(\mathscr{C}', \omega')$ is a union of edges, it follows that

$$\operatorname{Sk}(C, \omega) = \operatorname{Sk}(C', \omega').$$

Remark 3.5.5. The statement and proof of both Lemma 3.4.5 and Proposition 3.5.4 are closely related to Lemma 3.2 and Proposition 3.3, respectively, of [Jensen and Payne 2016].

We now show that if *e* is a bridge edge of $Sk(\mathscr{C})$, then there exists a 2-canonical form ω such that $Sk(C, \omega)$ contains *e*, providing a new proof of Theorem 3.3.13(2) in the present context.

Lemma 3.5.6. Let G be a discrete graph without loops and denote by Γ the metric graph associated with G. We assume that G has no 1-valent vertices. Choose any maximal chain B of bridge edges in Γ . We denote by v_1 , v_2 the endpoints of B. Let T be a spanning tree in Γ . Let D be an effective divisor on Γ equivalent to $2K_G$ satisfying the following properties:

- (1) The support of *D* contains a point from the relative interior of each edge contained in the complement of *T*.
- (2) $D \ge K_G (v_1) (v_2)$.

Finally, let f be a tropical rational function on Γ with $\operatorname{div}(f) = D - 2K_G$. Then the locus of points $p \in \Gamma$ where f achieves its minimum value is equal to B.

Proof. Let Sk(f) be the locus of $p \in \Gamma$ at which f attains its minimum value. We can argue in the same way as in the proof of Lemma 3.5.3. By condition (2), for each $p \neq v_1, v_2$ in Sk(f) there are at least two tangent directions at p along which f is constant, and if $p \in \{v_1, v_2\}$ there is at least one such direction. Thus every connected component of Sk(f) is a graph in which every vertex different from v_1, v_2 has valency at least two, and it cannot be equal to $\{v_1\}$ or $\{v_2\}$. On the other hand, by condition (1), the set Sk(f) cannot contain any cycles. It follows that Sk(f) = B.

Proposition 3.5.7. Assume that C is maximally degenerate. Let B be any maximal chain of bridge edges of Sk(\mathscr{C}). Then there exists a nonzero 2-canonical form $\omega \in H^0(C, \omega_{C|K}^{\otimes 2})$ such that Sk(C, $\omega) = B$.

Proof. We can assume that $g \ge 2$ since in the genus one case Sk(\mathscr{C}) is a cycle and does not contain any bridges. Since \mathscr{C} is the minimal *snc*-model of *C* and \mathscr{C}_k is reduced, Sk(\mathscr{C}) has no 1-valent vertices. We set $\Gamma = \text{Sk}(\mathscr{C})$. We choose a spanning tree *T* of Γ . We define *K'*, *C'*, and \mathscr{C}' as in the proof of Proposition 3.5.4. Then, by the same arguments as in that proof, it suffices to find a nonzero element $\omega' \in H^0(C', \omega_{C'/K'}^{\otimes 2})$ such that Sk(C', ω') = *B*. We can find an effective divisor \widetilde{D}_0 on *C'* of degree 3g - 4 =g + (2g - 4) over *K'* such that $D_0 = (\rho_{\mathscr{C}'})_*(\widetilde{D}_0)$ satisfies properties (1) and (2) from the statement of Lemma 3.5.6. Since the space $H^0(C', \omega_{C'/K'}^{\otimes 2})$ has dimension 3g - 3by Riemann–Roch, there exists a nonzero 2-canonical form $\omega' \in H^0(C, \omega_{C'/K'}^{\otimes 2})$ with div_{C'}(ω') $\geq \widetilde{D}_0$. We set $D = (\rho_{\mathscr{C}'})_*(\operatorname{div}_{C'}(\omega'))$. Then $D \geq D_0$ by construction. Let *f* be the restriction of wt_{$\omega'} to \Gamma$. By Theorem 3.2.3, we have</sub>

$$\operatorname{div}(f) = D - 2K_{\Gamma}.$$

The result now follows from Lemma 3.5.6.

Appendix: The stable metric on $\mathbb{H}_0(C)$

A.1. Definition of the stable metric.

A.1.1. Let *C* be a *K*-curve. The metric on $\mathbb{H}_0(C)$ defined in Theorem 2.3.3 was wellsuited for the description of the Laplacian of the weight function in Theorem 3.2.3, but it does not behave well under extensions of the base field *K*. We will now define an alternative metric on $\mathbb{H}_0(C)$, which we call the *stable* metric, which has better properties with respect to base change. In particular, if *k* has characteristic zero, one can compare it to the skeletal metric from [Baker et al. 2013] (see Proposition A.2.3).

A.1.2. We first put a metric on the geometric realization Γ of a weighted discrete graph (G, w) by replacing the formula in (2.1.3) by

$$\ell(e) = \frac{1}{\operatorname{lcm}\{w(v_1), w(v_2)\}}.$$

Now the same arguments as in Section 2.3 show that this definition induces a unique metric on $\mathbb{H}_0(C)$ such that, for every *snc*-model \mathscr{C} of *C*, the embedding

$$\Gamma(\mathcal{C}_k) \to \mathbb{H}_0(C)$$

is an isometry onto Sk(\mathscr{C}). We call this metric the stable metric on $\mathbb{H}_0(C)$. Note that, if \mathscr{C}_k is reduced, the stable metric on Sk(\mathscr{C}) coincides with the one defined in Theorem 2.3.3.

A.1.3. By [Mustață and Nicaise 2015, §3.2], the skeleton Sk(\mathscr{C}) of an *nc*-model \mathscr{C} of *C* carries a natural \mathbb{Z} -affine structure. If *e* is an edge of Sk(\mathscr{C}) with endpoints v_1 and v_2 , then a \mathbb{Z} -affine function

$$f: e \setminus \{v_1, v_2\} \to \mathbb{R}$$

is a function of the form

$$(x_1, x_2) \mapsto ax_1/N_1 + bx_2/N_2 + c,$$

where *a*, *b*, *c* are integers, $N_1 = w(v_1)$, $N_2 = w(v_2)$, and x_1 and $x_2 = 1 - x_1$ are barycentric coordinates on $e \setminus \{v_1, v_2\} \cong]0$, 1[such that the limit of x_1 at v_1 is 1 and the limit of x_2 at v_2 is 1 (beware that we are not excluding the possibility $v_1 = v_2$). This definition is motivated by the following fact: if $h \neq 0$ is a rational function on *C*, then

$$Sk(\mathscr{C}) \to \mathbb{R}, \quad x \mapsto -\ln|h(x)|$$

is continuous and piecewise \mathbb{Z} -affine, and this function is affine on an edge e if and only if the point of \mathscr{C}_k corresponding to e does not belong to the horizontal part of the divisor div $\mathscr{C}(h)$ on \mathscr{C} (see [Mustață and Nicaise 2015, Proposition 3.2.2]). Moreover, if e is an edge of Sk(\mathscr{C}) that is not a loop, then every \mathbb{Z} -affine function on $e \setminus \{v_1, v_2\}$ can be written as

$$x \mapsto -\ln|h(x)|,$$

for some rational function $h \neq 0$ on C (simply consider a monomial with suitable integer exponents in the local equations for the components corresponding to the vertices adjacent to e).

A.1.4. The \mathbb{Z} -affine structure on Sk(\mathscr{C}) induces the stable metric on Sk(\mathscr{C}) = $\Gamma(\mathscr{C}_k)$, in the following sense: the length of *e* is equal to

$$\inf_{f}\{|\lim_{0} f - \lim_{1} f|\},\$$

where f runs through the set of injective \mathbb{Z} -affine functions

 $f: e \setminus \{v_1, v_2\} \to \mathbb{R},$

and where $\lim_{i \to i} f$ denotes the limit of f at i for i = 0, 1, where we choose any homeomorphism to identify $e \setminus \{v_1, v_2\}$ with the open interval]0, 1[.

To see this, note that this infimum is equal to the smallest positive element of the set

$$\{a/N_1 - b/N_2 \mid a, b \in \mathbb{Z}\},\$$

which is precisely

$$\frac{\gcd(N_1, N_2)}{N_1 N_2} = \frac{1}{\operatorname{lcm}(N_1, N_2)}.$$

Thus our definition of the length of e is the unique one such that the affine functions on $e \setminus \{v_1, v_2\}$ are precisely the differentiable functions with constant integer slope whose value at v_1 is a multiple of $1/N_1$.

A.2. Comparison with the skeletal metric.

A.2.1. The set

 $\mathbb{H}_0(C \times_K \widehat{K^a}) = (C \times_K \widehat{K^a})^{\mathrm{an}} \setminus \{\text{points of type I and IV}\}\$

carries a natural metric, which was called the *skeletal metric* in [Baker et al. 2013]. Its construction is described in detail in [Baker et al. 2013, §5.3]. We will now compare it to the metric we defined on $\mathbb{H}_0(C)$, in the case where *k* has characteristic zero.

A.2.2. Let *C* be a *K*-curve and let \mathscr{C} be an *snc*-model for *C*. An irreducible component of \mathscr{C}_k is called *principal* if it has positive genus or it is a rational curve that intersects the rest of \mathscr{C}_k in at least three points. A principal vertex of Sk(\mathscr{C}) is a vertex corresponding to a principal component in \mathscr{C}_k .

Proposition A.2.3. Assume that k has characteristic zero. Let C be a K-curve and let \mathscr{C} be an snc-model of C. Denote by π the canonical projection $C \times_K \widehat{K^a} \to C$. Then the corestriction

$$\pi_{\mathscr{C}}: \pi^{-1}(\operatorname{Sk}(\mathscr{C})) \to \operatorname{Sk}(\mathscr{C})$$

of π to Sk(\mathscr{C}) is a local isometry over the complement of the principal vertex set of Sk(\mathscr{C}). Moreover, if \mathscr{C} is semistable, then $\pi_{\mathscr{C}}$ is an isometry.

2078

Proof. This can be deduced in a rather straightforward way from the results in Sections 1 and 4 of Chapter 3 in [Halle and Nicaise 2012]. Since the arguments are somewhat tedious and the result is not needed in this paper, we omit the proof. \Box

Acknowledgements

The authors would like to thank Mattias Jonnson for helpful comments on an earlier version of this text. Nicaise was supported by the ERC Starting Grant MOTZETA (project 306610) of the European Research Council.

References

- [Baker 2008] M. Baker, "Specialization of linear systems from curves to graphs", *Algebra Number Theory* **2**:6 (2008), 613–653. MR 2448666 Zbl 1162.14018
- [Baker et al. 2013] M. Baker, S. Payne, and J. Rabinoff, "On the structure of non-Archimedean analytic curves", pp. 93–121 in *Tropical and non-Archimedean geometry*, edited by O. Amini et al., Contemp. Math. **605**, Amer. Math. Soc., Providence, 2013. MR 3204269 Zbl 1320.14040
- [Berkovich 1990] V. G. Berkovich, *Spectral theory and analytic geometry over non-Archimedean fields*, Mathematical Surveys and Monographs **33**, American Mathematical Society, Providence, 1990. MR 1070709 Zbl 0715.14013
- [Eriksson et al. 2015] D. Eriksson, L. H. Halle, and J. Nicaise, "A logarithmic interpretation of Edixhoven's jumps for Jacobians", *Adv. Math.* **279** (2015), 532–574. MR 3345191 Zbl 06435582
- [Gubler et al. 2016] W. Gubler, J. Rabinoff, and A. Werner, "Skeletons and tropicalizations", *Adv. Math.* **294** (2016), 150–215. MR 3479562 Zbl 06567870
- [Halle and Nicaise 2012] L. H. Halle and J. Nicaise, "Néron models and base change", preprint, 2012. Zbl 06518678 arXiv 1209.5556
- [Ito and Schröer 2015] H. Ito and S. Schröer, "Wild quotient surface singularities whose dual graphs are not star-shaped", *Asian J. Math.* **19**:5 (2015), 951–986. MR 3431685 Zbl 1333.14007
- [Jensen and Payne 2016] D. Jensen and S. Payne, "Tropical independence, II: The maximal rank conjecture for quadrics", *Algebra Number Theory* **10**:8 (2016), 1601–1640. MR 3556794 Zbl 06640297
- [Jonsson 2015] M. Jonsson, "Dynamics of Berkovich spaces in low dimensions", pp. 205–366 in *Berkovich spaces and applications*, edited by A. Ducros et al., Lecture Notes in Math. 2119, Springer, 2015. MR 3330767 Zbl 06463429
- [Kato 1989] K. Kato, "Logarithmic structures of Fontaine–Illusie", pp. 191–224 in Algebraic analysis, geometry, and number theory (Baltimore, MD, 1988), edited by J.-I. Igusa, Johns Hopkins Univ. Press, Baltimore, MD, 1989. MR 1463703 Zbl 0776.14004
- [Kato 1994] K. Kato, "Toric singularities", Amer. J. Math. 116:5 (1994), 1073–1099. MR 1296725 Zbl 0832.14002
- [Lee 2005] J. Lee, "Relative canonical sheaves of a family of curves", *J. Algebra* **286**:2 (2005), 341–360. MR 2128021 Zbl 1073.14013
- [Lipman 1969] J. Lipman, "Rational singularities, with applications to algebraic surfaces and unique factorization", *Inst. Hautes Études Sci. Publ. Math.* 36 (1969), 195–279. MR 0276239 Zbl 0181.48903
- [Liu 2002] Q. Liu, *Algebraic geometry and arithmetic curves*, Oxf. Grad. Texts Math. **6**, Oxford University Press, 2002. MR 1917232 Zbl 0996.14005

[Liu et al. 2004] Q. Liu, D. Lorenzini, and M. Raynaud, "Néron models, Lie algebras, and reduction of curves of genus one", *Invent. Math.* **157**:3 (2004), 455–518. MR 2092767 Zbl 1060.14037

[Mustață and Nicaise 2015] M. Mustață and J. Nicaise, "Weight functions on non-Archimedean analytic spaces and the Kontsevich–Soibelman skeleton", *Algebr. Geom.* **2**:3 (2015), 365–404. MR 3370127 Zbl 1322.14044

[Nicaise 2013] J. Nicaise, "Geometric criteria for tame ramification", *Math. Z.* **273**:3-4 (2013), 839–868. MR 3030680 Zbl 1329.11067

[Nicaise and Xu 2013] J. Nicaise and C. Xu, "The essential skeleton of a degeneration of algebraic varieties", preprint, 2013. To appear in Amer. J. Math. arXiv 1307.4041

[Silverman 1994] J. H. Silverman, *Advanced topics in the arithmetic of elliptic curves*, Graduate Texts in Mathematics **151**, Springer, 1994. MR 1312368 Zbl 0911.14015

[Temkin 2014] M. Temkin, "Metrization of differential pluriforms on Berkovich analytic spaces", preprint, 2014. arXiv 1410.3079

 Communicated by Joseph H. Silverman

 Received 2015-10-28
 Revised 2016-06-24
 Accepted 2016-10-02

 mbaker@math.gatech.edu
 School of Mathematics, Georgia Institute of Technology, 686 Cherry Street, Atlanta, GA 30332-0160, United States

 j.nicaise@imperial.ac.uk
 Department of Mathematics, KU Leuven, Celestijnenlaan 200B, 3001 Heverlee, Belgium

 Current address:
 Department of Mathematics, Imperial College, South Kensington Campus, London, SW7 2AZ, United Kingdom



Algebra & Number Theory

msp.org/ant

EDITORS

MANAGING EDITOR

Bjorn Poonen Massachusetts Institute of Technology Cambridge, USA EDITORIAL BOARD CHAIR David Eisenbud University of California

Berkeley, USA

BOARD OF EDITORS

Dave Benson	University of Aberdeen, Scotland	Susan Montgomery	University of Southern California, USA
Richard E. Borcherds	University of California, Berkeley, USA	Shigefumi Mori	RIMS, Kyoto University, Japan
John H. Coates	University of Cambridge, UK	Raman Parimala	Emory University, USA
J-L. Colliot-Thélène	CNRS, Université Paris-Sud, France	Jonathan Pila	University of Oxford, UK
Brian D. Conrad	Stanford University, USA	Anand Pillay	University of Notre Dame, USA
Hélène Esnault	Freie Universität Berlin, Germany	Victor Reiner	University of Minnesota, USA
Hubert Flenner	Ruhr-Universität, Germany	Peter Sarnak	Princeton University, USA
Sergey Fomin	University of Michigan, USA	Joseph H. Silverman	Brown University, USA
Edward Frenkel	University of California, Berkeley, USA	Michael Singer	North Carolina State University, USA
Andrew Granville	Université de Montréal, Canada	Vasudevan Srinivas	Tata Inst. of Fund. Research, India
Joseph Gubeladze	San Francisco State University, USA	J. Toby Stafford	University of Michigan, USA
Roger Heath-Brown	Oxford University, UK	Ravi Vakil	Stanford University, USA
Craig Huneke	University of Virginia, USA	Michel van den Bergh	Hasselt University, Belgium
Kiran S. Kedlaya	Univ. of California, San Diego, USA	Marie-France Vignéras	Université Paris VII, France
János Kollár	Princeton University, USA	Kei-Ichi Watanabe	Nihon University, Japan
Yuri Manin	Northwestern University, USA	Efim Zelmanov	University of California, San Diego, USA
Philippe Michel	École Polytechnique Fédérale de Lausan	ne Shou-Wu Zhang	Princeton University, USA

PRODUCTION

production@msp.org

Silvio Levy, Scientific Editor

See inside back cover or msp.org/ant for submission instructions.

The subscription price for 2016 is US \$290/year for the electronic version, and \$485/year (+\$55, if shipping outside the US) for print and electronic. Subscriptions, requests for back issues and changes of subscribers address should be sent to MSP.

Algebra & Number Theory (ISSN 1944-7833 electronic, 1937-0652 printed) at Mathematical Sciences Publishers, 798 Evans Hall #3840, c/o University of California, Berkeley, CA 94720-3840 is published continuously online. Periodical rate postage paid at Berkeley, CA 94704, and additional mailing offices.

ANT peer review and production are managed by EditFLOW® from MSP.

PUBLISHED BY mathematical sciences publishers nonprofit scientific publishing http://msp.org/ © 2016 Mathematical Sciences Publishers

Algebra & Number Theory

Volume 10 No. 10 2016

Weight functions on Berkovich curves MATTHEW BAKER and JOHANNES NICAISE	2053
Nonvanishing of Dirichlet L-functions RIZWANUR KHAN and HIEU T. NGO	2081
Every integer greater than 454 is the sum of at most seven positive cubes SAMIR SIKSEK	2093
Constructible isocrystals BERNARD LE STUM	2121
Canonical heights on genus-2 Jacobians JAN STEFFEN MÜLLER and MICHAEL STOLL	2153
Combinatorial degenerations of surfaces and Calabi–Yau threefolds BRUNO CHIARELLOTTO and CHRISTOPHER LAZDA	2235
The Voronoi formula and double Dirichlet series EREN MEHMET KIRAL and FAN ZHOU	2267
Finite dimensional Hopf actions on algebraic quantizations PAVEL ETINGOF and CHELSEA WALTON	2287