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NEW HOMOTOPY 4-SPHERES

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We use surgery along 2-tori embedded in a union of two copies of $T_o^2 \times T_o^2$ to produce a new collection of homotopy 4-spheres.

1. Introduction

With the Poincaré conjecture now established, the attention of many experts is shifting to the 4-dimensional smooth counterpart to the conjecture:

Conjecture (SPC4: the smooth Poincaré conjecture in four dimensions). Let M be a smooth 4-manifold homeomorphic to the 4-sphere S^4 . Then M is diffeomorphic to S^4 .

The persisting lack of any answer to SPC4 is probably in part due to the wild nature of smooth 4-manifolds in general, which—even restricting our scope to the simply connected setting—have proven exceptionally formidable in terms of constructing any plenary classification scheme. Still, of all simply connected 4-manifolds, the 4-sphere continues to present perhaps the most elusive challenge when it comes to obtaining/finding exotic smooth structures. On the one hand, the literature abounds with *potential* counterexamples to the conjecture; but on the other hand, not one example has yet been verified as exotic. This is, it seems, largely due to the lack of smooth invariants for S^4 (which other exotic 4-manifold constructions have relied upon).

Historically, these exotic constructions of other simply connected 4-manifolds have quite often made use of surgery along 2-tori (generalized logarithmic transformation). This paper highlights the utility of torus surgery in conjunction with SPC4 and (once again) as a potential facet of the classification of smooth 4-manifolds in general. In Section 2 we lay out the background material needed to construct our examples. Section 3 comprises the heart of this work, the production of new homotopy 4-sphere examples (we do not however prove here that our examples are counterexamples to SPC4). These constructions are inspired by an intriguing handlebody presentation of S^4 given by in [Fintushel and Stern 2008] and the role

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of surgery upon 2-tori embedded in $T_o^2 \times T_o^2$ as seen in the “reverse engineering” program of Fintushel, Park, and Stern [Fintushel et al. 2007].

In Section 4 we illustrate a further correspondence between SPC4 and surgery along embedded 2-tori in conjunction with the classic homotopy sphere examples of Cappell and Shaneson [1976a; 1976b] and the recent analysis of these examples by Gompf [2010]. Specifically, their homotopy S^4 's can be viewed as the result of surgery along a circle in special mapping tori on T^3 , later labeled M_ϕ and referred to as “Cappell–Shaneson mapping tori”. Gompf exhibited diffeomorphisms between all members of a certain family of homotopy spheres arising from M_ϕ manifolds by altering the monodromy via surgery along fishtail embedded 2-tori in the T^3 fiber.

Our focus here is on the monodromy changing mechanics of torus surgeries. We exhibit a (perhaps) surprising connection between a subcollection of our surgery manifolds produced from $T_o^2 \times T_o^2$ and the mapping tori M_ϕ of Cappell–Shaneson, but we also show that this approach does not directly imply the trivialization of our general homotopy sphere examples.

2. Background material

Definition: surgery along a torus. Given a 4-manifold M and a torus $T \subset M$ which has a trivial normal bundle $\nu T \subset M$, a surgery (or generalized logarithmic transformation) along T is the process of extracting the interior of a tubular neighborhood of T , and then regluing $T^2 \times D^2$ via some diffeomorphism δ of its boundary. (The restriction on the normal bundle ensures $\nu T \approx T^2 \times D^2$.) Notice that the boundary of $T^2 \times D^2$ is $T^2 \times S^1 \approx T^3$, a three-torus; so diffeomorphisms of the boundary are elements of $GL(3, \mathbb{Z}) \cong \text{Diff}(T^3)$. The resulting manifold $M_{\delta, T}$ is given as:

$$M_{\delta, T} = (M \setminus \nu T) \cup_{\delta} T^2 \times D^2.$$

Due to the handlebody (see [Gompf and Stipsicz 1999; Kirby 1989], for instance) structure of a trivial torus bundle $T^2 \times D^2 = h^0 \cup h_a^1 \cup h_b^1 \cup h^2$, there is a unique way to attach the dualized 3- and 4- handles coming from h_a^1, h_b^1, h^0 to $(M \setminus \nu T) \cup h^2$. Hence, the regluing map δ can be described by the attaching map of the 2-handle. In terms of homology, this gluing of the 2-handle into the boundary of $(M \setminus \nu T)$ — and the surgery itself — depends on a choice of curves along the boundary. Specifically, taking two loops $\{a', b'\}$ which generate $\pi_1(T)$ we push these in νT out to loops a and b on the boundary of $M \setminus \nu T$. If μ is the curve in νT which bounds, then $B = \{[a], [b], [\mu]\}$ forms a basis for $H_1(\partial(M \setminus \nu T); \mathbb{Z}) \cong H_1(T^3; \mathbb{Z}) \cong \mathbb{Z}^3$. The surgery then can be defined by a linear combination in B that gives the attaching curve for ∂D^2 , the boundary of the attaching disk of $h^2 \approx D^2 \times D^2$. In sum, the surgery map δ and the resulting manifold $M_{\delta, T}$ are given by the choices a and b

and the map

$$\delta_* : H_1(T^2 \times \partial D^2; \mathbb{Z}) \rightarrow H_1(\partial(M \setminus \nu T); \mathbb{Z})$$

such that

$$\delta_*([\partial D^2]) = p[\mu] + q[a] + r[b].$$

Generally, one refers to the above as a (p, q, r) -surgery along T with respect to a, b or a degree p -surgery in the direction $qa + rb$. (From now on we also denote both loops a and their corresponding homology classes $[a]$ by simply a .) For certain simpler situations (like those considered in this paper), one of the last two coefficients will be 0, and we will mimic the notation of Dehn surgery in 3-manifolds by calling this a $(\frac{p}{q})$ -surgery with p the coefficient of the meridian.

Reverse engineering and torus surgeries of Fintushel and Stern. Of particular import in this paper, is the approach of [Fintushel and Stern 2008] and [Fintushel et al. 2007] in devising clever ways of discovering *nullhomologous* tori embedded in standard 4-manifolds which are somehow linked to exotic smooth structures on these 4-manifolds.

In the latter paper, Fintushel, Park, and Stern define and implement their reverse engineering process, whereby exotic smooth structures on small Euler characteristic manifolds (such as $\mathbb{C}\mathbb{P}^2 \# n\overline{\mathbb{C}\mathbb{P}^2}$, for $n \leq 8$) can be obtained. In their description, a simply connected manifold such as $M = \mathbb{C}\mathbb{P}^2 \# n\overline{\mathbb{C}\mathbb{P}^2}$ serves as the *target* of the procedure, while a different non-simply connected symplectic manifold — the *model* for M — is actually used as the starting point. The above authors were able to produce an infinite collection of Seiberg–Witten invariant altering surgeries.

For the purposes of this paper, Taubes’ result [1994] on Seiberg–Witten invariants and its utilization as in [Fintushel et al. 2007] are not quite applicable. On the other hand, this formulation of *models* is indeed useful for our *target*, S^4 . And of the greatest use here is the Fintushel–Park–Stern model for a special target which is not a blow-up of $\mathbb{C}\mathbb{P}^2$, the target $S^2 \times S^2$. The model employed in [Fintushel et al. 2007] is a fiber sum along a genus two surface in two copies of $\Sigma_2 \times T^2$, that is $\Sigma_2 \times \Sigma_2$. Also, if each genus-2 surface complement $(\Sigma_2 \times T^2 \setminus \Sigma_2 \times D^2)$ is further decomposed as $T_o^2 \times T_o^2$ (a product of punctured 2-tori), then the (8-many) surgeries leading to a fake $S^2 \times S^2$ can be realized within the four individual $T_o^2 \times T_o^2$ copies.

$T_o^2 \times T_o^2$. Now this decomposition of $\Sigma_2 \times \Sigma_2$ as a 4-fold union of $T_o^2 \times T_o^2$ ’s (equivalently, the complements of a the coordinate axes in copies of $T^4 = T^2 \times T^2$) suggests a key strategy for understanding exotic smooth structures and the related model manifolds might be to focus on this core building block $T_o^2 \times T_o^2$ itself. Actually, Fintushel and Stern have arrived in this situation from an alternate starting point. Pursuing useful nullhomologous tori embedded in standard 4-manifolds, Fintushel and Stern have exhibited a particular manifold-with-boundary (which

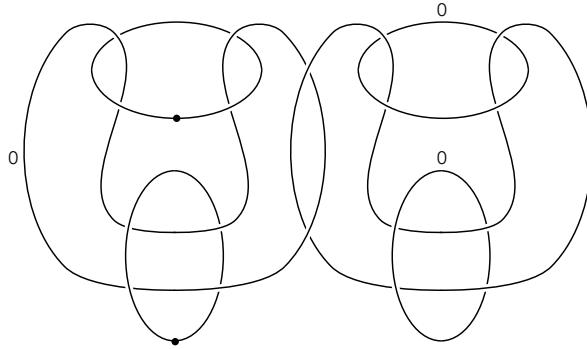


Figure 1. Fintushel and Stern’s A manifold.

they denote by A) that itself contains a Bing double of nullhomologous tori, and upon which they build their models. Figure 1 gives a handlebody diagram for A which is equivalent to the one appearing in [Fintushel and Stern 2008].

Two key aspects concerning A now become important for the emphasis of our work. Let $B_T \stackrel{\text{def}}{=}$ the pair of tori mentioned above. Then:

Proposition 2.1 [Fintushel and Stern 2008, Proposition 2]. *The result of 0-framed surgery on the pair of tori $B_T \subset A$ is $T_o^2 \times T_o^2$.* □

Second, Fintushel and Stern have also made the following observation which is simple to check: If φ is the involution of ∂A which flips the handlebody’s boundary about a vertical line through the middle of the diagram above, then $A \cup_\varphi \bar{A} \approx S^4$. Forming this union amounts to gluing in the second copy’s 2-handles as 0-framed meridians to the first copy’s 1-handles and then attaching the dualized 1-handles as 3-handles. Essentially, one arrives at the handlebody of Figure 2 union three 3-handles and a 4-handle.

After sliding 2-handles over these 0-framed meridians and canceling pairs of 1-,2-handles, the boundary is explicitly seen as $\#_3 S^1 \times S^2$. Thus, one can add back in the extra 3-handles, cancel the 2-,3-handle pairs, and add the 4-handle to obtain S^4 . These two observations above now give the connection between surgery on model manifolds and SPC4, and the way is paved for the main consideration of this section. Overall, this implies:

S^4 contains four nullhomologous tori, 0-framed surgery upon which yields

$$T_o^2 \times T_o^2 \cup_\varphi \overline{T_o^2 \times T_o^2}.$$

Or dually, starting from the opposite direction:

$T_o^2 \times T_o^2 \cup_\varphi \overline{T_o^2 \times T_o^2}$ contains four essential tori, surgery upon which yields S^4 .

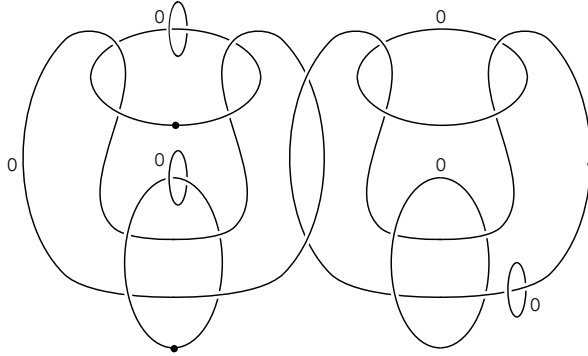


Figure 2. $A \cup_{\varphi} \bar{A} \setminus (3\text{-handles, } 4\text{-handle})$.

This leads one to consider whether *other* surgeries upon tori in

$$T_o^2 \times T_o^2 \cup_{\varphi} \overline{T_o^2 \times T_o^2}$$

will also produce S^4 , or more importantly, whether there are surgeries that might possibly produce an *exotic* S^4 . We exhibit below, surgeries which *at least* produce a homotopy S^4 not a priori diffeomorphic to $A \cup_{\varphi} \bar{A}$.

3. Homotopy 4-spheres from $T_o^2 \times T_o^2$

Constructing a new homotopy 4-sphere. To begin our construction, note that the boundary of $T_o^2 \times T_o^2$ is

$$\partial(T_o^2 \times T_o^2) = T_o^2 \times S^1 \cup S^1 \times T_o^2,$$

where the two boundary terms are not disjoint but overlap in a torus.

In the following, we make use of the same convenient involution

$$\varphi : T_o^2 \times S^1 \cup S^1 \times T_o^2 \rightarrow T_o^2 \times S^1 \cup S^1 \times T_o^2$$

which is a flip along the entire boundary. This can be formally defined by

$$\varphi(x) = x^*,$$

where for $x \in T_o^2 \times S^1$, x^* is the corresponding point of $S^1 \times T_o^2$ and conversely. Under this framework, we will prove this:

Theorem 3.1 ($T_o^2 \times T_o^2$ surgery theorem). *For φ as above, there are two lagrangian tori in $T_o^2 \times T_o^2$ and a pair of lagrangian-framed surgeries such that the resulting surgery manifold X' satisfies*

$$X' \cup_{\varphi} \bar{X}' \cong S^4.$$

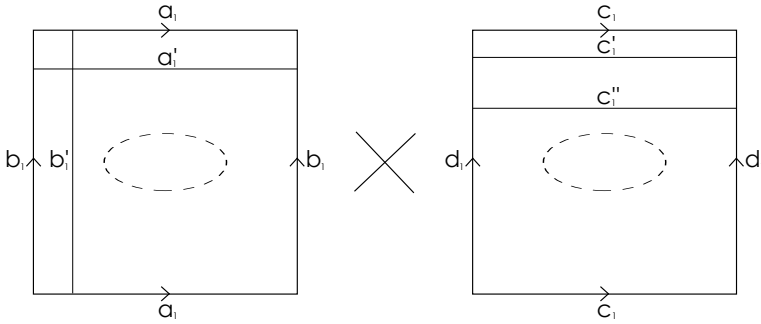


Figure 3. $T_o^2 \times T_o^2$ and lagrangian tori.

Proof. The surgeries in view here are actually performed identically in both copies. For homotopy calculations we appeal to the results of [Baldrige and Kirk 2008], essentially surgering the same pair of tori depicted in their calculation. In order to guarantee the effects of surgeries on π_1 , we also utilize a slightly careful description of the torus surgeries. Here label the π_1 -generating loops passing through the base-point (x, y) in the i^{th} punctured torus product by a_i, b_i from one punctured torus factor and c_i, d_i from the other. Similar to [Fintushel et al. 2007] label lagrangian push-offs of these loops by “primes” as in Figure 3.

Set $T_{ac} = a'_1 \times c'_1$ and $T_{bc} = b'_1 \times c'_1$. Then as in [Baldrige and Kirk 2008, Theorem 2], the complement of the two tori in $T_o^2 \times T_o^2$ has fundamental group generated by a_1, b_1, c_1, d_1 with several relations. These include

- (1) $[a_1, c_1] = 1,$
- (2) $[b_1, c_1] = 1.$

In the notation of [Fintushel et al. 2007], the surgery tori, directions, and coefficients selected here are of the form (torus, direction, coefficient). After regluing the two tori along these surgery curves, we have new π_1 relations, again by [Baldrige and Kirk 2008, Theorem 2]:

Surgery	New π_1 relation
$(a'_1 \times c'_1, a'_1, -1)$	$[b_1^{-1}, d_1^{-1}] = a_1$
$(b'_1 \times c'_1, b'_1, -1)$	$[a_1^{-1}, d_1] = b_1$

Now to continue the proof of the theorem, we need:

Proposition 3.2. *Each of the loops a_1, b_1, c_1, d_1 are based homotopic to a corresponding loop on the boundary of $T_o^2 \times T_o^2$, in the complement of tori*

$$T_o^2 \times T_o^2 \setminus T_{ac} \cup T_{bc}.$$

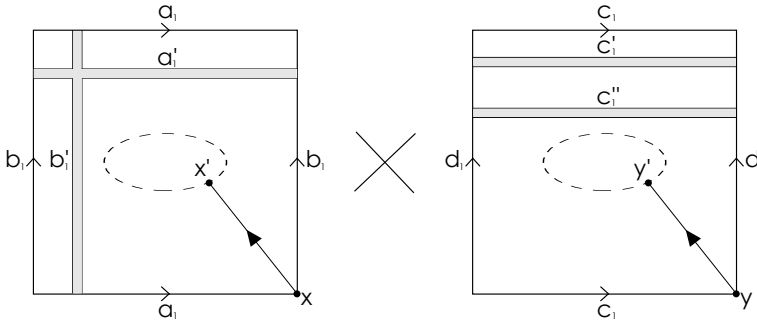


Figure 4. Paths from basepoint (x, y) to the puncture.

Proof. For $a'_1 \times y$, $b'_1 \times y$, etc. push the corresponding point x or y along a straight linear path to x' or y' . This can be done in such a way that νT_{ac} and νT_{bc} are avoided, as in Figure 4. \square

With this proposition, in π_1 of the union of surgery manifolds $X' \cup_\phi \bar{X}'$ we have the relations $a_1 \sim c_2$, $b_1 \sim d_2$, $a_2 \sim c_1$, and $b_2 \sim d_1$. Hence, applying the equivalent of (2) to the second copy we also obtain

$$(3) \quad [b_2, c_2] = [d_1, a_1] = 1$$

and from the first and second pair of surgeries

$$(4) \quad [b_1^{-1}, d_1^{-1}] = a_1,$$

$$(5) \quad [a_1^{-1}, d_1] = b_1,$$

$$(6) \quad [b_2^{-1}, d_2^{-1}] = a_2 = c_1 = [d_1^{-1}, b_1^{-1}],$$

$$(7) \quad [a_2^{-1}, d_2] = b_2 = d_1 = [c_1^{-1}, b_1]..$$

Using (3) together with (5) implies $b_1 = 1$, and then (4) and (6) in turn give $a_1, c_1 = 1$. Finally, (7) gives $d_1 = 1$. After the four surgeries in the union $T_o^2 \times T_o^2 \cup_\phi \overline{T_o^2} \times T_o^2$, we obtain the simply connected manifold $\mathcal{S}' \stackrel{\text{def}}{=} X' \cup_\phi \bar{X}'$. Since \mathcal{S}' also has $\chi = 2$, it is therefore homeomorphic to S^4 . \square

Families of homotopy 4-spheres. By the choice of surgeries (in fact *either* of the -1 or $+1$ surgeries works so that X' as depicted above is only one such possible choice of surgery manifolds; it is not yet known whether these are pairwise diffeomorphic). However, any such X' is distinct from A (see Section 4), hence it is not obvious that \mathcal{S}' is standard S^4 . Now if one is willing to sacrifice the benefit of having a symplectic surgery manifold like X' , allowing a greater freedom in surgeries can still yield a homotopy S^4 .

Theorem 3.3 (main theorem). *For $m, n \in \mathbb{Z}$, Let $X_{m,n}$ denote the result of performing the $(\frac{m}{1})$ - and $(\frac{n}{1})$ -surgeries on T_{ac}, T_{bc} and in the directions a, b respectively. Then the 4-manifold*

$$\mathcal{S}_{(m,n,m',n')} \stackrel{\text{def}}{=} X_{m,n} \cup_{\varphi} \overline{X_{m',n'}}$$

is homeomorphic to S^4 for all $m, n, m', n' \in \mathbb{Z}$.

Proof. Replace the relations such as (5) above with $[a_1^{-1}, d_1]^n = b_1$, etc. Again this gives $b_1 = 1$ and in turn all three of the other generators are trivial as before. \square

Remark 3.4. In the following section we will see that even the nonsymplectic surgery manifolds $X_{m,n}$ above, when also both $m, n \neq 0$, are distinct from A , for slightly more subtle reasons (see Proposition 4.1).

Furthermore, we have described these surgeries and surgery coefficients from the starting point and point of view of $T_o^2 \times T_o^2 \cup_{\varphi} \overline{T_o^2} \times T_o^2$. However, our specific pairs of tori, $a_i \times c_i$ and $b_i \times c_i$, were precisely those producing A (see [Fintushel and Stern 2008]) as well, and if W_T is a tubular neighborhood of the union of the four surgery tori, then

$$T_o^2 \times T_o^2 \cup_{\varphi} \overline{T_o^2} \times T_o^2 \setminus W_T = A \cup_{\varphi} \overline{A} \setminus W_T = S^4 \setminus W_T.$$

Hence, some regluing of four tori embedded in S^4 gives the manifold $\mathcal{S}_{(m,n,m',n')}$, and this proves:

Proposition 3.5. *The manifolds $\mathcal{S}_{(m,n,m',n')}$, obtained above by surgery along null-homologous tori in S^4 , form a four-parameter family of homotopy four-spheres. \square*

4. Further analysis and final remarks

Now of course $T_o^2 \times T_o^2$ is nothing other than the 4-torus, viewed as $T^2 \times T^2$, with its coordinate axis 2-tori $\nu_{TT} \stackrel{\text{def}}{=} \nu(S_a^1 \times S_b^1 \cup S_c^1 \times S_d^1)$ deleted. Recombining the surgery manifolds $X_{m,n}$ with ν_{TT} then gives the result of performing the same pair of surgeries in T^4 . Two consequences emerge from this. First:

Proposition 4.1. *The Fintushel-Stern manifold A and the surgery manifolds $X_{m,n}$ satisfy:*

- (1) $A = X_{m,0} = X_{0,n}$ for all $m, n \in \mathbb{Z}$.
- (2) $X_{m,n} \not\cong A$ if both $m, n \in \mathbb{Z} \neq 0$.

Proof. We prove the above by recasting the pair of surgeries in $T^4 = T_o^2 \times T_o^2 \cup_{\nu_{TT}}$ as surgeries in $T^4 = T^3 \times S^1$. A similar trick was used already by Akhmedov, Baykur, and Park [Akhmedov et al. 2008]. In particular, note that regluings of

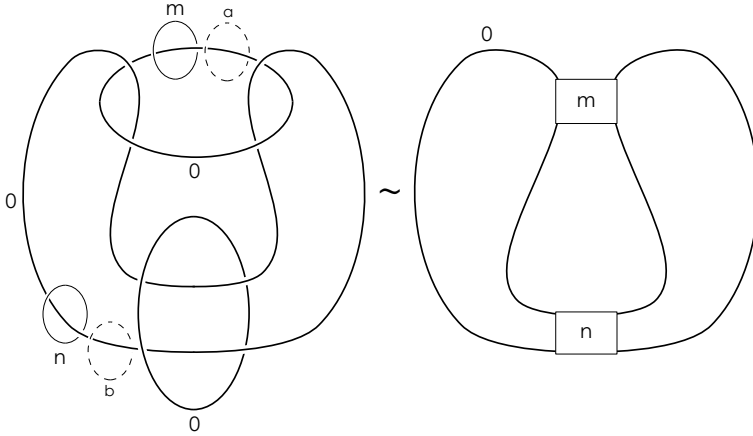


Figure 5. Two surgeries in T^3 (left) produce $Y_{m,n}$. (right).

both torus surgeries $(a' \times c', a', m)$ and $(b' \times c'', b', n)$ are trivial on the c -factor, that is, the surgery maps are equivalent to

$$(\text{Dehn-surgery on a loop in } T^3) \times Id|_{S^1}$$

in T^4 viewed as $T^3 \times S^1 = (a \times b \times d) \times c$. We can then fully depict the surgery manifolds (union v_{TT}) by taking the cartesian product of S^1 and the resulting 3-manifold, $Y_{m,n}$, obtained from T^3 after the pair of Dehn-surgeries. This is depicted in Figure 5, left, where Dehn surgery is performed along push-offs of two of the meridians to the 0-framed Borromean link.

Since all of the link coefficients are integral, we can consider $Y_{m,n}$ as the boundary of some 4-manifold, say $U_{m,n}$. After sliding one of $U_{m,n}$'s 0-framed 2-handles over and then off of the m - and n -framed components and then removing hopf pairs, we obtain the diagram on the right in Figure 5. That diagram (viewed again as a 3-manifold surgery diagram) with $m = 0$ or $n = 0$ is of course $S^2 \times S^1$. Hence, for any such (m, n) pair,

$$Y_{m,n} \times S^1 \setminus v_{TT} = S^2 \times S^1 \times S^1 \setminus v_{TT} = A;$$

see [Fintushel and Stern 2008, Lemma 1], for instance. On the other hand, $Y_{m,n} \not\cong S^2 \times S^1$ for any choice of a nonzero pair (m, n) since in that case $Y_{m,n}$ is not the unknot. □

Second, by gluing v_{TT} onto any of the surgery manifolds of Theorem 3.1, we can recast the union as a T^3 -bundle over S^1 , that is, a mapping torus of the form

$$M_\phi \stackrel{\text{def}}{=} \frac{I \times T^3}{(0, x) \sim (1, \phi(x))}$$

for some diffeomorphism $\phi : T^3 \rightarrow T^3$. For instance, $\nu_{TT} \cup T_o^2 \times T_o^2 = T^4 = T^3 \times S^1 = M_I$, for I the identity map. Furthermore, $A \cup \nu_{TT} \approx S^2 \times T^2$, so A does not correspond to a T^3 -bundle over S^1 .

Now mapping tori such as these are precisely the kind that arise in the classic homotopy 4-sphere construction of Cappell and Shaneson [1976a; 1976b]. However, such monodromies ϕ obtained here from $T_o^2 \times T_o^2$ are *not* restricted to $SL(3; \mathbb{Z})$ and do not satisfy the additional condition $\det(\phi - I) = \pm 1$ of [Cappell and Shaneson 1976a], so surgery along the 0-section in any of our mapping tori will not produce one of their homotopy spheres directly.

Theorem 4.2 [Nash 2010]. *Any Cappell–Shaneson mapping torus M_ϕ can be obtained by some sequence of surgeries along 2-tori in the fiber of the trivial bundle $T^4 = T^3 \times S^1$.* □

We contend however that Theorem 4.2 is still not enough to immediately trivialize even one of the examples $\mathcal{S}_{(m,n,m',n')}$ by relating these spheres to any of those within the Cappell–Shaneson collection that are now known to be standard, most recently due to Akbulut [2010] and then later Gompf [2010] (which depends on a result from [Akbulut and Kirby 1979]).

The correspondences and differences can be seen as follows: Performing $(\frac{1}{q})$ -surgeries along product 2-tori embedded in the T^3 -fiber of any mapping torus M_A alters its monodromy by left multiplication with the surgery matrix (as in [Gompf 2010], and one dimension lower in [Gompf and Stipsicz 1999, Example 8.2.4]). Unlike Proposition 4.1 above, this time we factor the trivial fibration $T^4 = T^3 \times S^1$ as $(a \times b \times c) \times S_d^1$. Recall that the surgeries on $T_o^2 \times T_o^2$ producing the manifolds whose union is a homotopy S^4 , say for instance $X_{1,1}$, have surgery curves $\mu + a$ or $\mu + b$, respectively (in the basis $\{a, b, \mu\}$, μ the meridian of the torus). Hence, back within the mapping-torus framework a +1-surgery along each of these two tori in these directions would give monodromy-multiplying matrices

$$R_{12} \stackrel{\text{def}}{=} \begin{pmatrix} 1 & 1 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}, \quad R_{21} \stackrel{\text{def}}{=} \begin{pmatrix} 1 & 0 & 0 \\ 1 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

respectively (now in the basis $\{a, b, c\}$).

The $X_{1,1}$ surgery manifolds then translate to mapping tori $M_{R_{12}R_{21}I} = M_A$ where

$$A = \begin{pmatrix} 1 & 1 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 1 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} = \begin{pmatrix} 2 & 1 & 0 \\ 1 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}.$$

However, the full range of Cappell–Shaneson mapping tori M_ϕ also involve surgering in the direction of the third T^3 basis factor, so for instance, $b_1 = 1$ in the

Cappell–Shaneson case vs. $b_1(X_{m,n}) = 2$ here. Moreover, any $X_{m,n}$ with either m or $n \neq \pm 1$ no longer even gives a T^3 -bundle over S^1 when ν_{TT} is added back in: In the case of a $(\pm\frac{1}{1})$ -surgery, the diffeomorphism of the surgery torus “lines up” with a diffeomorphism of a fiber torus, but in a general $(\frac{m}{1})$ -surgery ($m \neq \pm 1$) this correspondence fails. Thus in general, the complement of ν_{TT} in a true Cappell–Shaneson M_ϕ is *not* an $X_{m,n}$ surgery manifold.

One single surgery of the $X_{m,n}$ type is enough to derail $T_o^2 \times T_o^2$ from the Cappell–Shaneson track. Note that the surgery is still reversible. The point is that it is *not* reversible or achievable by torus surgeries obtained from product-framed $(\frac{1}{q})$ -surgeries on product tori in the T^3 fiber—the sort used in Theorem 4.2.

Conclusion. The combined results above should indicate that once again $T_o^2 \times T_o^2$ itself remains an important component to a diverse range of 4-manifold constructions, surgeries along tori playing a role in each case. In fact, a slight alteration of the gluing φ in the $T_o^2 \times T_o^2$ unions above into a fixed-point-free involution of $\partial(T_o^2 \times T_o^2) = T_o^2 \times S^1 \cup S^1 \times T_o^2$ allows for the construction of a fixed-point-free involution on the resulting homotopy sphere. From this, homotopy $\mathbb{R}P^4$'s can then be constructed (given that two identical pairs of surgeries were performed)—again with $T_o^2 \times T_o^2$ playing the role of the fundamental piece to the construction.

Finally, despite the correlations between the two realms, it does not appear that any of the homotopy spheres $\mathcal{S}_{(m,n,m',n')}$ (parameters in $\mathbb{Z}^{\neq 0}$) actually relate directly to any member of the Cappell–Shaneson collection (do they even contain fibered 2-spheres?), nor does it seem that Gompf's trick of fishtail surgery [Gompf 2010] would help in trivializing them.

An earlier draft of this paper asked whether the spheres $\mathcal{S}_{(m,n,m',n')}$ are standard. Since then, Selman Akbulut [2011] has answered the question affirmatively. However, it is still unknown if gluing two surgery manifolds $X_{p,q}$ via some alternate diffeomorphism of the boundary would also produce S^4 .

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