

*Pacific  
Journal of  
Mathematics*

**TWO KAZDAN-WARNER-TYPE IDENTITIES FOR THE  
RENORMALIZED VOLUME COEFFICIENTS AND THE  
GAUSS-BONNET CURVATURES OF A RIEMANNIAN METRIC**

BIN GUO, ZHENG-CHAO HAN AND HAIZHONG LI

## TWO KAZDAN–WARNER-TYPE IDENTITIES FOR THE RENORMALIZED VOLUME COEFFICIENTS AND THE GAUSS–BONNET CURVATURES OF A RIEMANNIAN METRIC

BIN GUO, ZHENG-CHAO HAN AND HAIZHONG LI

We prove two Kazdan–Warner-type identities involving the renormalized volume coefficients  $v^{(2k)}$  of a Riemannian manifold  $(M^n, g)$ , the Gauss–Bonnet curvature  $G_{2r}$ , and a conformal Killing vector field on  $(M^n, g)$ . In the case when the Riemannian manifold is locally conformally flat, we find

$$v^{(2k)} = (-2)^{-k} \sigma_k \quad \text{and} \quad G_{2r}(g) = \frac{4^r (n-r)! r!}{(n-2r)!} \sigma_r$$

and our results reduce to earlier ones established by Viaclovsky in 2000 and the second author in 2006.

### 1. Introduction

**Theorem A** [Viaclovsky 2000b; Han 2006a]. *Let  $(M, g)$  be a compact Riemannian manifold of dimension  $n \geq 3$ , let  $\sigma_k(g^{-1} \circ A_g)$  be the  $\sigma_k$  curvature of  $g$ , and let  $X$  be a conformal Killing vector field on  $(M, g)$ . When  $k \geq 3$ , assume also that  $(M, g)$  is locally conformally flat. Then*

$$(1-1) \quad \int_M \langle X, \nabla \sigma_k(g^{-1} \circ A_g) \rangle dv_g = 0.$$

Recall that on an  $n$ -dimensional Riemannian manifold  $(M, g)$  with  $n \geq 3$ , the full Riemannian curvature tensor  $Rm$  decomposes as

$$Rm = W_g \oplus (A_g \odot g),$$

where  $W_g$  denotes the Weyl tensor of  $g$ ,

$$A_g = \frac{1}{n-2} \left( \text{Ric}_g - \frac{R_g}{2(n-1)} g \right)$$

denotes the Schouten tensor, and  $\odot$  is the Kulkarni–Nomizu wedge product. Under a conformal change of metrics  $g_w = e^{2w} g$ , where  $w$  is a smooth function over the

---

Haizhong Li is supported by NSFC grant number 10971110.

*MSC2000:* primary 53C20; secondary 53A30.

*Keywords:* renormalized volume coefficients,  $v^{(2k)}$  curvature, conformal transformation, locally conformally flat,  $\sigma_k$  curvature, Gauss–Bonnet curvatures, Kazdan–Warner.

manifold, the Weyl curvature changes pointwise as  $W_{g_w} = e^{2w} W_g$ . Thus, essential information about the Riemannian curvature tensor under a conformal change of metrics is reflected by the change in the Schouten tensor. One often tries to study the Schouten tensor through the elementary symmetric functions  $\sigma_k(g^{-1} \circ A_g)$  (which we later denote as  $\sigma_k(g)$ ) of the eigenvalues of the Schouten tensor, called the  $\sigma_k$  curvatures of  $g$ , by studying how they deform under conformal change of metrics.

**Question.** For all  $k \geq 1$ , can we generalize Theorem A without the condition that  $(M, g)$  is locally conformally flat?

In this note, we show the answer is yes. The renormalized volume coefficients  $v^{(2k)}(g)$  of a Riemannian metric  $g$ , were introduced in the physics literature in the late 1990s in the context of AdS/CFT correspondence — see [Graham 2009] for a mathematical discussion — and were shown in [Graham and Juhl 2007] to be equal to  $\sigma_k(g^{-1} A_g)$ , up to a scaling constant, when  $(M, g)$  is locally conformally flat. In fact, in the normalization we are going to adopt,

$$(1-2) \quad v^{(2)}(g) = -\frac{1}{2}\sigma_1(g) \quad \text{and} \quad v^{(4)}(g) = \frac{1}{4}\sigma_2(g).$$

For  $k = 3$ , Graham and Juhl [2007, page 5] have also listed the formula

$$(1-3) \quad v^{(6)}(g) = -\frac{1}{8}\left(\sigma_3(g) + \frac{1}{3(n-4)}(A_g)^{ij}(B_g)_{ij}\right),$$

where

$$(B_g)_{ij} := \frac{1}{n-3}\nabla^k\nabla^l W_{likj} + \frac{1}{n-2}R^{kl}W_{likj}$$

is the *Bach* tensor of the metric. Just as  $\int_M \sigma_k(g^{-1} \circ A_g) dv_g$  is conformally invariant when  $2k = n$  and  $(M, g)$  is locally conformally flat, Graham [2009] showed that  $\int_M v^{(2k)}(g) dv_g$  is also conformally invariant on a general manifold when  $2k = n$ . Chang and Fang [2008] showed that, for  $n \neq 2k$ , the Euler–Lagrange equations for the functional  $\int_M v^{(2k)}(g) dv_g$  under conformal variations subject to the constraint  $\text{Vol}_g(M) = 1$  satisfies  $v^{(2k)}(g) = \text{const}$ , which is a generalized characterization for the curvatures  $\sigma_k(g^{-1} \circ A_g)$  when  $(M, g)$  is locally conformally flat, as given by Viaclovsky [2000a].

In this note, we will first show that the curvatures  $v^{(2k)}(g)$  will play the role of  $\sigma_k(g^{-1} \circ A_g)$  in (1-1) for a general manifold. Graham [2009] also gives an explicit expression of  $v^{(8)}(g)$ , but the explicit expression of  $v^{(2k)}(g)$  for general  $k$  is not known because they are algebraically complicated; see [Graham 2009, page 1958]. Thus the study of the  $v^{(2k)}(g)$  curvatures involves significant challenges not shared by that of  $\sigma_k(g)$ : First,  $v^{(2k)}(g)$  for  $k \geq 3$  depends on derivatives of curvature of  $g$ ; in fact, these depend on derivatives of curvatures of order up to  $2k - 4$ . Second, the  $v^{(2k)}(g)$  are defined in [Graham 2009] via an indirect, highly nonlinear inductive

algorithm. Despite these difficulties, we can use some properties of these  $v^{(2k)}(g)$  curvatures to prove the following.

**Theorem 1.1.** *Let  $(M, g)$  be a compact Riemannian manifold of dimension  $n \geq 3$ , and let  $X$  be a conformal Killing vector field on  $(M^n, g)$ . For  $k \geq 1$ , we have*

$$(1-4) \quad \int_M \langle X, \nabla v^{(2k)}(g) \rangle dv_g = 0.$$

**Remark 1.2.** From (1-2), we know that Theorem 1.1 is equivalent to Theorem A when  $k = 1, 2$ , or when  $(M^n, g)$  is locally conformally flat for  $k \geq 3$ .

One main reason for interest in identities such as (1-1) and (1-4) is that they play crucial roles in analyzing potentially blowing up conformal metrics with a prescribed curvature function, with  $v^{(2k)}(g)$  prescribed in this case. Although little is known about this problem at this stage, Theorem 1.1 establishes one ingredient for attacking this problem.

Our second result involves the Gauss–Bonnet curvatures  $G_{2r}$  for  $2r \leq n$ , introduced by H. Weyl in 1939 and defined by

$$G_{2r}(g) = \delta_{i_1 i_2 \dots i_{2r-1} i_{2r}}^{j_1 j_2 \dots j_{2r-1} j_{2r}} R^{i_1 i_2}_{j_1 j_2} \dots R^{i_{2r-1} i_{2r}}_{j_{2r-1} j_{2r}},$$

where  $\delta_{i_1 i_2 \dots i_{2r-1} i_{2r}}^{j_1 j_2 \dots j_{2r-1} j_{2r}}$  is the generalized Kronecker symbol; see also [Labbi 2008]. Note that  $G_2 = 2R$ , with  $R$  the scalar curvature.

**Theorem 1.3.** *Let  $(M^n, g)$  be a compact Riemannian manifold, and let  $X$  be a conformal Killing vector field. Then for the Gauss–Bonnet curvatures defined above, we have*

$$\int_M \langle X, G_{2r}(g) \rangle dv_g = 0.$$

**Remark 1.4.** When  $(M, g)$  is locally conformally flat, we see that the Gauss curvature satisfies

$$G_{2r}(g) = \frac{4^r (n - r)! r!}{(n - 2r)!} \sigma_r,$$

so Theorem 1.3 reduces to Theorem A.

**Remark 1.5.** M. Labbi [2008] proved that the first variation of the functional  $\int_M G_{2r} dv_g$  within metrics with constant volume gave the so-called generalized Einstein metric, and this functional has the variational property for  $2r < n$  and is a topological invariant for  $2r = n$ . In fact, if  $n = 2r$ , this functional is the Gauss–Bonnet integrand up to a constant [Chern 1944].

In the next section, we first provide a general proof for Theorem 1.1 by adapting an ingredient in a preprint version [Han 2006b] of [Han 2006a], and using of a variation formula for  $v^{(2k)}(g)$  established in [Graham 2009] and [Chang and Fang 2008]. Because of the explicit expression for  $v^{(6)}(g)$  and potential applications to

other related problems in low dimensions, we provide in Section 3 a self-contained proof for Theorem 1.1 in the case  $k = 3$ . We prove Theorem 1.3 in Section 4.

### 2. Proof of Theorem 1.1

We will need the following variation formula for  $v^{(2k)}(g)$ ; see [Graham 2009].

**Proposition 2.1.** *Under the conformal transformation  $g_t = e^{2t\eta}g$ , the variation of  $v^{(2k)}(g_t)$  is given by*

$$(2-1) \quad \frac{\partial}{\partial t} \Big|_{t=0} v^{(2k)}(g_t) = -2k\eta v^{(2k)} + \nabla_i (L_{(k)}^{ij} \eta_j),$$

where  $L_{(k)}^{ij}$  is defined as in [Graham 2009] by

$$L_{(k)}^{ij} = - \sum_{l=1}^k \frac{1}{l!} v^{(2k-2l)}(g) \partial_\rho^{l-1} g^{ij}(\rho) \Big|_{\rho=0},$$

with  $g_{ij}(\rho)$  denoting the extension of  $g$  such that

$$g_+ = \frac{(d\rho)^2 - 2\rho g(\rho)}{4\rho^2}$$

is an asymptotic solution to  $\text{Ric}(g_+) = -ng_+$  near  $\rho = 0$ .

An integral version of (2-1) first appeared in [Chang and Fang 2008]:

$$\int_M \left( \frac{\partial}{\partial t} \Big|_{t=0} (v^{(2k)}(g_t)) + 2k\eta v^{(2k)}(g) \right) dv_g = 0.$$

*Proof of Theorem 1.1 in the case  $n \neq 2k$ .* Let  $X$  be a conformal vector field on  $M$ . Let  $\phi_t$  denote the local one-parameter family of conformal diffeomorphisms of  $(M, g)$  generated by  $X$ . Thus for some smooth function  $\omega_t$  on  $M$ , we have

$$\phi_t^*(g) = e^{2\omega_t}g =: g_t.$$

We have the properties

$$(2-2) \quad \phi_t^* v^{(2k)}(g) = v^{(2k)}(\phi_t^* g) = v^{(2k)}(e^{2\omega_t}g),$$

$$(2-3) \quad \dot{\omega} := \frac{d}{dt} \Big|_{t=0} \omega_t = \frac{\text{div} X}{n},$$

$$(2-4) \quad \frac{\partial}{\partial t} \Big|_{t=0} (g_t^{-1} \circ A(g_t)) = -\nabla^2 \dot{\omega} - 2\dot{\omega}g^{-1} \circ A(g),$$

$$(2-5) \quad \frac{\partial}{\partial t} \Big|_{t=0} \text{div}_{g_t} X = nX\eta = n\langle X, \nabla \eta \rangle.$$

Using (2-2), (2-3), and (2-1), we have

$$\begin{aligned} \langle X, \nabla v^{(2k)}(g) \rangle &= \frac{\partial}{\partial t} \Big|_{t=0} (v^{(2k)}(g_t)) \\ &= -2k\dot{v}^{(2k)} + \nabla_i(L_{(k)}^{ij}\dot{\omega}_j) \\ &= -\frac{2k}{n}(\operatorname{div} X)v^{(2k)} + \nabla_i(L_{(k)}^{ij}\dot{\omega}_j) \\ &= -\frac{2k}{n}\operatorname{div}(v^{(2k)}X) + \frac{2k}{n}\langle X, \nabla v^{(2k)}(g) \rangle + \frac{1}{n}\nabla_i(L_{(k)}^{ij}(\operatorname{div} X)_j), \end{aligned}$$

from which it follows that

$$(2-6) \quad \left(1 - \frac{2k}{n}\right)\langle X, \nabla v^{(2k)}(g) \rangle = -\frac{2k}{n}\operatorname{div}(v^{(2k)}X) + \frac{1}{n}\nabla_i(L_{(k)}^{ij}(\operatorname{div} X)_j).$$

Theorem 1.1 in the case  $2k \neq n$  follows directly by integrating (2-6) over  $M$ .  $\square$

*Proof of Theorem 1.1 in the case  $2k = n$ .* As in [Han 2006b], we will prove that for any conformal metric  $g_1 = e^{2\eta}g$  of  $g$ ,

$$\int_M \langle X, v^{(2k)}(g_1) \rangle dv_{g_1} = \int_M \langle X, v^{(2k)}(g) \rangle dv_g = - \int_M \operatorname{div}_g X v^{(2k)}(g) dv_g,$$

that is,  $\int_M \langle X, v^{(2k)}(g) \rangle dv_g$  is independent of the particular choice of metric in the conformal class. We only have to prove that

$$(2-7) \quad \frac{\partial}{\partial t} \Big|_{t=0} \int_M \operatorname{div}_{g_t} X v^{(2k)}(g_t) dv_{g_t} = 0 \quad \text{for } g_t = e^{2t\eta}g.$$

We prove (2-7) by direct computations using Proposition 2.1. Indeed,

$$\begin{aligned} &\frac{\partial}{\partial t} \Big|_{t=0} \int_M \operatorname{div}_{g_t} X v^{(2k)}(g_t) dv_{g_t} \\ &= \int_M (n\langle X, \nabla \eta \rangle v^{(2k)} + \operatorname{div} X (-2k\eta v^{(2k)} + \nabla_i(L_{(k)}^{ij}\eta_j)) + n\eta \operatorname{div} X v^{(2k)}) dv_g \\ &= \int_M (n\langle X, \nabla \eta \rangle v^{(2k)} + \operatorname{div} X \nabla_i(L_{(k)}^{ij}\eta_j)) dv_g \\ &= \int_M ((nv^{(2k)}X, \nabla \eta) - L_{(k)}^{ij}(\operatorname{div} X)_i \eta_j) dv_g \\ &= \int_M (-\operatorname{div}(nv^{(2k)}X) + \nabla_j(L_{(k)}^{ij}(\operatorname{div} X)_i)) \eta dv_g = 0 \end{aligned}$$

in the case  $n = 2k$  by (2-6).

The remaining argument is an adaptation of an argument of Bourguignon and Ezin [1987]: either the connected component of the identity of the conformal group  $C_0(M, g)$  is compact, and then there is a metric  $\hat{g}$  conformal to  $g$  admitting  $C_0(M, g)$  as a group of isometries, from which it follows that  $\operatorname{div}_{\hat{g}} X \equiv 0$  and therefore (1-4) holds; or,  $C_0(M, g)$  is noncompact, and then by a theorem of

Obata and Ferrand,  $(M, g)$  is conformal to the standard sphere, in which case we can pick the canonical metric to compute the integral on the left hand side of (1-4) and conclude that it is zero. □

### 3. A self-contained proof of Theorem 1.1 in the case $k = 3$

We aim to give a direct, self-contained derivation for a more explicit version of (2-1); namely, under conformal change of metric  $g_t = e^{2t\eta}g$ ,

$$(3-1) \quad \frac{\partial}{\partial t} \Big|_{t=0} v^{(6)}(g_t) = -6v^{(6)}(g)\eta + \nabla^j \left( \left( \frac{T_{ij}^{(2)}(g)}{8} + \frac{B_{ij}(g)}{24(n-4)} \right) \nabla^i \eta \right),$$

where  $T_{ij}^{(2)}(g)$  is the Newton tensor associated with  $A_g$ , as defined in Reilly [1977]:

**Definition.** For an integer  $k \geq 0$ , the  $k$ -th Newton tensor is

$$T_{ij}^{(k)} = \frac{1}{k!} \sum \delta_{i_1 \dots i_k i}^{j_1 \dots j_k j} A_{i_1 j_1} \dots A_{i_k j_k},$$

where  $\delta_{i_1 \dots i_k i}^{j_1 \dots j_k j}$  is the generalized Kronecker symbol.

With (3-1) we can repeat the proof in the last section to prove Theorem 1.1 in the case  $k = 3$ .

First we recall the transformation laws for the tensors  $B_{ij}$  and  $A_{ij}$  under conformal change of metric  $g_t = e^{2t\eta}g$  — see [Chang and Fang 2008]:

$$\begin{aligned} A_{ij}(g_t) &= A_{ij} - t \nabla_{ij}^2 \eta + t^2 \nabla_i \eta \nabla_j \eta - \frac{1}{2} t^2 |\nabla \eta|_g^2 g_{ij}, \\ B_{ij}(g_t) &= e^{-2t\eta} (B_{ij} + (n-4)t(C_{ijk} + C_{jik})\nabla^k \eta + (n-4)t^2 W_{ikjl} \nabla^k \eta \nabla^l \eta), \end{aligned}$$

where  $C_{ijk} := A_{ij,k} - A_{ik,j}$  are the components of the Cotton tensor, with  $A_{ij,k}$  the components of the covariant derivative of the Schouten tensor  $A_{ij}$ .

Thus

$$\begin{aligned} \frac{\partial}{\partial t} \Big|_{t=0} A^{ij}(g_t) &= -\nabla^{ij} \eta - 4A^{ij}(g)\eta, \\ \frac{\partial}{\partial t} \Big|_{t=0} B_{ij}(g_t) &= (n-4)(C_{ijk} + C_{jik})\nabla^k \eta - 2\eta B_{ij}. \end{aligned}$$

**Proposition 3.1** [Viaclovsky 2000a; Han 2006b; Hu and Li 2004]. *We have*

- (i)  $k\sigma_k(g) = \sum_{i,j} T_{ij}^{(k-1)} A_{ij}$
- (ii)  $\sum_i T_{ii}^{(k)} = (n-k)\sigma_k(g)$ .
- (iii)  $\sum_l \nabla^l W_{lij} = -(n-3)C_{ijk}$ .

Using the relation between  $v^{(6)}$  and  $\sigma_3(g)$ , and with  $A^{ij}B_{ij}$  as in (1-3), we find

$$\begin{aligned} & -8 \frac{\partial}{\partial t} \Big|_{t=0} v^{(6)}(g_t) \\ &= T_{ij}^{(2)}(g) (-\nabla^{ij} \eta - 2\eta A^{ij}(g)) \\ &\quad + \frac{1}{3(n-4)} (-B_{ij}(g) \nabla^{ij} \eta + (n-4) A^{ij}(g) (C_{ijk} + C_{jik}) \nabla^k \eta - 6\eta A^{ij} B_{ij}) \\ &= -6 \left( \sigma_3(g) + \frac{1}{3(n-4)} A^{ij} B_{ij} \right) \eta - \left( T_{ij}^{(2)}(g) + \frac{B_{ij}(g)}{3(n-4)} \right) \nabla^{ij} \eta + \frac{2}{3} A^{ij}(g) C_{ijk} \nabla^k \eta \\ &= 48v^{(6)}(g)\eta - \nabla^j \left( \left( T_{ij}^{(2)}(g) + \frac{B_{ij}(g)}{3(n-4)} \right) \nabla^i \eta \right) \\ &\quad + \left( \sum_j \left( T_{ij,j}^{(2)}(g) + \frac{B_{ij,j}(g)}{3(n-4)} \right) + \frac{2}{3} A^{kl} C_{kli} \right) \nabla^i \eta, \end{aligned}$$

where we used (1-3) and Proposition 3.1(i). The following lemma implies that

$$\sum_j \left( T_{ij,j}^{(2)}(g) + \frac{B_{ij,j}(g)}{3(n-4)} \right) + \frac{2}{3} A^{kl} C_{kli} = 0,$$

thus establishing (3-1).

**Lemma 3.2.** (i)  $\sum_j T_{ij,j}^{(2)} = -A^{pq} C_{pqi}$ .

(ii)  $\sum_j B_{ij,j} = (n-4) A^{kl} C_{kli}$ .

*Proof of (i).* In normal coordinates, we have

$$\sum_j T_{ij,j}^{(2)} = \sum \left( \frac{1}{2!} \sum \delta_{i_1 i_2 i}^{j_1 j_2 j} A_{i_1 j_1} A_{i_2 j_2} \right)_j = \sum \delta_{i_1 i_2 i}^{j_1 j_2 j} A_{i_1 j_1} A_{i_2 j_2, j} = -A^{pq} C_{pqi},$$

where we used

$$\delta_{i_1 i_2 i}^{j_1 j_2 j} = \begin{vmatrix} \delta_{i_1 j_1} & \delta_{i_1 j_2} & \delta_{i_1 j} \\ \delta_{i_2 j_1} & \delta_{i_2 j_2} & \delta_{i_2 j} \\ \delta_{ij_1} & \delta_{ij_2} & \delta_{ij} \end{vmatrix}$$

and  $\sum_i A_{ii,j} = \sum_i A_{ij,i}$ , itself a consequence of the second Bianchi identity.  $\square$

*Proof of (ii).* First, using Proposition 3.1(iii) and substituting  $R_{ij}$  in terms of  $A_{ij}$  in the definition of the Bach tensor  $B_{ij}$ , we obtain

$$\begin{aligned} B_{ij} &= - \sum_k C_{ikj,k} + \sum_{k,l} A_{kl} W_{likj} \\ &= - \sum_k (A_{ik,jk} - A_{ij,kk}) + \sum_{k,l} A_{kl} W_{likj}. \end{aligned}$$



Thus

$$\begin{aligned}
 & \sum_j B_{ij,j} \\
 &= - \sum_{j,k} (A_{ik,jkj} - A_{ij,kkj}) + \sum_{k,l,j} (A_{kl,j} W_{likj} + A_{kl} W_{likj,j}) \\
 &= - \sum_{j,k} (A_{ik,jkj} - A_{ik,jjk}) + \sum_{k,l,j} A_{kl,j} W_{likj} - (n-3) \sum_{k,l} A_{kl} C_{kli} \\
 &= - \sum_{j,k,m} (A_{ik,m} R_{mjkj} + A_{im,j} R_{mkkj} + A_{mk,j} R_{mikj}) \\
 &\quad + \sum_{k,l,j} A_{kl,j} W_{likj} + (n-3) \sum_{k,l} A_{kl} C_{kli} \\
 &= \sum_{j,k,m} (-A_{mk,j} R_{mikj} + A_{km,j} W_{mikj}) + (n-3) \sum_{k,l} A_{kl} C_{kli} \\
 &= \sum_{j,k,m} A_{mk,j} (-A_{mk} g_{ij} + A_{mj} g_{ik} - g_{mk} A_{ij} + g_{mj} A_{ik}) + (n-3) \sum_{k,l} A_{kl} C_{kli} \\
 &= \sum_{m,k} (-A_{mk,i} A_{mk} + A_{mi,k} A_{mk} - A_{mk,j} g_{mk} A_{ij} + A_{mj,k} g_{mk} A_{ij}) \\
 &\quad + (n-3) \sum_{k,l} A_{kl} C_{kli} \\
 &= \sum_{m,k} A_{mk} (A_{mi,k} - A_{mk,i}) + (n-3) \sum_{k,l} A_{kl} C_{kli} \\
 &= \sum_{m,k} A_{mk} C_{mik} + (n-3) \sum_{k,l} A_{kl} C_{kli} \\
 &= (n-4) \sum_{k,l} A_{kl} C_{kli},
 \end{aligned}$$

where we have used

$$R_{mikj} = W_{mikj} + A_{mk} g_{ij} - A_{mj} g_{ik} + g_{mk} A_{ij} - g_{mj} A_{ik}. \quad \square$$

*Proof of Theorem 1.1 in the special case  $k = 3$ .* We use the notation of Section 2. Let  $\phi_t$  be the local one-parameter family of conformal diffeomorphisms of  $(M, g)$  generated by  $X$ . For  $g_t = \phi_t^*(g) = e^{2\omega_t} g$ , similarly to (3-1), we have

$$\begin{aligned}
 (3-2) \quad \langle X, v^{(6)} \rangle &= \left. \frac{\partial}{\partial t} \right|_{t=0} v^{(6)}(g_t) \\
 &= -6v^{(6)}(g)\dot{\omega} + \sum_{i,j} \nabla^j \left( \left( \frac{T_{ij}^{(2)}(g)}{8} + \frac{B_{ij}(g)}{24(n-4)} \right) \nabla^i \dot{\omega} \right),
 \end{aligned}$$

if  $n \neq 2k$ . Then integrating (3-2) we can get Theorem 1.1.

If  $n = 2k$ , then by use of (3-1) and (3-2), we can prove that  $\int_M \langle X, v^{(6)}(g) \rangle dv_g$  is independent of the particular choice of the metric within the conformal class. The remainder of the proof repeats verbatim that of Section 2.  $\square$

### 4. Proof of Theorem 1.3

In this section, we will prove Theorem 1.3 using a method similar to the one used in Section 2. Let  $(M^n, g)$  be a compact Riemannian manifold, and denote by  $R_{ijkl}$  the Riemann curvature tensor in local coordinates. Define a tensor  $P_r$  by

$$P_{ri}^j = \delta_{ii_1 i_2 \dots i_{2r-1} i_{2r}}^{jj_1 j_2 \dots j_{2r-1} j_{2r}} R_{j_1 i_2}^{i_1 i_2} \cdots R_{j_{2r-1} j_{2r}}^{i_{2r-1} i_{2r}} \quad \text{for } 2r \leq n,$$

where  $\delta_{ii_1 i_2 \dots i_{2r-1} i_{2r}}^{jj_1 j_2 \dots j_{2r-1} j_{2r}}$  is the generalized Kronecker symbol.

**Lemma 4.1.** *The tensor  $P_r$  is divergence free, that is,*

$$P_{ri,j}^j = 0 \quad \text{for any } i.$$

This property was present in [Labbi 2008] and [Lovelock 1971], although with different notation and formalism. Since we define the tensor  $P_r$  explicitly as above, and the property of  $P_r$  in Lemma 4.1 is a direct consequence of the Bianchi identity, we include a proof here.

*Proof.* We have

$$\begin{aligned} P_{ri,j}^j &= r \delta_{ii_1 i_2 \dots i_{2r-1} i_{2r}}^{jj_1 j_2 \dots j_{2r-1} j_{2r}} R_{j_1 i_2}^{i_1 i_2} \cdots R_{j_{2r-1} j_{2r}}^{i_{2r-1} i_{2r}} \\ &= -r \delta_{ii_1 i_2 \dots i_{2r-1} i_{2r}}^{jj_1 j_2 \dots j_{2r-1} j_{2r}} R_{j_2 j_1}^{i_1 i_2} \cdots R_{j_{2r-1} j_{2r}}^{i_{2r-1} i_{2r}} \\ &\quad - r \delta_{ii_1 i_2 \dots i_{2r-1} i_{2r}}^{jj_1 j_2 \dots j_{2r-1} j_{2r}} R_{j_1 j_2}^{i_1 i_2} \cdots R_{j_{2r-1} j_{2r}}^{i_{2r-1} i_{2r}} \\ &= -2r \delta_{ii_1 i_2 \dots i_{2r-1} i_{2r}}^{jj_1 j_2 \dots j_{2r-1} j_{2r}} R_{j_1 j_2, j}^{i_1 i_2} \cdots R_{j_{2r-1} j_{2r}}^{i_{2r-1} i_{2r}} \\ &= -2P_{ri,j}^j, \end{aligned}$$

where we have used the second Bianchi identity. It then follows that  $P_{ri,j}^j = 0$ .  $\square$

**Lemma 4.2.** *The generalized Kronecker symbol satisfies*

$$\sum_{i,j=1}^n \delta_j^i \delta_{ii_1 \dots i_r}^{jj_1 \dots j_r} = (n-r) \delta_{i_1 \dots i_r}^{j_1 \dots j_r} \quad \text{for any } 1 \leq i_1, \dots, j_r \leq n \text{ and } r \leq n.$$

The proof follows by a direct calculation from the definition.

Let  $X$  be a conformal vector field, and denote by  $\phi_t$  the one-parameter subgroup of diffeomorphisms generated by  $X$ . Then there exists a family of functions  $\omega_t$  such

that  $g_t = \phi_t^* g = e^{2\omega_t} g$ . We have (2-3),  $\omega_0 = 0$ , and

$$(4-1) \quad G_{2r}(g_t) = \phi_t^* G_{2r}(g).$$

Under the conformal change of metric  $g_t = e^{2\omega_t} g$ , we have the formula (see for example [Chow et al. 2006])

$$(4-2) \quad R_{kl}^{ij}(g_t) = e^{-2\omega_t} (R_{kl}^{ij} - (\alpha \odot g)^{ij}_{kl}),$$

where we denote  $\alpha_{ij} = (\omega_t)_{ij} - (\omega_t)_i(\omega_t)_j + \frac{1}{2}|\nabla\omega_t|^2 g_{ij}$  for convenience (note that  $(\omega_t)_{ij}$  is the covariant derivative with respect to the fixed metric  $g$ ) and  $\odot$  is the Kulkarni–Nomizu product, defined by

$$(\alpha \odot g)_{ijkl} = \alpha_{ik}g_{jl} + \alpha_{jl}g_{ik} - \alpha_{il}g_{jk} - \alpha_{jk}g_{il}.$$

From (4-2) we see that

$$(4-3) \quad G_{2r}(g_t) = e^{-2r\omega_t} \delta_{i_1 i_2 \dots i_{2r-1} i_{2r}}^{j_1 j_2 \dots j_{2r-1} j_{2r}} \cdot (R_{j_1 j_2}^{i_1 i_2} - (\alpha \odot g)^{i_1 i_2}_{j_1 j_2}) \cdots (R_{j_{2r-1} j_{2r}}^{i_{2r-1} i_{2r}} - (\alpha \odot g)^{i_{2r-1} i_{2r}}_{j_{2r-1} j_{2r}}).$$

Taking derivative with respect to  $t$  on both sides of (4-1) and using (4-3), we see by using (2-3) that

$$(4-4) \quad \begin{aligned} & \langle X, G_{2r}(g) \rangle \\ &= \frac{\partial}{\partial t} \Big|_{t=0} G_{2r}(g_t) \\ &= -2r \dot{\omega} G_{2r}(g) - r \delta_{i_1 i_2 \dots i_{2r-1} i_{2r}}^{j_1 j_2 \dots j_{2r-1} j_{2r}} \left( \frac{\partial \alpha}{\partial t} \Big|_{t=0} \odot g \right)^{i_1 i_2}_{j_1 j_2} R_{j_3 j_4}^{i_3 i_4} \cdots R_{j_{2r-1} j_{2r}}^{i_{2r-1} i_{2r}} \\ &= -2r \dot{\omega} G_{2r}(g) - 4r(n - 2r + 1) P_{r-1}^j \dot{\omega}^j_i \\ &= -2r \frac{\operatorname{div} X}{n} G_{2r}(g) - \frac{4r(n - 2r + 1)}{n} P_{r-1}^j (\operatorname{div} X)^i_j \\ &= -2r \frac{\operatorname{div} X}{n} G_{2r}(g) - \frac{4r(n - 2r + 1)}{n} \nabla_j (P_{r-1}^j (\operatorname{div} X)^i). \end{aligned}$$

where we have used Lemma 4.2 in the third equality and Lemma 4.1 in the last. Integrating (4-4) over  $M$  and using the divergence theorem, we see that

$$(4-5) \quad \int_M \langle X, G_{2r}(g) \rangle dv = -2r \int_M \frac{\operatorname{div} X}{n} G_{2r}(g) dv = \frac{2r}{n} \int_M \langle X, G_{2r}(g) \rangle dv,$$

Hence, if  $n > 2r$ , it follows from (4-5) that  $\int_M \langle X, G_{2r}(g) \rangle dv = 0$ . If  $n = 2r$ , we follow ideas in Section 2, that is, we need to prove that the integral

$$\int_M G_{2r}(g) \operatorname{div}_g X dv_g,$$

is independent of a particular choice of metric within a conformal class. Let  $g_1 = e^{2\eta}g$  ( $\eta \in C^\infty(M)$ ) be any metric in the conformal class  $[g]$ . Considering a family of metrics  $g_t = e^{2t\eta}g$  connecting  $g$  and  $g_1$ , we need to prove that

$$\frac{\partial}{\partial t} \Big|_{t=0} \int_M G_{2r}(g_t) \operatorname{div}_{g_t} X dv_{g_t} = 0.$$

By a direct computation, we have

$$\begin{aligned} & \frac{\partial}{\partial t} \Big|_{t=0} \int_M G_{2r}(g_t) \operatorname{div}_{g_t} X dv_{g_t} \\ &= \int_M \left( \frac{\partial}{\partial t} \Big|_{t=0} G_{2r}(g_t) \operatorname{div} X + G_{2r}(g) \frac{\partial}{\partial t} \Big|_{t=0} \operatorname{div}_{g_t} X + n\eta G_{2r}(g) \operatorname{div} X \right) dv_g \\ &= \int_M \left( -2r\eta G_{2r}(g) \operatorname{div} X - 4r(n-2r+1) P_{r-1}^j \eta^j \operatorname{div} X \right. \\ & \qquad \qquad \qquad \left. + nG_{2r}(g) \langle \nabla \eta, X \rangle + nG_{2r}(g) \operatorname{div} X \eta \right) dv_g \\ &= \int_M \left( -2r\eta G_{2r}(g) \operatorname{div} X - 4\eta r(n-2r+1) P_{r-1}^j (\operatorname{div} X)_j \right. \\ & \qquad \qquad \qquad \left. - n\eta \langle \nabla G_{2r}(g), X \rangle \right) dv_g \\ &= 0, \end{aligned}$$

where we have used (2-5) in the second equality, the divergence theorem in the third and (4-4) in the last. The remainder of the proof follows the idea of [Bourguignon and Ezin 1987] as in Section 2. Hence we complete the proof of Theorem 1.3.

## References

- [Bourguignon and Ezin 1987] J.-P. Bourguignon and J.-P. Ezin, “Scalar curvature functions in a conformal class of metrics and conformal transformations”, *Trans. Amer. Math. Soc.* **301**:2 (1987), 723–736. MR 88e:53054 Zbl 0622.53023
- [Chang and Fang 2008] S.-Y. A. Chang and H. Fang, “A class of variational functionals in conformal geometry”, *Int. Math. Res. Not.* **2008**:7 (2008), Art. ID rnn008. MR 2009h:53072 Zbl 1154.53019
- [Chern 1944] S.-s. Chern, “A simple intrinsic proof of the Gauss–Bonnet formula for closed Riemannian manifolds”, *Ann. of Math. (2)* **45** (1944), 747–752. MR 6,106a Zbl 0060.38103
- [Chow et al. 2006] B. Chow, P. Lu, and L. Ni, *Hamilton’s Ricci flow*, Graduate Studies in Mathematics **77**, American Mathematical Society, Providence, RI, 2006. MR 2008a:53068 Zbl 1118.53001
- [Graham 2009] C. R. Graham, “Extended obstruction tensors and renormalized volume coefficients”, *Adv. Math.* **220**:6 (2009), 1956–1985. MR 2010e:53060 Zbl 1161.53062
- [Graham and Juhl 2007] C. R. Graham and A. Juhl, “Holographic formula for  $Q$ -curvature”, *Adv. Math.* **216**:2 (2007), 841–853. MR 2009a:53062 Zbl 1147.53030
- [Han 2006a] Z.-C. Han, “A Kazdan–Warner type identity for the  $\sigma_k$  curvature”, *C. R. Math. Acad. Sci. Paris* **342**:7 (2006), 475–478. MR 2006j:53045 Zbl 1099.53028
- [Han 2006b] Z.-C. Han, “A Kazdan–Warner type identity for the  $\sigma_k$  curvature”, preprint, 2006, available at <http://www.math.rutgers.edu/~zchan/current-preprint/KW.pdf>.

- [Hu and Li 2004] Z. Hu and H. Li, “A new variational characterization of  $n$ -dimensional space forms”, *Trans. Amer. Math. Soc.* **356**:8 (2004), 3005–3023. MR 2005d:53058 Zbl 1058.53029
- [Labbi 2008] M.-L. Labbi, “Variational properties of the Gauss–Bonnet curvatures”, *Calc. Var. Partial Differential Equations* **32**:2 (2008), 175–189. MR 2009a:58013 Zbl 1139.58009
- [Lovelock 1971] D. Lovelock, “The Einstein tensor and its generalizations”, *J. Mathematical Phys.* **12** (1971), 498–501. MR 43 #1588 Zbl 0213.48801
- [Reilly 1977] R. C. Reilly, “Applications of the Hessian operator in a Riemannian manifold”, *Indiana Univ. Math. J.* **26**:3 (1977), 459–472. MR 57 #13799 Zbl 0391.53019
- [Viaclovsky 2000a] J. A. Viaclovsky, “Conformal geometry, contact geometry, and the calculus of variations”, *Duke Math. J.* **101**:2 (2000), 283–316. MR 2001b:53038 Zbl 0990.53035
- [Viaclovsky 2000b] J. A. Viaclovsky, “Some fully nonlinear equations in conformal geometry”, pp. 425–433 in *Differential equations and mathematical physics* (Birmingham, AL, 1999), edited by R. Weikard and G. Weinstein, AMS/IP Stud. Adv. Math. **16**, Amer. Math. Soc., Providence, RI, 2000. MR 2001i:53057 Zbl 1161.53346

Received May 18, 2010.

BIN GUO  
DEPARTMENT OF MATHEMATICAL SCIENCES  
TSINGHUA UNIVERSITY  
BEIJING 100084  
CHINA  
*Current address:*  
DEPARTMENT OF MATHEMATICS  
RUTGERS UNIVERSITY  
110 FRELINGHUYSEN ROAD  
PISCATAWAY, NJ 08854  
UNITED STATES  
bguo@math.rutgers.edu

ZHENG-CHAO HAN  
DEPARTMENT OF MATHEMATICS  
RUTGERS UNIVERSITY  
110 FRELINGHUYSEN ROAD  
PISCATAWAY, NJ 08854  
UNITED STATES  
zchan@math.rutgers.edu

HAIZHONG LI  
DEPARTMENT OF MATHEMATICAL SCIENCES  
TSINGHUA UNIVERSITY  
BEIJING 100084  
CHINA  
hli@math.tsinghua.edu.cn

# PACIFIC JOURNAL OF MATHEMATICS

<http://www.pjmath.org>

Founded in 1951 by

E. F. Beckenbach (1906–1982) and F. Wolf (1904–1989)

## EDITORS

V. S. Varadarajan (Managing Editor)

Department of Mathematics  
University of California  
Los Angeles, CA 90095-1555  
[pacific@math.ucla.edu](mailto:pacific@math.ucla.edu)

Vyjayanthi Chari  
Department of Mathematics  
University of California  
Riverside, CA 92521-0135  
[chari@math.ucr.edu](mailto:chari@math.ucr.edu)

Darren Long  
Department of Mathematics  
University of California  
Santa Barbara, CA 93106-3080  
[long@math.ucsb.edu](mailto:long@math.ucsb.edu)

Sorin Popa  
Department of Mathematics  
University of California  
Los Angeles, CA 90095-1555  
[popa@math.ucla.edu](mailto:popa@math.ucla.edu)

Robert Finn  
Department of Mathematics  
Stanford University  
Stanford, CA 94305-2125  
[finn@math.stanford.edu](mailto:finn@math.stanford.edu)

Jiang-Hua Lu  
Department of Mathematics  
The University of Hong Kong  
Pokfulam Rd., Hong Kong  
[jhlu@maths.hku.hk](mailto:jhlu@maths.hku.hk)

Jie Qing  
Department of Mathematics  
University of California  
Santa Cruz, CA 95064  
[qing@cats.ucsc.edu](mailto:qing@cats.ucsc.edu)

Kefeng Liu  
Department of Mathematics  
University of California  
Los Angeles, CA 90095-1555  
[liu@math.ucla.edu](mailto:liu@math.ucla.edu)

Alexander Merkurjev  
Department of Mathematics  
University of California  
Los Angeles, CA 90095-1555  
[merkurev@math.ucla.edu](mailto:merkurev@math.ucla.edu)

Jonathan Rogawski  
Department of Mathematics  
University of California  
Los Angeles, CA 90095-1555  
[jonr@math.ucla.edu](mailto:jonr@math.ucla.edu)

## PRODUCTION

[pacific@math.berkeley.edu](mailto:pacific@math.berkeley.edu)

Silvio Levy, Scientific Editor

Matthew Cargo, Senior Production Editor

## SUPPORTING INSTITUTIONS

ACADEMIA SINICA, TAIPEI  
CALIFORNIA INST. OF TECHNOLOGY  
INST. DE MATEMÁTICA PURA E APLICADA  
KEIO UNIVERSITY  
MATH. SCIENCES RESEARCH INSTITUTE  
NEW MEXICO STATE UNIV.  
OREGON STATE UNIV.

STANFORD UNIVERSITY  
UNIV. OF BRITISH COLUMBIA  
UNIV. OF CALIFORNIA, BERKELEY  
UNIV. OF CALIFORNIA, DAVIS  
UNIV. OF CALIFORNIA, LOS ANGELES  
UNIV. OF CALIFORNIA, RIVERSIDE  
UNIV. OF CALIFORNIA, SAN DIEGO  
UNIV. OF CALIF., SANTA BARBARA

UNIV. OF CALIF., SANTA CRUZ  
UNIV. OF MONTANA  
UNIV. OF OREGON  
UNIV. OF SOUTHERN CALIFORNIA  
UNIV. OF UTAH  
UNIV. OF WASHINGTON  
WASHINGTON STATE UNIVERSITY

These supporting institutions contribute to the cost of publication of this Journal, but they are not owners or publishers and have no responsibility for its contents or policies.

---

See inside back cover or [www.pjmath.org](http://www.pjmath.org) for submission instructions.

The subscription price for 2011 is US \$420/year for the electronic version, and \$485/year for print and electronic.

Subscriptions, requests for back issues from the last three years and changes of subscribers address should be sent to Pacific Journal of Mathematics, P.O. Box 4163, Berkeley, CA 94704-0163, U.S.A. Prior back issues are obtainable from Periodicals Service Company, 11 Main Street, Germantown, NY 12526-5635. The Pacific Journal of Mathematics is indexed by Mathematical Reviews, Zentralblatt MATH, PASCAL CNRS Index, Referativnyi Zhurnal, Current Mathematical Publications and the Science Citation Index.

The Pacific Journal of Mathematics (ISSN 0030-8730) at the University of California, c/o Department of Mathematics, 969 Evans Hall, Berkeley, CA 94720-3840, is published monthly except July and August. Periodical rate postage paid at Berkeley, CA 94704, and additional mailing offices. POSTMASTER: send address changes to Pacific Journal of Mathematics, P.O. Box 4163, Berkeley, CA 94704-0163.

---

PJM peer review and production are managed by EditFLOW™ from Mathematical Sciences Publishers.

PUBLISHED BY PACIFIC JOURNAL OF MATHEMATICS

at the University of California, Berkeley 94720-3840

A NON-PROFIT CORPORATION

Typeset in L<sup>A</sup>T<sub>E</sub>X

Copyright ©2011 by Pacific Journal of Mathematics

# PACIFIC JOURNAL OF MATHEMATICS

Volume 251 No. 2 June 2011

---

Two Kazdan–Warner-type identities for the renormalized volume coefficients and the Gauss–Bonnet curvatures of a Riemannian metric	257
BIN GUO, ZHENG-CHAO HAN and HAIZHONG LI	
Gonality of a general ACM curve in $\mathbb{P}^3$	269
ROBIN HARTSHORNE and ENRICO SCHLESINGER	
Universal inequalities for the eigenvalues of the biharmonic operator on submanifolds	315
SAÏD ILIAS and OLA MAKHOUL	
Multigraded Fujita approximation	331
SHIN-YAO JOW	
Some Dirichlet problems arising from conformal geometry	337
QI-RUI LI and WEIMIN SHENG	
Polycyclic quasiconformal mapping class subgroups	361
KATSUHIKO MATSUZAKI	
On zero-divisor graphs of Boolean rings	375
ALI MOHAMMADIAN	
Rational certificates of positivity on compact semialgebraic sets	385
VICTORIA POWERS	
Quiver grassmannians, quiver varieties and the preprojective algebra	393
ALISTAIR SAVAGE and PETER TINGLEY	
Nonautonomous second order Hamiltonian systems	431
MARTIN SCHECHTER	
Generic fundamental polygons for Fuchsian groups	453
AKIRA USHIJIMA	
Stability of the Kähler–Ricci flow in the space of Kähler metrics	469
KAI ZHENG	
The second variation of the Ricci expander entropy	499
MENG ZHU	



0030-8730(201106)251:2;1-C