

*Pacific
Journal of
Mathematics*

**STRONG SUBMODULES
OF ALMOST PROJECTIVE MODULES**

GÁBOR BRAUN AND JAN TRLIFAJ

Volume 254 No. 1

November 2011

STRONG SUBMODULES OF ALMOST PROJECTIVE MODULES

GÁBOR BRAUN AND JAN TRLIFAJ

The structure of almost projective modules can be better understood in the case when the following Condition (P) holds: The union of each countable pure chain of projective modules is projective. We prove this condition, and its generalization to pure-projective modules, for all countable rings, using the new notion of a strong submodule of the union.

However, we also show that Condition (P) fails for all Prüfer domains of finite character with uncountable spectrum, and in particular, for the polynomial ring $K[x]$, where K is an uncountable field. One can even prescribe the Γ -invariant of the union. Our results generalize earlier work of Hill, and complement recent papers by Macías-Díaz, Fuchs, and Rangaswamy.

By a classic theorem of Kaplansky, the structure theory of projective modules over an arbitrary ring reduces to that of countably generated ones. In stark contrast, *almost projective modules* (modules possessing a rich supply of small projective submodules) generally have a very complex structure. Perhaps the most successful invariant measuring their complexity is the Γ -invariant. A projective module has a trivial Γ -invariant [Eklof 1993; Eklof and Mekler 2002].

There are additional conditions on almost projective modules that guarantee projectivity. In his work on Whitehead groups, Hill [1970] discovered a remarkable condition in the particular case of abelian groups: if A is the union of a countable pure chain of (arbitrarily large) projective groups, then A is projective. Here, we call the analogous property for modules over an arbitrary ring *Condition (P)*.

In the past decade, several authors have attempted to extend Hill's result and establish Condition (P) for large classes of rings, notably for commutative domains and noetherian rings [Fuchs and Rangaswamy 2011; Fuchs and Salce 2001]. So far, Macías-Díaz [2010] has obtained the strongest result, that Prüfer domains with countable spectrum have Condition (P).

Section 1 of our paper gives more motivation for considering Condition (P), by showing its role in relating various notions of almost projectivity appearing in the

Research partially supported by the Hungarian Scientific Research Fund, Grant NK 81203. Research supported by the PPP project MEB 101005, GAČR 201/09/0816, and MSM 0021620839.

MSC2010: primary 13C10, 16D40; secondary 03E75, 13F05, 13G05, 16P70.

Keywords: Almost projective module, pure chain, strong submodule, Γ -invariant, Prüfer domain.

literature. In Section 2, we prove Condition (P) and some of its generalizations for all countable rings, using the new notion of a strong submodule.

However, in Section 3, we show that Condition (P) fails completely for all Prüfer domains of finite character with uncountable spectrum (and thus, for example, for the polynomial ring $K[x]$, where K is any uncountable field). Here, “completely” refers to the fact that there are essentially no restrictions on the Γ -invariant of A .

In what follows, R denotes a ring (that is, an associative ring with 1), and the term *module* means a right R -module.

1. Almost projective modules

The following definition is the analogue of [Eklof and Mekler 2002, IV.1.1] for general rings, with “free” replaced by “projective”.

Definition 1.1. Let R be a ring and κ a regular uncountable cardinal. A module M is called κ -*projective* if there exists a set \mathcal{S} consisting of $< \kappa$ -generated projective submodules of M such that

- (i) each subset of M of cardinality $< \kappa$ is contained in an element of \mathcal{S} , and
- (ii) \mathcal{S} is closed under unions of well-ordered chains of length $< \kappa$.

We recall some other relevant notions for the study of almost projectivity (see, for example, [Eklof and Mekler 2002, IV.1; Trlifaj 1995]).

Definition 1.2. Let R be a ring and κ a regular uncountable cardinal. A module M is called *weakly κ -projective* if each subset of M of cardinality $< \kappa$ is contained in a pure submodule N of M that is $< \kappa$ -generated and projective.

Recall that a module M is *flat* if the functor $M \otimes_R -$ is exact, and that M is *Mittag-Leffler* if the canonical map

$$M \otimes_R \prod_{i \in I} Q_i \rightarrow \prod_{i \in I} (M \otimes_R Q_i)$$

is monic for each family of left R -modules $(Q_i \mid i \in I)$.

Lemma 1.3 [Raynaud and Gruson 1971; Herbera and Trlifaj 2009]. *Let R be a ring and M a module. Then the following conditions are equivalent:*

- (i) M is \aleph_1 -projective.
- (ii) M is weakly \aleph_1 -projective.
- (iii) Each finite subset of M is contained in a projective, countably generated and pure submodule of M .
- (iv) M is flat Mittag-Leffler.

Also, if κ is a regular uncountable cardinal and M is κ -projective, then M is \aleph_1 -projective.

Proof. The equivalence of (i), (ii) and (iii) is proved in [Raynaud and Gruson 1971] (see also [Drinfeld 2006]), while (i) and (iv) are equivalent by [Herbera and Trlifaj 2009, Theorem 2.9(i)] (see also [Rothmaler 1994; 1997]). The last statement is [Herbera and Trlifaj 2009, Theorem 2.9(ii)]. \square

The implication (i) \Rightarrow (ii) extends to arbitrary regular uncountable cardinals κ :

Lemma 1.4. *Let R be a ring, M a module, and κ an infinite cardinal.*

- (i) *Assume that M is \aleph_1 -projective. Then each subset of M of cardinality $\leq \kappa$ is contained in a $\leq \kappa$ -generated pure submodule of M .*
- (ii) *Assume that κ is regular uncountable and M is κ -projective. Then M is weakly κ -projective.*

Proof. (i) We prove the claim by induction on κ . The case of $\kappa = \aleph_0$ follows by Lemma 1.3.

Assume $\kappa \geq \aleph_1$, and let $X = \{x_\alpha \mid \alpha < \kappa\}$ be a subset of M of cardinality κ . For each $\alpha < \kappa$, let $X_\alpha = \{x_\beta \mid \beta < \alpha\}$. By induction on α , we define an increasing chain $(P_\alpha \mid \alpha < \kappa)$ of $< \kappa$ -generated pure submodules of M as follows: $P_0 = 0$, $P_{\alpha+1}$ is a $< \kappa$ -generated pure submodule of M containing $X_\alpha \cup P_\alpha$ (which exists by the inductive premise), and $P_\alpha = \bigcup_{\beta < \alpha} P_\beta$ when $\alpha < \kappa$ is a limit ordinal. Then $P = \bigcup_{\alpha < \kappa} P_\alpha$ is a $\leq \kappa$ -generated pure submodule of M containing X .

(ii) Let \mathcal{S} be as in Definition 1.1, and let X be a subset of M of cardinality $< \kappa$. By condition (i) of Definition 1.1, X is contained in a $< \kappa$ -generated projective submodule $P_0 \in \mathcal{S}$. By the last statement of Lemma 1.3 and by Lemma 1.4(i), P_0 is contained in a $< \kappa$ -generated pure submodule Q_0 of M . Proceeding similarly, we obtain a countable chain

$$P_0 \subseteq Q_0 \subseteq P_1 \subseteq Q_1 \subseteq \cdots \subseteq P_n \subseteq Q_n \subseteq \cdots,$$

where $P_n \in \mathcal{S}$, so P_n is $< \kappa$ -generated and projective, and Q_n is $< \kappa$ -generated and pure in M , for all $n < \omega$. Let $P = \bigcup_{n < \omega} P_n = \bigcup_{n < \omega} Q_n$. Then $P \in \mathcal{S}$ by condition (ii) of Definition 1.1, and P is pure in M . \square

Whatever the cardinality of the ring R , Lemma 1.4(i) makes it possible to purify a submodule without increasing the number of generators. So in the particular case when R is a right hereditary ring, κ -projectivity and weak κ -projectivity are equivalent (to the property that each $< \kappa$ -generated submodule is projective). However, the converse of Lemma 1.4(ii) fails in general:

Example 1.5. Let $\kappa > \aleph_1$ be a regular cardinal, let K be a field, and let R denote the endomorphism ring of a κ -dimensional K -linear space modulo its maximal

ideal. Then there exists a κ -generated right ideal I in R such that I is weakly κ -projective, but not κ -projective [Trlifaj 1995, Theorem 8].

Another relevant property is the following (where a chain $(P_n \mid n < \omega)$ is a *pure chain* if P_n is a pure submodule of P_{n+1} for each $n < \omega$):

Definition 1.6. Let R be a ring. Then R satisfies *Condition (P)* if for each pure chain $(P_n \mid n < \omega)$ consisting of projective modules, the module $P = \bigcup_{n < \omega} P_n$ is projective.

Condition (P) yields a characterization of weak κ -projectivity:

Proposition 1.7. *Let R be a ring satisfying Condition (P). Let M be a module and κ a regular infinite cardinal. Then M is weakly κ -projective if and only if there exists a set \mathcal{S} consisting of $< \kappa$ -generated projective submodules of M such that*

- (i) *each subset of M of cardinality $< \kappa$ is contained in an element of \mathcal{S} , and*
- (ii) *\mathcal{S} is closed under unions of countable chains.*

Proof. By [Herbera and Trlifaj 2009, Corollary 2.3], assumptions (i) and (ii) assure \aleph_1 -projectivity of M , so the “if” implication is proved as in Lemma 1.4. For the “only if”, let \mathcal{S} be the set of all $< \kappa$ -generated projective and pure submodules of M . Then (i) holds by the assumption. If $M_0 \subseteq \cdots \subseteq M_n \subseteq M_{n+1} \subseteq \cdots$ is a countable chain of elements of \mathcal{S} , then $M_\omega = \bigcup_{n < \omega} M_n$ is projective by Condition (P), so $M_\omega \in \mathcal{S}$. \square

Condition (P) holds for $R = \mathbb{Z}$. This was shown by Hill [1970], who proved thus the singular compactness of almost free abelian groups of cardinality \aleph_α , where α has cofinality ω .

More generally, Condition (P) is known to hold for all Prüfer domains with countably many maximal ideals [Macías-Díaz 2010, Corollary 15], and hence for all valuation domains. In Theorem 2.5 below, we prove it for all countable rings.

However, attempts to prove Condition (P) for arbitrary domains in [Fuchs and Salce 2001, XVI.1.4] and [Fuchs and Rangaswamy 2011, Theorem 1.3] have gaps; in fact, as we see in Theorem 3.1, Condition (P) fails even for $R = K[x]$, where K is an uncountable field.

The main goal of the next section is to prove Condition (P), and hence the equivalence in Proposition 1.7, for all countable rings. Before proceeding to that point, we note that under additional assumptions on R and M , the equivalence holds even without assuming Condition (P):

Proposition 1.8. *Let κ be an infinite cardinal, and let R be a ring that is either a domain or is right $< \kappa$ -noetherian (that is, every right ideal is $< \kappa$ -generated). Let M be a module of projective dimension ≤ 1 . Then M is weakly κ -projective if and only if there exists a set \mathcal{S} consisting of $< \kappa$ -generated projective submodules of M such that*

- (i) each subset of M of cardinality $< \kappa$ is contained in an element of \mathcal{S} , and
- (ii) \mathcal{S} is closed under unions of countable chains.

The following lemma helps in finding projective submodules:

Lemma 1.9. *Let M be a module of projective dimension at most 1. Let N be a tight submodule; that is, let M/N have also projective dimension at most 1. If N is contained in a projective submodule of M , then N is projective.*

Proof. Let P be a projective module such that $N \subseteq P \subseteq M$. We can estimate the projective dimensions of various modules built from N , P and M using the long exact sequence for Ext as follows:

- (1) $\text{proj.dim } M/P \leq \max\{\text{proj.dim } M, \text{proj.dim } P + 1\} \leq 2,$
- (2) $\text{proj.dim } P/N \leq \max\{\text{proj.dim } M/N, \text{proj.dim } M/P - 1\} \leq 1,$
- (3) $\text{proj.dim } N \leq \max\{\text{proj.dim } P, \text{proj.dim } P/N - 1\} \leq 0.$

The last line shows that N is projective. □

Proof of Proposition 1.8. As in the proof of Proposition 1.7, the conditions (i) and (ii) of Proposition 1.8 imply that M is κ -projective (because neither Condition (P) nor any of our additional assumptions are needed there).

For the other direction, we note that by the assumptions on R , there is a Hill family consisting of tight submodules of M : when R is a domain, this follows by [Fuchs and Salce 2001, Proposition VI.5.1] and [Göbel and Trlifaj 2006, 4.2.6], and when R is $< \kappa$ -noetherian, we apply [Göbel and Trlifaj 2006, 4.1.11 and 4.2.6].

Let \mathcal{S} be the subfamily of the $< \kappa$ -generated members of this family. Conditions (i) and (ii) automatically hold. Finally, the assumption of weak κ -projectivity and Lemma 1.9 imply that \mathcal{S} consists of projective modules. □

2. Hill families of strong submodules

We start this section by considering a general version of Condition (P), where the chain $(P_n \mid n < \omega)$ is not necessarily pure, and the modules P_n ($n < \omega$) are direct sums of modules from a given class \mathcal{C} consisting of countably presented modules or modules of countable rank. The relevant notion here is that of a strong submodule. It is introduced in the following definition, where, for a class of modules \mathcal{C} , we denote by $\text{Sum}(\mathcal{C})$ the class of all direct sums of copies of modules from \mathcal{C} .

Definition 2.1. Let R be a ring and \mathcal{C} a class of modules.

Let $(P_n \mid n < \omega)$ be a countable increasing chain of modules, and suppose $P = \bigcup_{n < \omega} P_n$. Assume that $P_n \in \text{Sum}(\mathcal{C})$ for each $n < \omega$; that is, there exists a decomposition $P_n = \bigoplus_{\alpha < \kappa_n} P_{n,\alpha}$, where $P_{n,\alpha}$ is isomorphic to an element of \mathcal{C} for each $\alpha < \kappa_n$.

We fix these decompositions, and for each $n < \omega$ and each subset $S \subseteq \kappa_n$, define $P(n, S) = \bigoplus_{\alpha \in S} P_{n,\alpha}$. So, in particular, $P_n = P(n, \kappa_n)$.

A submodule N of P is called *strong* if there exist $(A_n \mid n < \omega)$ such that $A_n \subseteq \kappa_n$ and $N \cap P_n = P(n, A_n)$ for each $n < \omega$. The sequence $(A_n \mid n < \omega)$ is then uniquely determined by N ; it is the *witnessing sequence* for N .

In this section, P denotes the union $\bigcup_{n < \omega} P_n$, where $(P_n \mid n < \omega)$ is a countable increasing chain of modules, as in Definition 2.1.

In the case when \mathcal{C} is the class of all countably presented projective modules, Definition 2.1 covers the setting of Condition (P), because by a classic theorem of Kaplansky, each projective module is a direct sum of modules in \mathcal{C} .

Note that 0 and P are strong submodules of P . Also, unions of chains of strong submodules are strong, and so are arbitrary intersections of strong submodules. Indeed, in Theorem 2.9, we prove that strong submodules are abundant.

If N is strong in P and the chain $(P_n \mid n < \omega)$ is pure, then N is a pure submodule of P , because $N = \bigcup_{n < \omega} N \cap P_n$ and $N \cap P_n$ is a direct summand in the pure submodule P_n of P for each $n < \omega$.

For the next lemma, we recall that a ring R is right \aleph_0 -noetherian provided that each right ideal of R is countably generated. For example, all right noetherian rings, and all countable rings, are right \aleph_0 -noetherian. It is easy to see that a ring R is right \aleph_0 -noetherian if and only if each submodule of a countably generated module is countably generated.

Lemma 2.2. *Assume that R is right \aleph_0 -noetherian and \mathcal{C} consists of countably presented modules, or that R is a commutative domain and \mathcal{C} consists of torsion-free modules of countable rank, respectively. Let N be a strong submodule of P with witnessing sequence $(A_n \mid n < \omega)$. Let C be a countable subset of P or a subset of P such that $\langle C \rangle$ has countable rank, respectively.*

Then there is a strong submodule N' of P such that $N \cup C \subseteq N'$, the witnessing sequence $(A'_n \mid n < \omega)$ for N' satisfies $A_n \subseteq A'_n$, and $A'_n \setminus A_n$ is countable for each $n < \omega$.

Proof. We simultaneously and recursively construct chains $(C_{n,i} : i < \omega)$ of subsets of κ_n .

As a start, for each $n < \omega$, put $A_n \subseteq C_{n,0} \subseteq \kappa_n$, with $C_{n,0} \setminus A_n$ countable and $C \cap P_n \subseteq P(n, C_{n,0})$.

For $i \geq 0$, let $C_{n,i} \subseteq C_{n,i+1} \subseteq \kappa_n$, with $C_{n,i+1} \setminus C_{n,i}$ countable and

$$P(m, C_{m,i}) \cap P_n \subseteq P(n, C_{n,i+1})$$

for all m .

Finally, we define $A'_n = \bigcup_{i < \omega} C_{n,i}$ for each $n < \omega$. Then $A_n \subseteq A'_n \subseteq \kappa_n$, and $A'_n \setminus A_n$ is countable for each $n < \omega$. Let $N' = \bigcup_{n < \omega} P(n, A'_n) = \bigcup_{n,i < \omega} P(n, C_{n,i})$.

The $P(n, C_{n,i})$ form an upper directed system of submodules, so their union is a submodule.

Recall that $P(m, C_{m,i}) \cap P_n \subseteq P(n, C_{n,i+1})$ for all $m, n, i < \omega$, and hence $N' \cap P_n = P(n, A'_n)$. All in all, N' is a strong submodule of P with witnessing sequence $(A'_n \mid n < \omega)$.

Since $C \cap P_n \subseteq P(n, A'_n)$ for each $n < \omega$, we conclude that $N \cup C \subseteq N'$. \square

Lemma 2.2 serves as inductive step for proving the following:

Proposition 2.3. *Assume either that R is right \aleph_0 -noetherian and \mathcal{C} consists of countably presented modules, or that R is a commutative domain and \mathcal{C} consists of torsion-free modules of countable rank, respectively.*

Then P is the union of a continuous increasing chain $\mathcal{M} = (M_\alpha \mid \alpha < \lambda)$ of strong submodules of P , such that for each $\alpha < \lambda$, there is a countably generated or countable-rank submodule N_α of P , respectively, with $M_{\alpha+1} = M_\alpha + N_\alpha$.

Proof. Let $\{p_\alpha \mid \alpha < \lambda\}$ be an R -generating subset of P . Since $M_0 = 0$ is strong, and the union of a chain of strong submodules is strong, it remains to perform the nonlimit step of the construction. However, applying Lemma 2.2 for $N = M_\alpha$ and $C = \{p_\alpha\}$, we can take $N_\alpha = \sum_{n < \omega} P(n, A'_n \setminus A_n)$ and $M_{\alpha+1} = N'$. \square

We can prove more in the particular case of countable rings. We consider a class of modules \mathcal{C} to have *Property (C)* if for each increasing pure chain of modules $(Q_n \mid n < \omega)$ such that $Q_n \in \text{Sum}(\mathcal{C})$ for all $n < \omega$, and each countably presented pure submodule C of $\bigcup_{n < \omega} Q_n$, the module C is \mathcal{C} -filtered. Also, \mathcal{C} has *Property (C+)* if the same assumptions yield the stronger conclusion of $C \in \text{Sum}(\mathcal{C})$.

For example, the class of all countably presented modules and the class of all projective modules have *Property (C+)*, because the union of a pure chain of projective modules is always \aleph_1 -projective, by Lemma 1.3.

Lemma 2.4. *Let R be a countable ring. Let \mathcal{C} be a class of countably presented modules that has *Property (C)*. Let $(P_n \mid n < \omega)$ be an increasing pure chain of modules such that $P_n \in \text{Sum}(\mathcal{C})$ for all $n < \omega$, and let $P = \bigcup_{n < \omega} P_n$. Then P is \mathcal{C} -filtered.*

*Also, if \mathcal{C} has *Property (C+)*, then P is the union of a continuous increasing chain $\mathcal{M} = (M_\alpha \mid \alpha < \lambda)$ consisting of strong submodules of P such that $M_{\alpha+1}/M_\alpha \in \text{Sum}(\mathcal{C})$.*

Proof. Let $(P_n \mid n < \omega)$ be an increasing pure chain of modules with $P_n \in \text{Sum}(\mathcal{C})$ for all $n < \omega$. Since R is countable, the continuous chain \mathcal{M} from Proposition 2.3 can be taken with the additional property of $M_\alpha + P_n$ being pure in P for all $n < \omega$ and $\alpha < \kappa$. This is arranged by improving Lemma 2.2 for countable R : when for the strong submodule N , all the submodules $N + P_n$ are pure, then N' can be chosen with the $N' + P_n$ also pure.

It follows that for each $\alpha < \kappa$, the factor $Q = P/M_\alpha$ is the union of the pure chain $(Q_n \mid n < \omega)$, where $Q_n = (M_\alpha + P_n)/M_\alpha$. Also, $Q_n \cong P_n/(P_n \cap M_\alpha) \in \text{Sum}(\mathcal{C})$, because M_α is strong. Similarly, the countably presented submodule $C = M_{\alpha+1}/M_\alpha$ is pure in Q , so C is \mathcal{C} -filtered by Property (C). Then $P = \bigcup_{\alpha < \kappa} M_\alpha$ is \mathcal{C} -filtered as well.

Also, if \mathcal{C} has Property (C+), then $C = M_{\alpha+1}/M_\alpha \in \text{Sum}(\mathcal{C})$. \square

The assumptions of Lemma 2.4 are satisfied for R countable and \mathcal{C} the class of all countably generated projective modules. Since in this case \mathcal{C} -filtered is the same as projective, we get:

Theorem 2.5. *Let R be a countable ring. Then R satisfies Condition (P).*

As another consequence, we obtain the general version of Condition (P) for the case when R is countable, \mathcal{C} has Property (C+), and \mathcal{C} consists of finitely presented modules:

Corollary 2.6. *Let R be a countable ring, and let \mathcal{C} be a class of finitely presented modules that has Property (C+). Let $(P_n \mid n < \omega)$ be an increasing pure chain of modules such that $P_n \in \text{Sum}(\mathcal{C})$ for all $n < \omega$ and that $P = \bigcup_{n < \omega} P_n$. Then $P \in \text{Sum}(\mathcal{C})$.*

Proof. By Lemma 2.4, P is the union of a continuous increasing chain

$$\mathcal{M} = (M_\alpha \mid \alpha < \lambda),$$

consisting of strong submodules of P such that $M_{\alpha+1}/M_\alpha \in \text{Sum}(\mathcal{C})$. In particular, M_α is pure in $M_{\alpha+1}$ for each $n < \omega$. As \mathcal{C} consists of finitely presented modules, $M_{\alpha+1}/M_\alpha$ is pure-projective, and the embedding $M_\alpha \hookrightarrow M_{\alpha+1}$ splits. This proves that $P \in \text{Sum}(\mathcal{C})$. \square

A variation of Corollary 2.6 gives the version of Condition (P) for pure-projective modules over countable rings.

Theorem 2.7. *Let R be a countable ring, $(P_n \mid n < \omega)$ be an increasing pure chain of pure-projective modules, and $P = \bigcup_{n < \omega} P_n$. Then P is pure-projective.*

Proof. By [Raynaud and Gruson 1971, Seconde partie, Corollaire 2.2.2], a countably presented module is pure-projective if and only if it is Mittag-Leffler, and the latter property is clearly inherited by pure submodules. As in Lemma 2.4, we infer that P is the union of a continuous chain \mathcal{M} consisting of strong submodules of P such that all consecutive factors in \mathcal{M} are pure-projective, and hence P is pure-projective as well. \square

Alternatively, we can deduce Theorem 2.5 from Theorem 2.7, because projective = flat + pure-projective.

Of course, the union of a nonpure countable chain of projective modules need not be projective even for countable rings: just consider $R = \mathbb{Z}$ and \mathbb{Q} as the union of the chain of free groups $(1/n! \cdot \mathbb{Z} \mid n < \omega)$.

Also, the general version of Condition (P) for pure chains consisting of modules from $\text{Sum}(\mathcal{C})$ may fail even for countable rings and \mathcal{C} having Property (C). That is, even though P is \mathcal{C} -filtered by Lemma 2.4, $P \notin \text{Sum}(\mathcal{C})$ in general:

Example 2.8. Let R be a simple, countable von Neumann regular ring that is not artinian — for example, let R be the directed union of the full matrix rings $M_{2^n}(\mathbb{Q})$ ($n < \omega$) with the block diagonal embeddings

$$\mathbb{Q} \subseteq M_2(\mathbb{Q}) \subseteq M_4(\mathbb{Q}) \subseteq \cdots \subseteq M_{2^n}(\mathbb{Q}) \subseteq M_{2^{n+1}}(\mathbb{Q}) \subseteq \cdots .$$

Consider a simple nonprojective module S , and let \mathcal{C} be the class of all finitely $\{S\}$ -filtered modules. Then \mathcal{C} is a class of countable modules and has Property (C).

Define a chain of finite length modules $(P_n \mid n < \omega)$ such that $P_0 = S$ and that P_{n+1} fits in a nonsplit short exact sequence $0 \rightarrow P_n \subseteq P_{n+1} \rightarrow S \rightarrow 0$ for each $n < \omega$. This is possible by [Trlifaj 1996, Proposition 3.3]. This chain is pure because R is von Neumann regular, so all R -modules are flat.

Let $P = \bigcup_{n < \omega} P_n$. Then $P_n \in \mathcal{C}$ for all $n < \omega$, and P is \mathcal{C} -filtered, but $P \notin \text{Sum}(\mathcal{C})$. Indeed, $S = P_0$ is an essential submodule of P , so P is uniform, and hence indecomposable.

Returning to the general setting and using an idea by Hill, we can extend the chain \mathcal{M} from Proposition 2.3 further, to a large family of strong submodules:

Theorem 2.9. *Assume that R is right \aleph_0 -noetherian and \mathcal{C} consists of countably presented modules, or that R is a commutative domain and \mathcal{C} consists of torsion-free modules of countable rank, respectively. Let $\mathcal{M} = (M_\alpha : \alpha < \lambda)$ be a continuous increasing chain of strong submodules of P as in Proposition 2.3. There is a family \mathcal{H} of strong submodules of P such that:*

- (i) $\mathcal{M} \subseteq \mathcal{H}$.
- (ii) \mathcal{H} is closed under arbitrary sums and intersections; in fact, \mathcal{H} is a complete distributive sublattice of the modular lattice of all submodules of P .
- (iii) Let $N, N' \in \mathcal{H}$ be such that $N \subseteq N'$. Then there exists a continuous increasing chain $(N_\beta \mid \beta \leq \tau)$ consisting of elements of \mathcal{H} such that $\tau \leq \lambda$, $N_0 = N$, $N_\tau = N'$, and for each $\beta < \tau$ there is $\alpha < \kappa$ such that $N_{\beta+1}/N_\beta$ is isomorphic to $M_{\alpha+1}/M_\alpha$.
- (iv) Let $N \in \mathcal{H}$, and let X be a countable subset of P (a subset of P such that $\langle X \rangle$ has countable rank, respectively). Then there are $N' \in \mathcal{H}$ and a submodule $Y \subseteq P$ such that Y is countably generated (of countable rank, respectively) and $N \cup X \subseteq N' = N + Y$.

Proof. For all $\alpha < \lambda$ and $n < \omega$, let $D_{\alpha,n} = A_{\alpha+1,n} \setminus A_{\alpha,n}$, where $(A_{\alpha,n} \mid n < \omega)$ and $(A_{\alpha+1,n} \mid n < \omega)$ are the witnessing sequences for M_α and $M_{\alpha+1}$. By the assumption on the chain \mathcal{M} , all the sets $D_{\alpha,n}$ are countable. Let $\Delta_\alpha = \sum_{n < \omega} P(n, D_{\alpha,n})$. Then Δ_α is countably generated, and $M_{\alpha+1} = M_\alpha + \Delta_\alpha$ for each $\alpha < \lambda$.

As in [Göbel and Trlifaj 2006, §4.2], we call a subset S of σ *closed* when

$$\Delta_\alpha \cap M_\alpha \subseteq \sum_{\beta < \alpha, \beta \in S} \Delta_\beta$$

for each $\alpha \in S$. We define $\mathcal{H} = \{\sum_{\alpha \in S} \Delta_\alpha \mid S \text{ is closed in } \lambda\}$.

Since each ordinal $\sigma \leq \lambda$ is closed, $\mathcal{M} \subseteq \mathcal{H}$, and (i) holds. Properties (ii) and (iii) are proved in [Göbel and Trlifaj 2006, 4.2.6]. If R is \aleph_0 -noetherian, then (iv) is proved in [Göbel and Trlifaj 2006, 4.2.6], while in the domain case, (iv) follows by [Göbel and Trlifaj 2006, 4.2.8].

It remains to show that all modules in \mathcal{H} are strong. Let S be a closed subset of λ , and let $N = \sum_{\alpha \in S} \Delta_\alpha$ and $B_n = \bigcup_{\alpha \in S} D_{\alpha,n}$. It suffices to prove that $N \cap P_n = P(n, B_n)$ for each $n < \omega$. The inclusion \supseteq is clear from the definitions above.

Assume there exists $x \in (N \cap P_n) \setminus P(n, B_n)$. Then there is one of the form $x = x_{\alpha_1} + \cdots + x_{\alpha_i}$, where $\alpha_1 < \cdots < \alpha_i$ are elements of S , and $x_{\alpha_k} \in \Delta_{\alpha_k} \setminus P(n, B_n)$ for all $1 \leq k \leq i$. Without loss of generality, we can assume that $\alpha = \alpha_i$ is minimal. Since $x \in M_{\alpha+1} \cap P_n = P(n, A_{\alpha+1,n})$, we also have $x = y_{\beta_1} + \cdots + y_{\beta_j}$, where $\beta_1 < \cdots < \beta_j$ are elements of $A_{\alpha+1,n}$ and $y_{\beta_l} \in P_{n,\beta_l}$ for each $1 \leq l \leq j$. If $\beta_l \in D_{\alpha,n}$ for some $1 \leq l \leq j$, then $x_\alpha - y_{\beta_l} \in \Delta_\alpha \setminus P(n, B_n)$. Possibly replacing x by $x - y_{\beta_l}$, we can assume that $\beta_l \in A_{\alpha,n}$ for all $1 \leq l \leq j$. But then $x_\alpha \in \Delta_\alpha \cap M_\alpha \subseteq \sum_{\beta < \alpha, \beta \in S} \Delta_\beta$, in contradiction with the minimality of α .

This proves that N is strong in P . □

We can now improve the second part of Lemma 2.4:

Corollary 2.10. *Let R be a countable ring. Let \mathcal{C} be a class of countably presented modules that has Property (C+). Let $(P_n \mid n < \omega)$ be an increasing pure chain of modules such that $P_n \in \text{Sum}(\mathcal{C})$ for all $n < \omega$, and let $P = \bigcup_{n < \omega} P_n$.*

Then P is the union of a continuous increasing pure chain $\mathcal{N} = (N_\alpha \mid \alpha < \aleph_1)$ consisting of strong submodules of P such that $N_{\alpha+1}/N_\alpha \in \text{Sum}(\mathcal{C})$ for all $\alpha < \aleph_1$.

Proof. Let \mathcal{M} be the chain constructed in the second part of Lemma 2.4, and consider the corresponding family \mathcal{H} , as in Theorem 2.9. By [Šťovíček 2012], one can select from \mathcal{H} an increasing continuous chain $\mathcal{N} = (N_\alpha \mid \alpha < \aleph_1)$ of length no greater than \aleph_1 , such that $N_{\alpha+1}/N_\alpha$ is isomorphic to a direct sum of some of the successive factors of the original chain \mathcal{M} , for all $\alpha < \aleph_1$. By Lemma 2.4, all these factors are in $\text{Sum}(\mathcal{C})$. Since \mathcal{H} consists of strong (and hence pure) submodules of P , so does \mathcal{N} . □

3. The failure of Condition (P)

In this section we prove that Condition (P) fails for Prüfer domains of finite character with uncountable spectrum, and, notably, for every principal ideal domain with an uncountable spectrum. We adopt [Eklof and Mekler 2002, Theorem VII.1.4] to illustrate that failure of Condition (P) has little, if any, restriction on the Γ -invariant of even large almost-projective modules.

Recall from [Fuchs and Salce 2001, Chapter III, Lemma 2.7] that in a Prüfer domain of finite character, every maximal ideal contains a finitely generated ideal, which is not contained in any other maximal ideal. Selecting one for every maximal ideal, we obtain a system of pairwise coprime proper invertible ideals. In fact, all we need is such a system of ideals:

Theorem 3.1. *Let R be a commutative domain with uncountably many pairwise coprime invertible proper ideals. Let κ be a regular uncountable cardinal, and E be a nonreflecting stationary subset of κ , all of whose elements have cofinality ω . Then there is a κ -projective κ -generated R -module M with Γ -invariant \tilde{E} that is a union of a countable pure chain of projective submodules.*

Before proving Theorem 3.1, we follow the suggestion of the referee and present a simple particular case of the construction.

Example 3.2. Let R be a principal ideal domain with uncountably many maximal ideals (p_α) for $0 < \alpha < \aleph_1$.

We define our module via generators and relations:

$$(4) \quad P := \langle e_{\alpha,n} : \alpha < \omega_1, n < \omega \mid p_\alpha e_{\alpha,n+1} = e_{\alpha,n} + e_{0,n+1} : \alpha > 0 \rangle.$$

(This is an example for the theorem with $\kappa = \aleph_1$, and $E = \{\alpha < \aleph_1 \mid \text{cf}(\alpha) = \aleph_0\}$.)

We leave it to the reader to verify that for every $0 < \alpha \leq \aleph_1$ and $i < \omega$, the submodule

$$(5) \quad N_{\alpha,i} = \langle e_{\beta,j} : j \leq i, \beta < \alpha \rangle$$

is actually free, with a basis formed by the $e_{0,j}$ for $j \leq i$ and the $e_{\beta,i}$ for $0 < \beta < \alpha$. Since

$$N_{\alpha,i+1}/N_{\alpha,i} \cong \langle R, p_\beta^{-1} : 0 < \beta < \alpha \rangle$$

(with $e_{0,i+1}$ corresponding to 1 and $e_{\beta,i+1}$ corresponding to p_β^{-1}) is torsion-free, $N_{\alpha,i}$ is a pure submodule of $N_{\alpha,i+1}$. Hence, P is a union of a pure chain $P_i = N_{\aleph_1,i}$ of projective submodules.

On the other hand, P is a union of a continuous chain

$$(6) \quad N_\alpha = \bigcup_{i < \omega} N_{\alpha,i} = \langle e_{\beta,i} : \beta < \alpha, i < \omega \rangle, \quad 0 < \alpha < \aleph_1$$

of (strong) submodules with nonprojective factors $N_{\alpha+1}/N_\alpha \cong R[p_\alpha^{-1}]$ (with $e_{\alpha,i}$ corresponding to p_α^{-i}), and hence is not projective.

The proof of Theorem 3.1 is mostly the same as that of [Eklof and Mekler 2002, Theorems VII.1.3–4], so we present only the differences. To include the sequence of submodules in the structure, we work in the category of ω -filtered modules, that is, modules M together with an increasing sequence $(M(n) : n < \omega)$ of submodules satisfying $\bigcup_{n=0}^{\infty} M(n) = M$. A *filtered submodule* of M is a submodule N together with the filtration $N(n) := M(n) \cap N$. Note that M/N is also a filtered module, with the filtration $(M(n)/N(n) \cong (M(n) + N)/N : n < \omega)$.

For the free module $R^{(\lambda \times \omega)}$, we always use the filtration $(R^{(\lambda \times n)} : n < \omega)$.

For a module N , let $N[n]$ denote the filtered module

$$(7) \quad N[n](m) := \begin{cases} 0, & m < n, \\ N, & m \geq n. \end{cases}$$

For example, $R^{(\lambda \times \omega)} = \bigoplus_{n=0}^{\infty} R^{(\lambda)}[n+1]$ as filtered modules.

Proof of Theorem 3.1. We distinguish the cases $\kappa > \aleph_1$ and $\kappa = \aleph_1$. To avoid repetition, we first provide the common part of both cases, and then fill out the missing parts separately.

We build a continuous increasing chain of ω -filtered modules $(M_\mu : \mu < \kappa)$ whose filtrations consist of pure and projective submodules. By “increasing”, we mean that M_ν is a filtered submodule of M_μ for $\mu < \nu$.

The union M of the chain is our κ -projective module with Γ -invariant \tilde{E} .

To ensure that all the $M_\mu(n)$ and $M(n)$ are projective, we make the filtrations of the $M_{\mu+1}/M_\mu$ consist of projective modules.

We fix an infinite cardinal $\lambda < \kappa$. For $\mu \notin E$, let

$$M_{\mu+1} := M_\mu \oplus P_\mu, \quad P_\mu := R^{(\lambda \times \omega)} = \bigoplus_{n=0}^{\infty} R^{(\lambda)}[n+1]e_{\mu,n}.$$

For the case $\mu \in E$, we select a template as in [Eklof and Mekler 2002, Corollary VII.1.2], that is, a nonprojective λ -generated module N_μ with an ω -filtration by projective modules. By adding a projective module, we may assume that the filtration consists of λ -generated free modules; that is, $N_\mu(n) \cong R^{(\lambda)}$. The filtration induces a short exact sequence

$$0 \rightarrow K_\mu \rightarrow F_\mu \rightarrow N_\mu \rightarrow 0$$

of ω -filtered modules, where

$$(8) \quad F_\mu := \bigoplus_{n=0}^{\infty} N_\mu(n)[n]e_n, \quad K_\mu := \bigoplus_{n=0}^{\infty} N_\mu(n)[n+1]e_n \cong R^{(\lambda \times \omega)}.$$

The embedding of K_μ into F_μ maps xe_n into $xe_{n+1} - xe_n$, and the homomorphism $F_\mu \rightarrow N_\mu$ maps xe_n into x for any $x \in N_\mu(n)$ and natural number n . In particular, the filtrations of K_μ and F_μ consist of direct summands, and hence of pure and projective submodules. We see that the modules

$$F_\mu / (K_\mu(n)) = \bigoplus_{m=n}^{\infty} N_\mu(m)$$

are projective for all N .

We define $M_{\mu+1}$ as the pushout of the inclusion $K_\mu \subseteq F_\mu$ by a suitable embedding $K_\mu \rightarrow M_\mu$ identifying K_μ with the direct summand

$$\bigoplus_{n=0}^{\infty} R^{(\lambda)}[n+1]e_{\mu_n, n}$$

of the filtered submodule $\bigoplus_{n=0}^{\infty} P_{\mu_n}$ for an increasing sequence of successor ordinals μ_n with supremum μ . Then

$$M_{\mu+1}/M_\mu \cong N_\mu$$

as filtered modules, and therefore $M_{\mu+1}/M_\mu$ is filtered by projective submodules.

The rest of [Eklof and Mekler 2002, Theorems VII.1.3–4] apply to show that M is a κ -free module of Γ -invariant \tilde{E} . The filtration of M consists of projective submodules by construction.

All that is left is to find λ and the N_μ and to verify that the filtration of M actually consists of *pure* submodules.

When $\kappa > \aleph_1$, we choose $\lambda = \aleph_1$, and let N_μ be an \aleph_1 -generated nonprojective module with an ω -filtration by pure and projective submodules. (We may choose all the N_μ the same.) Such an N_μ exists by the $\kappa = \aleph_1$ case. Since the filtration of N_μ is by pure submodules, it follows that all the $M_\mu(n)$ and $M(n)$ are pure submodules.

When $\kappa = \aleph_1$, we let $\lambda = \aleph_0$. Let $(I_\alpha : \alpha < \aleph_1)$ be a collection of pairwise coprime invertible proper ideals of R . We define the N_μ as submodules of the quotient field of R :

$$(9) \quad N_\mu(n) := I_\mu^{-n}, \quad N_\mu := I_\mu^{-\infty}.$$

Clearly, N_μ is nonprojective and its filtration is by projective submodules.

To show that the filtration of the M_μ is pure, we show that its localization by any maximal ideal Q is pure. When $I_\mu \not\subseteq Q$ and $\mu \in E$, then $N_{\mu, Q} = R_Q[0]$, so the short exact sequence $K_{\mu, Q} \rightarrow F_{\mu, Q} \rightarrow N_{\mu, Q}$ of filtered modules splits, and hence $M_{\mu+1, Q} = M_{\mu, Q} \oplus N_{\mu, Q}$ as filtered modules.

There is at most one $\mu \in E$ with $I_\mu \subseteq Q$. Hence, by the previous paragraph, if there is such a μ , then $M_{v,Q}$ is a direct summand of $M_{v+1,Q}$ as filtered modules, for all $v < \mu$. So

$$M_{\mu,Q} \cong \bigoplus_{v < \mu} M_{v+1,Q}/M_{v,Q},$$

with an arbitrary choice of split preimages of the $M_{v+1,Q}/M_{v,Q}$. Recall that $K_{\mu,Q}$ is a direct summand of a sum of some of these preimages, so it is actually a direct summand of $M_{\mu,Q}$; that is, $M_{\mu,Q} = K_{\mu,Q} \oplus H_\mu$. It follows that

$$M_{\mu+1,Q} = F_{\mu,Q} \oplus H_\mu.$$

These decompositions of filtered modules show that the filtrations of H_μ and $M_{\mu+1,Q}$ consist of pure submodules. \square

We finish with:

Problem 3.3. Characterize the rings R satisfying Condition (P).

References

- [Drinfeld 2006] V. Drinfeld, “Infinite-dimensional vector bundles in algebraic geometry: an introduction”, pp. 263–304 in *The unity of mathematics: in honor of the nintieth birthday of I. M. Gelfand*, edited by P. I. Etingof et al., Progr. Math. **244**, Birkhäuser, Boston, MA, 2006. MR 2007d:14038 Zbl 1108.14012
- [Eklof 1993] P. C. Eklof, “Set-theoretic methods: the uses of gamma invariants”, pp. 43–53 in *Abelian groups* (Curaçao, 1991), edited by L. Fuchs and R. Göbel, Lecture Notes in Pure and Appl. Math. **146**, Dekker, New York, 1993. MR 94d:20069 Zbl 0812.20033
- [Eklof and Mekler 2002] P. C. Eklof and A. H. Mekler, *Almost free modules*, North-Holland Mathematical Library **65**, North-Holland, Amsterdam, 2002. MR 2003e:20002 Zbl 1054.20037
- [Fuchs and Rangaswamy 2011] L. Fuchs and K. M. Rangaswamy, “Chains of projective modules”, *J. Algebra Appl.* **10**:1 (2011), 167–180. MR 2784760 Zbl 1213.13024
- [Fuchs and Salce 2001] L. Fuchs and L. Salce, *Modules over non-Noetherian domains*, Mathematical Surveys and Monographs **84**, Amer. Math. Soc., Providence, RI, 2001. MR 2001i:13002 Zbl 0973.13001
- [Göbel and Trlifaj 2006] R. Göbel and J. Trlifaj, *Approximations and endomorphism algebras of modules*, de Gruyter Expositions in Mathematics **41**, de Gruyter, Berlin, 2006. MR 2007m:16007 Zbl 1121.16002
- [Herbera and Trlifaj 2009] D. Herbera and J. Trlifaj, “Almost free modules and Mittag-Leffler conditions”, preprint, 2009. To appear in Adv. Math. arXiv 0910.4277
- [Hill 1970] P. Hill, “On the freeness of abelian groups: A generalization of Pontryagin’s theorem”, *Bull. Amer. Math. Soc.* **76** (1970), 1118–1120. MR 41 #8518 Zbl 0223.20058
- [Macías-Díaz 2010] J. Macías-Díaz, “A generalization of the Pontryagin–Hill theorems to projective modules over Prüfer domains”, *Pacific J. Math.* **246**:2 (2010), 391–405. MR 2011i:13010 Zbl 1205.13014
- [Raynaud and Gruson 1971] M. Raynaud and L. Gruson, “Critères de platitude et de projectivité”, *Invent. Math.* **13** (1971), 1–89. MR 46 #7219 Zbl 0227.14010

- [Rothmaler 1994] P. Rothmaler, *Mittag-Leffler modules and positive atomicity*, Habilitationsschrift, Christian-Albrechts-Universität zu Kiel, 1994.
- [Rothmaler 1997] P. Rothmaler, “Mittag–Leffler modules”, *Ann. Pure Appl. Logic* **88**:2-3 (1997), 227–239. MR 99b:16006 Zbl 0916.16004
- [Šťovíček 2012] J. Šťovíček, “Deconstructibility and the Hill lemma in Grothendieck categories”, (2012). To appear in *Forum Math.*, DOI: 10.1515/FORM.2011.113.
- [Trlifaj 1995] J. Trlifaj, “Strong incompactness for some nonperfect rings”, *Proc. Amer. Math. Soc.* **123**:1 (1995), 21–25. MR 95c:16003 Zbl 0827.16001
- [Trlifaj 1996] J. Trlifaj, “Whitehead test modules”, *Trans. Amer. Math. Soc.* **348**:4 (1996), 1521–1554. MR 96h:16008 Zbl 0865.16006

Received May 13, 2011. Revised September 12, 2011.

GÁBOR BRAUN
INSTITUT FÜR INFORMATIK
UNIVERSITÄT LEIPZIG
PF 100920
D-04009 LEIPZIG
GERMANY
gabor.braun@uni-duisburg-essen.de

JAN TRLIFAJ
DEPARTMENT OF ALGEBRA
CHARLES UNIVERSITY, FACULTY OF MATHEMATICS AND PHYSICS
SOKOLOVSKÁ 83
186 75 PRAGUE 8
CZECH REPUBLIC
trlifaj@karlin.mff.cuni.cz

PACIFIC JOURNAL OF MATHEMATICS

<http://pacificmath.org>

Founded in 1951 by
E. F. Beckenbach (1906–1982) and F. Wolf (1904–1989)

EDITORS

V. S. Varadarajan (Managing Editor)
Department of Mathematics
University of California
Los Angeles, CA 90095-1555
pacific@math.ucla.edu

Vyjayanthi Chari
Department of Mathematics
University of California
Riverside, CA 92521-0135
chari@math.ucr.edu

Darren Long
Department of Mathematics
University of California
Santa Barbara, CA 93106-3080
long@math.ucsb.edu

Sorin Popa
Department of Mathematics
University of California
Los Angeles, CA 90095-1555
popa@math.ucla.edu

Robert Finn
Department of Mathematics
Stanford University
Stanford, CA 94305-2125
finn@math.stanford.edu

Jiang-Hua Lu
Department of Mathematics
The University of Hong Kong
Pokfulam Rd., Hong Kong
jhlu@maths.hku.hk

Jie Qing
Department of Mathematics
University of California
Santa Cruz, CA 95064
qing@cats.ucsc.edu

Kefeng Liu
Department of Mathematics
University of California
Los Angeles, CA 90095-1555
liu@math.ucla.edu

Alexander Merkurjev
Department of Mathematics
University of California
Los Angeles, CA 90095-1555
merkurev@math.ucla.edu

Jonathan Rogawski
Department of Mathematics
University of California
Los Angeles, CA 90095-1555
jonr@math.ucla.edu

PRODUCTION

pacific@math.berkeley.edu

Silvio Levy, Scientific Editor

Matthew Cargo, Senior Production Editor

SUPPORTING INSTITUTIONS

ACADEMIA SINICA, TAIPEI
CALIFORNIA INST. OF TECHNOLOGY
INST. DE MATEMÁTICA PURA E APLICADA
KEIO UNIVERSITY
MATH. SCIENCES RESEARCH INSTITUTE
NEW MEXICO STATE UNIV.
OREGON STATE UNIV.

STANFORD UNIVERSITY
UNIV. OF BRITISH COLUMBIA
UNIV. OF CALIFORNIA, BERKELEY
UNIV. OF CALIFORNIA, DAVIS
UNIV. OF CALIFORNIA, LOS ANGELES
UNIV. OF CALIFORNIA, RIVERSIDE
UNIV. OF CALIFORNIA, SAN DIEGO
UNIV. OF CALIF., SANTA BARBARA

UNIV. OF CALIF., SANTA CRUZ
UNIV. OF MONTANA
UNIV. OF OREGON
UNIV. OF SOUTHERN CALIFORNIA
UNIV. OF UTAH
UNIV. OF WASHINGTON
WASHINGTON STATE UNIVERSITY

These supporting institutions contribute to the cost of publication of this Journal, but they are not owners or publishers and have no responsibility for its contents or policies.

See inside back cover or pacificmath.org for submission instructions.

The subscription price for 2011 is US \$420/year for the electronic version, and \$485/year for print and electronic. Subscriptions, requests for back issues from the last three years and changes of subscribers address should be sent to Pacific Journal of Mathematics, P.O. Box 4163, Berkeley, CA 94704-0163, U.S.A. Prior back issues are obtainable from Periodicals Service Company, 11 Main Street, Germantown, NY 12526-5635. The Pacific Journal of Mathematics is indexed by Mathematical Reviews, Zentralblatt MATH, PASCAL CNRS Index, Referativnyi Zhurnal, Current Mathematical Publications and the Science Citation Index.

The Pacific Journal of Mathematics (ISSN 0030-8730) at the University of California, c/o Department of Mathematics, 969 Evans Hall, Berkeley, CA 94720-3840, is published monthly except July and August. Periodical rate postage paid at Berkeley, CA 94704, and additional mailing offices. POSTMASTER: send address changes to Pacific Journal of Mathematics, P.O. Box 4163, Berkeley, CA 94704-0163.

PJM peer review and production are managed by EditFLOW™ from Mathematical Sciences Publishers.

PUBLISHED BY PACIFIC JOURNAL OF MATHEMATICS

at the University of California, Berkeley 94720-3840

A NON-PROFIT CORPORATION

Typeset in L^AT_EX

Copyright ©2011 by Pacific Journal of Mathematics

PACIFIC JOURNAL OF MATHEMATICS

Volume 254 No. 1 November 2011

| | |
|---|-----|
| A mean curvature estimate for cylindrically bounded submanifolds | 1 |
| LUIS J. ALÍAS and MARCOS DAJCZER | |
| Weyl group multiple Dirichlet series of type C | 11 |
| JENNIFER BEINEKE, BENJAMIN BRUBAKER and SHARON FRECHETTE | |
| Milnor open books of links of some rational surface singularities | 47 |
| MOHAN BHUPAL and BURAK OZBAGCI | |
| Simple closed curves, word length, and nilpotent quotients of free groups | 67 |
| KHALID BOU-RABEE and ASAF HADARI | |
| Strong submodules of almost projective modules | 73 |
| GÁBOR BRAUN and JAN TRLIFAJ | |
| Interlacing log-concavity of the Boros–Moll polynomials | 89 |
| WILLIAM Y. C. CHEN, LARRY X. W. WANG and ERNEST X. W. XIA | |
| Schwarzian norms and two-point distortion | 101 |
| MARTIN CHUAQUI, PETER DUREN, WILLIAM MA, DIEGO MEJÍA, DAVID MINDA and BRAD OSGOOD | |
| The principle of stationary phase for the Fourier transform of D -modules | 117 |
| JIANGXUE FANG | |
| Monotonicity and uniqueness of a 3D transonic shock solution in a conic nozzle with variable end pressure | 129 |
| JUN LI, ZHOUPING XIN and HUICHENG YIN | |
| Refined open noncommutative Donaldson–Thomas invariants for small crepant resolutions | 173 |
| KENTARO NAGAO | |
| The Dirichlet problem for harmonic functions on compact sets | 211 |
| TONY L. PERKINS | |
| Extension of an analytic disc and domains in \mathbb{C}^2 with noncompact automorphism group | 227 |
| MINJU SONG | |
| Regularity of the first eigenvalue of the p -Laplacian and Yamabe invariant along geometric flows | 239 |
| ER-MIN WANG and YU ZHENG | |



0030-8730(201111)254:1;1-C