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# Enumeration of $m$-endomorphisms 

Louis Rubin and Brian Rushton<br>(Communicated by Vadim Ponomarenko)


#### Abstract

An $m$-endomorphism on a free semigroup is an endomorphism that sends every generator to a word of length $\leq m$. Two $m$-endomorphisms are combinatorially equivalent if they are conjugate under an automorphism of the semigroup. In this paper, we specialize an argument of N. G. de Bruijn to produce a formula for the number of combinatorial equivalence classes of $m$-endomorphisms on a rank- $n$ semigroup. From this formula, we derive several little-known integer sequences.


## 1. Introduction

Let $D$ be a nonempty set of symbols, and let $D^{+}$be the set of all finite strings of one or more elements of $D$. That is, $D^{+}=\left\{d_{1} \cdots d_{k}: k \in \mathbb{N}, d_{i} \in D\right\}$. Paired with the operation of string concatenation, $D^{+}$forms the free semigroup on $D$. If $d_{1}, \ldots, d_{k} \in D$, then we refer to the natural number $k$ as the length of the string $d_{1} \cdots d_{k}$. Denote the length of $W \in D^{+}$by $|W|$.

By a semigroup endomorphism (or, simply, an endomorphism) on $D^{+}$, we mean a mapping $\phi: D^{+} \rightarrow D^{+}$satisfying $\phi\left(W_{1} W_{2}\right)=\phi\left(W_{1}\right) \phi\left(W_{2}\right)$ for all $W_{1}, W_{2} \in D^{+}$. Note that if $\phi$ is an endomorphism on $D^{+}$and $d_{1}, \ldots, d_{k} \in D$, then $\phi\left(d_{1} \cdots d_{k}\right)=$ $\phi\left(d_{1}\right) \cdots \phi\left(d_{k}\right)$; this shows that an endomorphism on $D^{+}$is determined by its action on the elements of $D$. On the other hand, any mapping $f: D \rightarrow D^{+}$extends uniquely to the endomorphism $\phi_{f}: D^{+} \rightarrow D^{+}$defined by $\phi_{f}\left(d_{1} \cdots d_{k}\right)=f\left(d_{1}\right) \cdots f\left(d_{k}\right)$, and it is straightforward to verify that $\phi_{f}$ is an automorphism (that is, a bijective endomorphism) precisely when $f$ is a bijection on $D$.

Example 1. Let $D=\{a, b\}$, and let $f: D \rightarrow D^{+}$be defined by $f(a)=a b$ and $f(b)=a$. Then, for example,

$$
\phi_{f}(a b a b a)=f(a) f(b) f(a) f(b) f(a)=a b a a b a a b
$$

Let $\operatorname{End}\left(D^{+}\right)$be the collection of all endomorphisms on $D^{+}$, and let $m \in \mathbb{N}$. Then $\phi \in \operatorname{End}\left(D^{+}\right)$is called an m-endomorphism if and only if $|\phi(d)| \leq m$ for

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all $d \in D$. Note that the mapping $\phi_{f}$ from Example 1 is an $m$-endomorphism for all $m \geq 2$. Now let $\Gamma$ be the set of all $m$-endomorphisms on $D^{+}$. That is,

$$
\Gamma=\left\{\phi \in \operatorname{End}\left(D^{+}\right): \phi(D) \subseteq R\right\}
$$

where $R=\left\{W \in D^{+}:|W| \leq m\right\}$. Consider the set $\Omega$ consisting of all mappings $f: D \rightarrow R$. Then we may write

$$
\Gamma=\left\{\phi_{f}: f \in \Omega\right\}
$$

We can put the set $\Gamma$ into one-to-one correspondence with $\Omega$ by sending each $m$-endomorphism to its restriction to $D$. Moreover, if $|D|=n \in \mathbb{N}$, then the size of these sets is easily evaluated in view of the fact that $|R|=\sum_{i=1}^{m} n^{i}$. In particular, if $n>1$, then $|R|=\left(n^{m+1}-n\right) /(n-1)$, and

$$
|\Gamma|=|\Omega|=\left(\frac{n^{m+1}-n}{n-1}\right)^{n}
$$

However, in this paper we are interested in counting the number of classes of $m$-endomorphisms under a particular equivalence relation. To motivate our definition of equivalence on $\Gamma$, we define a relation $\sim$ on $\Omega$ as follows:

$$
f_{1} \sim f_{2} \Longleftrightarrow \text { there exists a bijection } g: D \rightarrow D \text { such that } f_{2} \circ g=\phi_{g} \circ f_{1}
$$

As an exercise, the reader may wish to verify that $\sim$ satisfies the reflexive, symmetric, and transitive properties required of any equivalence relation. In Section 1.1, however, it will be shown that $\sim$ is a specific instance of a well-known equivalence relation induced by a group acting on a nonempty set.
Example 2. Let $f$ be as in Example 1 (with $D=\{a, b\}$ ). Consider the bijection $g: D \rightarrow D$ defined by $g(a)=b$ and $g(b)=a$. Now let $f_{1}: D \rightarrow D^{+}$be given by $f_{1}(a)=b$ and $f_{1}(b)=b a$. Then

$$
\begin{aligned}
& \left(f_{1} \circ g\right)(a)=f_{1}(g(a))=f_{1}(b)=b a=g(a) g(b)=\phi_{g}(a b)=\phi_{g}(f(a))=\left(\phi_{g} \circ f\right)(a), \\
& \left(f_{1} \circ g\right)(b)=f_{1}(g(b))=f_{1}(a)=b=g(a)=\phi_{g}(a)=\phi_{g}(f(b))=\left(\phi_{g} \circ f\right)(b),
\end{aligned}
$$

which shows that $f \sim f_{1}$.
Remark 3. Perhaps a more intuitive illustration of $\sim$ is as follows. If we let $f$ and $f_{1}$ be as in Example 2, then the respective graphs of $f$ and $f_{1}$ are $\{(a, a b),(b, a)\}$ and $\{(a, b),(b, b a)\}$. But the graph of $f_{1}$ can be obtained by applying the bijection $g$ to each element of $D$ that appears in the graph of $f$. In other words,

$$
\{(g(a), g(a) g(b)),(g(b), g(a))\}=\{(a, b),(b, b a)\}
$$

Since the graphs of $f$ and $f_{1}$ are "the same" up to a permutation of $a$ and $b$, we wish to consider these mappings equivalent, and $\sim$ provides the desired equivalence relation.

Extending $\sim$ to an equivalence relation on $\Gamma$ leads to the following definition. If $f, h \in \Omega$, then $\phi_{f}$ is combinatorially equivalent to $\phi_{h}$ if and only if there exists a bijection $g: D \rightarrow D$ such that $\phi_{h} \circ \phi_{g}=\phi_{g} \circ \phi_{f}$. To state precisely the aim of this paper: given a set of symbols $D$ with $|D|=n$, we wish to produce a formula for the number of equivalence classes in $\Gamma$ under the relation of combinatorial equivalence. To this end, we shall specialize an argument of N. G. de Bruijn [1972] (namely, that used for his Theorem 1) to produce a formula for the number of classes in $\Omega$ under the relation $\sim$. But it is easy to check that for all $f, h \in \Omega$, we have $f \sim h$ if and only if $\phi_{f}$ is combinatorially equivalent to $\phi_{h}$. Hence, there is a well-defined correspondence given by

$$
[f] \leftrightarrow\left[\phi_{f}\right]
$$

between the equivalence classes in $\Omega$ and those in $\Gamma$, and it follows that our formula will also provide the number of $m$-endomorphisms on $D^{+}$up to combinatorial equivalence. Moreover, once this formula is obtained, we can fix one of the variables $n, m$ and let the other run through the natural numbers in order to derive integer sequences, many of which appear to be little-known.
1.1. Group actions. For the reader's convenience, we review group actions. The following material (through Proposition 4) is paraphrased from [Malik et al. 1997]. Let $G$ be a group and $S$ a nonempty set. A left action of $G$ on $S$ is a function

$$
\cdot: G \times S \rightarrow S, \quad(g, s) \mapsto g \cdot s
$$

such that, for all $g_{1}, g_{2} \in G$ and for all $s \in S$,
(1) $\left(g_{1} g_{2}\right) \cdot s=g_{1} \cdot\left(g_{2} \cdot s\right)$, where $g_{1} g_{2}$ denotes the product of $g_{1}, g_{2}$ in $G$, and
(2) $e \cdot s=s$, where $e$ is the identity element of $G$.

A left action induces the well-known equivalence relation $E$ on the set $S$ given by

$$
(a, b) \in E \quad \Longleftrightarrow \quad g \cdot a=b \quad \text { for some } g \in G
$$

for all $a, b \in S$. We refer to the equivalence classes under this relation as the orbits of $G$ on $S$. The following result (known as Burnside's lemma) gives an expression for the number of these, provided that $G$ and $S$ are finite.
Proposition 4 [Malik et al. 1997]. Let $S$ be a finite, nonempty set, and suppose there is a left action of a finite group $G$ on $S$. Then the number of orbits of $G$ on $S$ is

$$
\frac{1}{|G|} \sum_{g \in G}|\{s \in S: g \cdot s=s\}| .
$$

Thus, the number of orbits of $G$ on $S$ equals the average number of elements of $S$ that are "fixed" by an element of $G$. We now show that the relation $\sim$ from Section 1 is a specific instance of the relation $E$ described above. To see this, let $D$
be a finite nonempty set, and let $\operatorname{Sym}(D)$ denote the symmetric group on $D$ (i.e., the group of all bijections on $D$ ). Then $\operatorname{Sym}(D)$ acts on the set $\Omega$ according to the rule

$$
g \cdot f=\phi_{g} \circ f \circ g^{-1}
$$

for all $g \in \operatorname{Sym}(D), f \in \Omega$. (One can easily verify that • defined in this way is indeed a left action.) Now, for any $f_{1}, f_{2} \in \Omega$, we have

$$
\begin{aligned}
f_{1} \sim f_{2} & \Longleftrightarrow f_{2} \circ g=\phi_{g} \circ f_{1} \text { for some } g \in \operatorname{Sym}(D) \\
& \Longleftrightarrow f_{2}=\phi_{g} \circ f_{1} \circ g^{-1} \text { for some } g \in \operatorname{Sym}(D) \\
& \Longleftrightarrow g \cdot f_{1}=f_{2} \text { for some } g \in \operatorname{Sym}(D) \\
& \Longleftrightarrow\left(f_{1}, f_{2}\right) \in E .
\end{aligned}
$$

It follows that the equivalence classes in $\Omega$ under the relation $\sim$ are just the orbits of $\operatorname{Sym}(D)$ on $\Omega$. Enumerating the elements of $\operatorname{Sym}(D)$ by $g_{1}, \ldots, g_{n!}$, we find the number of orbits to be

$$
\begin{equation*}
\frac{1}{n!} \sum_{r=1}^{n!}\left|\left\{f \in \Omega: f \circ g_{r}=\phi_{g_{r}} \circ f\right\}\right| \tag{1}
\end{equation*}
$$

For any permutation $g$ of a finite set, and for each natural number $j$, let $c(g, j)$ denote the number of cycles of length ${ }^{1} j$ occurring in the cycle decomposition of $g$. (This notation comes from [de Bruijn 1972].) The quantities $c(g, j)$ will play a role in the evaluation of $\left|\left\{f \in \Omega: f \circ g_{r}=\phi_{g_{r}} \circ f\right\}\right|$, which occurs in the next section. Our evaluation is a modification of de Bruijn's counting argument [1964, § 5.12].

## 2. Main results

We now produce a formula for the number of equivalence classes in $\Omega$ under the relation $\sim$. Let $D$ be a finite set, and suppose that $g \in \operatorname{Sym}(D)$ is the product of disjoint cycles of lengths $k_{1}, k_{2}, \ldots, k_{\ell}$, where $k_{1} \leq k_{2} \leq \cdots \leq k_{\ell}$. Then the sequence $k_{1}, k_{2}, \ldots, k_{\ell}$ is called the cycle type of $g$. For example, if $D=\{a, b, c, d, e\}$, then the permutation $g=(a)(b, c)(d, e)$ has cycle type $1,2,2$. The following lemma will be useful.
Lemma 5. Let $D$ be a finite set, and let $g \in \operatorname{Sym}(D)$ have cycle type $k_{1}, k_{2}, \ldots, k_{\ell}$. For each $1 \leq i \leq \ell$, select a single $d_{i} \in D$ from the cycle corresponding to $k_{i}$. (Thus, $k_{i}$ is the smallest natural number such that $g^{k_{i}}\left(d_{i}\right)=d_{i}$.) Now suppose that $f \in \Omega$. Then $f \circ g=\phi_{g} \circ f$ if and only if for each $1 \leq i \leq \ell$,
(1) $\left(f \circ g^{j}\right)\left(d_{i}\right)=\left(\phi_{g}^{j} \circ f\right)\left(d_{i}\right)$ for all $j \in \mathbb{N}$,
(2) $f\left(d_{i}\right)$ is of the form $d_{1}^{\prime} \cdots d_{k \leq m}^{\prime}$, where $d_{1}^{\prime}, \ldots, d_{k}^{\prime} \in D$ each belong to a cycle in $g$ whose length divides $k_{i}$.

[^1]Proof. First assume that $f \circ g=\phi_{g} \circ f$. Then condition (1) follows from an inductive argument. But $f\left(d_{i}\right)=f\left(g^{k_{i}}\left(d_{i}\right)\right)=\phi_{g}^{k_{i}}\left(f\left(d_{i}\right)\right)$. Write $f\left(d_{i}\right)=d_{1}^{\prime} \cdots d_{k}^{\prime}$, where $d_{1}^{\prime}, \ldots, d_{k}^{\prime} \in D$ and $k \leq m$. Then

$$
d_{1}^{\prime} \cdots d_{k}^{\prime}=\phi_{g}^{k_{i}}\left(d_{1}^{\prime} \cdots d_{k}^{\prime}\right)=g^{k_{i}}\left(d_{1}^{\prime}\right) \cdots g^{k_{i}}\left(d_{k}^{\prime}\right)
$$

In particular, for each $1 \leq t \leq k$, we have $d_{t}^{\prime}=g^{k_{i}}\left(d_{t}^{\prime}\right)$. This implies that

$$
\left(d_{t}^{\prime}, g\left(d_{t}^{\prime}\right), g^{2}\left(d_{t}^{\prime}\right), \ldots, g^{k_{i}-1}\left(d_{t}^{\prime}\right)\right)
$$

is a cycle whose length divides $k_{i}$. The conclusion follows.
Conversely, suppose that condition (1) holds. (Condition (2) is superfluous here.) Let $d \in D$. Then there exist $i, j \in \mathbb{N}$ such that $d=g^{j}\left(d_{i}\right)$. Now,

$$
\begin{aligned}
f(g(d))=f\left(g\left(g^{j}\left(d_{i}\right)\right)\right) & =f\left(g^{1+j}\left(d_{i}\right)\right) \\
& =\phi_{g}^{1+j}\left(f\left(d_{i}\right)\right)=\phi_{g}\left(\phi_{g}^{j}\left(f\left(d_{i}\right)\right)\right)=\phi_{g}\left(f\left(g^{j}\left(d_{i}\right)\right)\right)=\phi_{g}(f(d))
\end{aligned}
$$

Therefore, $f \circ g=\phi_{g} \circ f$, so the proof is complete.
Once again, suppose that $|D|=n$, and label the elements of $\operatorname{Sym}(D)$ by $g_{1}, \ldots, g_{n!}$. For each $1 \leq r \leq n!$, we can find the number of $f \in \Omega$ satisfying

$$
\begin{equation*}
f \circ g_{r}=\phi_{g_{r}} \circ f \tag{2}
\end{equation*}
$$

Suppose that $g_{r}$ has cycle type $k_{r 1}, k_{r 2}, \ldots, k_{r \ell_{r}}$. For each $1 \leq i \leq \ell_{r}$, select a single element $d_{r i} \in D$ from the cycle corresponding to $k_{r i}$. Then Lemma 5 implies that any $f \in \Omega$ satisfying (2) is determined by its values on each $d_{r i}$. Hence, to find the number of $f$ satisfying (2), we need only count the number of possible images of $d_{r i}$ under such an $f$, and then take the product over all $i$. But the $m$ or fewer elements of $D$ comprising the string $f\left(d_{r i}\right)$ must each belong to a cycle in the decomposition of $g_{r}$ whose length divides $k_{r i}$. For each $1 \leq k \leq m$, there are

$$
\left(\sum_{j \mid k_{r i}} j c\left(g_{r}, j\right)\right)^{k}
$$

choices of $f\left(d_{r i}\right)$ such that $\left|f\left(d_{r i}\right)\right|=k$. Hence, there are

$$
\sum_{k=1}^{m}\left(\sum_{j \mid k_{r i}} j c\left(g_{r}, j\right)\right)^{k}
$$

total choices of $f\left(d_{r i}\right)$. Taking the product over all $i$, it follows that the number of $f$ satisfying (2) is

$$
\begin{equation*}
\prod_{i=1}^{\ell_{r}}\left(\sum_{k=1}^{m}\left(\sum_{j \mid k_{r i}} j c\left(g_{r}, j\right)\right)^{k}\right) \tag{3}
\end{equation*}
$$

Thus, we've evaluated $\left|\left\{f \in \Omega: f \circ g_{r}=\phi_{g_{r}} \circ f\right\}\right|$, and putting together (1) and (3) gives an expression for the number of equivalence classes in $\Omega$ under the relation $\sim$. Recalling that these classes are in one-to-one correspondence with the classes in $\Gamma$ under the relation of combinatorial equivalence, we obtain our main result:
Theorem 6. If $|D|=n$, then the number of m-endomorphisms on $D^{+}$, up to combinatorial equivalence, is the value of

$$
\begin{equation*}
\frac{1}{n!} \sum_{r=1}^{n!}\left(\prod_{i=1}^{\ell_{r}}\left(\sum_{k=1}^{m}\left(\sum_{j \mid k_{r i}} j c\left(g_{r}, j\right)\right)^{k}\right)\right) \tag{4}
\end{equation*}
$$

where $g_{1}, \ldots, g_{n!}$ are the elements of $\operatorname{Sym}(D)$, and $k_{r 1}, \ldots, k_{r \ell_{r}}$ is the cycle type of $g_{r}$.
Example 7. Let $D=\{a, b\}$. We find the number of classes of 1-endomorphisms on $D^{+}$. The elements of $\operatorname{Sym}(D)$ (in cycle notation) are $g_{1}=(a)(b)$ and $g_{2}=(a, b)$. Evidently, $c\left(g_{1}, 1\right)=2, c\left(g_{2}, 1\right)=0$, and $c\left(g_{2}, 2\right)=1$. Using Theorem 6, there are

$$
\frac{1}{2}\left(c\left(g_{1}, 1\right)^{2}+2 c\left(g_{2}, 2\right)\right)=\frac{1}{2}\left(2^{2}+2\right)=3
$$

classes of 1-endomorphisms on $D^{+}$. These are given by

$$
\left\{\begin{array}{l}
a \rightarrow a \\
b \rightarrow b
\end{array}\right\}, \quad\left\{\begin{array}{l}
a \rightarrow b \\
b \rightarrow a
\end{array}\right\} \quad \text { and } \quad\left\{\begin{array}{l}
a \rightarrow a \\
b \rightarrow a
\end{array} \equiv \begin{array}{l}
a \rightarrow b \\
b \rightarrow b
\end{array}\right\}
$$

We can extend the result of Example 7 by fixing $n=2$ and letting $m$ be arbitrary. From (4), we find that the number of classes of $m$-endomorphisms on $D^{+}$, where $|D|=2$, is

$$
\frac{1}{2}\left(\left(2^{m+1}-2\right)^{2}+\left(2^{m+1}-2\right)\right)
$$

Running $m$ through the natural numbers, we obtain values $3,21,105,465,1953, \ldots$ This is the sequence A134057 in the On-line Encyclopedia of Integers [OEIS 1996]. However, for $n=3$, the number of classes of $m$-endomorphisms becomes

$$
\frac{1}{6}\left(\left(\frac{3^{m+1}-3}{2}\right)^{3}+3 m \frac{3^{m+1}-3}{2}+2 \frac{3^{m+1}-3}{2}\right)
$$

Letting $m=1,2,3,4, \ldots$ gives values $7,304,9958,288280, \ldots$ This sequence appears to be little-known, and has been submitted by the authors to the OEIS.
2.1. An alternative formulation of Theorem 6. We now present a slight rewording of Theorem 6. In order to compute the number of equivalence classes of $m$-endomorphisms (where $|D|=n$ ), we need not, in practice, consider each element of $\operatorname{Sym}(D)$ individually. Rather, we need only consider the cycle types of these permutations. The following well-known result gives the number of permutations in $\operatorname{Sym}(D)$ of a given cycle type.

Proposition 8 [Dummit and Foote 2004]. Let $|D|=n$, and let $g \in \operatorname{Sym}(D)$. Suppose that $m_{1}, m_{2}, \ldots, m_{s}$ are the distinct integers appearing in the cycle type of $g$. For each $j \in\{1,2, \ldots, s\}$, abbreviate $c_{j}=c\left(g, m_{j}\right)$. Let $C_{g}$ be the set of all permutations in $\operatorname{Sym}(D)$ whose cycle type is that of $g$. Then

$$
\begin{equation*}
\left|C_{g}\right|=\frac{n!}{\prod_{j=1}^{s} c_{j}!m_{j}^{c_{j}}} \tag{5}
\end{equation*}
$$

For convenience, we shall say that $g \in \operatorname{Sym}(D)$ fixes the mapping $f \in \Omega$ if and only if $f \circ g=\phi_{g} \circ f$. Now, two bijections in $\operatorname{Sym}(D)$ with the same cycle type must fix the same number of $f \in \Omega$. Therefore, in order to derive an expression for the number of classes of $m$-endomorphisms on $D^{+}$, we can select a single representative in $\operatorname{Sym}(D)$ of each possible cycle type, then determine the number of $f \in \Omega$ fixed by each representative using expression (3), multiply this number by the corresponding value of (5), and then sum up over all of our representatives and divide by $n$ !. But the cycle types in $\operatorname{Sym}(D)$ are precisely the integer partitions of $n$, namely, the nondecreasing sequences of natural numbers whose sum is $n$. If $p(n)$ denotes the number of integer partitions of $n$, then we may restate Theorem 6 as follows.

Corollary 9. Let $|D|=n$, and suppose that $g_{1}, \ldots, g_{p(n)} \in \operatorname{Sym}(D)$ have distinct cycle types. Then the number of m-endomorphisms on $D^{+}$, up to combinatorial equivalence, is the value of

$$
\begin{equation*}
\frac{1}{n!} \sum_{r=1}^{p(n)}\left(\left|C_{g_{r}}\right| \prod_{i=1}^{\ell_{r}}\left(\sum_{k=1}^{m}\left(\sum_{j \mid k_{r i}} j c\left(g_{r}, j\right)\right)^{k}\right)\right) \tag{6}
\end{equation*}
$$

where $k_{r 1}, \ldots, k_{r \ell_{r}}$ is the cycle type of $g_{r}$, and $C_{g_{r}}$ is as in Proposition 8.
Example 10. To illustrate Corollary 9, we compute the number of classes of $m$-endomorphisms when $|D|=4$. Let $D=\{a, b, c, d\}$. As previously mentioned, the cycle types in $\operatorname{Sym}(D)$ are the integer partitions of 4:

$$
1+1+1+1, \quad 1+1+2, \quad 2+2, \quad 1+3, \quad 4
$$

Hence, the bijections

$$
\begin{gathered}
g_{1}=(a)(b)(c)(d), \quad g_{2}=(a)(b)(c, d), \quad g_{3}=(a, b)(c, d) \\
g_{4}=(a)(b, c, d), \quad g_{5}=(a, b, c, d)
\end{gathered}
$$

encompass all possible cycle types in $\operatorname{Sym}(D)$. Direct calculation using (5) yields

$$
\left|C_{g_{1}}\right|=1, \quad\left|C_{g_{2}}\right|=6, \quad\left|C_{g_{3}}\right|=3, \quad\left|C_{g_{4}}\right|=8, \quad\left|C_{g_{5}}\right|=6 .
$$

Thus, by Corollary 9 , the number of classes of $m$-endomorphisms when $n=4$ is

$$
\frac{1}{24}\left(\Lambda_{4}^{4}+6 \Lambda_{2}^{2} \Lambda_{4}+3 \Lambda_{4}^{2}+8 m \Lambda_{4}+6 \Lambda_{4}\right)
$$

where $\Lambda_{k}=\left(k^{m+1}-k\right) /(k-1)$.

|  | $n=1$ | $n=2$ | $n=3$ | $n=4$ |
| :--- | :---: | ---: | ---: | ---: |
| $m=1$ | 1 | 3 | 7 | 19 |
| $m=2$ | 2 | 21 | 304 | 6,915 |
| $m=3$ | 3 | 105 | 9,958 | $2,079,567$ |
| $m=4$ | 4 | 465 | 288,280 | $556,898,155$ |
| $m=5$ | 5 | 1,953 | $7,973,053$ | $144,228,436,231$ |
| $m=6$ | 6 | 8,001 | $217,032,088$ | $37,030,504,349,475$ |


|  | $n=5$ | $n=6$ |
| :--- | ---: | ---: |
| $m=1$ | 47 | 130 |
| $m=2$ | 207,258 | $7,773,622$ |
| $m=3$ | $746,331,322$ | $409,893,967,167$ |
| $m=4$ | $2,406,091,382,736$ | $19,560,646,482,079,624$ |
| $m=5$ | $7,567,019,254,708,782$ | $916,131,223,607,107,471,135$ |
| $m=6$ | $23,677,181,825,841,420,408$ | $42,770,482,829,102,570,213,645,988$ |

Table 1. Values of (6) for $n, m \leq 6$.
Proceeding along the lines of Example 10, we find that there are

$$
\frac{1}{120}\left(\Lambda_{5}^{5}+10 \Lambda_{3}^{3} \Lambda_{5}+15 m \Lambda_{5}^{2}+20 \Lambda_{2}^{2} \Lambda_{5}+20 \Lambda_{2} \Lambda_{3}+30 m \Lambda_{5}+24 \Lambda_{5}\right)
$$

classes of $m$-endomorphisms when $n=5$, and

$$
\begin{aligned}
& \frac{1}{720}\left(\Lambda_{6}{ }^{6}+15 \Lambda_{4}^{4} \Lambda_{6}+45 \Lambda_{2}^{2} \Lambda_{6}^{2}+15 \Lambda_{6}^{3}+40 \Lambda_{3}^{3} \Lambda_{6}\right. \\
& \left.\quad+120 m \Lambda_{3} \Lambda_{4}+40 \Lambda_{6}^{2}+90 \Lambda_{2}^{2} \Lambda_{6}+90 \Lambda_{2} \Lambda_{6}+144 m \Lambda_{6}+120 \Lambda_{6}\right)
\end{aligned}
$$

classes of $m$-endomorphisms when $n=6$. Letting $m$ run through $\mathbb{N}$ in these cases, we again obtain sequences that are not well-known. Table 1 displays the values of (6) for $n, m \leq 6$.

Remark 11. The sequence $1,3,7,19,47,130, \ldots$ is sequence A001372 in [OEIS 1996].

## 3. Two natural variations

In this section, we highlight two natural variations of Corollary 9. First, we restrict our attention to endomorphisms on $D^{+}$that send each element of $D$ to a string of length exactly $m$. We then consider $m$-endomorphisms of the so-called free monoid, which contains the empty string. Expressions analogous to those in Section 2 are derived in each case.
3.1. m-uniform endomorphisms. Fix $n, m \in \mathbb{N}$, and suppose that $|D|=n$. Then $\phi \in \operatorname{End}\left(D^{+}\right)$is called an m-uniform endomorphism if and only if $|\phi(d)|=m$ for
each $d \in D$. In this section, we produce a formula for the number of $m$-uniform endomorphisms on $D^{+}$up to combinatorial equivalence. To begin, let $g_{1}, \ldots, g_{p(n)} \in$ $\operatorname{Sym}(D)$ have distinct cycle types. We now put $R=\left\{W \in D^{+}:|W|=m\right\}$ and take $\Omega$ to be the set of all mappings of $D$ into $R$. For each $1 \leq r \leq p(n)$, we ask for the number of $f \in \Omega$ satisfying

$$
f \circ g_{r}=\phi_{g_{r}} \circ f
$$

Once again, if $g_{r}$ has cycle type $k_{r 1}, \ldots, k_{r \ell_{r}}$, then for each $1 \leq i \leq \ell_{r}$ we select an element $d_{r i}$ from the cycle corresponding to $k_{r i}$, and count the number of possible values of $f\left(d_{r i}\right)$. In this case, we must have $\left|f\left(d_{r i}\right)\right|=m$, where the elements of $D$ comprising the string $f\left(d_{r i}\right)$ each belong to a cycle whose length divides $k_{r i}$. Hence, there are

$$
\left(\sum_{j \mid k_{r i}} j c\left(g_{r}, j\right)\right)^{m}
$$

choices of $f\left(d_{r i}\right)$, and multiplying over all $i$ yields

$$
\prod_{i=1}^{\ell_{r}}\left(\sum_{j \mid k_{r i}} j c\left(g_{r}, j\right)\right)^{m}
$$

as the value of $\left|\left\{f \in \Omega: f \circ g_{r}=\phi_{g_{r}} \circ f\right\}\right|$. Noting that permutations in $\operatorname{Sym}(D)$ of the same cycle type fix the same number of $f \in \Omega$, we multiply by $\left|C_{g_{r}}\right|$, sum with respect to $r$, and divide by $n!$ to obtain the following.

Corollary 12. If $|D|=n$ and $g_{1}, \ldots, g_{p(n)} \in \operatorname{Sym}(D)$ have distinct cycle types, then the number of m-uniform endomorphisms on $D^{+}$, up to combinatorial equivalence, is the value of

$$
\begin{equation*}
\frac{1}{n!} \sum_{r=1}^{p(n)}\left(\left|C_{g_{r}}\right| \prod_{i=1}^{\ell_{r}}\left(\sum_{j \mid k_{r i}} j c\left(g_{r}, j\right)\right)^{m}\right) \tag{7}
\end{equation*}
$$

where $k_{r 1}, \ldots, k_{r \ell_{r}}$ is the cycle type of $g_{r}$, and $C_{g_{r}}$ is as in Proposition 8.
When $n=2$, the number of $m$-uniform endomorphisms on $D^{+}$, up to combinatorial equivalence, is

$$
\frac{1}{2}\left(2^{2 m}+2^{m}\right)
$$

Letting $m=1,2,3,4, \ldots$ gives values $3,10,36,136, \ldots$ This is the sequence A007582 from [OEIS 1996]. Moreover, when $n=3$ there are

$$
\frac{1}{6}\left(3^{3 m}+3 \cdot 3^{m}+2 \cdot 3^{m}\right)
$$

classes of $m$-uniform endomorphisms, and letting $m$ run through $\mathbb{N}$ gives the sequence $7,129,3303,88641, \ldots$, which is not well known. Continuing, the

|  | $n=1$ | $n=2$ | $n=3$ | $n=4$ |
| :--- | :---: | ---: | ---: | ---: |
| $m=1$ | 1 | 3 | 7 | 19 |
| $m=2$ | 1 | 10 | 129 | 2,836 |
| $m=3$ | 1 | 36 | 3,303 | 700,624 |
| $m=4$ | 1 | 136 | 88,641 | $178,981,696$ |
| $m=5$ | 1 | 528 | $7,973,053$ | $45,813,378,304$ |
| $m=6$ | 1 | 2,080 | $64,570,689$ | $11,728,130,323,456$ |


|  | $n=5$ | $n=6$ |
| :--- | ---: | ---: |
| $m=1$ | 47 | 130 |
| $m=2$ | 83,061 | $3,076,386$ |
| $m=3$ | $254,521,561$ | $141,131,630,530$ |
| $m=4$ | $794,756,352,216$ | $6,581,201,266,858,896$ |
| $m=5$ | $2,483,530,604,092,546$ | $307,047,288,863,992,988,160$ |
| $m=6$ | $7,761,021,959,623,948,401$ | $14,325,590,271,500,876,382,987,456$ |

Table 2. Values of (7) for $n, m \leq 6$.
expressions when $n=4,5,6$ are

$$
\begin{gathered}
\frac{1}{24}\left(4^{4 m}+6 \cdot 2^{2 m} \cdot 4^{m}+3 \cdot 4^{2 m}+8 \cdot 4^{m}+6 \cdot 4^{m}\right) \\
\frac{1}{120}\left(5^{5 m}+10 \cdot 3^{3 m} \cdot 5^{m}+15 \cdot 5^{2 m}+20 \cdot 2^{2 m} \cdot 5^{m}+20 \cdot 2^{m} \cdot 3^{m}+30 \cdot 5^{m}+24 \cdot 5^{m}\right) \\
\frac{1}{720}\left(6^{6 m}+15 \cdot 4^{4 m} \cdot 6^{m}+45 \cdot 2^{2 m} \cdot 6^{2 m}+15 \cdot 6^{3 m}+40 \cdot 3^{3 m} \cdot 6^{m}\right. \\
\left.+120 \cdot 3^{m} \cdot 4^{m}+40 \cdot 6^{2 m}+90 \cdot 2^{2 m} \cdot 6^{m}+90 \cdot 2^{m} \cdot 6^{m}+144 \cdot 6^{m}+120 \cdot 6^{m}\right)
\end{gathered}
$$

respectively. Table 2 displays the values of (7) for $n, m \leq 6$.
3.2. The free monoid. If we adjoin the unique string of length 0 (denoted by $\epsilon$ ) to the set $D^{+}$, then we form the set $D^{*}$. Paired with the operation of string concatenation, $D^{*}$ forms the free monoid on $D$. We refer to $\epsilon$ as the empty string, and it serves as the identity element in $D^{*}$. That is, for any $W \in D^{*}$,

$$
W \epsilon=W=\epsilon W .
$$

We define an endomorphism on $D^{*}$ to be a mapping $\phi: D^{*} \rightarrow D^{*}$ such that $\phi\left(W_{1} W_{2}\right)=\phi\left(W_{1}\right) \phi\left(W_{2}\right)$ for all $W_{1}, W_{2} \in D^{*}$.
Remark 13. Note that if $\phi$ is an endomorphism on $D^{*}$, then $\phi(\epsilon)=\epsilon$. This follows since for any $W \in D^{*}$, we have

$$
\phi(W)=\phi(\epsilon W)=\phi(\epsilon) \phi(W)
$$

which implies that $\phi(\epsilon)$ has length 0 .

Now, an $m$-endomorphism on $D^{*}$ is an endomorphism such that $|\phi(d)| \leq m$ for all $d \in D$. Thus, an $m$-endomorphism on $D^{*}$ can map elements of $D$ to $\epsilon$. To determine the number of $m$-endomorphisms on $D^{*}$ up to combinatorial equivalence, we put $R=\left\{W \in D^{*}:|W| \leq m\right\}$, and for each $g \in \operatorname{Sym}(D)$, we ask for the number of $f: D \rightarrow R$ that are fixed by $g$. Again, it suffices to count the number of possible images under such an $f$ of a single $d \in D$ from each cycle in the decomposition of $g$, and then multiply over all the cycles. But there is now one additional possible value of $f(d)$ : the empty string. Hence, if $d$ belongs to a cycle of length $k_{i}$, then we have

$$
1+\sum_{k=1}^{m}\left(\sum_{j \mid k_{i}} j c\left(g_{r}, j\right)\right)^{k}=\sum_{k=0}^{m}\left(\sum_{j \mid k_{i}} j c\left(g_{r}, j\right)\right)^{k}
$$

choices of $f(d)$. From this observation, we deduce the following.
Corollary 14. Let $|D|=n$, and suppose that $g_{1}, \ldots, g_{p(n)} \in \operatorname{Sym}(D)$ have distinct cycle types. Then the number of m-endomorphisms on $D^{*}$, up to combinatorial equivalence, is the value of

$$
\begin{equation*}
\frac{1}{n!} \sum_{r=1}^{p(n)}\left(\left|C_{g_{r}}\right| \prod_{i=1}^{\ell_{r}}\left(\sum_{k=0}^{m}\left(\sum_{j \mid k_{r i}} j c\left(g_{r}, j\right)\right)^{k}\right)\right) \tag{8}
\end{equation*}
$$

where $k_{r 1}, \ldots, k_{r \ell_{r}}$ is the cycle type of $g_{r}$, and $C_{g_{r}}$ is as in Proposition 8.
When $n=2$, the number of $m$-endomorphisms on $D^{*}$, up to combinatorial equivalence, is

$$
\frac{1}{2}\left(\left(2^{m+1}-1\right)^{2}+\left(2^{m+1}-1\right)\right)
$$

This is sequence A006516 from [OEIS 1996]. The corresponding expressions for $n=3,4,5,6$ are

$$
\begin{gathered}
\frac{1}{6}\left(\Delta_{3}^{3}+3(m+1) \Delta_{3}+2 \Delta_{3}\right), \\
\frac{1}{24}\left(\Delta_{4}^{4}+6 \Delta_{2}^{2} \Delta_{4}+3 \Delta_{4}^{2}+8(m+1) \Delta_{4}+6 \Delta_{4}\right), \\
\frac{1}{120}\left(\Delta_{5}{ }^{5}+10 \Delta_{3}^{3} \Delta_{5}+15(m+1) \Delta_{5}^{2}+20 \Delta_{2}^{2} \Delta_{5}+20 \Delta_{2} \Delta_{3}+30(m+1) \Delta_{5}+24 \Delta_{5}\right), \\
\frac{1}{720}\left(\Delta_{6}{ }^{6}+15 \Delta_{4}{ }^{4} \Delta_{6}+45 \Delta_{2}^{2} \Delta_{6}^{2}+15 \Delta_{6}^{3}+40 \Delta_{3}{ }^{3} \Delta_{6}+120(m+1) \Delta_{3} \Delta_{4}\right. \\
\left.+40 \Delta_{6}^{2}+90 \Delta_{2}^{2} \Delta_{6}+90 \Delta_{2} \Delta_{6}+144(m+1) \Delta_{6}+120 \Delta_{6}\right),
\end{gathered}
$$

where $\Delta_{k}=\left(k^{m+1}-1\right) /(k-1)$. Once again, the sequences given by these expressions appear to be little-known. Table 3 gives the values of (8) for $n, m \leq 6$.

## 4. $(\chi, \zeta)$-patterns

In closing, we briefly place the relation $\sim$ from Section 1 into a more general context. Let $G$ be a finite group, and let $N$ and $M$ be finite nonempty sets. Suppose

|  | $n=1$ | $n=2$ | $n=3$ | $n=4$ |
| :--- | :---: | ---: | ---: | ---: |
| $m=1$ | 2 | 6 | 16 | 45 |
| $m=2$ | 3 | 28 | 390 | 8,442 |
| $m=3$ | 4 | 120 | 10,760 | $2,180,845$ |
| $m=4$ | 5 | 496 | 295,603 | $563,483,404$ |
| $m=5$ | 6 | 2,016 | $8,039,304$ | $144,651,898,755$ |
| $m=6$ | 7 | 8,128 | $217,629,416$ | $37,057,640,711,850$ |


|  | $n=5$ | $n=6$ |
| :--- | ---: | ---: |
| $m=1$ | 121 | 338 |
| $m=2$ | 244,910 | $8,967,034$ |
| $m=3$ | $770,763,470$ | $419,527,164,799$ |
| $m=4$ | $2,421,556,983,901$ | $19,636,295,549,860,505$ |
| $m=5$ | $2,370,422,688,990,078$ | $916,720,535,022,517,503,173$ |
| $m=6$ | $23,683,244,198,577,149,289$ | $42,775,066,732,111,188,868,070,978$ |

Table 3. Values of (8) for $n, m \leq 6$.
that $\chi: G \rightarrow \operatorname{Sym}(N)$ and $\zeta: G \rightarrow \operatorname{Sym}(M)$ are group homomorphisms. Denote the set of all functions from $N$ into $M$ by $M^{N}$. This notation comes from de Bruijn [1972], who also introduced the equivalence relation $E_{\chi, \zeta}$ on $M^{N}$ defined by

$$
\left(f_{1}, f_{2}\right) \in E_{\chi, \zeta} \Longleftrightarrow f_{2} \circ \chi(\gamma)=\zeta(\gamma) \circ f_{1} \text { for some } \gamma \in G
$$

Example 15 [de Bruijn 1972]. Suppose that $N$ is a set of size $n \in \mathbb{N}$, and define an equivalence relation $S$ on the set of all mappings of $N$ into itself by

$$
\left(f_{1}, f_{2}\right) \in S \Longleftrightarrow f_{2} \circ \gamma=\gamma \circ f_{1} \text { for some } \gamma \in \operatorname{Sym}(N)
$$

Letting $G=\operatorname{Sym}(N), M=N$, and $\chi=\zeta$ be the identity homomorphism on $\operatorname{Sym}(N)$ shows that $S$ is a special case of the relation $E_{\chi, \zeta}$. Moreover, the sequence in Remark 11 gives the number of equivalence classes under $S$ for $n=1,2,3 \ldots$. (See [de Bruijn 1972, § 3].)

The relation $E_{\chi, \zeta}$ stems from the left action of $G$ on $M^{N}$ given by

$$
\gamma \cdot f=\zeta(\gamma) \circ f \circ \chi\left(\gamma^{-1}\right)
$$

for all $\gamma \in G, f \in M^{N}$. De Bruijn [1972] referred to the orbits of $G$ on $M^{N}$ as $(\chi, \zeta)$-patterns, and provided a formula for the number of these by applying Burnside's lemma, and then evaluating $\left|\left\{f \in M^{N}: \gamma \cdot f=f\right\}\right|$ for each $\gamma \in G$. But the relation $\sim$ on the set $\Omega=$ \{mappings of $D$ into $R\}$, where $0<|D|<\infty$ and $R=\left\{W \in D^{+}:|W| \leq m\right\}$, is a special instance of the relation $E_{\chi, \zeta}$. To see this,
take $N=D, M=R$, and $G=\operatorname{Sym}(D)$. Let $\chi$ be the identity homomorphism on $\operatorname{Sym}(D)$, and define $\zeta: G \rightarrow \operatorname{Sym}(R)$ by

$$
\zeta(g)=\left.\phi_{g}\right|_{R}
$$

for all $g \in \operatorname{Sym}(D)$. Then for any $g, g^{\prime} \in \operatorname{Sym}(D)$,

$$
\zeta\left(g \circ g^{\prime}\right)=\phi_{g \circ g^{\prime}}\left|R=\left(\phi_{g} \circ \phi_{g^{\prime}}\right)\right|_{R}=\left.\left.\phi_{g}\right|_{R} \circ \phi_{g^{\prime}}\right|_{R}=\zeta(g) \circ \zeta\left(g^{\prime}\right),
$$

so $\zeta$ is a group homomorphism. Now, for any $f_{1}, f_{2} \in \Omega$, we have

$$
\begin{aligned}
f_{1} \sim f_{2} & \Longleftrightarrow f_{2} \circ g=\phi_{g} \circ f_{1}=\left.\phi_{g}\right|_{R} \circ f_{1} \text { for some } g \in \operatorname{Sym}(D) \\
& \Longleftrightarrow f_{2} \circ \chi(g)=\zeta(g) \circ f_{1} \text { for some } g \in \operatorname{Sym}(D) \\
& \Longleftrightarrow\left(f_{1}, f_{2}\right) \in E_{\chi, \zeta} .
\end{aligned}
$$

It follows that the equivalence classes in $\Omega$ under the relation $\sim$ are ( $\chi, \zeta$ )-patterns for $\chi, \zeta$ chosen as above. In particular, our Theorem 6 is a special case of de Bruijn's formula.

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| rubinlj@slu.edu | Department of Mathematics and Computer Science, |
| :--- | :--- |
|  | St. Louis University, 220 North Grand Boulevard, |
|  | St. Louis, MO 63103, United States |
| brirush@mathematics.byu.edu | Department of Mathematics, Brigham Young University, <br>  <br>  <br> 268 TMCB, Provo, UT 84602, United States |

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[^0]:    MSC2010: primary 05A99; secondary 20M15.

[^1]:    ${ }^{1}$ There should be no confusion between the notions of "string length" and "cycle length".

