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# On twists of modules over noncommutative Iwasawa algebras

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It is well known that, for any finitely generated torsion module  $M$  over the Iwasawa algebra  $\mathbb{Z}_p[[\Gamma]]$ , where  $\Gamma$  is isomorphic to  $\mathbb{Z}_p$ , there exists a continuous  $p$ -adic character  $\rho$  of  $\Gamma$  such that, for every open subgroup  $U$  of  $\Gamma$ , the group of  $U$ -coinvariants  $M(\rho)_U$  is finite; here  $M(\rho)$  denotes the twist of  $M$  by  $\rho$ . This twisting lemma was already used to study various arithmetic properties of Selmer groups and Galois cohomologies over a cyclotomic tower by Greenberg and Perrin-Riou. We prove a noncommutative generalization of this twisting lemma, replacing torsion modules over  $\mathbb{Z}_p[[\Gamma]]$  by certain torsion modules over  $\mathbb{Z}_p[[G]]$  with more general  $p$ -adic Lie group  $G$ . In a forthcoming article, this noncommutative twisting lemma will be used to prove the functional equation of Selmer groups of general  $p$ -adic representations over certain  $p$ -adic Lie extensions.

## Introduction

Let us fix an odd prime  $p$  throughout the paper. We denote by  $\Gamma$  a  $p$ -Sylow subgroup of  $\mathbb{Z}_p^\times$ . For a compact  $p$ -adic Lie group  $G$  and the ring  $\mathcal{O}$  of integers of a finite extension of  $\mathbb{Q}_p$ , we denote the Iwasawa algebra  $\mathcal{O}[[G]]$  of  $G$  with coefficient in  $\mathcal{O}$  by  $\Lambda_{\mathcal{O}}(G)$ .

In this article, we study  $\Lambda_{\mathcal{O}}(G)$ -modules, motivated by [Coates et al. 2005]. More precisely, we study specializations of certain  $\Lambda_{\mathcal{O}}(G)$ -modules by two-sided ideals of  $\Lambda_{\mathcal{O}}(G)$ . Recall that the paper [Coates et al. 2005] establishes a reasonable setting of noncommutative Iwasawa theory in the following situation.

(G)  $G$  is a compact  $p$ -adic Lie group which has a closed normal subgroup  $H$  such that  $G/H$  is isomorphic to  $\Gamma$ .

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According to the philosophy of [Coates et al. 2005], for a reasonable ordinary  $p$ -adic representation  $T$  of a number field  $K$  and a pair of compact  $p$ -adic Lie groups  $H \subset G$  satisfying the condition (G), the Pontryagin dual  $S_A^\vee$  of the Selmer group  $S_A$  of the Galois representation  $A = T \otimes \mathbb{Q}_p/\mathbb{Z}_p$  over a Galois extension  $K_\infty/K$  with  $\text{Gal}(K_\infty/K) \cong G$  seems to be a nice object. The  $\Lambda_{\mathcal{O}}(G)$ -module  $S_A^\vee$  divided by the largest  $p$ -primary torsion subgroup  $S_A^\vee(p)$  is conjectured to belong to the category  $\mathfrak{n}_H(G)$  which consists of finitely generated  $\Lambda_{\mathcal{O}}(G)$ -modules  $M$  such that  $M$  is also finitely generated over  $\Lambda_{\mathcal{O}}(H)$ . From such arithmetic background, we are led to study finitely generated  $\Lambda_{\mathcal{O}}(G)$ -modules for a compact Lie group  $G$  with  $H \subset G$  satisfying the condition (G).

On the other hand, for any open subgroup  $U$  of  $G$  and for any arithmetic module  $S_A^\vee$  as above, the largest  $U$ -coinvariant quotient  $(S_A^\vee)_U$  is expected to be related to the Selmer group of  $A$  over a finite extension  $L$  of  $K$  with  $\text{Gal}(L/K) \cong G/U$ . As remarked above, we have the following fact (Tw) when  $G = \Gamma$  (i.e., when  $H = 1$ ) which was used quite effectively in the work of Greenberg [1989] and Perrin-Riou [2003].

(Tw) For any finitely generated torsion  $\Lambda_{\mathcal{O}}(\Gamma)$ -module  $M$ , there exists a continuous character  $\rho : \Gamma \rightarrow \mathbb{Z}_p^\times$  such that the largest  $U$ -coinvariant quotient  $(M \otimes_{\mathbb{Z}_p} \mathbb{Z}_p(\rho))_U$  of  $M \otimes_{\mathbb{Z}_p} \mathbb{Z}_p(\rho)$  is finite for every open subgroup  $U$  of  $\Gamma$ , where  $\mathbb{Z}_p(\rho)$  is a free  $\mathbb{Z}_p$ -module of rank one on which  $\Gamma$  acts through the character  $\Gamma \xrightarrow{\rho} \mathbb{Z}_p^\times$ .

We call such a statement (Tw) a twisting lemma. In this commutative situation of  $G = \Gamma$ , the twisting lemma is proved in a quite elementary way. For example, we consider the characteristic ideal  $\text{char}_{\mathcal{O}[[\Gamma]]} M$ . If we take a  $\rho$  such that the values  $\rho(\gamma)^{-1} \zeta_{p^n} - 1$  do not coincide with any roots of the distinguished polynomial associated to  $\text{char}_{\mathcal{O}[[\Gamma]]} M$  when natural numbers  $n$  and  $p^n$ -th roots of unity  $\zeta_{p^n}$  vary, the twisting lemma is known to hold.

If we have a twisting lemma in a noncommutative setting, it seems quite useful for some arithmetic applications for noncommutative Iwasawa theory. On the other hand, for a noncommutative  $G$ , it was not clear what to do to prove the twisting lemma because we cannot talk about “roots of characteristic polynomials” as we did in commutative setting. We finally succeeded in proving the twisting lemma which is stated as our Main Theorem below.

For a  $\Lambda_{\mathcal{O}}(G)$ -module  $M$  and a continuous character  $\rho : \Gamma \rightarrow \mathbb{Z}_p^\times$ , we denote by  $M(\rho)$  the  $\Lambda_{\mathcal{O}}(G)$ -module  $M \otimes_{\mathbb{Z}_p} \mathbb{Z}_p(\rho)$  with diagonal  $G$ -action.

**Main Theorem.** *Let  $G$  be a compact  $p$ -adic Lie group and let  $H$  be a closed normal subgroup such that  $G/H$  is isomorphic to  $\Gamma$ . Let  $M$  be a  $\Lambda_{\mathcal{O}}(G)$ -module which is finitely generated over  $\Lambda_{\mathcal{O}}(H)$ .*

Then there exists a continuous character  $\rho : \Gamma \rightarrow \mathbb{Z}_p^\times$  such that the largest  $U$ -coinvariant quotient  $M(\rho)_U$  of  $M(\rho)$  is finite for every open normal subgroup  $U$  of  $G$ .

We give some examples of a pair  $H \subset G$  satisfying the condition (G) and a  $\Lambda_{\mathcal{O}}(G)$ -module  $M$  which should appear in arithmetic applications.

**Examples.** (1) Let us choose a prime  $p \geq 5$ . Let  $E$  be a non-CM elliptic curve over  $\mathbb{Q}$  with good ordinary reduction at  $p$ . Take  $K = \mathbb{Q}(E[p])$  and set  $K_\infty = \mathbb{Q}(\bigcup_{n \geq 1} E[p^n])$ . Then by a well known result of Serre,  $\text{Gal}(K_\infty/K)$  is an open subgroup of  $\text{GL}_2(\mathbb{Z}_p)$ . By Weil pairing, the cyclotomic  $\mathbb{Z}_p$  extension  $K_{\text{cyc}}$  of  $K$  is contained in  $K_\infty$ . We denote  $\text{Gal}(K_\infty/K)$ ,  $\text{Gal}(K_\infty/K_{\text{cyc}})$  and  $\text{Gal}(K_{\text{cyc}}/K)$  by  $G$ ,  $H$  and  $\Gamma$  respectively. The pair  $H \subset G$  satisfies the condition (G).

Let us consider the Pontryagin dual  $\mathcal{S}_A^\vee$  of the Selmer group  $\mathcal{S}_A$  of the Galois representation  $A = T_p E \otimes \mathbb{Q}_p/\mathbb{Z}_p$  over the Galois extension  $K_\infty/K$  discussed above. We take  $M$  to be the module  $\mathcal{S}_A^\vee/\mathcal{S}_A^\vee(p)$ . It is conjectured that the module  $M = \mathcal{S}_A^\vee/\mathcal{S}_A^\vee(p)$  is in the category  $\mathfrak{n}_H(G)$  (see [Coates et al. 2005, Conjecture 5.1]) and there are examples where this conjecture is satisfied (see [loc. cit.]).

(2) Let us choose a  $p$ -th power free integer  $m \geq 2$ . Put  $K = \mathbb{Q}(\mu_p)$ ,  $K_{\text{cyc}} = \mathbb{Q}(\mu_{p^\infty})$  and  $K_\infty = \bigcup_{n=1}^\infty K_{\text{cyc}}(m^{1/p^n})$ . Such an extension  $K_\infty/K$  is called a false-Tate curve extension. We denote  $\text{Gal}(K_\infty/K)$ ,  $\text{Gal}(K_\infty/K_{\text{cyc}})$  and  $\text{Gal}(K_{\text{cyc}}/K)$  by  $G$ ,  $H$  and  $\Gamma$  respectively. Note that we have  $G \cong \mathbb{Z}_p \rtimes \mathbb{Z}_p$ ,  $H \cong \mathbb{Z}_p$  and  $\Gamma \cong \mathbb{Z}_p$ . Again the pair  $H \subset G$  satisfies the condition (G).

Let us consider the Pontryagin dual  $\mathcal{S}_A^\vee$  of the Selmer group  $\mathcal{S}_A$  of the Galois representation  $A = T \otimes \mathbb{Q}_p/\mathbb{Z}_p$  over a Galois extension  $K_\infty/K$  discussed above. We take  $M$  to be the module  $\mathcal{S}_A^\vee/\mathcal{S}_A^\vee(p)$ . Under certain assumptions on  $A$ , it is expected that  $\mathcal{S}_A^\vee/\mathcal{S}_A^\vee(p)$  will be in  $\mathfrak{n}_H(G)$ . We refer to [Hachimori and Venjakob 2003] for some examples of  $\mathcal{S}_A^\vee/\mathcal{S}_A^\vee(p)$  which are in  $\mathfrak{n}_H(G)$ .

(3) Let  $K$  be an imaginary quadratic field in which a rational prime  $p \neq 2$  splits. Let  $K_\infty$  be the unique  $\mathbb{Z}_p^{\oplus 2}$ -extension of  $K$ . Let  $G = \text{Gal}(K_\infty/K)$  and  $H = \text{Gal}(K_\infty/K_{\text{cyc}})$ . Once again the pair  $H \subset G$  satisfies the condition (G).

For the Pontryagin dual  $\mathcal{S}_A^\vee$  of the Selmer group  $\mathcal{S}_A$  of the Galois representation  $A = T \otimes \mathbb{Q}_p/\mathbb{Z}_p$  over a Galois extension  $K_\infty/\mathbb{Q}$  in this commutative two-variable situation, similar phenomena as above are expected and we take  $M$  to be the module  $\mathcal{S}_A^\vee/\mathcal{S}_A^\vee(p)$ .

In a forthcoming joint work of two of us [Jha and Ochiai  $\geq$  2016], the Main Theorem above will be applied to establish the functional equation of Selmer groups for general  $p$ -adic representations over a general noncommutative  $p$ -adic Lie extension. This is a partial motivation for our present work for two of us. Note that the third author proved the functional equation of Selmer groups for elliptic curves over

false-Tate curve extension (see [Zárbrádi 2008]) and for non-CM elliptic curves in  $GL_2$ -extension (see [Zárbrádi 2010]). But the main method of the papers [Zárbrádi 2008; 2010] is not based on the twisting lemma.

**Notation.** Unless otherwise specified, all modules over  $\Lambda_{\mathcal{O}}(G)$  are considered as left modules. Throughout the paper we fix a topological generator  $\gamma$  of  $\Gamma$ .

### 1. Preliminary Theorem

In this section, we formulate and prove the Preliminary Theorem below, which gives the same conclusion as the Main Theorem under stronger assumptions (i.e., the hypothesis (H) and nonexistence of nontrivial element of order  $p$  in  $G$ ). In the next section, our Main Theorem is deduced from the Preliminary Theorem and the Key Lemma which is given in the next section.

**Preliminary Theorem.** *Let  $G$  be a compact  $p$ -adic Lie group without any element of order  $p$  and let  $H$  be a closed normal subgroup such that  $G/H$  is isomorphic to  $\Gamma$ . Let  $M$  be a finitely generated torsion  $\Lambda_{\mathcal{O}}(G)$ -module satisfying the following condition.*

(H) *There is a  $\Lambda_{\mathcal{O}}(H)$ -linear homomorphism  $M \rightarrow \mathbb{Z}_p[[H]]^{\oplus d}$  that induces an isomorphism  $M \otimes_{\mathbb{Z}_p} \mathbb{Q}_p \xrightarrow{\sim} (\mathbb{Z}_p[[H]] \otimes_{\mathbb{Z}_p} \mathbb{Q}_p)^{\oplus d}$  after taking  $\otimes_{\mathbb{Z}_p} \mathbb{Q}_p$ .*

*Then there exists a continuous character  $\rho : \Gamma \rightarrow \mathbb{Z}_p^{\times}$  such that the largest  $U$ -coinvariant quotient  $M(\rho)_U$  of  $M(\rho)$  is finite for every open normal subgroup  $U$  of  $G$ .*

Before going into the proof of the Preliminary Theorem, we collect some basic results in noncommutative Iwasawa theory which are relevant for the article.

**Lemma 1.** *Let  $H \subset G$  be a pair satisfying the condition (G) and let  $M$  be a finitely generated  $\Lambda_{\mathcal{O}}(G)$ -module which satisfies the condition (H). Then there exists a matrix  $A \in M_d(\mathbb{Z}_p[[H]] \otimes_{\mathbb{Z}_p} \mathbb{Q}_p)$  such that  $M \otimes_{\mathbb{Z}_p} \mathbb{Q}_p$  is isomorphic to*

$$(\mathbb{Z}_p[[G]] \otimes_{\mathbb{Z}_p} \mathbb{Q}_p)^{\oplus d} / (\mathbb{Z}_p[[G]] \otimes_{\mathbb{Z}_p} \mathbb{Q}_p)^{\oplus d} (\tilde{\gamma} \mathbf{1}_d - A), \tag{1}$$

where  $\gamma$  is a topological generator of  $\Gamma$  and  $\tilde{\gamma} \in G$  is a fixed lift of  $\gamma$  and elements in  $(\mathbb{Z}_p[[G]] \otimes_{\mathbb{Z}_p} \mathbb{Q}_p)^{\oplus d}$  are regarded as row vectors.

*Proof.* Let us take a basis  $\mathbf{v}_1, \dots, \mathbf{v}_d$  of the free  $\mathbb{Z}_p[[H]] \otimes_{\mathbb{Z}_p} \mathbb{Q}_p$ -module  $M \otimes_{\mathbb{Z}_p} \mathbb{Q}_p$ . Through the isomorphism  $M \otimes_{\mathbb{Z}_p} \mathbb{Q}_p \xrightarrow{\sim} (\mathbb{Z}_p[[H]] \otimes_{\mathbb{Z}_p} \mathbb{Q}_p)^{\oplus d}$  fixed by the condition (H),  $\tilde{\gamma}$  acts on  $M$ . Thus we define a matrix  $A = (a_{ij})_{1 \leq i, j \leq d} \in M_d(\mathbb{Z}_p[[H]] \otimes_{\mathbb{Z}_p} \mathbb{Q}_p)$  by

$$\tilde{\gamma} \cdot \mathbf{v}_i = \sum_{1 \leq j \leq d} a_{ji} \mathbf{v}_j.$$

We denote the module presented in (1) by  $N_A$ . By construction, we have a  $\mathbb{Z}_p[[H]] \otimes_{\mathbb{Z}_p} \mathbb{Q}_p$ -linear isomorphism  $(\mathbb{Z}_p[[H]] \otimes_{\mathbb{Z}_p} \mathbb{Q}_p)^{\oplus d} \xrightarrow{\sim} N_A$  on which  $\tilde{\gamma}$  acts in the same manner as the action of  $\tilde{\gamma}$  on  $M \otimes_{\mathbb{Z}_p} \mathbb{Q}_p$ .  $\square$

We denote by  $\mathcal{U}$  the set of all open normal subgroups  $U$  of  $G$ . We remark that the set  $\mathcal{U}$  is a countable set since  $G$  is profinite and has a countable base at the identity.

**Lemma 2.** *For any  $U \in \mathcal{U}$ ,  $\mathbb{Z}_p[G/U] \otimes_{\mathbb{Z}_p} \overline{\mathbb{Q}}_p$  is isomorphic to a finite number of products of matrix algebras  $\prod_{i=1}^{k(U)} M_{r_i}(\overline{\mathbb{Q}}_p)$ .*

*Proof.* First of all, the algebra  $\mathbb{Z}_p[G/U] \otimes_{\mathbb{Z}_p} \mathbb{Q}_p \cong \mathbb{Q}_p[G/U]$  is a semisimple algebra over  $\mathbb{Q}_p$  since  $G/U$  is a finite group and  $\mathbb{Q}_p$  is of characteristic 0. We have an isomorphism

$$\mathbb{Q}_p[G/U] \cong \prod_{i=1}^{l_n} M_{s_i}(D_i),$$

where  $D_i$  is a finite dimensional division algebra over  $\mathbb{Q}_p$ . For each  $i$ , the center  $K_i$  of  $D_i$  is a finite extension of  $\mathbb{Q}_p$ . It is well-known that  $\dim_{K_i} D_i$  is a square of some natural number  $t_i$  and  $D_i \otimes_{K_i} \overline{\mathbb{Q}}_p$  is isomorphic to  $M_{t_i}(\overline{\mathbb{Q}}_p)$ . Thus  $M_{s_i}(D_i) \otimes \overline{\mathbb{Q}}_p$  is isomorphic to  $[K_i : \mathbb{Q}_p]$  copies of  $M_{s_i+t_i}(\overline{\mathbb{Q}}_p)$ . The lemma follows immediately from this.  $\square$

*Proof of the Preliminary Theorem.* First, we remark that for an open normal subgroup  $U$  of  $G$ , we have

$$M(\rho)_U \text{ is finite if and only if } M(\rho)_U \otimes_{\mathbb{Z}_p} \mathbb{Q}_p = 0. \tag{2}$$

Since the operation of taking the base extension  $\otimes_{\mathbb{Z}_p} \mathbb{Q}_p$  commutes with the operation of taking the largest  $U$ -coinvariant quotient, by Lemma 1 we have

$$M(\rho)_U \otimes_{\mathbb{Z}_p} \mathbb{Q}_p \cong (\mathbb{Z}_p[G/U] \otimes_{\mathbb{Z}_p} \mathbb{Q}_p)^{\oplus d} / (\mathbb{Z}_p[G/U] \otimes_{\mathbb{Z}_p} \mathbb{Q}_p)^{\oplus d} (\tilde{\gamma}_U^{\oplus d} - A_U(\rho)), \tag{3}$$

where we denote the projection of  $\tilde{\gamma} \in G$  to  $G/U$  by  $\tilde{\gamma}_U$ . Here, the matrix  $A_U(\rho) \in M_d(\mathbb{Z}_p[G/U] \otimes_{\mathbb{Z}_p} \mathbb{Q}_p)$  is defined as the image of  $\rho(\gamma)^{-1} A \in M_d(\mathbb{Z}_p[[H]] \otimes_{\mathbb{Z}_p} \mathbb{Q}_p)$  via the composite map

$$M_d(\mathbb{Z}_p[[H]] \otimes_{\mathbb{Z}_p} \mathbb{Q}_p) \longrightarrow M_d(\mathbb{Z}_p[[G]] \otimes_{\mathbb{Z}_p} \mathbb{Q}_p) \longrightarrow M_d(\mathbb{Z}_p[G/U] \otimes_{\mathbb{Z}_p} \mathbb{Q}_p).$$

Taking the base extension  $\otimes_{\mathbb{Q}_p} \overline{\mathbb{Q}}_p$  of the isomorphism (3), we have a  $\overline{\mathbb{Q}}_p$ -linear isomorphism by Lemma 2:

$$M(\rho)_U \otimes_{\mathbb{Z}_p} \overline{\mathbb{Q}}_p \cong \prod_{i=1}^{k(U)} M_{r_i}(\overline{\mathbb{Q}}_p)^{\oplus d} / M_{r_i}(\overline{\mathbb{Q}}_p)^{\oplus d} (\gamma_{U,i}^{\oplus d} - A_{U,i}(\rho)) \tag{4}$$

where  $\gamma_{U,i} \in \text{Aut}_{\overline{\mathbb{Q}}_p}(M_{r_i}(\overline{\mathbb{Q}}_p))$  and  $A_{U,i}(\rho) \in \text{End}_{\overline{\mathbb{Q}}_p}(M_{r_i}(\overline{\mathbb{Q}}_p)^{\oplus d})$  are defined as follows. We consider the base extension to  $\overline{\mathbb{Q}}_p$  of

$$\tilde{\gamma}_U \in \text{Aut}_{\mathbb{Z}_p[G/U] \otimes_{\mathbb{Z}_p} \mathbb{Q}_p}(\mathbb{Z}_p[G/U] \otimes_{\mathbb{Z}_p} \mathbb{Q}_p) \subset \text{Aut}_{\mathbb{Q}_p}(\mathbb{Z}_p[G/U] \otimes_{\mathbb{Z}_p} \mathbb{Q}_p).$$

This is an element of  $\text{Aut}_{\overline{\mathbb{Q}}_p}(\prod_{i=1}^{k(U)} M_{r_i}(\overline{\mathbb{Q}}_p))$ . We denote the projection of this element to the  $i$ -th component by  $\gamma_{U,i}$ . The base extension to  $\overline{\mathbb{Q}}_p$  of

$$A_U(\rho) \in M_d(\mathbb{Z}_p[G/U] \otimes_{\mathbb{Z}_p} \mathbb{Q}_p) \subset \text{End}_{\mathbb{Q}_p}((\mathbb{Z}_p[G/U] \otimes_{\mathbb{Z}_p} \mathbb{Q}_p)^{\oplus d})$$

is an element of  $\text{End}_{\overline{\mathbb{Q}}_p}(\prod_{i=1}^{k(U)} M_{r_i}(\overline{\mathbb{Q}}_p)^{\oplus d})$ , and we denote the projection of this element to the  $i$ -th component by  $A_{U,i}(\rho)$ .

Now, we denote by  $A_{U,i}$  the element  $A_{U,i}(\mathbf{1})$ . We remark that  $A_{U,i}(\rho)$  is equal to  $\rho(\gamma)^{-1} A_{U,i}$  for any continuous character  $\rho : \Gamma \rightarrow \mathbb{Z}_p^\times$ . We define  $\text{EV}_{U,i}$  to be the set of roots of the characteristic polynomial

$$P_{U,i}(T) := \det(\gamma_{U,i}^{\oplus d} - A_{U,i}T).$$

Since  $\gamma_{U,i}^{\oplus d}$  is an automorphism, the polynomial  $P_{U,i}(T)$  is not zero. Hence  $\text{EV}_{U,i}$  is a finite set. We denote the union of  $\text{EV}_{U,i}$  for  $1 \leq i \leq k(U)$  by  $\text{EV}_U$ , which is again a finite set. If  $\rho(\gamma)^{-1}$  is not contained in  $\text{EV}_U \cap \mathbb{Z}_p^\times$ , the module in (4) is zero and hence the module in (3) is zero. Now, we denote by  $\text{EV}_M$  the union of  $\text{EV}_U \cap \mathbb{Z}_p^\times$  over all  $U \in \mathcal{U}$ . Since  $\mathcal{U}$  is a countable set,  $\text{EV}_M$  is a countable set. Thus  $\mathbb{Z}_p^\times \setminus \text{EV}_M$  is nonempty since  $\mathbb{Z}_p^\times$  is uncountable. By choosing  $\rho(\gamma)^{-1} \in \mathbb{Z}_p^\times \setminus \text{EV}_M$ , we complete the proof. □

## 2. Proof of the Main Theorem

In this section, we prove the Main Theorem, which relies on the following result.

**Key Lemma.** *Let  $G$  be a compact  $p$ -adic Lie group without any element of order  $p$  and let  $H$  be a closed subgroup such that  $G/H$  is isomorphic to  $\Gamma$ . Let  $M$  be  $\Lambda_{\mathcal{O}}(G)$ -module which is finitely generated over  $\Lambda_{\mathcal{O}}(H)$ . Then, there exists an open subgroup  $G_0 \subset G$  containing  $H$ , a  $\Lambda_{\mathcal{O}}(G_0)$ -module  $N$  which is a free  $\Lambda_{\mathcal{O}}(H)$ -module of finite rank, and a surjective  $\Lambda_{\mathcal{O}}(G_0)$ -linear homomorphism  $N \twoheadrightarrow M$ .*

*Proof.* We denote by  $I$  the Jacobson radical of  $\Lambda_{\mathcal{O}}(H)$ . Note that  $I$  is a two sided ideal of  $\Lambda_{\mathcal{O}}(H)$  such that we have  $\Lambda_{\mathcal{O}}(H)/I \cong \mathbb{F}_q$ , where  $\mathbb{F}_q$  is the residue field of  $\mathcal{O}$ . We also have  $\Lambda_{\mathcal{O}}(G)/I \cong \mathbb{F}_q[\Gamma]$  by definition.

Let us take a system of generators  $m_1, \dots, m_d$  of  $M$  as a  $\Lambda_{\mathcal{O}}(H)$ -module. Note that  $M$  is equipped with a topology obtained by a natural  $\Lambda_{\mathcal{O}}(H)$ -module structure. The set  $\{I^n M\}_{n \in \mathbb{N}}$  forms a system of open neighborhoods of  $M$ .



Choose a topological generator  $\gamma$  of  $\Gamma$  and take a lift  $\tilde{\gamma} \in G$  of  $\gamma$ . By continuity of the action of  $G$  on  $M$ , the following two conditions hold true simultaneously for a sufficiently large integer  $n$ :

- (i) We have  $(\tilde{\gamma}^{p^n} - 1)m_i \in IM$  for any  $i$  with  $1 \leq i \leq d$ .
- (ii) The conjugate action of  $\tilde{\gamma}^{p^n}$  on  $I/I^2$  is trivial.

We will choose and fix a natural number  $n$  satisfying the conditions (i) and (ii). Then we define  $G_0$  to be the preimage of  $\Gamma^{p^n}$  by the surjection  $G \twoheadrightarrow \Gamma$ . By definition,  $G_0$  is an open subgroup of  $G$  which contain  $H$ .

Let us consider the set  $\{a_{ij} \in I\}_{1 \leq i, j \leq d}$  such that we have  $(\tilde{\gamma}^{p^n} - 1)m_j = \sum_{i=1}^d a_{ij}m_i$ . We consider  $F$  (resp.  $F'$ ) which is a free  $\Lambda_{\mathcal{O}}(G_0)$ -module of rank  $d$  equipped with a system of generators  $f_1, \dots, f_d$  (resp.  $f'_1, \dots, f'_d$ ). We consider a  $\Lambda_{\mathcal{O}}(G_0)$ -linear homomorphism

$$\varphi : F' \longrightarrow F, \quad f'_j \mapsto (\tilde{\gamma}^{p^n} - 1)f_j - \sum_{i=1}^d a_{ij}f_i.$$

We define a  $\Lambda_{\mathcal{O}}(G_0)$ -module  $N$  to be the cokernel of the map  $\varphi$  above.

**Claim.** *For each  $i$  with  $1 \leq i \leq d$ , we denote the image of  $f_i$  by  $\bar{f}_i$ . Then the  $\Lambda_{\mathcal{O}}(G_0)$ -module  $N$  is a free  $\Lambda_{\mathcal{O}}(H)$ -module of finite rank  $d$  with a system of generators  $\bar{f}_1, \dots, \bar{f}_d$ .*

If the claim holds true, a  $\Lambda_{\mathcal{O}}(G_0)$ -linear homomorphism  $N \twoheadrightarrow M$  sending  $\bar{f}_i$  to  $m_i$  for each  $i$  is surjective. Since  $N$  is free over  $\Lambda_{\mathcal{O}}(H)$ , this is what we want. Thus it remains only to prove the claim.

By applying the functor  $\Lambda_{\mathcal{O}}(G_0)/I\Lambda_{\mathcal{O}}(G_0) \otimes_{\Lambda_{\mathcal{O}}(G_0)} \cdot$  to the map  $\varphi$ , and noting that

$$\Lambda_{\mathcal{O}}(G_0)/I \cong \mathbb{F}_q \llbracket \Gamma \rrbracket,$$

we obtain

$$\varphi_I : \bigoplus_{j=1}^d \mathbb{F}_q \llbracket \Gamma^{p^n} \rrbracket f'_j \xrightarrow{\times(\tilde{\gamma}^{p^n} - 1)} \bigoplus_{j=1}^d \mathbb{F}_q \llbracket \Gamma^{p^n} \rrbracket f_j.$$

Since  $N/IN$  is isomorphic to the cokernel of the above map  $\varphi_I$ ,  $N/IN$  is a free  $\mathbb{F}_q$ -module of rank  $d$ . By applying the topological Nakayama lemma (see [Balister and Howson 1997, Corollary in §3]) to the compact  $\Lambda_{\mathcal{O}}(H)$ -module  $N$ ,  $N$  is generated by  $\bar{f}_1, \dots, \bar{f}_d$  over  $\Lambda_{\mathcal{O}}(H)$ . We will prove that  $N$  is free of rank  $d$  over  $\Lambda_{\mathcal{O}}(H)$  with this system of generators. Let  $r$  be an arbitrary natural number. Since we have a natural surjection from the  $r$ -fold tensor product of  $I/I^2$  to  $I^r/I^{r+1}$ , the conjugate action of  $\tilde{\gamma}^{p^n}$  on  $I^r/I^{r+1}$  is also trivial. Thus, by applying the functor  $I^r/I^{r+1}\Lambda_{\mathcal{O}}(G_0) \otimes_{\Lambda_{\mathcal{O}}(G_0)} \cdot$  to the map  $\varphi$ , we obtain a  $\Lambda_{\mathcal{O}}(G_0)$ -linear map

$$\varphi \otimes I^r/I^{r+1} : I^r F'/I^{r+1} F' \longrightarrow I^r F/I^{r+1} F$$

which is again defined as a multiplication of  $(\tilde{\gamma}^{p^n} - 1)$ . This proves

$$\dim_{\mathbb{F}_q} N/I^s N = \sum_{r=0}^{s-1} \dim_{\mathbb{F}_q} I^r N/I^{r+1} N = \sum_{r=0}^{s-1} \dim_{\mathbb{F}_q} (I^r/I^{r+1})^{\oplus d}.$$

Thus the cardinality of  $N/I^s N$  is equal to the cardinality of  $(\Lambda_{\mathcal{O}}(H)/I)^{\oplus d}$  for any natural number  $s$ , which implies that  $N$  is free of rank  $d$  over  $\Lambda_{\mathcal{O}}(H)$ . This completes the proof of the claim. □

*Proof of Main Theorem.* We will use the Key Lemma and the Preliminary Theorem to prove the Main Theorem in two steps.

First, we consider the situation where  $G$  is a compact  $p$ -adic Lie group without any element of order  $p$  and  $H$  is a closed subgroup such that  $G/H$  is isomorphic to  $\Gamma$ . Thus we dropped the assumption (H) of the Preliminary Theorem but we still keep the assumption of nonexistence of a nontrivial element of order  $p$  in  $G$ .

Let  $M$  be  $\Lambda_{\mathcal{O}}(G)$ -module which is finitely generated over  $\Lambda_{\mathcal{O}}(H)$ . By the Key Lemma, for a sufficiently large natural number  $n$ , we have a surjective  $\Lambda_{\mathcal{O}}(G_0)$ -linear homomorphism  $N \rightarrow M$  from a free  $\Lambda_{\mathcal{O}}(H)$ -module  $N$  of finite rank. Here  $G_0$  is a unique open subgroup  $G_0 \subset G$  of index  $p^n$  containing  $H$ . Note that the module  $N$  satisfies condition (H) of the Preliminary Theorem. We thus find a continuous character  $\rho_0 : \Gamma^{p^n} \rightarrow \mathbb{Z}_p^\times$  such that  $N(\rho_0)_{U_0}$  is finite for any open normal subgroup  $U_0$  of  $G_0$ . By the proof of the Preliminary Theorem, we can choose uncountably many such  $\rho_0$ . Thus, we see that we can take  $\rho_0$  as above so that the value of  $\rho_0$  is contained in an open subgroup  $1 + p^n \mathbb{Z}_p$  of  $\mathbb{Z}_p^\times$ . Then, we take a continuous character  $\rho : \Gamma \rightarrow \mathbb{Z}_p^\times$  whose restriction to  $\Gamma^{p^n}$  coincides with  $\rho_0$ . The twist  $M(\rho)$  with this character is what we want in our Main Theorem. In fact, for any open normal subgroup  $U$  of  $G$ , we have a surjection  $N(\rho_0)_{U_0} \rightarrow M(\rho)_U$  taking an open normal subgroup  $U_0$  of  $G_0$  contained in  $U$ . Since  $N(\rho_0)_{U_0}$  is finite by the Preliminary Theorem,  $M(\rho)_U$  must be finite. Thus we finished the proof of our Main Theorem under the assumption of nonexistence of a nontrivial element of order  $p$  in  $G$ .

Now we deduce our Main Theorem assuming that it is true under the assumption of nonexistence of a nontrivial element of order  $p$  in  $G$ . We consider the situation where  $G$  is a compact  $p$ -adic Lie group with elements of order  $p$  and  $H$  is a closed subgroup such that  $G/H$  is isomorphic to  $\Gamma$ . Let  $M$  be a  $\Lambda_{\mathcal{O}}(G)$ -module which is finitely generated over  $\Lambda_{\mathcal{O}}(H)$ . Let  $G'$  be a uniform open normal subgroup of  $G$  (see [Lazard 1965, Chapter III, §(3.1)]), which is automatically without any elements of order  $p$ . Let  $H'$  be the intersection of  $H$  and  $G'$ . Since  $M$  is finitely generated over  $\Lambda_{\mathcal{O}}(H)$  and since  $H'$  is of finite index in  $H$ ,  $M$  is finitely generated over  $\Lambda_{\mathcal{O}}(H')$ . According to the result in our first step, there exist a continuous character  $\rho' : G'/H' \rightarrow \mathbb{Z}_p^\times$  such that  $M(\rho')_{U'}$  is finite for every open subgroup  $U'$

of  $G'$ . Note that  $G'/H'$  is naturally regarded as an open subgroup of  $G/H$ . Thus by choosing  $\rho'$  so that the image of  $\rho'$  is small enough in  $\mathbb{Z}_p^\times$  compared to the index of  $G'/H'$  in  $G/H$ , there exists a continuous character  $\rho : G/H \rightarrow \mathbb{Z}_p^\times$  whose restriction to  $G'/H'$  coincides with  $\rho'$ . Now for any open normal subgroup  $U$  of  $G$ , we take an open normal subgroup  $U'$  of  $G'$  which is contained in  $U$ . We have a natural map  $M(\rho')_{U'} \rightarrow M(\rho)_U$ , where  $M(\rho')_{U'}$  is finite by the choice of  $\rho'$  and by our discussion above. Unlike in the first step,  $M(\rho')_{U'} \rightarrow M(\rho)_U$  is not necessarily surjective. However, the cokernel of this map is still finite by construction. We thus deduce that  $M(\rho)_U$  is finite, which completes the proof of the Main Theorem.  $\square$

**Remark** ( $p$ -torsion modules). For a compact  $p$ -adic Lie group  $G$  without any element of order  $p$ , it is well-known that  $\Lambda_{\mathcal{O}}(G)$  is left and right noetherian. Let  $N$  be a finitely generated  $p$ -primary torsion left  $\Lambda_{\mathcal{O}}(G)$  module. Then, we have  $N = N[p^r]$  for some  $r \in \mathbb{N}$ . For any open normal subgroup  $U$  of  $G$ ,  $N_U$  is a finitely generated  $\mathbb{Z}/p^r\mathbb{Z}[G/U]$  module. In other words,  $N_U$  is always finite when  $N$  is of  $p$ -primary torsion.

For a finitely generated torsion  $\Lambda_{\mathcal{O}}(G)$  module  $M$ , we consider the exact sequence

$$0 \longrightarrow M(p) \longrightarrow M \longrightarrow M/M(p) \longrightarrow 0,$$

where  $M(p)$  is the largest  $p$ -primary torsion submodule of  $M$ . Then, from the preceding discussion, it is clear that in the situation of the Main Theorem, for any continuous  $\rho : \Gamma \rightarrow \mathbb{Z}_p^\times$  and for any open normal subgroup  $U$  of  $G$ ,  $M(\rho)_U$  is finite if and only if  $((M/M(p))(\rho))_U$  is finite.

In particular, when we want to apply the Main Theorem to arithmetic situations coming from Selmer groups of certain Galois modules  $A$ , we remark that  $S_A^\vee(\rho)_U$  is finite if and only if  $((S_A^\vee/S_A^\vee(p))(\rho))_U$  is finite.

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
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# Algebra & Number Theory

Volume 10    No. 3    2016

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Group schemes and local densities of ramified hermitian lattices in residue characteristic 2: Part I SUNGMUN CHO	451
Presentation of affine Kac–Moody groups over rings DANIEL ALLCOCK	533
Discriminant formulas and applications KENNETH CHAN, ALEXANDER A. YOUNG and JAMES J. ZHANG	557
Regularized theta lifts and $(1,1)$ -currents on $GSpin$ Shimura varieties LUIS E. GARCIA	597
Multiple period integrals and cohomology ROELOF W. BRUGGEMAN and YOUNGJU CHOIE	645
The existential theory of equicharacteristic henselian valued fields SYLVY ANSCOMBE and ARNO FEHM	665
On twists of modules over noncommutative Iwasawa algebras SOMNATH JHA, TADASHI OCHIAI and GERGELY ZÁBRÁDI	685



1937-0652(2016)10:3;1-M