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FOR ENTIRE HYPERSURFACES IN MINKOWSKI SPACE**



# THE PRESCRIBED CURVATURE PROBLEM FOR ENTIRE HYPERSURFACES IN MINKOWSKI SPACE

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We prove three results in this paper: First, we prove, for a wide class of functions  $\varphi \in C^2(\mathbb{S}^{n-1})$  and  $\psi(X, \nu) \in C^2(\mathbb{R}^{n+1} \times \mathbb{H}^n)$ , there exists a unique, entire, strictly convex, spacelike hypersurface  $\mathcal{M}_u$  satisfying  $\sigma_k(\kappa[\mathcal{M}_u]) = \psi(X, \nu)$  and  $u(x) \rightarrow |x| + \varphi(x/|x|)$  as  $|x| \rightarrow \infty$ . Second, when  $k = n-1, n-2$ , we show the existence and uniqueness of an entire,  $k$ -convex, spacelike hypersurface  $\mathcal{M}_u$  satisfying  $\sigma_k(\kappa[\mathcal{M}_u]) = \psi(x, u(x))$  and  $u(x) \rightarrow |x| + \varphi(x/|x|)$  as  $|x| \rightarrow \infty$ . Last, we obtain the existence and uniqueness of entire, strictly convex, downward translating solitons  $\mathcal{M}_u$  with prescribed asymptotic behavior at infinity for  $\sigma_k$  curvature flow equations. Moreover, we prove that the downward translating solitons  $\mathcal{M}_u$  have bounded principal curvatures.

## 1. Introduction

Let  $\mathbb{R}^{n,1}$  be the Minkowski space with the Lorentzian metric

$$ds^2 = \sum_{i=1}^n dx_i^2 - dx_{n+1}^2.$$

In this paper, we will devote ourselves to the study of spacelike hypersurfaces with prescribed  $\sigma_k$  curvature in Minkowski space  $\mathbb{R}^{n,1}$ . Here,  $\sigma_k$  is the  $k$ -th elementary symmetric polynomial, i.e.,

$$\sigma_k(\kappa) = \sum_{1 \leq i_1 < \dots < i_k \leq n} \kappa_{i_1} \cdots \kappa_{i_k}.$$

Any such hypersurface  $\mathcal{M}$  can be written locally as a graph of a function  $x_{n+1} = u(x)$ ,  $x \in \mathbb{R}^n$ , satisfying the spacelike condition

$$|Du| < 1. \tag{1-1}$$

More precisely, we focus on the equation

$$\sigma_k(\kappa[\mathcal{M}_u]) = \psi(X, \nu), \tag{1-2}$$

where  $X = (x, u(x))$  is the position vector of  $\mathcal{M}_u = \{(x, u(x)) \mid x \in \mathbb{R}^n\}$ ,  $\nu = (Du, 1)/\sqrt{1 - |Du|^2}$  is the future-directed unit normal lying on the hyperboloid  $\mathbb{H}^n$ , and  $\kappa[\mathcal{M}_u] = (\kappa_1, \dots, \kappa_n)$  is the set of principal curvatures of  $\mathcal{M}_u$ . Thus (1-2) can be rewritten as

$$\sigma_k(\kappa[\mathcal{M}_u]) = \psi(x, u(x), Du). \tag{1-3}$$

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Notice that the functions  $\psi$  in the right-hand sides of (1-2) and (1-3) are different. Slightly extending the notation, we use the same symbol here.

The classical Minkowski problem asks for the construction of a strictly convex compact surface  $\Sigma$  whose Gaussian curvature is a given positive function  $f(\nu(X))$ , where  $\nu(X)$  denotes the normal to  $\Sigma$  at  $X$ . This problem has been discussed by Nirenberg [1953], Pogorelov [1978], and Cheng and Yau [1976]. The general problem of finding strictly convex hypersurfaces with prescribed surface area measures is called the Christoffel–Minkowski problem. This type of problem can be reduced to a fully nonlinear equation of the form (1-2). It may be traced back to Aleksandrov [1942], who established the problem of prescribing zeroth curvature measure. The prescribed curvature measure problem in convex geometry has been extensively studied by Aleksandrov [1956], Pogorelov [1953], Guan, Lin, and Ma [Guan et al. 2009], and Guan, Li, and Li [Guan et al. 2012]. A more general form of the prescribed curvature measure problem can be expressed as (1-3). In particular, Guan, Ren, and Wang [Guan et al. 2015] solved this problem in Euclidean space for convex hypersurfaces. Other related studies and references about the Minkowski problem may be found in [Bakelman and Kantor 1974; Caffarelli et al. 1986; 1988; Guan and Guan 2002; Oliker 1984; Treibergs and Wei 1983].

In Minkowski space, there have been fruitful results on the prescribed curvature problem for spacelike entire hypersurfaces. In [Treibergs 1982] and [Choi and Treibergs 1990], the authors obtained the existence of entire hypersurfaces with constant mean curvature. Li [1995] then extended [Treibergs 1982] and proved the existence of constant Gauss curvature hypersurfaces with Gauss image a unit ball. The existence of constant Gauss curvature hypersurfaces with Gauss image the convex hull in  $B_1$  of an arbitrary closed set  $\mathcal{F} \subset \mathbb{S}^{n-1}$  was proved by Guan, Jian, and Schoen [Guan et al. 2006a] and Bayard and Schnürer [2009]. Later, [Bayard 2006] and [Bayard and Delanoë 2009] considered the prescribed scalar curvature problem for entire, spacelike hypersurfaces under different settings. More recently, the second and third authors showed the existence of entire, spacelike, constant  $\sigma_k$  curvature hypersurfaces in [Wang and Xiao 2022].

Our goal here is to construct entire, spacelike hypersurfaces satisfying (1-2) in Minkowski space. The main results of this paper follow.

The first result is to construct entire, strictly convex, spacelike hypersurfaces satisfying (1-2).

**Theorem 1.** *Suppose  $\varphi$  is a  $C^2$  function defined on  $\mathbb{S}^{n-1}$ , i.e.,  $\varphi \in C^2(\mathbb{S}^{n-1})$ ,  $\psi(X, \nu) \in C^2(\mathbb{R}^{n+1} \times \mathbb{H}^n)$  is a positive function, and  $c_1 \geq \psi(X, \nu) \geq c_2$  for some positive constants  $c_1, c_2$ . We further assume that  $\psi_{x_{n+1}} \geq 0$  (or  $\psi_u \geq 0$ ). If either  $\psi^{-1/k}(X, \nu)$  is locally strictly convex with respect to  $X$  for any  $\nu$  or  $\psi$  only depends on  $\nu$ , then there exists a unique, entire, strictly convex, spacelike hypersurface  $\mathcal{M}_u = \{(x, u(x)) \mid x \in \mathbb{R}^n\}$  satisfying (1-2). Moreover, as  $|x| \rightarrow \infty$ ,*

$$u(x) \rightarrow |x| + \varphi\left(\frac{x}{|x|}\right). \quad (1-4)$$

**Remark 2.** Indeed, from the proof of the  $C^2$  global estimate Lemma 10, we can see that the assumption that  $\psi(X, \nu)$  does not depend on  $X$  can be replaced by a weaker assumption; that is,  $\psi^{-1/k}(X, \nu)$  is convex with respect to  $X$ , and the corresponding form  $\psi(x, u, Du)$  does not depend on  $|x|$ .

**Remark 3.** In the proof, we only can see that the hypersurface  $\mathcal{M}_u$  we constructed is convex. In order to say it's strictly convex, we need to apply the constant rank theorem (see [Guan et al. 2006b, Theorem 1.2; Wang and Xiao 2022, Theorem 27]) and the splitting theorem (see [Wang and Xiao 2022, Theorem 28]) to obtain that, if  $\mathcal{M}_u$  has a degenerate point in the interior, then  $\mathcal{M}_u = \mathcal{M}^l \times \mathbb{R}^{n-l}$ , where  $\mathcal{M}^l \subset \mathbb{R}^{l,1}$  is a strictly convex, spacelike hypersurface. This contradicts (1-4).

Before stating our second result, we need the following definition.

**Definition 4.** A  $C^2$  regular hypersurface  $\mathcal{M} \subset \mathbb{R}^{n,1}$  is  $k$ -convex if the principal curvatures of  $\mathcal{M}$  at  $X \in \mathcal{M}$  satisfy  $\kappa[X] \in \Gamma_k$  for all  $X \in \mathcal{M}$ , where  $\Gamma_k$  is the Gårding cone

$$\Gamma_k = \{\kappa \in \mathbb{R}^n \mid \sigma_m(\kappa) > 0, m = 1, \dots, k\}.$$

Using the newly developed methods in [Ren and Wang 2019; 2023], we are able to generalize results in [Bayard 2006] to prove the following.

**Theorem 5.** Suppose  $\varphi$  is some  $C^2$  function defined on  $\mathbb{S}^{n-1}$  and  $\psi(x, u(x)) \in C^2(\mathbb{R}^{n+1})$  is a positive function satisfying  $c_1 \geq \psi(x, u(x)) \geq c_2$  for  $c_1, c_2 > 0$ . We further assume that  $k = n-1, n-2$  and  $\psi_u \geq 0$ . Then there exists a unique,  $k$ -convex, spacelike hypersurface  $\mathcal{M}_u = \{(x, u(x)) \mid x \in \mathbb{R}^n\}$  satisfying

$$\sigma_k(\kappa[\mathcal{M}_u]) = \psi(x, u(x)). \quad (1-5)$$

Moreover, as  $|x| \rightarrow \infty$ ,

$$u(x) \rightarrow |x| + \varphi\left(\frac{x}{|x|}\right). \quad (1-6)$$

**Remark 6.** Notice that unlike in the strictly convex case (Theorem 1), in this theorem, we only prove the existence result for the case when  $\psi$  depends on  $x$  and  $u(x)$  ( $\psi$  is independent of  $Du$ ). This is because the proofs of Lemma 12 ( $C^2$  boundary estimates for  $k$ -convex hypersurfaces) and Lemma 15 ( $C^1$  local estimates for  $k$ -convex hypersurfaces) crucially rely on the fact that  $\psi$  is independent of  $Du$ .

Now, let's consider the  $\sigma_k$  curvature flow with a forcing term in Minkowski space:

$$\frac{dX}{dt} = -\left(\mathcal{C} - \frac{\sigma_k^{1/k}(\kappa[\mathcal{M}_u])}{\binom{n}{k}^{1/k}}\right)v, \quad (1-7)$$

where  $\kappa[\mathcal{M}_u] \in \Gamma_k$ . This can be rewritten as the equation for the height function  $u$ :

$$\frac{u_t}{\sqrt{1-|Du|^2}} = \frac{\sigma_k^{1/k}(\kappa[\mathcal{M}_u])}{\binom{n}{k}^{1/k}} - \mathcal{C}. \quad (1-8)$$

The downward translating soliton to (1-8) is of the form

$$u(x, t) = u(x) - t, \quad (1-9)$$

where  $u(x)$  satisfies

$$\left(\frac{\sigma_k}{\binom{n}{k}}\right)^{1/k}(\kappa[\mathcal{M}_u]) = \mathcal{C} - \frac{1}{\sqrt{1-|Du|^2}}. \quad (1-10)$$

Equation (1-10) can be viewed as the “degenerate” type of (1-2). In this case, we prove the following.

**Theorem 7.** *Suppose  $\varphi$  is a  $C^2$  function defined on  $\mathbb{S}_{\tilde{c}}^{n-1} := \{x \in \mathbb{R}^n \mid |x| = \tilde{c}\}$ , where  $\tilde{c} = \sqrt{1 - (1/C)^2}$  and  $C > 1$  is a constant. There exists a unique, strictly convex solution  $u : \mathbb{R}^n \rightarrow \mathbb{R}$  of (1-10) such that, as  $|x| \rightarrow \infty$ ,*

$$u(x) \rightarrow \tilde{c}|x| - \frac{1}{C^2} \sqrt[k]{\frac{n-k}{n}} \log |x| + \varphi\left(\tilde{c} \frac{x}{|x|}\right). \quad (1-11)$$

Moreover,  $\mathcal{M}_u = \{(x, u(x)) \mid x \in \mathbb{R}^n\}$  has bounded principal curvatures.

When  $k = 1$ , (1-10) has been studied in [Ju et al. 2010; Spruck and Xiao 2016]; when  $k = 2$ , (1-10) has been studied in [Bayard 2023].

**Remark 8.** Under our assumptions on  $\psi$ , we can see that the linearized operators of (1-2), (1-5), and (1-10) satisfy the maximum principle. Therefore, the uniqueness properties in Theorem 1, 5, and 7 follow from the maximum principle directly.

The rest of this paper is organized as follows. In Section 2, we introduce some basic formulas and notation. The solvability of (1-2) and (1-5) on a bounded domain (Dirichlet problem) is discussed in Section 3. We prove the local  $C^1$  and  $C^2$  estimates for solutions of (1-2) and (1-5) in Section 4. This leads to the completion of the proof of our first two main results, Theorems 1 and 5, in Section 5. Section 6 and Section 7 are devoted to Theorem 7. In particular, in Section 6, we study the radially symmetric solution to (1-10), this solution will be used to construct barrier functions in Section 7. We finish the proof of Theorem 7 in Section 7.

## 2. Preliminaries

In this paper, we will follow notation in [Wang and Xiao 2022]. For the readers convenience, we will include some basic notation and formulas in this section. For more details, one can refer to [Choi and Treibergs 1990; Li 1995]. Readers who are already familiar with calculations in Minkowski space can skip this section.

We first recall that the Minkowski space  $\mathbb{R}^{n,1}$  is  $\mathbb{R}^{n+1}$  endowed with the Lorentzian metric

$$ds^2 = dx_1^2 + \cdots + dx_n^2 - dx_{n+1}^2.$$

Throughout this paper,  $\langle \cdot, \cdot \rangle$  denotes the inner product in  $\mathbb{R}^{n,1}$ .

**2.1. Vertical graphs in  $\mathbb{R}^{n,1}$ .** A spacelike hypersurface  $\mathcal{M}$  in  $\mathbb{R}^{n,1}$  is a codimension 1 submanifold whose induced metric is Riemannian. Locally,  $\mathcal{M}$  can be written as the graph of a function, i.e.,

$$\mathcal{M}_u = \{X = (x, u(x)) \mid x \in \mathbb{R}^n\},$$

satisfying the spacelike condition (1-1). We let  $E = (0, \dots, 0, 1)$ . Then the height function of  $\mathcal{M}$  is  $u(x) = -\langle X, E \rangle$ . It's easy to see that the induced metric and second fundamental form of  $\mathcal{M}$  are given by

$$g_{ij} = \delta_{ij} - D_{x_i} u D_{x_j} u, \quad 1 \leq i, j \leq n,$$

and

$$h_{ij} = \frac{u_{x_i x_j}}{\sqrt{1 - |Du|^2}},$$

respectively, while the timelike unit normal vector field to  $\mathcal{M}$  is

$$v = \frac{(Du, 1)}{\sqrt{1 - |Du|^2}},$$

where  $Du = (u_{x_1}, \dots, u_{x_n})$  and  $D^2u = (u_{x_i x_j})$  denote the ordinary gradient and Hessian, respectively, of  $u$ . By a straightforward calculation, we have that the principle curvatures of  $\mathcal{M}$  are eigenvalues of the symmetric matrix  $A = (a_{ij})$  given by

$$a_{ij} = \frac{1}{w} \gamma^{ik} u_{kl} \gamma^{lj},$$

where  $\gamma^{ik} = \delta_{ik} + u_i u_k / (w(1+w))$  and  $w = \sqrt{1 - |Du|^2}$ . Note that  $(\gamma^{ij})$  is invertible with inverse  $(\gamma_{ij}) = \delta_{ij} - u_i u_j / (1+w)$ , which is the square root of  $(g_{ij})$ .

Let  $\mathcal{S}$  be the vector of  $n \times n$  symmetric matrices and

$$\mathcal{S}_k = \{A \in \mathcal{S} \mid \lambda(A) \in \Gamma_k\},$$

where  $\lambda(A) = (\lambda_1, \dots, \lambda_n)$  is the set of eigenvalues of  $A$ . Define a function  $F$  by

$$F(A) = \sigma_k(\lambda(A)), \quad A \in \mathcal{S}_k.$$

Then (1-3) can be written as

$$F\left(\frac{1}{w} \gamma^{ik} u_{kl} \gamma^{lj}\right) = \psi(x, u(x), Du). \quad (2-1)$$

Throughout this paper, we write

$$F^{ij}(A) = \frac{\partial F}{\partial a_{ij}}(A) \quad \text{and} \quad F^{ij,kl} = \frac{\partial^2 F}{\partial a_{ij} \partial a_{kl}}.$$

Now, let  $\{\tau_1, \tau_2, \dots, \tau_n\}$  be a local orthonormal frame on  $T\mathcal{M}$ . We will use  $\nabla$  to denote the induced Levi-Civita connection on  $\mathcal{M}$ . For a function  $v$  on  $\mathcal{M}$ , we write  $v_i = \nabla_{\tau_i} v$ ,  $v_{ij} = \nabla_{\tau_i} \nabla_{\tau_j} v$ , etc. In particular, we have

$$|\nabla u| = \sqrt{g^{ij} u_{x_i} u_{x_j}} = \frac{|Du|}{\sqrt{1 - |Du|^2}}.$$

Using normal coordinates, we also need the following well-known fundamental equations for a hypersurface  $\mathcal{M}$  in  $\mathbb{R}^{n,1}$ :

$$\begin{aligned} X_{ij} &= h_{ij} v && \text{(Gauss formula),} \\ (v)_i &= h_{ij} \tau_j && \text{(Weigarten formula),} \\ h_{ijk} &= h_{ikj} && \text{(Codazzi equation),} \\ R_{ijkl} &= -(h_{ik} h_{jl} - h_{il} h_{jk}) && \text{(Gauss equation),} \end{aligned} \quad (2-2)$$

and the Ricci identity

$$h_{ijkl} = h_{ijlk} + h_{mj} R_{imlk} + h_{im} R_{jmkl} = h_{klij} - (h_{mj} h_{il} - h_{ml} h_{ij}) h_{mk} - (h_{mj} h_{kl} - h_{ml} h_{kj}) h_{mi}. \quad (2-3)$$

**2.2. The Gauss map.** Let  $\mathcal{M}$  be an entire, strictly convex, spacelike hypersurface, and let  $\nu(X)$  be the timelike unit normal vector to  $\mathcal{M}$  at  $X$ . It's well known that the hyperbolic space  $\mathbb{H}^n(-1)$  is canonically embedded in  $\mathbb{R}^{n,1}$  as the hypersurface

$$\langle X, X \rangle = -1, \quad x_{n+1} > 0.$$

By translation parallel to the origin, we can regard  $\nu(X)$  as a point in  $\mathbb{H}^n(-1)$ . In this way, we define the Gauss map

$$G : \mathcal{M} \rightarrow \mathbb{H}^n(-1), \quad X \mapsto \nu(X).$$

Next, let's consider the support function of  $\mathcal{M}$ . We write

$$v := \langle X, \nu \rangle = \frac{1}{\sqrt{1 - |Du|^2}} \left( \sum_i x_i \frac{\partial u}{\partial x_i} - u \right).$$

Let  $\{e_1, \dots, e_n\}$  be an orthonormal frame on  $\mathbb{H}^n$ . We will also write  $\{e_1^*, \dots, e_n^*\}$  for the pull-back of  $e_i$  by the Gauss map  $G$ . Similarly to the convex geometry case, we write

$$\Lambda_{ij} = v_{ij} - v\delta_{ij},$$

which is the hyperbolic Hessian. Here the  $v_{ij}$  denote the covariant derivatives with respect to the hyperbolic metric.

Let  $\bar{\nabla}$  be the connection of the ambient space. Then we have

$$X = \sum_i v_i e_i - v\nu$$

and

$$\bar{\nabla}_{e_j^*} X = \sum_k (e_j(v_k) e_k + v_k \bar{\nabla}_{e_j} e_k) - v_j \nu - v \bar{\nabla}_{e_j} \nu = \sum_k \Lambda_{kj} e_k.$$

Note also that

$$g_{ij} = \langle \bar{\nabla}_{e_i^*} X, \bar{\nabla}_{e_j^*} X \rangle = \sum_k \Lambda_{ik} \Lambda_{kj} \quad (2-4)$$

and

$$h_{ij} = \langle \bar{\nabla}_{e_i^*} X, \bar{\nabla}_{e_j} \nu \rangle = \Lambda_{ij}. \quad (2-5)$$

This implies that the eigenvalues of the hyperbolic Hessian are equal to the curvature radius of  $\mathcal{M}$ . Therefore, (1-2) can be written as

$$F(v_{ij} - v\delta_{ij}) = \frac{1}{\psi(X, \nu)}, \quad (2-6)$$

where  $F(A) = (\sigma_n / \sigma_{n-k})(\lambda(A))$ . Moreover, it is clear that

$$(\bar{\nabla}_{e_j} \bar{\nabla}_{e_i} \nu)^\perp = \delta_{ij} \nu, \quad (2-7)$$

which yields, for  $k = 1, 2, \dots, n+1$ ,

$$\nabla_{e_j} \nabla_{e_i} x_k = x_k \delta_{ij}, \quad (2-8)$$

where  $x_k$  is the coordinate function.

**2.3. Legendre transform.** Suppose  $\mathcal{M}$  is an entire, strictly convex, spacelike hypersurface. Then  $\mathcal{M}$  is the graph of a convex function

$$x_{n+1} = -\langle X, E \rangle = u(x_1, \dots, x_n),$$

where  $E = (0, \dots, 0, 1)$ . We introduce the Legendre transform

$$\xi_i = \frac{\partial u}{\partial x_i}, \quad u^* = \sum x_i \xi_i - u.$$

Next, we calculate the first and second fundamental forms in terms of  $\xi_i$ . Since it is well known that

$$\left( \frac{\partial^2 u}{\partial x_i \partial x_j} \right) = \left( \frac{\partial^2 u^*}{\partial \xi_i \partial \xi_j} \right)^{-1},$$

we have that the first and the second fundamental forms can be rewritten as

$$g_{ij} = \delta_{ij} - \xi_i \xi_j \quad \text{and} \quad h_{ij} = \frac{u^{*ij}}{\sqrt{1 - |\xi|^2}},$$

where  $(u^{*ij})$  denotes the inverse matrix of  $(u_{ij}^*)$  and  $|\xi|^2 = \sum_i \xi_i^2$ . Now, let  $W$  be the Weingarten matrix of  $\mathcal{M}$ . Then

$$(W^{-1})_{ij} = \sqrt{1 - |\xi|^2} g_{ik} u_{kj}^*.$$

From the discussion above, we can see that if  $\mathcal{M}_u = \{(x, u(x)) \mid x \in \mathbb{R}^n\}$  is an entire, strictly convex, spacelike hypersurface satisfying  $\sigma_k(\kappa[\mathcal{M}]) = \psi$ , then the Legendre transform of  $u$ , denoted by  $u^*$ , satisfies

$$F(w^* \gamma_{ik}^* u_{kl}^* \gamma_{lj}^*) = \frac{\sigma_n}{\sigma_{n-k}} (\kappa^*[w^* \gamma_{ik}^* u_{kl}^* \gamma_{lj}^*]) = \frac{1}{\psi}. \quad (2-9)$$

Here,  $w^* = \sqrt{1 - |\xi|^2}$ , and  $(\gamma_{ij}^*) = \delta_{ij} - \xi_i \xi_j / (1 + w^*)$  is the square root of the matrix  $(g_{ij})$ .

### 3. The Dirichlet problem

We will divide this section into two subsections. In the first subsection, we only consider the convex solution to (1-2). In the second subsection, we restrict ourselves to the cases when  $k = n - 1$  ( $n \geq 3$ ),  $n - 2$  ( $n \geq 5$ ), and we will consider the  $k$ -convex, spacelike solution to (1-5). When  $k = 2$ , this problem has been studied in [Bayard 2003; Urbas 2003].

**3.1. Dirichlet problem for  $1 \leq k \leq n$ .** Recall that in [Wang and Xiao 2022] we proved the following:

**Lemma 9.** Let  $\mathcal{F} \subset \mathbb{S}^{n-1}$ ,  $\tilde{F} = \text{Conv}(\mathcal{F})$ , and  $u^*$  be a solution of

$$\begin{cases} \hat{F}(w^* \gamma_{ik}^* u_{kl}^* \gamma_{lj}^*) = \binom{n}{k}^{-1/k} & \text{in } \tilde{F}, \\ u^* = \varphi & \text{on } \partial \tilde{F}, \end{cases} \quad (3-1)$$

where  $\hat{F}(w^* \gamma_{ik}^* u_{kl}^* \gamma_{lj}^*) = (\sigma_n / \sigma_{n-k})^{1/k} (\kappa^*[w^* \gamma_{ik}^* u_{kl}^* \gamma_{lj}^*])$ . Then the Legendre transform of  $u^*$ , denoted by  $u$ , satisfies, when  $x/|x| \in \mathcal{F}$ ,

$$u(x) - |x| \rightarrow -\varphi\left(\frac{x}{|x|}\right) \quad \text{uniformly as } |x| \rightarrow \infty. \quad (3-2)$$



Notice that the proof of the above lemma is independent of the equation that the function  $u^*$  satisfies. Therefore, adapting the above lemma to the settings in this paper, this lemma tells us that if a strictly convex function  $u^* : B_1 \rightarrow \mathbb{R}$  satisfies  $u^*(\xi) = -\varphi(\xi)$  for  $\xi \in \partial B_1$ , then the Legendre transform of  $u^*$ , denoted by  $u$ , satisfies  $u(x) \rightarrow |x| + \varphi(x/|x|)$  as  $|x| \rightarrow \infty$ . Moreover, by [Wang and Xiao 2022, Theorem 4], there exist two solutions  $\underline{u}$  and  $\bar{u}$  such that

$$\sigma_k(\kappa[\mathcal{M}_{\underline{u}}]) = c_1, \quad \sigma_k(\kappa[\mathcal{M}_{\bar{u}}]) = c_2,$$

and, as  $|x| \rightarrow \infty$ ,

$$\underline{u}(x) - |x|, \quad \bar{u}(x) - |x| \rightarrow \varphi\left(\frac{x}{|x|}\right).$$

Here, the constants  $c_1, c_2$  are the same as those in Theorem 1. Throughout this paper, we will denote the Legendre transforms of  $\underline{u}$  and  $\bar{u}$  by  $\underline{u}^*$  and  $\bar{u}^*$ , respectively. It's easy to see that  $\underline{u}^*$  and  $\bar{u}^*$  are the super- and subsolutions of (2-9).

Combining the discussions above with Section 2, we conclude that in order to find an entire, strictly convex solution  $u$  of (1-3), we only need to solve the equation

$$\begin{cases} F(w^* \gamma_{ik}^* u_{kl}^* \gamma_{lj}^*) = \psi^* & \text{in } B_1, \\ u^* = -\varphi & \text{on } \partial B_1, \end{cases} \quad (3-3)$$

where

$$\psi^*(\xi, u^*, Du^*) = \frac{1}{\psi(x, u, Du)} = \frac{1}{\psi(Du^*, \xi \cdot Du^* - u^*, \xi)}$$

and

$$F(w^* \gamma_{ik}^* u_{kl}^* \gamma_{lj}^*) = \frac{\sigma_n}{\sigma_{n-k}}(\kappa^*[w^* \gamma_{ik}^* u_{kl}^* \gamma_{lj}^*]).$$

Note that, by our assumption in Theorem 1, we have

$$\psi_{u^*}^* = \frac{\psi_u}{\psi^2} \geq 0. \quad (3-4)$$

Thus, (3-3) possesses the maximum principle.

Notice that (3-3) is degenerate on  $\partial B_1$ . Therefore, we will consider the approximate equation

$$\begin{cases} F(w^* \gamma_{ik}^* u_{kl}^* \gamma_{lj}^*) = \psi^* & \text{in } B_r, \\ u^* = \underline{u}^* & \text{on } \partial B_r, \end{cases} \quad (3-5)$$

where  $0 < r < 1$ .

By the continuity method, we know that, if we can obtain a priori estimates up to the second order, then we can show (3-5) has a unique, strictly convex solution  $u^{r*}$ . In view of the super- and subsolutions  $\underline{u}^*$  and  $\bar{u}^*$ , the  $C^0$  estimates are easy to obtain. The  $C^1$  estimates can be derived by following the argument in Section 9.2 of [Ren et al. 2020]. The  $C^2$  estimate on the boundary can be derived from Lemma 27 in [Ren et al. 2020] and the argument of Bo Guan [Guan 1999]. In the following, we only need to consider the global  $C^2$  estimate.

Let  $\mathcal{M}_u = \{(x, u(x)) \mid x \in \mathbb{R}^n\}$  be a strictly convex, spacelike hypersurface,  $v = \langle X, \nu \rangle$  be the support function of  $\mathcal{M}_u$ , and  $u^*$  be the Legendre transform of  $u$ . From Sections 2.2 and 2.3, we know that  $\lambda[v_{ij} - \nu \delta_{ij}] = \kappa^*[w^* \gamma_{ik}^* u_{kl}^* \gamma_{lj}^*]$ . Therefore, studying the global  $C^2$  estimate of (3-5) is equivalent to studying the global  $C^2$  estimate of (2-6).

For our convenience, we will consider the equation

$$\hat{F}(\Lambda) = \left( \frac{\sigma_n}{\sigma_{n-k}} \right)^{1/k} (\Lambda) = \tilde{\psi}, \quad (3-6)$$

where  $\Lambda = (\Lambda_{ij}) = (v_{ij} - v\delta_{ij})$ ,  $\tilde{\psi} = \psi^{-1/k}(X, v)$ , and the  $v_{ij}$  are the covariant derivatives with respect to the hyperbolic metric.

We will write  $\lambda[\Lambda] = (\lambda_1, \lambda_2, \dots, \lambda_n)$  for the set of eigenvalues of the matrix  $\Lambda$ . We define the Riemann curvature tensor

$$R(X, Y) = \nabla_X \nabla_Y - \nabla_Y \nabla_X - \nabla_{[X, Y]}.$$

Let  $\{e_1, e_2, \dots, e_n\}$  be an orthonormal frame on  $\mathbb{H}^n$ ; we use the notation

$$R_{ijkl} = R(e_i, e_j)e_k \cdot e_l \quad \text{and} \quad R_{ijk}^l = g^{lp} R_{ijkp}.$$

Then the commutation formulas are

$$v_{ijk} - v_{ikj} = R_{jki}^l v_l \quad \text{and} \quad v_{ijkl} - v_{ijlk} = R_{kli}^m v_{jm} + R_{klj}^m v_{im}.$$

Note that, in hyperbolic space, we have

$$R_{ijkl} = g_{ik}g_{jl} - g_{il}g_{jk}.$$

Therefore, given an orthonormal frame on  $\mathbb{H}^n$ , we obtain the geometric formulas

$$\Lambda_{ijk} = \Lambda_{ikj} \quad \text{and} \quad \Lambda_{lkji} - \Lambda_{lkij} = v_{lkj} - v_{lkij} = -v_{lj}\delta_{ik} + v_{li}\delta_{jk} - v_{jk}\delta_{il} + v_{ik}\delta_{jl}. \quad (3-7)$$

**Lemma 10.** *Let  $v$  be the solution of (3-6) in a bounded domain  $U \subset \mathbb{H}^n$ . Denote the set of eigenvalues of  $(v_{ij} - v\delta_{ij})$  by  $\lambda[v_{ij} - v\delta_{ij}] = (\lambda_1, \dots, \lambda_n)$ . Then*

$$\lambda_{\max} \leq \max\{C, \lambda|_{\partial U}\},$$

where  $\lambda_{\max} = \max\{\lambda_1, \dots, \lambda_n\}$  and  $C$  is a positive constant only depending on  $U$  and  $\tilde{\psi}$ .

*Proof.* Set

$$M = \max_{P \in \bar{U}} \max_{\substack{|\xi|=1 \\ \xi \in T_P \mathbb{H}^n}} (\log \Lambda_{\xi\xi} + Nx_{n+1}),$$

where  $x_{n+1}$  is the coordinate function. Without loss of generality, we assume  $M$  is achieved at an interior point  $P_0 \in U$  for some direction  $\xi_0$ . Chose an orthonormal frame  $\{e_1, \dots, e_n\}$  around  $P_0$  such that  $e_1(P_0) = \xi_0$  and  $\Lambda_{ij}(P_0) = \lambda_i \delta_{ij}$ .

Now, let's consider the test function

$$\phi = \log \Lambda_{11} + Nx_{n+1}.$$

At its maximum point  $P_0$ , we have

$$0 = \phi_i = \frac{\Lambda_{11i}}{\Lambda_{11}} + N(x_{n+1})_i, \quad (3-8)$$

$$0 \geq \phi_{ii} = \frac{\Lambda_{11ii}}{\Lambda_{11}} - \frac{\Lambda_{11i}^2}{\Lambda_{11}^2} + N(x_{n+1})_{ii}. \quad (3-9)$$

Note that  $(x_{n+1})_{ij} = x_{n+1}\delta_{ij}$ ; thus

$$\hat{F}^{ii}\phi_{ii} = \frac{\hat{F}^{ii}\Lambda_{11ii}}{\Lambda_{11}} - \frac{\hat{F}^{ii}\Lambda_{11i}^2}{\Lambda_{11}^2} + Nx_{n+1} \sum_i \hat{F}^{ii}. \quad (3-10)$$

In view of (3-7),

$$\Lambda_{11ii} = \Lambda_{i11i} = \Lambda_{i1i1} + v_{ii} - v_{11} = \Lambda_{ii11} + \Lambda_{ii} - \Lambda_{11}.$$

This yields

$$\hat{F}^{ii}\Lambda_{11ii} = \hat{F}^{ii}\Lambda_{ii11} + \hat{F}^{ii}\Lambda_{ii} - \Lambda_{11} \sum_i \hat{F}^{ii}. \quad (3-11)$$

Differentiating (3-6) twice, we obtain

$$\hat{F}^{ii}\Lambda_{ii11} = -\hat{F}^{pq,rs}\Lambda_{pq1}\Lambda_{rs1} + \tilde{\psi}_{11} = -\hat{F}^{pp,qq}\Lambda_{pp1}\Lambda_{qq1} - \sum_{p \neq q} \frac{\hat{F}^{pp} - \hat{F}^{qq}}{\lambda_p - \lambda_q} \Lambda_{pq1}^2 + \tilde{\psi}_{11}. \quad (3-12)$$

By the concavity of  $(\sigma_n/\sigma_{n-k})^{1/k}$ , we can see that the first term on the right-hand side is nonnegative. Combining (3-10)–(3-12), we have

$$\begin{aligned} \hat{F}^{ii}\phi_{ii} &\geq \frac{\tilde{\psi}_{11}}{\Lambda_{11}} - \frac{1}{\Lambda_{11}} \sum_{p \neq q} \frac{\hat{F}^{pp} - \hat{F}^{qq}}{\lambda_p - \lambda_q} \Lambda_{pq1}^2 - \frac{\hat{F}^{ii}\Lambda_{11i}^2}{\Lambda_{11}^2} + (Nx_{n+1} - 1) \sum_i \hat{F}^{ii} \\ &\geq \frac{\tilde{\psi}_{11}}{\Lambda_{11}} + \frac{1}{\Lambda_{11}} \sum_{i \neq 1} \frac{\hat{F}^{ii} - \hat{F}^{11}}{\lambda_1 - \lambda_i} \Lambda_{11i}^2 - \frac{\hat{F}^{ii}\Lambda_{11i}^2}{\Lambda_{11}^2} + (Nx_{n+1} - 1) \sum_i \hat{F}^{ii}. \end{aligned} \quad (3-13)$$

We need an explicit expression of  $\hat{F}^{ii}$ . A straightforward calculation gives

$$k\hat{F}^{k-1}\hat{F}^{ii} = \frac{\sigma_n^{ii}\sigma_{n-k} - \sigma_n\sigma_{n-k}^{ii}}{\sigma_{n-k}^2}, \quad (3-14)$$

where  $\sigma_l^{ii} = \partial\sigma_l/\partial\lambda_i$  for  $1 \leq l \leq n$ . We find that

$$\begin{aligned} \sigma_n^{ii}\sigma_{n-k} - \sigma_n\sigma_{n-k}^{ii} &= \sigma_{n-1}(\lambda|i)(\lambda_i\sigma_{n-k-1}(\lambda|i) + \sigma_{n-k}(\lambda|i)) - \lambda_i\sigma_{n-1}(\lambda|i)\sigma_{n-k-1}(\lambda|i) \\ &= \sigma_{n-1}(\lambda|i)\sigma_{n-k}(\lambda|i). \end{aligned}$$

Here and in the following,  $\sigma_l(\lambda|a)$  and  $\sigma_l(\lambda|ab)$  are the  $l$ -th elementary symmetric polynomials of  $\lambda_1, \dots, \lambda_n$  with  $\lambda_a = 0$  and  $\lambda_a = \lambda_b = 0$ , respectively. It follows that

$$k\hat{F}^{k-1}\hat{F}^{ii} = \frac{\sigma_{n-1}(\lambda|i)\sigma_{n-k}(\lambda|i)}{\sigma_{n-k}^2}. \quad (3-15)$$

Therefore, we get

$$\begin{aligned} k\hat{F}^{k-1}(\hat{F}^{ii} - \hat{F}^{11}) &= \frac{1}{\sigma_{n-k}^2} [\sigma_{n-1}(\lambda|i)\sigma_{n-k}(\lambda|i) - \sigma_{n-1}(\lambda|1)\sigma_{n-k}(\lambda|1)] \\ &= \frac{\sigma_{n-2}(\lambda|1i)}{\sigma_{n-k}^2} [\lambda_1\sigma_{n-k}(\lambda|i) - \lambda_i\sigma_{n-k}(\lambda|1)] \\ &= \frac{\sigma_{n-2}(\lambda|1i)(\lambda_1 - \lambda_i)}{\sigma_{n-k}^2} [(\lambda_1 + \lambda_i)\sigma_{n-k-1}(\lambda|1i) + \sigma_{n-k}(\lambda|1i)]. \end{aligned} \quad (3-16)$$

When  $i \geq 2$ , we can see that

$$\begin{aligned} k\hat{F}^{k-1}\left(\frac{\hat{F}^{ii} - \hat{F}^{11}}{\lambda_1 - \lambda_i} - \frac{\hat{F}^{ii}}{\lambda_1}\right) &= \frac{\sigma_{n-2}(\lambda|1i)}{\sigma_{n-k}^2} [(\lambda_1 + \lambda_i)\sigma_{n-k-1}(\lambda|1i) + \sigma_{n-k}(\lambda|1i) - \sigma_{n-k}(\lambda|i)] \\ &= \frac{\sigma_{n-2}(\lambda|1i)}{\sigma_{n-k}^2} \lambda_i \sigma_{n-k-1}(\lambda|1i) = \frac{\sigma_{n-1}(\lambda|1)}{\sigma_{n-k}^2} \sigma_{n-k-1}(\lambda|1i) > 0. \end{aligned} \quad (3-17)$$

Plugging (3-17) into (3-13), we obtain

$$\hat{F}^{ii}\phi_{ii} \geq \frac{\tilde{\psi}_{11}}{\Lambda_{11}} - \hat{F}^{11} \frac{\Lambda_{11i}^2}{\Lambda_{11}^2} + (Nx_{n+1} - 1) \sum_i \hat{F}^{ii} = \frac{\tilde{\psi}_{11}}{\Lambda_{11}} - \hat{F}^{11} N^2 (y_{n+1})_1^2 + (Nx_{n+1} - 1) \sum_i \hat{F}^{ii}. \quad (3-18)$$

Here, in the last equality, we have used (3-8).

Now, let's calculate  $\tilde{\psi}_{11}$ . We denote by  $\bar{\nabla}$  the connection of the ambient space and by  $\{e_1^*, e_2^*, \dots, e_n^*\}$  the pull back of  $\{e_1, e_2, \dots, e_n\}$  via the Gauss map. Differentiating  $\tilde{\psi}$  with respect to  $e_1$  twice, we get

$$\tilde{\psi}_1 = d_X \psi^{-1/k} (\bar{\nabla}_{e_1^*} X) + d_v \psi^{-1/k} (e_1) \quad (3-19)$$

and

$$\begin{aligned} \tilde{\psi}_{11} &= d_X d_X \psi^{-1/k} (\bar{\nabla}_{e_1^*} X, \bar{\nabla}_{e_1^*} X) + d_X \psi^{-1/k} (\bar{\nabla}_{e_1} \bar{\nabla}_{e_1^*} X) \\ &\quad + 2d_X d_v \psi^{-1/k} (e_1, \bar{\nabla}_{e_1^*} X) + d_v d_v \psi^{-1/k} (e_1, e_1) + d_v \psi^{-1/k} (\bar{\nabla}_{e_1} e_1) \\ &\geq c_0 \Lambda_{11}^2 + d_X \psi^{-1/k} \left( \bar{\nabla}_{e_1} \sum_k \Lambda_{k1} e_k \right) + 2d_X d_v \psi^{-1/k} \left( e_1, \sum_l \Lambda_{1l} e_l \right) \\ &\quad + d_v d_v \psi^{-1/k} (e_1, e_1) + d_v \psi^{-1/k} (v) \\ &\geq c_0 \Lambda_{11}^2 + \sum_k d_X \psi^{-1/k} (\Lambda_{k11} e_k + \Lambda_{k1} \delta_{k1} v) - C\lambda_1 - C \\ &\geq c_0 \Lambda_{11}^2 + \sum_k \Lambda_{11k} d_X \psi^{-1/k} (e_k) - C\lambda_1 - C, \end{aligned} \quad (3-20)$$

where the first inequality comes from the locally strict convexity assumption on  $\psi^{-1/k}$ , i.e., for any spacelike vector  $\xi \in \mathbb{R}^{n,1}$ ,

$$d_X d_X \psi^{-1/k} (\xi, \xi) \geq c_0 |\xi|_E^2 \geq c_0 |\xi|_M^2.$$

Here  $c_0 > 0$  is some constant depending on the defining domain, and  $|\cdot|_E$  and  $|\cdot|_M$  are the Euclidean norm and Minkowski norm, respectively. At the point  $P_0$ , in view of (3-8) and the assumption that  $\psi_{x_{n+1}} \geq 0$ , we derive

$$\begin{aligned} \frac{\tilde{\psi}_{11}}{\Lambda_{11}} &\geq c_0 \lambda_1 - N \sum_k (x_{n+1})_k d_X \psi^{-1/k} (e_k) - C - \frac{C}{\lambda_1} \\ &= c_0 \lambda_1 + \frac{N}{k} \psi^{-1/k-1} d_X \psi (\nabla x_{n+1}) - C - \frac{C}{\lambda_1} \\ &= c_0 \lambda_1 + \frac{N}{k} \psi^{-1/k-1} d_X \psi \left( -\frac{\partial}{\partial x_{n+1}} + x_{n+1} v \right) - C - \frac{C}{\lambda_1} \end{aligned}$$

$$\begin{aligned}
&= c_0\lambda_1 + \frac{N}{k}\psi^{-1/k-1}d_X\psi\left(|x|^2\frac{\partial}{\partial x_{n+1}} + x_{n+1}\sum_{i=1}^n x_i\frac{\partial}{\partial x_i}\right) - C - \frac{C}{\lambda_1} \\
&= c_0\lambda_1 + \frac{N|x|^2}{k}\psi^{-1/k-1}\frac{\partial\psi}{\partial x_{n+1}} + \frac{N}{k}\psi^{-1/k-1}x_{n+1}\sum_{i=1}^n x_i\frac{\partial\psi}{\partial x_i} - C - \frac{C}{\lambda_1} \\
&\geq c_0\lambda_1 + \frac{N}{k}\psi^{-1/k-1}x_{n+1}\sum_{i=1}^n x_i\frac{\partial\psi}{\partial x_i} - C - \frac{C}{\lambda_1} \geq -C - \frac{C}{\lambda_1}.
\end{aligned} \tag{3-21}$$

Here, in the last inequality, we have assumed  $\lambda_1 = \lambda_1(|\psi|_{C^2}) > 0$  is large at  $P_0$ . On the other hand, note that the functional  $\hat{F}$  is concave and homogenous of degree 1. Therefore,

$$\sum_i \hat{F}^{ii} = \hat{F}(\lambda) + \sum_i \hat{F}^{ii}(1 - \lambda_i) \geq \hat{F}(1) = \binom{n}{k}^{-1/k}. \tag{3-22}$$

Combining (3-18)–(3-22), we obtain

$$0 \geq \hat{F}^{ii}\phi_{ii} \geq -C - \frac{C}{\lambda_1} - \frac{C}{\lambda_1}N^2(x_{n+1})_1^2 + (Nx_{n+1} - 1)\binom{n}{k}^{-1/k}.$$

Letting  $N$  and  $\lambda_1$  be sufficiently large, we obtain a contradiction. This completes the proof of Lemma 10.

Notice that this is the only place we need the locally strict convexity assumption of  $\psi^{-1/k}$  in Theorem 1. It's also clear that the above proof can be easily modified to the case when  $\psi^{-1/k}$  is convex with respect to  $X$  and the corresponding  $\psi(x, u(x), Du)$  does not depend on  $|x|$  (see the second inequality in (3-21)), as stated in the Remark 2. Therefore, (3-5) is solvable when either  $\psi^{-1/k}$  is locally strictly convex with respect to  $X$  or  $\psi^{-1/k}$  is convex with respect to  $X$  and  $\psi(x, u(x), Du(x))$  does not depend on  $|x|$ .  $\square$

**3.2. Dirichlet problem for  $k = n - 1, n - 2$ .** Let  $n \in \mathbb{N}$  and  $\Omega_n := \{x \in \mathbb{R}^n \mid \underline{u}(x) = n\}$ . We will consider the Dirichlet problem

$$\begin{cases} \sigma_k(\kappa[\mathcal{M}_u]) = \psi(x, u(x)) & \text{in } \Omega_n, \\ u = n & \text{on } \partial\Omega_n. \end{cases} \tag{3-23}$$

Note that since  $\underline{u}$  is strictly convex,  $\Omega_n$  is strictly convex. It's easy to see that if  $u$  is a solution of (3-23), then  $\underline{u} \leq u \leq \bar{u}$ . Therefore, in order to find a  $k$ -convex solution  $u$  for (3-23), we only need to study the  $C^1$  and  $C^2$  estimates of  $u$ .

### 3.2.1. $C^1$ estimate for (3-23).

**Lemma 11.** *Let  $u$  be a solution of (3-23), then  $|Du| < C < 1$ . Here  $C$  is a constant depending on  $|D\underline{u}|_{\bar{\Omega}_n}$  and  $\psi$ .*

*Proof.* Let  $V = -\langle v, E \rangle = 1/\sqrt{1 - |Du|^2}$ , and consider the test function  $\phi = \ln V + Ku$ , where  $K > 0$  is to be determined. If  $\phi$  achieves its maximum at an interior point  $P_0 \in \mathcal{M}_u$ , then at this point, we may choose a normal coordinate  $\{\tau_1, \dots, \tau_n\}$  such that  $h_{ij} = \kappa_i\delta_{ij}$ . Since at  $P_0$  we have

$$\phi_i = \frac{V_i}{V} + Ku_i = 0 \quad \text{and} \quad 0 \geq \phi_{ii} = \frac{V_{ii}}{V} - \frac{V_i^2}{V^2} + Ku_{ii},$$

a straightforward calculation yields

$$0 \geq -\frac{\langle \nabla \sigma_k, E \rangle}{V} - \frac{\sigma_k^{ii} \kappa_i^2 u_i^2}{V^2} + Kk\psi V + \sigma_k^{ii} \kappa_i^2.$$

Note that  $|\langle \nabla \sigma_k, E \rangle| \leq CV^2$ , where  $C$  only depends on  $|\psi|_{C^1}$ . Choosing  $K > C + 1$ , we have

$$-\frac{\langle \nabla \sigma_k, E \rangle}{V} - \frac{\sigma_k^{ii} \kappa_i^2 u_i^2}{V^2} + Kk\psi V + \sigma_k^{ii} \kappa_i^2 > 0.$$

This leads to a contradiction.  $\square$

**3.2.2.  $C^2$  boundary estimates for (3-23).** Now, we will establish the  $C^2$  boundary estimate. For our convenience, we will consider the solvability of the Dirichlet problem

$$\begin{cases} G(Du, D^2u) = \sigma_k\left(\frac{1}{w}\gamma^{ik}u_{kl}\gamma^{lj}\right) = \psi(x, u(x)) & \text{in } \Omega, \\ u = 0 & \text{on } \partial\Omega, \end{cases} \quad (3-24)$$

where  $\Omega$  is strictly convex. We will follow the idea of [Caffarelli et al. 1988].

Infinitesimal stretching. If  $u$  is a solution of (3-24), let  $v(x) = t^{-1}u(tx)$ , where  $t > 0$ . Then the principal curvatures of  $\mathcal{M}_v$  satisfy  $\kappa[\mathcal{M}_v(x)] = t\kappa[\mathcal{M}_u(tx)]$ . Therefore,

$$G(Dv, D^2v) = t^k\psi(tx, u(tx)) = t^k\psi(tx, tv(x)). \quad (3-25)$$

We write  $\dot{v} = (d/dt)v = -t^{-2}u(tx) + x \cdot Du(tx)$ ; when  $t = 1$ ,

$$\dot{v} = x \cdot Du(x) - u(x).$$

Differentiating (3-25) with respect to  $t$  then evaluating at  $t = 1$ , we obtain

$$G^{ij}\partial_{ij}\dot{v} + G^s\partial_s\dot{v} = k\psi + \psi_z(v + \dot{v}) + x\psi_x.$$

Writing  $L := G^{ij}\partial_{ij} + G^s\partial_s$ , we have

$$L(x \cdot Du - u) = k\psi + \psi_z(u + x \cdot Du - u) + x\psi_x = k\psi + x\psi_x + \psi_zx \cdot Du. \quad (3-26)$$

Infinitesimal rotation in Minkowski space. It is well known that Lorentz boosts are isometries of  $\mathbb{R}^{n,1}$ .

Keeping the coordinates  $x' = (x_1, \dots, x_{n-1})$  fixed, we rotate in the  $(x_n, u)$  variables:

$$\begin{bmatrix} \cosh \theta & \sinh \theta \\ \sinh \theta & \cosh \theta \end{bmatrix} \begin{bmatrix} x_n \\ u \end{bmatrix} = \begin{bmatrix} \cosh \theta x_n + \sinh \theta u \\ \cosh \theta u + \sinh \theta x_n \end{bmatrix}.$$

To the first order in  $\theta$ , the image of  $(x, u(x))$  under such a rotation is

$$(x', x_n + u(x)\theta, u(x) + x_n\theta).$$

Therefore, to the first order in  $\theta$ , the image of

$$(x', x_n - u(x)\theta, u(x', x_n - u(x)\theta))$$

is  $(x', x_n, u(x', x_n - u(x)\theta) + x_n\theta)$ . Considering this image as the graph of the function

$$v(x) = u(x', x_n - u(x)\theta) + x_n\theta + \text{higher order in } \theta,$$

we have

$$\begin{aligned} G(Dv, D^2v) &= \psi(x', x_n - u(x)\theta, u(x', x_n - u(x)\theta)) + \text{higher order in } \theta \\ &= \psi(x', x_n - u(x)\theta, v(x) - x_n\theta) + \text{higher order in } \theta. \end{aligned}$$

Notice that  $(dv/d\theta)|_{\theta=0} = x_n - u_n u$ , so we obtain

$$G^{ij} \partial_{ij}(x_n - u_n u) + G^s \partial_s(x_n - u_n u) = \psi_n(-u(x)) + \psi_z(x_n - u_n u - x_n). \quad (3-27)$$

Thus, we conclude that

$$L(x_n - uu_n) = -u\psi_n - u_n u \psi_z. \quad (3-28)$$

**Lemma 12.** *Let  $u$  be a solution of (3-24), then  $|D^2u| < C$  on  $\partial\Omega$ . Here  $C$  is a constant depending on  $\Omega$  and  $\psi$ .*

*Proof.* For any  $p \in \partial\Omega$ , we suppose  $p$  is the origin and that the  $x_n$ -axis is the interior normal of  $\partial\Omega$  at  $p$ . We may also assume the boundary near the origin  $p$  is represented by

$$x_n = \frac{1}{2} \sum_{\alpha=1}^{n-1} \lambda_\alpha x_\alpha^2 + O(|x'|^3), \quad x' = (x_1, \dots, x_{n-1}),$$

where  $\lambda_\alpha > 0$ ,  $1 \leq \alpha \leq n-1$ , are the principal curvatures of  $\partial\Omega$  at the origin. Let  $T_\alpha = \partial_\alpha + \lambda_\alpha(x_\alpha \partial_n - x_n \partial_\alpha)$ . Note that  $G^{ij} u_{ij\alpha} + G^s u_{s\alpha} = \psi_\alpha + \psi_z u_\alpha$ . In view of the fact that (3-23) is invariant under rotation (see (3.1) in [Caffarelli et al. 1988]), we get

$$|LT_\alpha u| \leq C. \quad (3-29)$$

Moreover, it's easy to see we have  $|T_\alpha u| \leq C|x'|^2$  on  $\partial\Omega$  near the origin. In the following, we write  $\Omega_\beta := \Omega \cap \{x_n < \beta\}$ . Set

$$h = (x \cdot Du - u) - \frac{\delta}{\beta}(x_n - uu_n).$$

On  $\partial\Omega \cap \partial\Omega_\beta$ , note that  $u = 0$ , so we have  $x \cdot Du \leq C_1|x'|^2$ . This implies, on  $\partial\Omega \cap \partial\Omega_\beta$ ,

$$h = x \cdot Du - \frac{\delta}{\beta}x_n \leq \left(C_1 - \frac{\delta}{\beta}a\right)|x'|^2, \quad (3-30)$$

where  $a > 0$  depends on the principal curvatures of  $\partial\Omega$ . Notice that  $u$  is a spacelike function, so we suppose  $|Du| \leq \theta_0$  in  $\bar{\Omega}$  for some  $\theta_0 \in (0, 1)$ . Then we have  $0 \leq -u \leq \theta_0\beta$  in  $\Omega_\beta$ . Therefore, on  $\{x_n = \beta\}$ ,

$$h = \beta u_n + \sum_{\alpha=1}^{n-1} x_\alpha u_\alpha - u + \frac{\delta}{\beta}uu_n - \delta \leq \beta\theta_0 + C\beta^{1/2} + \theta_0\beta + \theta_0^2\delta - \delta \leq C\beta^{1/2} + \delta(\theta_0 - 1) \quad (3-31)$$

with  $C$  being independent of  $\beta$  and  $\delta$ . Moreover,

$$Lh = k\psi + x\psi_x + \psi_z x \cdot Du - \frac{\delta}{\beta}(-u\psi_n - u_n u \psi_z) \geq k\psi - C\beta^{1/2} - C\delta \geq \frac{k}{2}\psi, \quad (3-32)$$

where  $\delta$  and  $\beta$  are small positive constants.

Now choose  $A = A(\delta) > 0$  large enough that

$$Ah \leq -|T_\alpha u| \quad \text{on } \partial\Omega_\beta \quad \text{and} \quad LAh > |LT_\alpha u| \quad \text{in } \Omega_\beta.$$

By the maximum principle, we conclude that

$$Ah \pm T_\alpha u \leq 0 \quad \text{in } \bar{\Omega}_\beta.$$

On the other hand, we have  $h(0) = T_\alpha u(0) = 0$ . Therefore,

$$|\partial_n T_\alpha u(0)| \leq -Ah_n(0) \leq \frac{A\delta}{\beta},$$

which yields

$$|u_{n\alpha}(0)| \leq C. \quad (3-33)$$

Next, following the notation in [Section 2.1](#), we write  $a_{ij} = \frac{1}{w} \gamma^{ik} u_{kl} \gamma^{lj}$ , where  $w = \sqrt{1 - |Du|^2}$  and  $\gamma^{ik} = \delta_{ik} + u_i u_k / (w(1+w))$ . A straightforward calculation yields, at the origin,

$$\begin{aligned} a_{\alpha\alpha} &= \frac{u_{\alpha\alpha}}{w} = -\frac{u_n \lambda_\alpha}{w}, & a_{\alpha n} &= \frac{u_{\alpha n}}{w^2} & \text{for } 1 \leq \alpha \leq n-1, \\ a_{nn} &= \frac{u_{nn}}{w^3}, & a_{ij} &= 0 & \text{for all other } 1 \leq i, j \leq n. \end{aligned} \quad (3-34)$$

Since  $\partial\Omega$  is smooth, we know there exists  $r_0 > 0$  and  $z_p = (0, \dots, 0, r_0)$  such that  $B_{r_0}(z_p) \subset \Omega$  and  $\bar{B}_{r_0}(z_p) \cap \partial\Omega = p$ . Here  $B_{r_0}(z_p)$  is a ball of radius  $r_0$  centered at  $z_p$ . Let

$$\bar{u} = -\sqrt{R^2 + r_0^2} + \sqrt{R^2 + |x - z_p|^2},$$

where  $x = (x_1, \dots, x_n)$  and  $R > 0$  is a constant to be determined. A straightforward calculation yields

$$\sigma_k \left( \frac{1}{w} \gamma^{ik} \bar{u}_{kl} \gamma^{lj} \right) = \binom{n}{k} \frac{1}{R} < c_2$$

when  $R = R(c_2) > 0$  is sufficiently large. Here  $c_2$  is the lower bound for  $\psi$  defined in [Theorem 5](#). Therefore,  $\bar{u}$  is a supersolution of (3-24). By the strong maximum principal, we have  $u < \bar{u}$  in  $B_{r_0}(z_p)$ . Applying the Hopf lemma, we obtain

$$\frac{r_0}{\sqrt{R^2 + r_0^2}} = -\bar{u}_n(p) < -u_n(p).$$

In view of (3-34) and [[Trudinger 1995](#), (2.5)], (3-24) can be written as

$$\frac{1}{w^k} \left[ \frac{1}{w^2} (-u_n)^{k-1} \sigma_{k-1}(\lambda) u_{nn} + P \right] = \psi,$$

where  $P$  depends on  $w$ ,  $u_{\alpha\beta}$ , and  $u_{\alpha n}$ , which are bounded by some uniform constants depending on  $n$ ,  $k$ ,  $\partial\Omega$ ,  $\|u\|_{C^1(\bar{\Omega})}$ , and  $\lambda = (\lambda_1, \dots, \lambda_{n-1})$ . Moreover, by our assumption that  $\psi$  is bounded, we obtain an upper bound for  $u_{nn}(0)$ . The lower bound for  $u_{nn}(0)$  comes from the fact that  $\mathcal{M}_u$  is  $k$ -convex, which implies  $\sum_{i=1}^n a_{ii} > 0$ .

Finally, since  $p \in \partial\Omega$  is arbitrary, we get

$$|D^2u(x)| \leq C \quad \text{for any } x \in \partial\Omega. \quad \square$$



**3.2.3.  $C^2$  global estimate for (3-23).** Finally, we will prove the  $C^2$  global estimate. In this subsection, for greater generality, we will assume  $\psi = \psi(X, \nu)$ .

**Lemma 13.** *Let  $u$  be a solution of (3-24) with  $\psi = \psi(X, \nu)$ , then*

$$|D^2u| < \max\{C, \max_{\partial\Omega} |D^2u|\}$$

on  $\Omega$ . Here  $C$  is a constant depending on  $|Du|_{\Omega}$  and  $\psi$ .

*Proof.* We consider the following test function whose form first appeared in [Guan et al. 2015]:

$$\phi = \log \log P - N \langle \nu, E \rangle.$$

Here,  $P := \sum_l e^{\kappa_l}$ , and  $N$  is a sufficiently large constant to be determined later.

We may assume that the maximum of  $\phi$  is achieved at some point  $P_0 \in \mathcal{M}_u$ , where  $u$  is the solution of (3-24). Suppose  $\{\tau_1, \tau_2, \dots, \tau_n\}$  is a normal coordinate near  $P_0$  such that, at  $P_0$ ,

$$h_{ij} = \kappa_i \delta_{ij} \quad \text{and} \quad \kappa_1 \geq \kappa_2 \geq \dots \geq \kappa_n.$$

Differentiating the function  $\phi$  twice at  $P_0$ , we have

$$\phi_i = \frac{P_i}{P \log P} + N h_{ii} u_i = 0, \quad (3-35)$$

and

$$\begin{aligned} \phi_{ii} &= \frac{P_{ii}}{P \log P} - \frac{P_i^2}{P^2 \log P} - \frac{P_i^2}{(P \log P)^2} - N h_{ii}^2 \langle \nu, E \rangle + \sum_s N u_s h_{isi} \\ &= \frac{1}{P \log P} \left[ \sum_l e^{\kappa_l} h_{llii} + \sum_l e^{\kappa_l} h_{lli}^2 + \sum_{p \neq q} \frac{e^{\kappa_p} - e^{\kappa_q}}{\kappa_p - \kappa_q} h_{pqi}^2 - \left( \frac{1}{P} + \frac{1}{P \log P} \right) P_i^2 \right] \\ &\quad - N h_{ii}^2 \langle \nu, E \rangle + \sum_s N u_s h_{iis}. \end{aligned}$$

Contracting with  $\sigma_k^{ii}$ , we get

$$\begin{aligned} \sigma_k^{ii} \phi_{ii} &= \frac{\sigma_k^{ii}}{P \log P} \left[ \sum_l e^{\kappa_l} h_{llii} + \sum_l e^{\kappa_l} h_{lli}^2 + \sum_{p \neq q} \frac{e^{\kappa_p} - e^{\kappa_q}}{\kappa_p - \kappa_q} h_{pqi}^2 - \left( \frac{1}{P} + \frac{1}{P \log P} \right) P_i^2 \right] \\ &\quad - N \sigma_k^{ii} \kappa_i^2 \langle \nu, E \rangle + \sum_s N u_s \sigma_k^{ii} h_{iis}. \end{aligned} \quad (3-36)$$

At  $P_0$ , differentiating (1-2) twice yields

$$\sigma_k^{ii} h_{iil} = d_X \psi(\tau_l) + \kappa_l d_\nu \psi(\tau_l) \quad (3-37)$$

and

$$\sigma_k^{ii} h_{iill} + \sigma_k^{pq,rs} h_{pq} h_{rst} \geq -C - C h_{11}^2 + \sum_s h_{sll} d_\nu \psi(\tau_s), \quad (3-38)$$

where  $C$  is some uniform constant only depending on  $\psi$ . Note that

$$h_{llii} = h_{iill} - h_{ii} h_{ll}^2 + h_{ii}^2 h_{ll}. \quad (3-39)$$

Inserting (3-38) and (3-39) into (3-36), we obtain

$$\begin{aligned} \sigma_k^{ii} \phi_{ii} \geq & \frac{1}{P \log P} \left[ \sum_l e^{\kappa_l} \left( -C - C\kappa_1^2 - \sigma_k^{pq,rs} h_{pql} h_{rst} + \sum_s h_{sll} d_v \psi(\tau_s) \right) \right. \\ & \left. + \sum_l \sigma_k^{ii} e^{\kappa_l} h_{lli}^2 + \sigma_k^{ii} \sum_{p \neq q} \frac{e^{\kappa_p} - e^{\kappa_q}}{\kappa_p - \kappa_q} h_{pqi}^2 - \left( \frac{1}{P} + \frac{1}{P \log P} \right) \sigma_k^{ii} P_i^2 \right] \\ & - N \sigma_k^{ii} \kappa_i^2 \langle \nu, E \rangle + \sum_s N u_s \sigma_k^{ii} h_{sii} - \sigma_k^{ii} \kappa_i^2. \end{aligned} \quad (3-40)$$

By (3-35) and (3-37), we have

$$\frac{1}{P \log P} \sum_s \sum_l e^{\kappa_l} h_{sll} d_v \psi(\tau_s) + \sum_s N u_s \sigma_k^{ii} h_{sii} \geq -C.$$

Now, for any constant  $K > 1$ , we write

$$\begin{aligned} A_i &= e^{\kappa_i} \left[ K (\sigma_k)_i^2 - \sum_{p \neq q} \sigma_k^{pp,qq} h_{ppi} h_{qqi} \right], \\ B_i &= 2 \sum_{l \neq i} \sigma_k^{ii,ll} e^{\kappa_l} h_{lli}^2, \quad C_i = \sigma_k^{ii} \sum_l e^{\kappa_l} h_{lli}^2, \\ D_i &= 2 \sum_{l \neq i} \sigma_k^{ll} \frac{e^{\kappa_l} - e^{\kappa_i}}{\kappa_l - \kappa_i} h_{lli}^2, \quad E_i = \frac{1 + \log P}{P \log P} \sigma_k^{ii} P_i^2. \end{aligned}$$

Combining

$$- \sum_l \sigma_k^{pq,rs} h_{pql} h_{rst} = \sum_{p \neq q} \sigma_k^{pp,qq} h_{pql}^2 - \sum_{p \neq q} \sigma_k^{pp,qq} h_{ppi} h_{qqi}$$

with (3-40), we get

$$\sigma_k^{ii} \phi_{ii} \geq \frac{1}{P \log P} \sum_i (A_i + B_i + C_i + D_i - E_i) + (-N \langle \nu, E \rangle - 1) \sigma_k^{ii} \kappa_i^2 - C \kappa_1. \quad (3-41)$$

**Claim 1.** For any given  $0 < \varepsilon < \frac{1}{2}$ , we let  $\alpha = (1 - 2\varepsilon)/(1 + \varepsilon)$ . There exists a positive constant  $\delta < \frac{1}{2}$  such that, for any  $|\kappa_i| \leq \delta \kappa_1$ ,  $1 \leq i \leq n$ , if the constant  $K$  and the maximum principal curvature  $\kappa_1$  are both sufficiently large, we have

$$A_i + B_i + C_i + D_i - E_i - \frac{\alpha}{P \log P} \sigma_k^{ii} P_i^2 \geq 0.$$

Applying Lemma 6 in [Ren and Wang 2019], we can see that when  $K$  is chosen to be sufficiently large, we have  $A_i \geq 0$ . By the Cauchy–Schwarz inequality, we have

$$\begin{aligned} P_i^2 &= e^{2\kappa_i} h_{iii}^2 + 2 \sum_{l \neq i} e^{\kappa_i + \kappa_l} h_{iii} h_{lli} + \left( \sum_{l \neq i} e^{\kappa_l} h_{lli} \right)^2 \\ &\leq e^{2\kappa_i} h_{iii}^2 + 2 \sum_{l \neq i} e^{\kappa_i + \kappa_l} h_{iii} h_{lli} + (P - e^{\kappa_i}) \sum_{l \neq i} e^{\kappa_l} h_{lli}^2. \end{aligned} \quad (3-42)$$

Thus,

$$\begin{aligned}
& B_i + C_i + D_i - E_i - \frac{\alpha}{P \log P} \sigma_k^{ii} P_i^2 \\
& \geq 2 \sum_{l \neq i} e^{\kappa_l} \sigma_k^{ll,ii} h_{lli}^2 + 2 \sum_{l \neq i} \frac{e^{\kappa_l} - e^{\kappa_i}}{\kappa_l - \kappa_i} \sigma_k^{ll} h_{lli}^2 - \frac{1 + \alpha}{\log P} \sum_{l \neq i} e^{\kappa_l} \sigma_k^{ii} h_{lli}^2 + \frac{1 + \alpha + \log P}{P \log P} \sum_{l \neq i} e^{\kappa_l + \kappa_i} \sigma_k^{ii} h_{lli}^2 \\
& \quad + e^{\kappa_i} \sigma_k^{ii} h_{iii}^2 - \frac{1 + \alpha + \log P}{P \log P} e^{2\kappa_i} \sigma_k^{ii} h_{iii}^2 - 2 \frac{1 + \alpha + \log P}{P \log P} \sum_{l \neq i} e^{\kappa_i + \kappa_l} \sigma_k^{ii} h_{iii} h_{lli}. \quad (3-43)
\end{aligned}$$

Let  $\varepsilon$  be equal to the  $\varepsilon_T$  in Lemma 12 of [Ren and Wang 2019]. Then we know there exists a positive constant  $\delta < \varepsilon$  such that, when  $|\kappa_i| < \delta \kappa_1$ ,

$$(2 - \varepsilon) \sum_{l \neq i} e^{\kappa_l} \sigma_k^{ll,ii} h_{lli}^2 + (2 - \varepsilon) \sum_{l \neq i} \frac{e^{\kappa_l} - e^{\kappa_i}}{\kappa_l - \kappa_i} \sigma_k^{ll} h_{lli}^2 - \frac{1 + \alpha}{\log P} \sum_{l \neq i} e^{\kappa_l} \sigma_k^{ii} h_{lli}^2 \geq 0. \quad (3-44)$$

On the other hand, we have

$$\sum_{l \neq i, 1} e^{\kappa_l + \kappa_i} \sigma_k^{ii} h_{lli}^2 - 2 \sum_{l \neq i, 1} e^{\kappa_i + \kappa_l} \sigma_k^{ii} h_{iii} h_{lli} \geq - \sum_{l \neq i, 1} e^{\kappa_l + \kappa_i} \sigma_k^{ii} h_{iii}^2. \quad (3-45)$$

It follows that

$$\begin{aligned}
& B_i + C_i + D_i - E_i - \frac{\alpha}{P \log P} \sigma_k^{ii} P_i^2 \\
& \geq \frac{1 + \alpha + \log P}{P \log P} e^{\kappa_1 + \kappa_i} \sigma_k^{ii} h_{11i}^2 + e^{\kappa_i} \sigma_k^{ii} h_{iii}^2 - \frac{1 + \alpha + \log P}{P \log P} \sum_{l \neq 1} e^{\kappa_l + \kappa_i} \sigma_k^{ii} h_{lli}^2 \\
& \quad - 2 \frac{1 + \alpha + \log P}{P \log P} e^{\kappa_i + \kappa_1} \sigma_k^{ii} h_{iii} h_{11i} + \varepsilon e^{\kappa_1} \sigma_k^{11,ii} h_{11i}^2 + \varepsilon \frac{e^{\kappa_1} - e^{\kappa_i}}{\kappa_1 - \kappa_i} \sigma_k^{11} h_{11i}^2. \quad (3-46)
\end{aligned}$$

A straightforward calculation shows that, when  $\kappa_1$  is very large, the following inequalities hold:

$$e^{\kappa_i} \sigma_k^{ii} h_{iii}^2 - \frac{1 + \alpha + \log P}{P \log P} \sum_{l \neq 1} e^{\kappa_l + \kappa_i} \sigma_k^{ii} h_{lli}^2 \geq \left( \frac{e^{\kappa_1}}{P} - \frac{1 + \alpha}{\log P} \right) e^{\kappa_i} \sigma_k^{ii} h_{iii}^2 \geq \frac{1}{n + 1} e^{\kappa_i} \sigma_k^{ii} h_{iii}^2,$$

and

$$-2 \frac{1 + \alpha + \log P}{P \log P} e^{\kappa_i + \kappa_1} \sigma_k^{ii} |h_{iii} h_{11i}| \geq -\frac{3}{P} e^{\kappa_i + \kappa_1} \sigma_k^{ii} |h_{iii} h_{11i}| \geq -3 e^{\kappa_i} \sigma_k^{ii} |h_{iii} h_{11i}|.$$

Moreover, it is easy to see that

$$e^{\kappa_1} \sigma_k^{11,ii} h_{11i}^2 + \frac{e^{\kappa_1} - e^{\kappa_i}}{\kappa_1 - \kappa_i} \sigma_k^{11} h_{11i}^2 = e^{\kappa_i} \sigma_k^{11,ii} h_{11i}^2 + \frac{e^{\kappa_1} - e^{\kappa_i}}{\kappa_1 - \kappa_i} \sigma_k^{ii} h_{11i}^2. \quad (3-47)$$

By the Taylor expansion, we have

$$\frac{e^{\kappa_1} - e^{\kappa_i}}{\kappa_1 - \kappa_i} \sigma_k^{ii} h_{11i}^2 = e^{\kappa_i} \sum_{m \geq 1} \frac{(\kappa_1 - \kappa_i)^{m-1}}{m!} \sigma_k^{ii} h_{11i}^2. \quad (3-48)$$

Combining the previous four formulas with (3-46), when  $\kappa_1$  is sufficiently large and  $|\kappa_i| < \delta\kappa_1$ , we obtain

$$B_i + C_i + D_i - E_i - \frac{\alpha}{P \log P} \sigma_k^{ii} P_i^2 \geq e^{\kappa_i} \sigma_k^{ii} \left[ \frac{1}{n+1} h_{iii}^2 - 3|h_{iii}h_{11i}| + \varepsilon \sum_{m \geq 1} \frac{(\kappa_1 - \kappa_i)^{m-1}}{m!} h_{11i}^2 \right] \geq 0.$$

Therefore, Claim 1 is proved.

Recalling Section 4 of [Ren and Wang 2019] and the proof of Theorem 14 in [Ren and Wang 2023], we know the following claim is true.

**Claim 2.** *Suppose  $k = n - 1$  ( $n \geq 3$ ) or  $k = n - 2$  ( $n \geq 5$ ). For any index  $1 \leq i \leq n$ , if the positive constant  $K$  and the maximum principal curvature  $\kappa_1$  are both sufficiently large, we have*

$$A_i + B_i + C_i + D_i - E_i \geq 0.$$

By Claims 1 and 2, (3-41) becomes

$$0 \geq \sum_{|\kappa_i| < \delta\kappa_1} \frac{\alpha}{(P \log P)^2} \sigma_k^{ii} P_i^2 + (-N \langle \nu, E \rangle - 1) \sigma_k^{ii} \kappa_i^2 - C\kappa_1. \quad (3-49)$$

Here, the constant  $\delta$  is the constant chosen in Claim 1. Choosing  $N > 0$  such that

$$\sigma_k^{11} \kappa_1^2 (-N \langle \nu, E \rangle - 1) - C\kappa_1 > 0,$$

we get a contradiction. Therefore, our desired estimate follows immediately.  $\square$

By Lemmas 11, 12, and 13, we conclude that, when  $k = n - 1$ ,  $n - 2$ , the Dirichlet problem (3-23) admits a  $k$ -convex solution.

#### 4. The local estimates

We will devote this section to establishing the local  $C^1$  and  $C^2$  estimates for the solution  $u$  of (1-3).

**4.1. Local  $C^1$  estimates.** In this subsection, we will prove the local  $C^1$  estimate. We will split it into two cases. In the first case, we will assume  $u$  is a convex solution of (1-2); in the second case, we will assume  $u$  is a  $k$ -convex solution of (1-5). Note that in both cases our results hold for  $1 \leq k \leq n$ .

For strictly convex, spacelike hypersurfaces, [Bayard and Schnürer 2009] proved the following local gradient estimate lemma.

**Lemma 14** [Bayard and Schnürer 2009, Lemma 5.1]. *Let  $\Omega \subset \mathbb{R}^n$  be a bounded open set, and let  $u, \bar{u}, \Psi : \Omega \rightarrow \mathbb{R}^n$  be strictly spacelike. Assume that  $u$  is strictly convex and  $u < \bar{u}$  in  $\Omega$ . Also assume that, near  $\partial\Omega$ , we have  $\Psi > \bar{u}$ . Consider the set with  $u > \Psi$ . For every  $x$  in this set, we have the following gradient estimate for  $u$ :*

$$\frac{1}{\sqrt{1 - |Du|^2}} \leq \frac{1}{u(x) - \Psi(x)} \cdot \sup_{\{u > \Psi\}} \frac{\bar{u} - \Psi}{\sqrt{1 - |D\Psi|^2}}.$$

For  $k$ -convex, spacelike hypersurfaces, [Bayard 2006] proved a similar result when  $k = 2$ . In the following, we will extend it to all  $k$ . Our argument is a modification of that in [Bayard 2006]. We would also like to mention that the basic idea of this argument appeared in [Chou and Wang 2001].

**Lemma 15.** Let  $\Omega \subset \mathbb{R}^n$  be a bounded open set. Let  $u, \bar{u}, \Psi : \Omega \rightarrow \mathbb{R}^n$  be strictly spacelike. Assume that  $\mathcal{M}_u = \{(x, u(x)) \mid x \in \Omega\}$  is a  $k$ -convex hypersurface satisfying

$$\sigma_k(\kappa[\mathcal{M}_u]) = \psi(x, u(x))$$

and  $u \leq \bar{u}$  in  $\Omega$ . Also assume that, near  $\partial\Omega$ , we have  $\Psi > \bar{u}$ . Consider the set with  $u > \Psi$ . For every  $x$  in this set, we have the following gradient estimate for  $u$ :

$$\frac{1}{\sqrt{1 - |Du|^2}} \leq \left[ \frac{1}{u(x) - \Psi(x)} \cdot \sup_{\{u > \Psi\}} (\bar{u} - \Psi) \right]^N C.$$

Here,  $N = N(n, k)$  is a uniform constant only depending on  $n$  and  $k$ , and  $C = C(\bar{u} - \Psi, |\Psi|_{C^2}, |\psi|_{C^1})$  is a uniform constant depending on the upper bound of  $\bar{u} - \Psi$ ,  $1/\sqrt{1 - |D\Psi|^2}$ ,  $D^2\Psi$ , and  $|\psi|_{C^1}$ .

*Proof.* Consider the test function

$$\phi = (u - \Psi)^N (-\langle \nu, E \rangle),$$

where  $N$  is a large undetermined constant. Assume the function  $\phi$  achieves its maximum at  $P$ . We may choose a local normal coordinate  $\{\tau_1, \dots, \tau_n\}$  such that, at  $P$ , we have  $h_{ij} = \kappa_i \delta_{ij}$ . Differentiating  $\phi$  twice at  $P$ , we have

$$\begin{aligned} 0 &= \frac{\phi_i}{\phi} = N \frac{u_i - \Psi_i}{u - \Psi} + \frac{h_{im} u_m}{-\langle \nu, E \rangle}, \\ 0 &\geq \frac{\phi_{ii}}{\phi} - \frac{\phi_i^2}{\phi^2} = N \frac{u_{ii} - \Psi_{ii}}{u - \Psi} - N \frac{(u_i - \Psi_i)^2}{(u - \Psi)^2} + \frac{\sum_m h_{im}^2 (-\langle \nu, E \rangle) + \sum_m h_{imi} u_m}{-\langle \nu, E \rangle} - \frac{(\sum_m h_{im} u_m)^2}{(-\langle \nu, E \rangle)^2}. \end{aligned} \quad (4-1)$$

Contracting with  $\sigma_k^{ii}$ , we get

$$0 \geq \frac{\sigma_k^{ii} \phi_{ii}}{\phi} = N \frac{\sigma_k^{ii} u_{ii} - \sigma_k^{ii} \Psi_{ii}}{u - \Psi} - N \frac{\sigma_k^{ii} (u_i - \Psi_i)^2}{(u - \Psi)^2} + \sigma_k^{ii} \kappa_i^2 + \frac{\sigma_k^{ii} \sum_m h_{im} u_m}{-\langle \nu, E \rangle} - \frac{\sigma_k^{ii} \kappa_i^2 u_i^2}{(-\langle \nu, E \rangle)^2}. \quad (4-2)$$

Without loss of generality, we may assume that, at  $P$ ,

$$u_1^2 \geq \frac{|\nabla u|^2}{n},$$

where  $\nabla$  is the Levi-Civita connection on  $\mathcal{M}_u$ . By (4-1), we have

$$\kappa_1 = \frac{N \langle \nu, E \rangle}{u - \Psi} \left( 1 - \frac{\Psi_1}{u_1} \right).$$

We may also assume  $|\nabla u(P)|$  is sufficiently large that  $|\Psi_1/u_1| < \frac{1}{2}$ . Then, at  $P$ , we can see

$$\kappa_1 < \frac{N \langle \nu, E \rangle}{2 u - \Psi}. \quad (4-3)$$

Thus, if  $N$  is sufficiently large,  $\kappa_1$  is negative and its norm is large. Using inequality (26) in [Lin and Trudinger 1994], we obtain

$$\sum_{i \geq 2} \sigma_k^{ii} \kappa_i^2 \geq \eta \sigma_k^{11} \kappa_1^2,$$

where  $\eta$  is a uniform constant only depending on  $n$  and  $k$ . Therefore,

$$\sigma_k^{ii} \kappa_i^2 - \frac{\sigma_k^{ii} \kappa_i^2 u_i^2}{(-\langle v, E \rangle)^2} \geq \sum_{i \geq 2} \sigma_k^{ii} \kappa_i^2 - \left(1 - \frac{1}{n}\right) \sum_{i \geq 2} \sigma_k^{ii} \kappa_i^2 \geq \frac{\eta}{n} \sigma_k^{11} \kappa_1^2 := \eta_0 \sigma_k^{11} \kappa_1^2.$$

By (4-3), we get

$$\sigma_k^{ii} \kappa_i^2 - \frac{\sigma_k^{ii} \kappa_i^2 u_i^2}{(-\langle v, E \rangle)^2} \geq \frac{\eta_0 N^2}{4} \sigma_k^{11} \frac{(-\langle v, E \rangle)^2}{(u - \Psi)^2}. \quad (4-4)$$

Inserting (1-2) and (4-4) into (4-2) yields

$$0 \geq N(u - \Psi)[\sigma_k^{ii} \kappa_i(-\langle v, E \rangle) - \sigma_k^{ii} \Psi_{ii}] - N\sigma_k^{ii} (u_i - \Psi_i)^2 + (u - \Psi)^2 \frac{\sum_m \psi_m u_m}{-\langle v, E \rangle} + \frac{\eta_0 N^2}{4} \sigma_k^{11} (-\langle v, E \rangle)^2. \quad (4-5)$$

Noticing that

$$\psi_m = \sum_{l=1}^n \psi_{x_l} \left\langle \tau_m, \frac{\partial}{\partial x_l} \right\rangle + \psi_u \langle -\tau_m, E \rangle,$$

we calculate

$$\frac{\sum_m \psi_m u_m}{-\langle v, E \rangle} \geq -C(1 + \langle -v, E \rangle). \quad (4-6)$$

Combining (4-5) with (4-6), we get

$$0 \geq -(n - k + 1)N(\bar{u} - \Psi)\sigma_{k-1}|\nabla^2 \Psi| - 2(n - k + 1)N\sigma_{k-1}(|\nabla u|^2 + |\nabla \Psi|^2) - C(\bar{u} - \Psi)^2(1 + \langle -v, E \rangle) + \frac{\eta_0 N^2}{4} \sigma_k^{11} (-\langle v, E \rangle)^2. \quad (4-7)$$

Notice that, when  $\kappa_1 < 0$ , we have

$$\sigma_{k-1} = \kappa_1 \sigma_{k-2}(\kappa | 1) + \sigma_{k-1}(\kappa | 1) \leq \sigma_k^{11}.$$

Moreover,  $-\langle v, E \rangle = \sqrt{1 + |\nabla u|^2}$ . With  $N$  sufficiently large in (4-7), we obtain the desired estimate.  $\square$

**4.2. The Pogorelov-type local  $C^2$  estimates.** Recall that in [Wang and Xiao 2022] (see Lemma 24) we proved the Pogorelov-type local  $C^2$  estimate for strictly convex, spacelike, constant  $\sigma_k$  curvature hypersurfaces. With small modifications, we can show the following.

**Lemma 16.** *Let  $u^{r*}$  be the solution of (3-5) and  $u^r$  be the Legendre transform of  $u^{r*}$ . For any given  $s > 2C_0 + 1$ , where  $C_0 > \min \bar{u}$  is an arbitrary constant, let  $r_s > 0$  be a positive number such that, when  $r > r_s$ , we have  $u^r|_{\partial \Omega_r} > s$ , where  $\Omega_r = Du^{r*}(B_r)$ . Let  $\kappa_{\max}(x)$  be the largest principal curvature of  $\mathcal{M}_{u^r}$  at  $x$ , where  $\mathcal{M}_{u^r} = \{(x, u^r(x)) \mid x \in \Omega_r\}$ . Then, for  $r > r_s$ , we have*

$$\max_{\mathcal{M}_{u^r}} (s - u^r) \kappa_{\max} \leq C. \quad (4-8)$$

Here,  $C$  depends on the local  $C^1$  estimates of  $u^r$  and  $s$ .

In the rest of this subsection, we will establish the Pogorelov-type local  $C^2$  estimates for the  $k$ -convex solution of (1-2), where  $k = n - 1$  ( $n \geq 3$ ),  $n - 2$  ( $n \geq 5$ ).

**Lemma 17.** *Let  $u^n$  be the  $k$ -convex solution of (3-23) with  $\psi = \psi(X, v)$ , where  $k = n-1$  ( $n \geq 3$ ),  $n-2$  ( $n \geq 5$ ). For any given  $s > 1$ , let  $m > s$ . Then  $u^m|_{\partial\Omega_m} = m > s$ . Let  $\kappa_{\max}(x)$  be the largest principal curvature of  $\mathcal{M}_{u^m}$  at  $x$ , where  $\mathcal{M}_{u^m} = \{(x, u^m(x)) \mid x \in \Omega_m\}$ . Then, for  $m > s$ , we have*

$$\max_{\mathcal{M}_{u^m}}(s - u^m)\kappa_{\max} \leq C.$$

Here,  $C$  depends on the local  $C^1$  estimates of  $u^m$  and  $s$ .

*Proof.* In this proof, for our convenience when there is no confusion, we will drop the superscript on  $u^m$ . Now, on  $\Omega_m$ , we consider the following test function whose form first appeared in [Guan et al. 2015]:

$$\phi = \beta \log(s - u) + \log \log P - N \langle v, E \rangle.$$

Here the function  $P$  is defined by

$$P = \sum_l e^{\kappa_l},$$

and  $\beta$  and  $N$  are constants to be determined later.

Letting  $U_s = \{x \in \mathbb{R}^n \mid u(x) < s\}$ , we may assume that the maximum of  $\phi$  is achieved at  $P_0 \in U_s$ . Choose a local normal coordinate  $\{\tau_1, \tau_2, \dots, \tau_n\}$  such that  $h_{ij} = \kappa_i \delta_{ij}$  and  $\kappa_1 \geq \kappa_2 \geq \dots \geq \kappa_n$  at  $P_0$ .

Differentiating the function  $\phi$  twice at  $P_0$ , we get

$$\phi_i = -\frac{\beta u_i}{s - u} + \frac{P_i}{P \log P} + N h_{ii} u_i = 0 \quad (4-9)$$

and

$$\begin{aligned} 0 \geq \phi_{ii} &= \frac{P_{ii}}{P \log P} - \frac{P_i^2}{P^2 \log P} - \frac{P_i^2}{(P \log P)^2} + \frac{\beta h_{ii} \langle v, E \rangle}{s - u} - \frac{\beta u_i^2}{(s - u)^2} - N h_{ii}^2 \langle v, E \rangle + \sum_s N u_s h_{isi} \\ &= \frac{1}{P \log P} \left[ \sum_l e^{\kappa_l} h_{llii} + \sum_l e^{\kappa_l} h_{lli}^2 + \sum_{p \neq q} \frac{e^{\kappa_p} - e^{\kappa_q}}{\kappa_p - \kappa_q} h_{pqi}^2 - \left( \frac{1}{P} + \frac{1}{P \log P} \right) P_i^2 \right] \\ &\quad + \frac{\beta h_{ii} \langle v, E \rangle}{s - u} - \frac{\beta u_i^2}{(s - u)^2} - N h_{ii}^2 \langle v, E \rangle + \sum_s N u_s h_{iis}. \end{aligned}$$

Contracting with  $\sigma_k^{ii}$ , we have

$$\begin{aligned} \sigma_k^{ii} \phi_{ii} &= \frac{\sigma_k^{ii}}{P \log P} \left[ \sum_l e^{\kappa_l} h_{llii} + \sum_l e^{\kappa_l} h_{lli}^2 + \sum_{p \neq q} \frac{e^{\kappa_p} - e^{\kappa_q}}{\kappa_p - \kappa_q} h_{pqi}^2 - \left( \frac{1}{P} + \frac{1}{P \log P} \right) P_i^2 \right] \\ &\quad + \frac{\beta \sigma_k^{ii} \kappa_i \langle v, E \rangle}{s - u} - \frac{\beta \sigma_k^{ii} u_i^2}{(s - u)^2} - N \sigma_k^{ii} \kappa_i^2 \langle v, E \rangle + \sum_s N u_s \sigma_k^{ii} h_{iis}. \quad (4-10) \end{aligned}$$

At  $P_0$ , differentiating (1-2) twice yields,

$$\sigma_k^{ii} h_{iil} = d_X \psi(\tau_l) + \kappa_l d_v \psi(\tau_l) \quad (4-11)$$

and

$$\sigma_k^{ii} h_{iill} + \sigma_k^{pq,rs} h_{pql} h_{rst} \geq -C - C h_{11}^2 + \sum_s h_{sll} d_v \psi(\tau_s), \quad (4-12)$$

where  $C$  is some uniform constant. Note that

$$h_{l|i} = h_{i|ll} - h_{ii}h_{ll}^2 + h_{ii}^2h_{ll}. \quad (4-13)$$

Inserting (4-12) and (4-13) into (4-10), we obtain

$$\begin{aligned} \sigma_k^{ii}\phi_{ii} \geq & \frac{1}{P \log P} \left[ \sum_l e^{\kappa_l} \left( -C - C\kappa_1^2 - \sigma_k^{pq,rs} h_{pql} h_{rst} + \sum_s h_{sll} d_v \psi(\partial_s) \right) \right. \\ & + \sum_l \sigma_k^{ii} e^{\kappa_l} h_{l|i}^2 + \sigma_k^{ii} \sum_{p \neq q} \frac{e^{\kappa_p} - e^{\kappa_q}}{\kappa_p - \kappa_q} h_{pqi}^2 - \left( \frac{1}{P} + \frac{1}{P \log P} \right) \sigma_k^{ii} P_i^2 \left. \right] \\ & + \frac{\beta k \sigma_k \langle \nu, E \rangle}{s-u} - \frac{\beta \sigma_k^{ii} u_i^2}{(s-u)^2} - N \sigma_k^{ii} \kappa_i^2 \langle \nu, E \rangle + \sum_s N u_s \sigma_k^{ii} h_{s|i} - \sigma_k^{ii} \kappa_i^2. \end{aligned} \quad (4-14)$$

From (4-9) and (4-11), we deduce

$$\frac{1}{P \log P} \sum_j \sum_l e^{\kappa_l} h_{j|ll} d_v \psi(\tau_j) + \sum_j N u_j \sigma_k^{ii} h_{s|i} \geq \sum_l d_v \psi(\tau_l) \frac{\beta u_l}{s-u} - C.$$

For any constant  $K > 1$ , write

$$\begin{aligned} A_i &= e^{\kappa_i} \left[ K(\sigma_k)_i^2 - \sum_{p \neq q} \sigma_k^{pp,qq} h_{ppi} h_{qqi} \right], \quad B_i = 2 \sum_{l \neq i} \sigma_k^{ii,ll} e^{\kappa_l} h_{l|i}^2, \\ C_i &= \sigma_k^{ii} \sum_l e^{\kappa_l} h_{l|i}^2, \quad D_i = 2 \sum_{l \neq i} \sigma_k^{ll} \frac{e^{\kappa_l} - e^{\kappa_i}}{\kappa_l - \kappa_i} h_{l|i}^2, \quad E_i = \frac{1 + \log P}{P \log P} \sigma_k^{ii} P_i^2. \end{aligned}$$

Note that

$$- \sum_l \sigma_k^{pq,rs} h_{pql} h_{rst} = \sum_{p \neq q} \sigma_k^{pp,qq} h_{pql}^2 - \sum_{p \neq q} \sigma_k^{pp,qq} h_{ppl} h_{qqi}.$$

Therefore, (4-14) becomes

$$\begin{aligned} \sigma_k^{ii}\phi_{ii} \geq & \frac{1}{P \log P} \sum_i (A_i + B_i + C_i + D_i - E_i) + \frac{\beta k \sigma_k \langle \nu, E \rangle}{s-u} - \frac{\beta \sigma_k^{ii} u_i^2}{(s-u)^2} \\ & + (-N \langle \nu, E \rangle - 1) \sigma_k^{ii} \kappa_i^2 + \sum_l d_v \psi(\tau_l) \frac{\beta u_l}{s-u} - C \kappa_1. \end{aligned} \quad (4-15)$$

Following the same argument as that in the proof of Lemma 13, from (4-15) we obtain

$$\begin{aligned} 0 \geq & \sum_{|\kappa_i| < \delta \kappa_1} \frac{\alpha}{(P \log P)^2} \sigma_k^{ii} P_i^2 + \frac{\beta k \sigma_k \langle \nu, E \rangle}{s-u} - \frac{\beta \sigma_k^{ii} u_i^2}{(s-u)^2} \\ & + (-N \langle \nu, E \rangle - 1) \sigma_k^{ii} \kappa_i^2 + \sum_l d_v \psi(\tau_l) \frac{\beta u_l}{s-u} - C \kappa_1. \end{aligned} \quad (4-16)$$

Here, the constant  $\delta$  is the same constant as the one chosen in Claim 1 of Lemma 13. Moreover, by (4-9),

$$- \frac{\beta \sigma_k^{ii} u_i^2}{(s-u)^2} \geq - \frac{\sigma_k^{ii}}{\beta} \left[ 2 \left( \frac{P_i}{P \log P} \right)^2 + 2N^2 u_i^2 \kappa_i^2 \right].$$



Choosing  $\beta > 0$  such that  $\alpha\beta > 2$ , (4-16) implies

$$0 \geq \frac{\beta k \sigma_k \langle v, E \rangle}{s-u} - \sum_{|\kappa_i| \geq \delta \kappa_1} \frac{\beta \sigma_k^{ii} u_i^2}{(s-u)^2} + (-N \langle v, E \rangle - 1) \sigma_k^{ii} \kappa_i^2 + \sum_l d_v \psi(\tau_l) \frac{\beta u_l}{s-u} - C \kappa_1 - \sum_{|\kappa_i| < \delta \kappa_1} \frac{\sigma_k^{ii}}{\beta} 2N^2 u_i^2 \kappa_i^2. \quad (4-17)$$

Now, first choose  $N > 0$  such that

$$\frac{1}{2} \sum_{|\kappa_i| \geq \delta \kappa_1} \sigma_k^{ii} \kappa_i^2 (-N \langle v, E \rangle - 1) - C \kappa_1 \geq 0.$$

Then choose  $\beta = \beta(N)$  sufficiently large such that

$$\sum_{|\kappa_i| < \delta \kappa_1} \left( \sigma_k^{ii} \kappa_i^2 (-N \langle v, E \rangle - 1) - \frac{\sigma_k^{ii}}{\beta} 2N^2 u_i^2 \kappa_i^2 \right) \geq 0.$$

We deduce

$$\frac{\beta C}{s-u} + \sum_{|\kappa_i| \geq \delta \kappa_1} \frac{2\beta \sigma_k^{ii} u_i^2}{(s-u)^2} \geq \sum_{|\kappa_i| \geq \delta \kappa_1} \sigma_k^{ii} \kappa_i^2 (-N \langle v, E \rangle - 1). \quad (4-18)$$

If

$$\frac{C}{s-u} \geq \sum_{|\kappa_i| \geq \delta \kappa_1} \frac{2\beta \sigma_k^{ii} u_i^2}{(s-u)^2},$$

we get

$$\frac{2C\beta}{s-u} \geq \sigma_k^{11} \kappa_1^2 (-N \langle v, E \rangle - 1) \geq c_0 (N-1) \kappa_1,$$

which implies the desired estimate. If

$$\frac{C}{s-u} \leq \sum_{|\kappa_i| \geq \delta \kappa_1} \frac{2\beta \sigma_k^{ii} u_i^2}{(s-u)^2},$$

we let  $i_0$  denote the index of the maximum value element of the set

$$\left\{ \frac{2\beta \sigma_k^{ii} u_i^2}{(s-u)^2} \mid |\kappa_i| \geq \delta \kappa_1 \right\}.$$

Then, we obtain the following, which implies our desired estimate:

$$4n \frac{\beta \sigma_k^{i_0 i_0} u_{i_0}^2}{(s-u)^2} \geq \sigma_k^{i_0 i_0} \kappa_{i_0}^2 (-N \langle v, E \rangle - 1) \geq C(N-1) \sigma_k^{i_0 i_0} \delta^2 \kappa_1^2. \quad \square$$

## 5. The prescribed curvature problem

We will prove [Theorem 1](#) and [5](#) in this section.

Let's consider the proof of [Theorem 1](#) first. Recall that in [Section 3.1](#), we have solved the approximate Dirichlet problem (3-5) on  $B_r$  for  $r < 1$ . We will denote the strictly convex solution of (3-5) by  $u^{r*}$ . We further denote the Legendre transform of  $(B_r, u^{r*})$  by  $(\Omega_r, u^r)$ , where  $\Omega_r = Du^{r*}(B_r)$  is the domain of  $u^r$ . By Lemmas 19 and 20 in [\[Wang and Xiao 2022\]](#), we have

$$\underline{u} \leq u^r \leq \bar{u} \quad \text{in } \Omega_r. \quad (5-1)$$

In the following, we will write  $\tilde{\Omega}_r = D\underline{u}^*(B_r)$  for the domain of  $\underline{u}_r := \underline{u}|_{\tilde{\Omega}_r}$ . It is not difficult to see that these domains are increasing, namely,

$$\tilde{\Omega}_r \subset \tilde{\Omega}_s \quad \text{for } r < s.$$

Moreover, by the choice of  $\underline{u}$  in [Section 3.1](#), we have

$$\underline{u}|_{\partial\tilde{\Omega}_r} \rightarrow +\infty \quad \text{as } r \rightarrow 1.$$

Thus, by the comparison principle, we have

$$u_r|_{\partial\Omega_r} = [\xi \cdot Du_r^*(\xi) - u_r^*(\xi)]|_{\partial B_r} \geq [\xi \cdot D\underline{u}^*(\xi) - \underline{u}^*(\xi)]|_{\partial B_r} = \underline{u}|_{\partial\tilde{\Omega}_r}. \quad (5-2)$$

From this we can see that, as  $r \rightarrow 1$ ,  $u_r|_{\partial\Omega_r} \rightarrow +\infty$ . This in turn implies, for any compact set  $\mathcal{K} \subset \mathbb{R}^n$ , there exists a constant  $c_{\mathcal{K}} = c(\mathcal{K}) < 1$  such that, when  $r > c_{\mathcal{K}}$ ,  $\Omega_r \supset \mathcal{K}$ . Therefore, for any compact set  $\mathcal{K} \subset \mathbb{R}^n$ , we can apply [Lemmas 14](#) and [16](#) to obtain uniform  $C^1$  and  $C^2$  bounds for  $u^r$  in  $\mathcal{K}$ .

More precisely, in order to obtain the local  $C^1$  estimate, we introduce a new subsolution  $\underline{u}_1$  of [\(1-2\)](#), where  $\underline{u}_1$  satisfies

$$\sigma_k(\kappa_1, \dots, \kappa_n) = c_1 + 100$$

and, as  $|x| \rightarrow \infty$ ,

$$\underline{u}_1 \rightarrow |x| + \varphi\left(\frac{x}{|x|}\right).$$

By the strong maximum principle, we have, when  $x \in \mathbb{R}^n$ ,

$$\underline{u}_1(x) < \underline{u}(x).$$

Thus, for any compact convex domain  $\mathcal{K}$ , let

$$2\delta = \min_{\mathcal{K}}(\underline{u} - \underline{u}_1).$$

We define a strict spacelike function  $\Psi = \underline{u}_1 + \delta$ . Set  $\mathcal{K}' = \{x \in \mathbb{R}^n \mid \Psi \leq \bar{u}\}$ . Since, as  $|x| \rightarrow \infty$ , we have  $\underline{u}_1 - \bar{u} \rightarrow 0$ , we know that  $\mathcal{K}'$  is a compact set only depending on  $\mathcal{K}$ . Applying [Lemma 14](#), for any  $(\Omega_r, u^r)$ , if  $\mathcal{K}' \subset \Omega_r$ , we have the gradient estimate

$$\sup_{\mathcal{K}'} \frac{1}{\sqrt{1 - |Du^r|^2}} \leq \frac{1}{\delta} \sup_{\mathcal{K}'} \frac{\bar{u} - \Psi}{\sqrt{1 - |D\Psi|^2}}.$$

Next, we want to show that, for any given compact set  $\mathcal{K} \subset \mathbb{R}^n$ , the set  $\{|D^2u^r|\}$  is uniformly bounded in  $\mathcal{K}$ . Without loss of generality, let's consider any  $B_R \subset \mathbb{R}^n$ . Let  $C_0 = \max_{B_R} \bar{u}$  and  $s = 2C_0 + 1$  in [Lemma 16](#). Set  $U_s = \{x \in \mathbb{R}^n \mid \underline{u}(x) < s\}$ . Then by our earlier discussion, it's easy to see that there exists  $r_s > 0$  such that, when  $r > r_s$ , we have  $\Omega_r \supset U_s$ . Applying [Lemma 16](#), we obtain, when  $r > r_s$ ,

$$\sup_{B_R} \kappa_{\max}(M_{u^r}) \leq C.$$

Here  $C$  depends on the upper bound of  $1/\sqrt{1 - |Du^r|^2}$  on  $\bar{U}_s$ , which is independent of  $r$ . Using the classical regularity theorem and convergence theorem, we conclude that  $(\Omega_r, u^r)$  converges locally smoothly to an entire, smooth convex function  $u$  satisfying [\(1-2\)](#). In view of [\(5-1\)](#) and the asymptotic

behavior of  $\underline{u}$  and  $\bar{u}$ , we know that, as  $|x| \rightarrow \infty$ , we have  $u \rightarrow |x| + \varphi(x/|x|)$ . Moreover, by [Remark 2](#), we also know that  $u$  is strictly convex. Therefore, its Gauss map image is  $B_1$ , i.e.,  $Du(\mathbb{R}^n) = B_1$ .

[Theorem 5](#) follows by replacing [Lemmas 14](#) and [16](#) in the proof of [Theorem 1](#) with [Lemmas 15](#) and [17](#).

## 6. The radial downward translating soliton

We will now study the radially symmetric downward translating soliton. Recall that we say  $\mathcal{M}_u$  is a downward translating soliton when its principal curvatures satisfy

$$\sigma_k(\kappa[\mathcal{M}_u]) = \binom{n}{k} \left( \mathcal{C} - \frac{1}{\sqrt{1 - |Du|^2}} \right)^k, \quad (6-1)$$

where  $\mathcal{C} > 1$  is a constant. We want to point out that in this section and the next,  $\mathcal{C}$  is the fixed constant in [\(6-1\)](#). We also write

$$\tilde{\mathcal{C}} = \sqrt{1 - \frac{1}{\mathcal{C}^2}}$$

as in [Theorem 7](#). The following theorem is a generalization of [Theorem 1](#) in [\[Bayard 2023\]](#).

**Theorem 18.** *Let  $\mathcal{C} > 1$  be a positive constant. Then there exists a strictly convex radial solution  $u : \mathbb{R}^n \rightarrow \mathbb{R}$  of [\(6-1\)](#) satisfying*

$$|Du| \rightarrow \tilde{\mathcal{C}} \quad \text{as } |x| \rightarrow +\infty.$$

Moreover,  $u(x)$  has the following asymptotic expansion as  $|x| \rightarrow \infty$ :

$$u(x) = \tilde{\mathcal{C}}|x| - \frac{1}{\mathcal{C}^2} \sqrt[k]{\frac{n-k}{n}} \log |x| + c_0 + o(1) \quad (6-2)$$

for some constant  $c_0 \in \mathbb{R}$ . In particular, the radial solution  $u$  is unique up to the addition of a constant.

For radial solutions, we will reduce [\(6-1\)](#) to an ODE. Let  $u = u(r)$  and  $y = \partial u / \partial r$ . Then a straightforward calculation yields

$$D_i u = y \frac{x_i}{|x|} \quad \text{and} \quad D_{ij}^2 u = \frac{y}{|x|} \left( \delta_{ij} - \frac{x_i x_j}{|x|^2} \right) + y' \frac{x_i x_j}{|x|^2}.$$

Therefore,

$$\kappa[\mathcal{M}_u] = \frac{1}{\sqrt{1 - y^2}} \left( \frac{y'}{1 - y^2}, \frac{y}{r}, \dots, \frac{y}{r} \right),$$

and [\(6-1\)](#) becomes

$$\frac{1}{(1 - y^2)^{k/2}} \frac{y^{k-1}}{r^{k-1}} \left( \frac{k}{n} \frac{y'}{1 - y^2} + \frac{n - k}{n} \frac{y}{r} \right) = \left( \mathcal{C} - \frac{1}{\sqrt{1 - y^2}} \right)^k. \quad (6-3)$$

By a small modification of the proof of [Proposition 2.1](#) in [\[Bayard 2023\]](#), we obtain the following.

**Proposition 19.** *Under the hypotheses of [Theorem 18](#), there exists a solution  $y$  of [\(6-3\)](#), which is defined on  $[0, +\infty)$  and smooth on  $(0, +\infty)$ , such that*

$$y(0) = 0, \quad 0 \leq y < \tilde{\mathcal{C}}, \quad \lim_{r \rightarrow +\infty} y(r) = \tilde{\mathcal{C}}, \quad y'(0) = \mathcal{C} - 1, \quad \text{and} \quad y' > 0 \quad \text{on } [0, +\infty).$$

Moreover, as  $r \rightarrow 0+$ , we have

$$\kappa[\mathcal{M}_u(r)] \rightarrow (\mathcal{C} - 1)(1, 1, \dots, 1).$$

Since the proof is a small modification of the proof of Proposition 2.1 in [Bayard 2023], we skip it here. Now, let's study the asymptotic behavior of  $y$ .

**Proposition 20.** *Let  $y$  be the solution of (6-3). Then  $y$  has the following asymptotic expansion as  $r \rightarrow \infty$ :*

$$y(r) = \tilde{C} - \frac{1}{C^2} \sqrt[k]{\frac{n-k}{n}} \frac{1}{r} + O\left(\frac{1}{r^2}\right).$$

*Proof.* By Proposition 19, we may assume

$$y(r) = \tilde{C} - \frac{z}{r}. \quad (6-4)$$

Then we have

$$\sqrt{1-y^2} - \frac{1}{C} = \frac{1-1/C^2-y^2}{\sqrt{1-y^2}+1/C} = \frac{z}{r} A(r), \quad \text{where } A(r) = \frac{\sqrt{1-1/C^2+y}}{\sqrt{1-y^2}+1/C}. \quad (6-5)$$

Differentiating (6-4) then substituting it into (6-3), we get

$$\frac{k}{n} \frac{y^{k-1}}{1-y^2} \left( -\frac{z'}{r^k} + \frac{z}{r^{k+1}} \right) + \frac{n-k}{n} \frac{y^k}{r^k} = C^k \left( \sqrt{1-y^2} - \frac{1}{C} \right)^k. \quad (6-6)$$

By (6-5), (6-6) can be simplified as

$$\frac{k}{n} \frac{y^{k-1}}{1-y^2} \left( -z' + \frac{z}{r} \right) + \frac{n-k}{n} y^k = C^k z^k A^k(r).$$

Thus, we obtain

$$z' = -B(r)z^k + C(r), \quad (6-7)$$

where

$$B(r) = C^k \frac{n}{k} \frac{1-y^2}{y^{k-1}} A^k(r) \quad \text{and} \quad C(r) = \frac{z}{r} + \frac{n-k}{k} y(1-y^2). \quad (6-8)$$

Applying Proposition 19, we can see that

$$\lim_{r \rightarrow +\infty} B(r) = \frac{n}{k} C^{2k-2} \tilde{C} \quad \text{and} \quad \lim_{r \rightarrow +\infty} C(r) = \frac{n-k}{k} \frac{1}{C^2} \tilde{C}.$$

Here, we have used  $\lim_{r \rightarrow \infty} (z/r) = 0$ , which is a direct consequence of Proposition 19. The next lemma is a generalization of Proposition A.2 in [Bayard 2023].

**Lemma 21.** *Assume  $z : (0, +\infty) \rightarrow \mathbb{R}$  is a positive solution of the equation*

$$z' = -A(r)z^k + B(r),$$

where  $A, B : (0, \infty) \rightarrow \mathbb{R}$  are continuous functions such that

$$\lim_{r \rightarrow +\infty} A(r) = A_0 > 0 \quad \text{and} \quad \lim_{r \rightarrow +\infty} B(r) = B_0 > 0.$$

Then

$$\lim_{r \rightarrow +\infty} z(r) = \sqrt[k]{\frac{B_0}{A_0}}.$$

*Proof.* In order to prove this lemma, we only need to prove the following claim.

**Claim 3.** Assume  $z : (0, +\infty) \rightarrow \mathbb{R}$  is a positive solution of the equation

$$z' = A_0 z^k + B_0,$$

with  $A_0 < 0$  and  $B_0 > 0$  constants. Then

$$\lim_{r \rightarrow \infty} z(r) = \left( -\frac{B_0}{A_0} \right)^{1/k}.$$

If this claim is true, following the same argument as Proposition A.2 in [Bayard 2023], we can prove Lemma 21. We will prove this claim below.

Without loss of generality, let's consider the positive solution of the equation

$$z' = B - z^k \tag{6-9}$$

instead. We will show that

$$\lim_{r \rightarrow \infty} z(r) = B^{1/k}. \tag{6-10}$$

First, since  $z$  is a positive solution of (6-9), let's assume  $0 < z(r_0) = z_0 < B^{1/k}$ . Then we have  $z_0 < z(r) < B^{1/k}$  on  $(r_0, \infty)$ . Writing  $z_1 = B^{1/k}$ , we get

$$z^k - B = (z - z_1)(z^{k-1} + z^{k-2}z_1 + \cdots + z_1^{k-1}).$$

Therefore, (6-9) can be written as

$$-dr = \left[ \frac{A_1}{z - z_1} + \frac{Q_{k-2}(z)}{z^{k-1} + z^{k-2}z_1 + \cdots + z_1^{k-1}} \right] dz, \tag{6-11}$$

where  $A_1 = z_1^{1-k}/k$  and  $Q_{k-2}(z)$  is a polynomial of degree  $k-2$ . It's easy to see that

$$Q_{k-2}(z) = -A_1 z^{k-2} + Q(k-3)(z)$$

and  $Q_{k-3}(z)$  is a polynomial of degree  $k-3$ . Integrating (6-11) from  $r_0$  to  $r$  yields

$$\begin{aligned} -r + r_0 = A_1 \ln \left| \frac{z(r) - z_1}{z_0 - z_1} \right| - \int_{z_0}^{z(r)} \frac{A_1 z^{k-2}}{z^{k-1} + z^{k-2}z_1 + \cdots + z_1^{k-1}} dz \\ + \int_{z_0}^{z(r)} \frac{Q_{k-3}(z)}{z^{k-1} + z^{k-2}z_1 + \cdots + z_1^{k-1}} dz. \end{aligned} \tag{6-12}$$

Notice that, as  $r \rightarrow \infty$ , the left-hand side of (6-12) goes to  $-\infty$ , while

$$- \int_{z_0}^{z(r)} \frac{A_1 z^{k-2}}{z^{k-1} + z^{k-2}z_1 + \cdots + z_1^{k-1}} dz \geq -A_1 \ln \left| \frac{z_1}{z_0} \right|$$

and

$$\left| \int_{z_0}^{z(r)} \frac{Q_{k-3}(z)}{z^{k-1} + z^{k-2}z_1 + \cdots + z_1^{k-1}} dz \right|$$

is bounded. Therefore,  $\lim_{r \rightarrow \infty} z(r) = z_1 = B^{1/k}$ . We similarly prove the case when  $z(r_0) = z_0 > z_1$ .  $\square$

From [Lemma 21](#) and (6-7), we conclude

$$\lim_{r \rightarrow +\infty} z(r) = \frac{1}{\mathcal{C}^2} \sqrt[k]{\frac{n-k}{n}}.$$

We further assume

$$z(r) = \frac{1}{\mathcal{C}^2} \sqrt[k]{\frac{n-k}{n}} + \frac{w(r)}{r}.$$

Inserting it into (6-7), we get

$$w' = -D(r)w + F(r),$$

where

$$D(r) = B(r) \sum_{i=1}^k \binom{k}{i} \left( \frac{1}{\mathcal{C}^2} \sqrt[k]{\frac{n-k}{n}} \right)^{k-i} \left( \frac{w}{r} \right)^{i-1}$$

and

$$F(r) = r \left( C(r) - \frac{B(r)}{\mathcal{C}^{2k}} \frac{n-k}{n} \right) + \frac{w}{r}.$$

Notice that  $\lim_{r \rightarrow +\infty} (w/r) = 0$  and  $D(r)$  has a uniform positive lower bound. In the following, we want to find a positive upper bound for  $F(r)$ . Using the expressions (6-8) for  $B(r)$  and  $C(r)$ , we obtain

$$\begin{aligned} F(r) &= \frac{w}{r} + z + \frac{n-k}{k} \frac{1-y^2}{y^{k-1}} r \left[ y^k - \left( \frac{A(r)}{\mathcal{C}} \right)^k \right] \\ &= \frac{w}{r} + z + \frac{n-k}{k} \frac{1-y^2}{y^{k-1}} r \left( y - \frac{A(r)}{\mathcal{C}} \right) \sum_{i=1}^k y^{k-i} \left( \frac{A(r)}{\mathcal{C}} \right)^{i-1}. \end{aligned} \quad (6-13)$$

Therefore, we only need to show  $r(y - A(r)/\mathcal{C})$  is bounded as  $r \rightarrow \infty$ . By (6-5), we have

$$\begin{aligned} r \left( y - \frac{A(r)}{\mathcal{C}} \right) &= r \left( y - \frac{1}{\mathcal{C}} \frac{\sqrt{1-1/\mathcal{C}^2} + y}{\sqrt{1-y^2} + 1/\mathcal{C}} \right) \\ &= \frac{r(y\sqrt{1-y^2} - (1/\mathcal{C})\sqrt{1-1/\mathcal{C}^2})}{\sqrt{1-y^2} + 1/\mathcal{C}}. \end{aligned} \quad (6-14)$$

Combining (6-14) with the expression for  $y$  and (6-5), we can derive

$$\begin{aligned} y\sqrt{1-y^2} - \frac{1}{\mathcal{C}} \sqrt{1-\frac{1}{\mathcal{C}^2}} &= \left( \sqrt{1-\frac{1}{\mathcal{C}^2}} - \frac{z}{r} \right) \left( \frac{1}{\mathcal{C}} + \frac{zA(r)}{r} \right) - \frac{1}{\mathcal{C}} \sqrt{1-\frac{1}{\mathcal{C}^2}} \\ &= \frac{z}{r} \left( -\frac{1}{\mathcal{C}} + A(r) \sqrt{1-\frac{1}{\mathcal{C}^2}} \right) - \frac{z^2 A(r)}{r^2}. \end{aligned} \quad (6-15)$$

From (6-14), (6-15), and [Lemma 21](#), we conclude that  $r(y - A(r)/\mathcal{C})$  is uniformly bounded from above. Thus,  $F(r)$  has an uniform upper bound. Applying Proposition A.3 in [\[Bayard 2023\]](#), we obtain a uniform upper bound for  $w$ .  $\square$

It's not hard to see that [Theorem 18](#) follows from Propositions [19](#) and [20](#).

## 7. The existence results

In this section we will prove [Theorem 7](#). First, we want to prove the following existence theorem.

**Proposition 22.** *Suppose  $\varphi$  is a  $C^2$  function defined on  $\mathbb{S}_{\tilde{C}}^{n-1} := \{x \in \mathbb{R}^n \mid |x| = \tilde{C}\}$ , where  $\tilde{C} = \sqrt{1 - (1/C)^2}$ . There exists a unique, strictly convex solution  $u : \mathbb{R}^n \rightarrow \mathbb{R}$  of (1-10) such that, as  $|x| \rightarrow \infty$ ,*

$$u(x) \rightarrow \tilde{C}|x| - \frac{1}{C^2} \sqrt[k]{\frac{n-k}{n}} \log |x| + \varphi\left(\tilde{C} \frac{x}{|x|}\right). \quad (7-1)$$

**7.1. Constructing barriers.** We first construct the barrier functions of (1-10). Following the ideas of [[Spruck and Xiao 2016](#); [Treibergs 1982](#)], we denote the radial solution of (1-10) by  $z_0^k(|x|)$ , whose asymptotic expansion satisfies (6-2) with  $c_0 = 0$ . Let

$$p_i(\tilde{C}y) = D\varphi(\tilde{C}y) + (-1)^{i+1} 2M\tilde{C}y, \quad i = 1, 2,$$

for any  $y \in \mathbb{S}^{n-1}$ . Set

$$z_i^k(x, y) = \varphi(\tilde{C}y) - p_i(\tilde{C}y) \cdot \tilde{C}y + z_0^k(|x + p_i(\tilde{C}y)|) \quad \text{for all } x \in \mathbb{R}^n, \quad y \in \mathbb{S}^{n-1}.$$

Then

$$q_1^k(x) = \sup_{y \in \mathbb{S}^{n-1}} z_1^k(x, y)$$

is a subsolution of (1-10) and

$$q_2^k = \inf_{y \in \mathbb{S}^{n-1}} z_2^k(x, y)$$

is a supersolution of (1-10). Moreover,  $q_1^k(x) \leq q_2^k(x)$ , and, when  $|x| \rightarrow +\infty$ , we have

$$q_i^k(x) \rightarrow \tilde{C}|x| - \frac{1}{C^2} \sqrt[k]{\frac{n-k}{n}} \log |x| + \varphi\left(\tilde{C} \frac{x}{|x|}\right), \quad i = 1, 2.$$

**7.2. The Dirichlet problem.** First, let's solve (1-10) for the case  $k = n$ . For any  $t > \min_{\mathbb{R}^n} q_2^n$ , we let

$$\partial\Omega_t = \{x \in \mathbb{R}^n \mid q_1^n(x) < t < q_2^n(x)\}$$

and  $\Omega_t$  be a smooth, strictly convex domain in  $\mathbb{R}^n$ . Consider the Dirichlet problem

$$\begin{cases} \sigma_n^{1/n}(\kappa(\mathcal{M}_{u_t})) = C + \langle v, E \rangle & \text{in } \Omega_t, \\ u_t = t & \text{on } \partial\Omega_t. \end{cases} \quad (7-2)$$

By a small modification of [[Delanoë 1990](#)], we know that there exists a unique solution  $u_t$  of (7-2). Then, applying the local  $C^1$  and  $C^2$  estimates obtained in [[Bayard and Schnürer 2009](#)], we conclude that there exists a subsequence  $\{u_{t_i}\}_{i=1}^\infty$  ( $t_i \rightarrow \infty$  as  $i \rightarrow \infty$ ) that converges to an entire, strictly convex solution  $u$  of (1-10) for  $k = n$ . Moreover, it's easy to see that  $u(x)$  satisfies the desired asymptotic behavior as  $|x| \rightarrow \infty$ . From now on, we will denote this solution by  $u^n$ . We will also denote the Legendre transform of  $u^n$  by  $u^{n*}$ .

Next, we consider the case when  $k < n$ . We denote the Legendre transform of  $z_0^k$  by  $(z_0^k)^*$ ; that is,

$$(z_0^k)^*(\tau) = r \cdot \frac{\partial z_0^k}{\partial r} - z_0^k(r), \quad \text{where } \tau = \frac{\partial z_0^k}{\partial r}.$$

Using the asymptotic expansion of  $z_0$  derived in [Section 6](#), we know

$$(z_0^k)^*(\tau) = \frac{1}{C^2} \sqrt[k]{\frac{n-k}{n}} (\log r - 1) + O\left(\frac{1}{r}\right).$$

Writing its principal part as

$$(\tilde{z}_0^k)^*(\tau) = \frac{1}{C^2} \sqrt[k]{\frac{n-k}{n}} (\log r(\tau) - 1),$$

it is clear that  $(\tilde{z}_0^k)^*$  is unbounded in  $B_{\tilde{C}}$ .

To make sure our solution is convex, we consider the dual Dirichlet problem on  $B_\tau$  for any  $\tau < \tilde{C}$ :

$$\begin{cases} \hat{F}(w^* \gamma_{ik}^* u_{kl}^* \gamma_{lj}^*) = \frac{\binom{n}{k}^{-1/k}}{C - 1/\sqrt{1 - |\xi|^2}} & \text{in } B_\tau, \\ u^* = u^{n^*} + (z_0^k)^* - (z_0^n)^* & \text{on } \partial B_\tau. \end{cases} \quad (7-3)$$

Here, we have

$$w^* = \sqrt{1 - |\xi|^2}, \quad \gamma_{ij}^* = \delta_{ij} - \frac{\xi_i \xi_j}{1 + w^*}, \quad u_{kl}^* = \frac{\partial^2 u}{\partial \xi_k \partial \xi_l}, \quad \hat{F}(w^* \gamma_{ik}^* u_{kl}^* \gamma_{lj}^*) = \left( \frac{\sigma_n}{\sigma_{n-k}} (\kappa^*[w^* \gamma_{ik}^* u_{kl}^* \gamma_{lj}^*]) \right)^{1/k},$$

and  $\kappa^*[w^* \gamma_{ik}^* u_{kl}^* \gamma_{lj}^*] = (\kappa_1^*, \dots, \kappa_n^*)$  is the set of eigenvalues of the matrix  $(w^* \gamma_{ik}^* u_{kl}^* \gamma_{lj}^*)$ . The solvability of (7-3) has been established in [Section 3](#). Therefore, by standard PDE theorems, in order to prove [Proposition 22](#), we only need to obtain local  $C^1$  and  $C^2$  estimates for the translating soliton equation (1-10). In order to do so, we will need the following lemma.

**Lemma 23.** *Let  $u^{\tau^*}$  be a solution to (7-3) and  $u^\tau$  be the Legendre transform of  $u^{\tau^*}$ . Then, for any  $x \in Du^{\tau^*}(B_\tau)$ , we have  $q_1^k(x) \leq u^\tau(x) \leq q_2^k(x)$ .*

*Proof.* Without causing confusion we shall drop the superscript  $\tau$  in the proof. We only need to prove that

$$z_1^k(x, y) \leq u(x) \leq z_2^k(x, y)$$

for any  $x \in Du^{\tau^*}(B_\tau)$  and  $y \in \mathbb{S}^{n-1}$ . This is equivalent to proving

$$(z_2^k)^*(\xi, y) \leq u^*(\xi) \leq (z_1^k)^*(\xi, y)$$

for any  $\xi \in B_\tau$  and  $y \in \mathbb{S}^{n-1}$ . Since we have

$$\begin{aligned} (z_i^k)^*(\xi, y) &= (z_0^k)^*(|\xi|) - p_i(\tilde{C}y) \cdot \xi - \varphi(\tilde{C}y) + p_i(\tilde{C}y) \cdot \tilde{C}y \\ &= (z_0^k)^*(|\xi|) - (z_0^n)^*(|\xi|) + (z_i^n)^*(\xi, y) \end{aligned} \quad (7-4)$$

and

$$(z_2^n)^*(\xi, y) < u^{n^*}(\xi) < (z_1^n)^*(\xi, y),$$

we obtain, on  $\partial B_\tau$ ,

$$(z_2^k)^*(\xi, y) \leq u^*(\xi) \leq (z_1^k)^*(\xi, y).$$

By the comparison principle, we finish the proof.  $\square$



**7.3. Local  $C^1$  and  $C^2$  estimates.** Similar to [Lemma 14](#), we have the following local  $C^1$  estimate lemma for translating solitons.

**Lemma 24.** *Let  $\Omega \subset \mathbb{R}^n$  be a bounded open set. Let  $u, \bar{u}, \Psi : \Omega \rightarrow \mathbb{R}^n$  be strictly  $\mathcal{C}$ -spacelike, i.e.,*

$$|Du|, |D\bar{u}|, |D\Psi| < \tilde{\mathcal{C}}.$$

*Assume that  $u$  is strictly convex and  $u \leq \bar{u}$  in  $\Omega$ . Also assume that, near  $\partial\Omega$ , we have  $\Psi > \bar{u}$ . Consider the set with  $u > \Psi$ . For every  $x$  in that set, we have the following gradient estimate for  $u$ :*

$$\frac{1}{\sqrt{\tilde{\mathcal{C}}^2 - |Du|^2}} \leq \frac{1}{u(x) - \Psi(x)} \cdot \sup_{\{u > \Psi\}} \frac{\bar{u} - \Psi}{\sqrt{\tilde{\mathcal{C}}^2 - |D\psi|^2}}.$$

Since the proof is the same as the proof of [Lemma 5.1](#) in [\[Bayard and Schnürer 2009\]](#), we skip it here.

We now construct  $\Psi$ . Following the argument in [Section 4](#) of [\[Bayard 2023\]](#), let

$$\Psi(x) = -A_0 + \tilde{\mathcal{C}}\sqrt{1 + |x|^2}.$$

It is clear that, when  $|x|$  is sufficiently large, we have  $\Psi(x) > q_2(x)$ . On the other hand, for any compact set  $\mathcal{K} \subset \mathbb{R}^n$ , we can always choose  $A_0$  large enough that  $\Psi(x) < q_1(x)$  in  $\mathcal{K}$ . Applying [Lemma 24](#) we obtain that, for any  $\mathcal{K} \subset \mathbb{R}^n$  and any strictly convex function  $q_1(x) < u(x) < q_2(x)$  satisfying [\(1-10\)](#), whose domain of definition contains  $\mathcal{K}$ , there exists a local  $C^1$  bound  $C_{\mathcal{K}}$  for  $u(x)$  in  $\mathcal{K}$  that only depends on  $\mathcal{K}$ .

Using the idea of [\[Wang and Xiao 2022\]](#), we can prove the following Pogorelov-type local  $C^2$  estimate for translating solitons.

**Lemma 25.** *Let  $u$  be the solution of [\(1-10\)](#) defined on  $\Omega$ . For any given  $s > \min_{\mathbb{R}^n} u(x) + 1$ , suppose  $u|_{\partial\Omega} > s$ . Let  $\kappa_{\max}(x)$  be the largest principal curvature of  $\mathcal{M}_u = \{(x, u(x)) \mid x \in \Omega\}$  at  $x$ . Then we have*

$$\max_{\mathcal{M}_u}(s - u)\kappa_{\max} \leq C_1.$$

*Here,  $C_1$  only depends on the local  $C^1$  estimate of  $u$ . More specifically,  $C_1$  depends on the lower bound of  $\mathcal{C} + \langle v, E \rangle$ .*

Following the argument in [Section 5](#), we complete the proof of [Proposition 22](#).

**7.4. Proof of [Theorem 7](#).** In this subsection, we will prove that the hypersurface  $\mathcal{M}_u$  constructed in [Proposition 22](#) has bounded principal curvatures. This completes the proof of [Theorem 7](#). For our convenience, in the following, we will drop the superscript  $k$ , and the updated configuration  $z_0^k$  now becomes  $z_0$ .

Suppose  $u$  is a strictly convex solution of [\(1-10\)](#) and  $u^*$  is the Legendre transform of  $u$ . Then  $u^*$  satisfies

$$\hat{F}(w^* \gamma_{ik}^* u_{kl}^* \gamma_{lj}^*) = \frac{\binom{n}{k}^{-1/k}}{\mathcal{C} - 1/\sqrt{1 - |\xi|^2}} \quad \text{in } B_{\tilde{\mathcal{C}}}. \quad (7-5)$$

We also denote the Legendre transform of  $z_0$  by  $z_0^*$ ; that is,

$$z_0^*(\tau) = r \cdot \frac{\partial z_0}{\partial r} - z_0(r), \quad \text{where } \tau = \frac{\partial z_0}{\partial r}.$$

Using the asymptotic expansion of  $z_0$  derived in Section 6, we know

$$z_0^*(\tau) = \frac{1}{\mathcal{C}^2} \sqrt[k]{\frac{n-k}{n}} (\log r - 1) + O\left(\frac{1}{r}\right).$$

Writing its principal part as

$$\tilde{z}_0^*(\tau) = \frac{1}{\mathcal{C}^2} \sqrt[k]{\frac{n-k}{n}} (\log r(\tau) - 1),$$

it is clear that  $\tilde{z}_0^*(\tau)$  is unbounded in  $B_{\tilde{c}}$ .

**Lemma 26.** *Let  $u^*$  and  $\tilde{z}_0^*$  be defined as above. Then we have*

$$\lim_{\xi \rightarrow \xi_0} (u^*(\xi) - \tilde{z}_0^*(|\xi|)) = -\varphi(\xi_0) \quad \text{for any } \xi_0 \in \partial B_{\tilde{c}}, \quad \xi \in B_{\tilde{c}}. \quad (7-6)$$

*Proof.* We use the auxiliary functions  $z_i(x, y)$ ,  $i = 1, 2$ , constructed in Section 7.1. It's easy to see that

$$z_1(x, y) < u(x) < z_2(x, y) \quad \text{for any } x \in \mathbb{R}^n, \quad y \in \mathbb{S}^{n-1}.$$

By the strict convexity of  $z_i(x, y)$ , we have

$$z_2^*(\xi, y) < u^*(\xi) < z_1^*(\xi, y) \quad \text{for any } \xi \in B_{\tilde{c}}, \quad y \in \mathbb{S}^{n-1}. \quad (7-7)$$

Notice that

$$z_i^*(\xi, y) = z_0^*(|\xi|) - p_i(\tilde{\mathcal{C}}y) \cdot \xi - \varphi(\tilde{\mathcal{C}}y) + p_i(\tilde{\mathcal{C}}y) \cdot \tilde{\mathcal{C}}y.$$

Therefore, letting  $\tilde{\mathcal{C}}y = \xi_0$  and  $\xi \rightarrow \xi_0$ , we get

$$z_i(\xi, \tilde{\mathcal{C}}^{-1}\xi_0) - z_0^*(|\xi|) \rightarrow -\varphi(\xi_0).$$

This together with (7-7) yields (7-6). □

Now we let

$$\partial = \xi_i \frac{\partial}{\partial \xi_j} - \xi_j \frac{\partial}{\partial \xi_i}$$

be the angular derivative. Similar to Section 10 in [Ren et al. 2020], we obtain following lemmas.

**Lemma 27.** *Let  $u^*$  be the solution of (7-5). Then  $|\partial u^*|$  is bounded above by a constant depending on  $|\varphi|_{C^1}$ , and  $\partial^2 u^*$  is bounded above by a constant depending on  $|\varphi|_{C^2}$ .*

*Proof.* Noticing that  $\partial|\xi|^2 = 0$ , we have that the angular derivative of the right-hand side of (7-5) is zero. Therefore, following the proof of Lemmas 29 and 30 in [Ren et al. 2020], we have

$$F^{ij} w^* \gamma_{ik}^* (\partial(u^* - \tilde{z}_0^*))_{kl} \gamma_{lj}^* = 0 \quad \text{and} \quad F^{ij} w^* \gamma_{ik}^* (\partial^2(u^* - \tilde{z}_0^*))_{kl} \gamma_{lj}^* \geq 0.$$

In view of (7-6) and the maximum principle, we obtain the desired estimates. □

**Lemma 28.** *Let  $u^*$  be the solution of (7-5). There is a positive constant  $b$  such that*

$$\sqrt{\tilde{\mathcal{C}}^2 - |\xi|^2} |\partial^2 u^*| < b.$$

*Proof.* We consider  $u^* - \tilde{z}_0^*$ , which has  $C^0$  bound on  $B_{\tilde{c}}$ . Since  $\partial^2 u^* = \partial^2(u^* - \tilde{z}_0^*)$ , the rest of the proof is the same as that of Lemma 5.3 in [Li 1995]. □

**Lemma 29.** *Suppose  $a_0 < r < \tilde{C}$  for some  $a_0 \in (0, \tilde{C})$  and  $\mathbb{S}^{n-1}(r) = \{\xi \in \mathbb{R}^n \mid \sum \xi_i^2 = r^2\}$ . For any point  $\hat{\xi} \in \mathbb{S}^{n-1}(r)$ , there is a function*

$$\bar{u}_0^* = z_0^* + b_1 \xi_1 + \cdots + b_n \xi_n + b$$

such that

$$\bar{u}_0^*(\hat{\xi}) = u^*(\hat{\xi})$$

and

$$\bar{u}_0^*(\hat{\xi}) > u^*(\xi) \quad \text{for any } \xi \in \mathbb{S}^{n-1}(r) \setminus \{\hat{\xi}\}.$$

Here,  $b_1, \dots, b_n$  are constants depending on  $\hat{\xi}$ , and  $b$  is a positive constant independent of  $\hat{\xi}$  and  $r$ .

*Proof.* The proof is almost the same as the proof of Lemma 5.4 in [Li 1995]. We only need to replace  $u$ ,  $\bar{u}$ , and  $-\bar{k}\sqrt{1-|x|^2}$  by  $u^* - \bar{z}_0^*$ ,  $\bar{u}_0^* - \bar{z}_0^*$ , and  $z_0^* - \bar{z}_0^*$ , respectively, in Li's proof.  $\square$

Similarly, we can prove the following lemma analogous to Lemma 5.5 in [Li 1995].

**Lemma 30.** *Suppose  $a_0 < r < \tilde{C}$  for some  $a_0 \in (0, \tilde{C})$  and  $\mathbb{S}^{n-1}(r) = \{\xi \in \mathbb{R}^n \mid \sum \xi_i^2 = r^2\}$ . For any point  $\hat{\xi} \in \mathbb{S}^{n-1}(r)$ , there is a function*

$$\underline{u}_0^* = z_0^* + a_1 \xi_1 + \cdots + a_n \xi_n - a$$

such that

$$\underline{u}_0^*(\hat{\xi}) = u^*(\hat{\xi})$$

and

$$\underline{u}_0^*(\hat{\xi}) < u^*(\xi) \quad \text{for any } \xi \in \mathbb{S}^{n-1}(r) \setminus \{\hat{\xi}\}.$$

Here,  $a_1, \dots, a_n$  and  $a$  are constants depending on  $\hat{\xi}$ ,  $a > 0$ , and  $a\sqrt{\tilde{C}^2 - |\hat{\xi}|^2} < C_1$ , where  $C_1$  is a positive constant only depending on  $|\varphi|_{C^2}$ .

Using Lemmas 29 and 30 we can show the following.

**Lemma 31.** *Let  $u$  be the solution of (1-10) and  $u^*$  be the Legendre transform of  $u$ . There are positive constants  $d_2 > d_1$  such that*

$$0 < d_1 \leq u(\tilde{C}^2 - |Du|^2) \leq d_2. \quad (7-8)$$

Here,  $d_2$  depends on  $|u|_{C^0(\Omega)}$ , and  $\Omega = \{x \in \mathbb{R}^n \mid |Du| \leq a_0\}$ .

*Proof.* We modify the proof of Li [1995]. We first consider the lower bound. For any  $\hat{\xi} \in \mathbb{S}^{n-1}(r)$ , using Lemma 29, we have

$$u^*(\hat{\xi}) = \bar{u}_0^*(\hat{\xi}) \quad \text{and} \quad u^*(\xi) < \bar{u}_0^*(\xi) \quad \text{for } \xi \in \mathbb{S}^{n-1}(r) \setminus \{\hat{\xi}\}.$$

Thus, using that  $\bar{u}_0^*$  is a supersolution, we get  $u^*(\xi) < \bar{u}_0^*(\xi)$  in  $B_r$ . Therefore, at  $\hat{\xi}$ , we get

$$u(\hat{x}) = \hat{\xi} \cdot Du^* - u^* > \hat{\xi} \cdot D\bar{u}_0^* - \bar{u}_0^* = z_0(\hat{r}) - b,$$

where we assume  $\hat{x} = Du^*(\hat{\xi})$  and  $z'_0(\hat{r}) := \partial z_0 / \partial r(\hat{r}) = |\hat{\xi}|$ . Thus, at  $\hat{x}$ , we have

$$u(\tilde{C}^2 - |Du|^2) > z_0(\hat{r})(\tilde{C}^2 - |z'_0(\hat{r})|^2) - b(\tilde{C}^2 - |\hat{\xi}|^2). \quad (7-9)$$

Using the asymptotic behavior of  $z_0$ , we have

$$z_0(\tilde{\mathcal{C}}^2 - |z'_0|^2) = \left[ \tilde{c}r - \frac{1}{\tilde{c}^2} \sqrt[k]{\frac{n-k}{n}} \log r + O\left(\frac{1}{r}\right) \right] \left[ \tilde{c}^2 - \left( \tilde{c} - \frac{1}{\tilde{c}^2} \sqrt[k]{\frac{n-k}{n}} \frac{1}{r} + O\left(\frac{1}{r^2}\right) \right)^2 \right] = 2 \frac{\tilde{\mathcal{C}}^2}{\tilde{c}^2} \sqrt[k]{\frac{n-k}{n}} + o(1)$$

We write

$$2c_0 = 2 \frac{\tilde{\mathcal{C}}^2}{\tilde{c}^2} \sqrt[k]{\frac{n-k}{n}}.$$

Therefore, by (7-9), we obtain

$$u(\tilde{\mathcal{C}}^2 - |Du|^2) > \frac{1}{2}c_0$$

for  $r$  sufficiently close to  $\tilde{\mathcal{C}}$ . We further assume  $r > a_0$ , since for  $r < a_0$ , without loss of generality, we can assume  $u \geq 1$ . Therefore,

$$u(\tilde{\mathcal{C}}^2 - |\hat{\xi}|^2) \geq \tilde{\mathcal{C}}^2 - a_0^2.$$

Thus, we obtain the uniform lower bound. For the upper bound, we apply a similar argument. For  $r$  sufficiently close to  $\tilde{\mathcal{C}}$  and still assuming  $r \geq a_0$ , we have

$$u(\tilde{\mathcal{C}}^2 - |Du|^2) < z_0(\hat{r})(\tilde{\mathcal{C}}^2 - |z'_0(\hat{r})|^2) + a(\tilde{\mathcal{C}}^2 - |\hat{\xi}|^2) \leq 3c_0 + C_1\tilde{\mathcal{C}}.$$

We have obtained a uniform upper bound.  $\square$

Finally, we are ready to adapt the ideas in [Li 1995; Ren et al. 2020] to estimate the principal curvatures of  $\mathcal{M}_u$ .

**Proposition 32.** *Let  $u$  be the solution of (1-10). Then the hypersurface  $\mathcal{M}_u = \{(x, u(x)) \mid x \in \mathbb{R}^n\}$  has bounded principal curvatures.*

*Proof.* We will establish a Pogorelov-type interior estimate. For any  $s > 0$ , consider

$$\phi = e^{-s/(s-u)} [u(\mathcal{C} + \langle v, E \rangle)]^{-N} P_m^{1/m},$$

where  $P_m = \sum_j \kappa_j^m$  and  $m, N > 0$  are constants to be determined later. Without loss of generality, we also assume  $u \geq 1$  in  $\mathbb{R}^n$ . It's easy to see that  $\phi$  achieves its local maximum at an interior point of  $U_s = \{x \in \mathbb{R}^n \mid u(x) < s\}$ ; we will assume this point is  $x_0$ . We can choose a local normal coordinate  $\{\tau_1, \dots, \tau_n\}$  such that, at  $x_0$ , we have  $h_{ij} = \kappa_i \delta_{ij}$  and  $\kappa_1 \geq \kappa_2 \geq \dots \geq \kappa_n$ .

Differentiating  $\log \phi$  at  $x_0$ , we get

$$\frac{\phi_i}{\phi} = \frac{\sum_j \kappa_j^{m-1} h_{jji}}{P_m} - N \frac{h_{ii} \langle \tau_i, E \rangle}{\mathcal{C} + \langle v, E \rangle} - N \frac{u_i}{u} - \frac{su_i}{(s-u)^2} = 0 \quad (7-10)$$

and

$$\begin{aligned} \frac{\phi_{ii}}{\phi} - \frac{\phi_i^2}{\phi^2} &= \frac{1}{P_m} \left[ \sum_j \kappa_j^{m-1} h_{jjii} + (m-1) \sum_j \kappa_j^{m-2} h_{jji}^2 + \sum_{p \neq q} \frac{\kappa_p^{m-1} - \kappa_q^{m-1}}{\kappa_p - \kappa_q} h_{pqi}^2 \right] \\ &\quad - \frac{m}{P_m^2} \left( \sum_j \kappa_j^{m-1} h_{jji} \right)^2 - N \sum_l h_{ili} \frac{\langle \tau_l, E \rangle}{\mathcal{C} + \langle v, E \rangle} + N h_{ii}^2 \frac{-\langle v, E \rangle}{\mathcal{C} + \langle v, E \rangle} \\ &\quad + N h_{ii}^2 \frac{u_i^2}{(\mathcal{C} + \langle v, E \rangle)^2} + N \frac{h_{ii} \langle v, E \rangle}{u} + N \frac{u_i^2}{u^2} + s \frac{h_{ii} \langle v, E \rangle}{(s-u)^2} - 2s \frac{u_i^2}{(s-u)^3} \leq 0. \end{aligned} \quad (7-11)$$

By (1-10), we derive

$$\sigma_k^{ii} h_{ij} = \binom{n}{k} k (C + \langle v, E \rangle)^{k-1} (-h_{jj} u_j)$$

and

$$\begin{aligned} \sigma_k^{ii} h_{ijj} &= -\sigma_k^{pq,rs} h_{pqj} h_{rsj} + \binom{n}{k} k (k-1) (C + \langle v, E \rangle)^{k-2} h_{jj}^2 u_j^2 \\ &\quad + \binom{n}{k} k (C + \langle v, E \rangle)^{k-1} \left( -\sum_l h_{jjl} u_l + h_{jj}^2 \langle v, E \rangle \right) \\ &\geq -\sigma_k^{pq,rs} h_{pqj} h_{rsj} + \binom{n}{k} k (C + \langle v, E \rangle)^{k-1} \left( -\sum_l h_{jjl} u_l \right) - K_0 (C + \langle v, E \rangle)^{k-1} \kappa_1^2, \end{aligned} \quad (7-12)$$

where  $K_0 = K_0(n, k, C) > 0$  is a constant depending on  $n, k$ , and  $C$ . Recall that, in Minkowski space,

$$h_{jjii} = h_{ijij} + h_{ii}^2 h_{jj} - h_{ii} h_{jj}^2.$$

Thus,

$$\sigma_k^{ii} h_{jjii} = \sigma_k^{ii} h_{ijij} + \sigma_k^{ii} h_{ii}^2 h_{jj} - \sigma_k^{ii} h_{ii} h_{jj}^2 \geq \sigma_k^{ii} h_{ijij} - k \binom{n}{k} (C + \langle v, E \rangle)^k h_{jj}^2. \quad (7-13)$$

Combining (7-13) with (7-11), we obtain

$$\begin{aligned} 0 &\geq \sigma_k^{ii} \frac{\phi_{ii}}{\phi} = \frac{\sigma_k^{ii}}{P_m} \left[ \sum_j \kappa_j^{m-1} h_{jjii} + (m-1) \sum_j \kappa_j^{m-2} h_{jjj}^2 + \sum_{p \neq q} \frac{\kappa_p^{m-1} - \kappa_q^{m-1}}{\kappa_p - \kappa_q} h_{pqi}^2 \right] \\ &\quad - \frac{m \sigma_k^{ii}}{P_m^2} \left( \sum_j \kappa_j^{m-1} h_{jjj} \right)^2 - N \sigma_k^{ii} \sum_l h_{ili} \frac{\langle \tau_l, E \rangle}{(C + \langle v, E \rangle)} + N \sigma_k^{ii} h_{ii}^2 \frac{-\langle v, E \rangle}{C + \langle v, E \rangle} \\ &\quad + N \sigma_k^{ii} h_{ii}^2 \frac{u_i^2}{(C + \langle v, E \rangle)^2} + N \sigma_k^{ii} \frac{h_{ii} \langle v, E \rangle}{u} + N \sigma_k^{ii} \frac{u_i^2}{u^2} + s \frac{\sigma_k^{ii} h_{ii} \langle v, E \rangle}{(s-u)^2} - 2s \frac{\sigma_k^{ii} u_i^2}{(s-u)^3} \\ &\geq -K_0 (C + \langle v, E \rangle)^{k-1} \kappa_1 + \sum_i (A_i + B_i + C_i + D_i - E_i) + \binom{n}{k} k (C + \langle v, E \rangle)^{k-1} \frac{-\sum_{j,l} h_{jjl} \kappa_j^{m-1} u_l}{P_m} \\ &\quad - N k \binom{n}{k} (C + \langle v, E \rangle)^{k-2} \sum_l \kappa_l u_l^2 + N \sigma_k^{ii} \kappa_i^2 \frac{-\langle v, E \rangle}{C + \langle v, E \rangle} + N \sigma_k^{ii} h_{ii}^2 \frac{u_i^2}{(C + \langle v, E \rangle)^2} \\ &\quad + N \sigma_k^{ii} \frac{h_{ii} \langle v, E \rangle}{u} + N \sigma_k^{ii} \frac{u_i^2}{u^2} + s \frac{\sigma_k^{ii} h_{ii} \langle v, E \rangle}{(s-u)^2} - 2s \frac{\sigma_k^{ii} u_i^2}{(s-u)^3}. \end{aligned} \quad (7-14)$$

Here,

$$\begin{aligned} A_i &= \frac{\kappa_i^{m-1}}{P_m} \left[ K (\sigma_k)_i^2 - \sum_{p,q} \sigma_k^{pp,qq} h_{ppi} h_{qqi} \right] \quad \text{for some constant } K > 1, \\ B_i &= \frac{2\kappa_j^{m-1}}{P_m} \sum_j \sigma_k^{jj,ii} h_{jjj}^2, \quad C_i = \frac{m-1}{P_m} \sigma_k^{ii} \sum_j \kappa_j^{m-2} h_{jjj}^2, \\ D_i &= \frac{2\sigma_k^{jj}}{P_m} \sum_{j \neq i} \frac{\kappa_j^{m-1} - \kappa_i^{m-1}}{\kappa_j - \kappa_i} h_{jjj}^2, \quad E_i = \frac{m \sigma_k^{ii}}{P_m^2} \left( \sum_j \kappa_j^{m-1} h_{jjj} \right)^2. \end{aligned}$$

By Lemmas 8 and 9 and Corollary 10 in [Li et al. 2016], we can assume the following claim holds.

**Claim 4.** *There exist two small positive constants  $\delta$  and  $\eta < 1$ . If  $\kappa_k \leq \delta\kappa_1$ , we have*

$$\sum_i A_i + B_i + C_i + D_i - \left(1 + \frac{\eta}{m}\right) E_i \geq 0, \quad (7-15)$$

where  $m > 0$  is sufficiently large.

If (7-15) doesn't hold, we would have  $\kappa_k > \delta\kappa_1$ . Since  $\sigma_k \leq \binom{n}{k} C^k$ , we get

$$\delta^{k-1} \kappa_1^k \leq \kappa_1 \kappa_2 \cdots \kappa_k \leq \sigma_k \leq \binom{n}{k} C^k.$$

Since this gives an upper bound for  $\kappa_1$  at  $x_0$  directly, we would be done. Therefore, we assume (7-15) holds. Plugging (7-15) into (7-14) yields

$$\begin{aligned} 0 \geq & -K_0(C + \langle v, E \rangle)^{k-1} \kappa_1 + \eta \frac{\sigma_k^{ii}}{P_m^2} \left( \sum_j \kappa_j^{m-1} h_{jji} \right)^2 - k \binom{n}{k} (C + \langle v, E \rangle)^{k-1} |\nabla u|^2 \left( \frac{N}{u} + \frac{s}{(s-u)^2} \right) \\ & + N \sigma_k^{ii} \kappa_i^2 \frac{-\langle v, E \rangle}{C + \langle v, E \rangle} + N \sigma_k^{ii} h_{ii}^2 \frac{u_i^2}{(C + \langle v, E \rangle)^2} + N \sigma_k^{ii} \frac{h_{ii} \langle v, E \rangle}{u} \\ & + N \sigma_k^{ii} \frac{u_i^2}{u^2} + s \frac{\sigma_k^{ii} h_{ii} \langle v, E \rangle}{(s-u)^2} - 2s \frac{\sigma_k^{ii} u_i^2}{(s-u)^3}. \end{aligned} \quad (7-16)$$

From (7-10), we obtain

$$\begin{aligned} \left( \frac{\sum_j \kappa_j^{m-1} h_{jji}}{P_m} \right)^2 = & N^2 \frac{\kappa_i^2 u_i^2}{(C + \langle v, E \rangle)^2} + N^2 \frac{u_i^2}{u^2} + \frac{s^2 u_i^2}{(s-u)^4} - 2N^2 \frac{\kappa_i u_i^2}{u(C + \langle v, E \rangle)} \\ & - 2Ns \frac{\kappa_i u_i^2}{(C + \langle v, E \rangle)(s-u)^2} + 2Ns \frac{u_i^2}{u(s-u)^2}. \end{aligned} \quad (7-17)$$

Inserting (7-17) into (7-16), we derive

$$\begin{aligned} 0 \geq & -K_0(C + \langle v, E \rangle)^{k-1} \kappa_1 + \eta \frac{s^2 \sigma_k^{ii} u_i^2}{(s-u)^4} + N(N\eta + 1) \sigma_k^{ii} \kappa_i^2 \frac{u_i^2}{(C + \langle v, E \rangle)^2} - 2N^2 \eta \frac{\sigma_k^{ii} \kappa_i u_i^2}{u(C + \langle v, E \rangle)} \\ & - 2Ns\eta \frac{\sigma_k^{ii} \kappa_i u_i^2}{(C + \langle v, E \rangle)(s-u)^2} + 2Ns\eta \frac{\sigma_k^{ii} u_i^2}{u(s-u)^2} + N \sigma_k^{ii} \frac{h_{ii} \langle v, E \rangle}{u} + N(\eta N + 1) \sigma_k^{ii} \frac{u_i^2}{u^2} + s \frac{\sigma_k^{ii} h_{ii} \langle v, E \rangle}{(s-u)^2} \\ & - 2s \frac{\sigma_k^{ii} u_i^2}{(s-u)^3} - k \binom{n}{k} (C + \langle v, E \rangle)^{k-1} |\nabla u|^2 \left( \frac{N}{u} + \frac{s}{(s-u)^2} \right) + N \sigma_k^{ii} \kappa_i^2 \frac{-\langle v, E \rangle}{C + \langle v, E \rangle}. \end{aligned} \quad (7-18)$$

It's clear that

$$|\nabla u| = \frac{|Du|}{\sqrt{1 - |Du|^2}} < -\langle v, E \rangle \leq C. \quad (7-19)$$

We also notice that, for any  $1 \leq i \leq n$ , we have  $\sigma_k^{ii} \kappa_i \leq \binom{n}{k} C^k$  (no summation). By a simple calculation, we get, when  $N > 1/\eta^2$ ,

$$\eta \frac{s^2 \sigma_k^{ii} u_i^2}{(s-u)^4} + 2Ns\eta \frac{\sigma_k^{ii} u_i^2}{u(s-u)^2} - 2s \frac{\sigma_k^{ii} u_i^2}{(s-u)^3} \geq 0. \quad (7-20)$$

Moreover, applying [Lemma 31](#), we know there exist two positive constants  $\tilde{d}_2 > \tilde{d}_1 > 0$  such that

$$\tilde{d}_1 \leq u(C + \langle v, E \rangle) \leq \tilde{d}_2. \quad (7-21)$$

Therefore, for  $N > 1/\eta^2$  sufficiently large, combining (7-19)–(7-21) with (7-18) yields

$$\begin{aligned} 0 \geq & -K_0(C + \langle v, E \rangle)^{k-1} \kappa_1 - \frac{2N^2}{\tilde{d}_1} |\nabla u|^2 \sigma_k^{ii} \kappa_i - 2Ns \frac{|\nabla u|^2 \sigma_k^{ii} \kappa_i}{(C + \langle v, E \rangle)(s-u)^2} \\ & - N C \sigma_k^{ii} \kappa_i - C \sigma_k^{ii} \kappa_i \frac{s}{(s-u)^2} - k C^2 \binom{n}{k} (C + \langle v, E \rangle)^{k-1} \frac{s}{(s-u)^2} \\ & - k \binom{n}{k} C^2 (C + \langle v, E \rangle)^{k-1} N + N \frac{c_0 \sigma_k \kappa_1}{C + \langle v, E \rangle}. \end{aligned}$$

It's easy to see that the above inequality yields, at  $x_0$ ,

$$\kappa_1 \leq K(N, C, \tilde{d}_1) \frac{s^2}{(s-u)^2}.$$

Therefore, in  $U_s$ , by (7-21), we have

$$\phi \leq K(N, C, \tilde{d}_1) e^{-s/(s-u)} \frac{s^2}{(s-u)^2}.$$

Note that, for any  $t \in [0, s]$ ,

$$\varphi(t) = e^{-s/(s-t)} \frac{s^2}{(s-t)^2} \leq 4e^{-2}.$$

We obtain, at any point  $x \in U_s$ ,

$$\phi \leq K(N, C, \tilde{d}_1). \quad (7-22)$$

Now, for any  $x \in \mathbb{R}^n$ , we can choose  $s > 0$  large enough that  $x \in U_{s/2}$ . Then, by (7-22) and (7-21), we conclude that

$$\kappa_1(x) \leq K(N, C, \tilde{d}_1, \tilde{d}_2).$$

Since  $x$  is arbitrary, we have finished proving [Proposition 32](#). □

[Theorem 7](#) follows from [Propositions 22](#) and [32](#) immediately.

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