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Let U be a maximal unipotent subgroup of a connected semisimple group G and U' the derived group of U . If X is an affine G -variety, then the algebra of U' -invariants, $k[X]^{U'}$, is finitely generated and the quotient morphism $\pi : X \rightarrow X//U' = \text{Spec } k[X]^{U'}$ is well-defined. In this article, we study properties of such quotient morphisms, e.g. the property that all the fibres of π are equidimensional. We also establish an analogue of the Hilbert–Mumford criterion for the null-cones with respect to U' -invariants.

Introduction

The ground field \mathbb{k} is algebraically closed and of characteristic zero. Let G be a semisimple algebraic group with Lie algebra \mathfrak{g} . Fix a maximal unipotent subgroup $U \subset G$ and a maximal torus T of the Borel subgroup $B = N_G(U)$. Set $U' = (U, U)$. Let X be an irreducible affine variety acted upon by G . The algebra of covariants (or, U -invariants) $\mathbb{k}[X]^U$ is a classical and important object in Invariant Theory. It is known that $\mathbb{k}[X]^U$ is finitely generated and has many other useful properties and applications, see e.g. [9, Ch. 3, § 3]. For a factorial conical variety X with rational singularities, there are interesting relations between the Poincaré series of the graded algebras $\mathbb{k}[X]$ and $\mathbb{k}[X]^U$, see [3], [12, Ch. 5]. Similar results for U' -invariants are obtained in [14].

A surprising observation that stems from [14] is that, to a great extent, the theory of U' -invariants is parallel to that of U -invariants. In this article, we elaborate on further aspects of this parallelism. Our main object is the quotient $\pi_{X,U'} : X \rightarrow X//U' = \text{Spec}(\mathbb{k}[X]^{U'})$. Specifically, we are interested in the property that $X//U'$ is an affine space and/or the morphism $\pi_{X,U'}$ is equidimensional (i.e., all the fibres of $\pi_{X,U'}$ have the same dimension). Our ultimate goal is to prove for U' an analogue of the Hilbert–Mumford criterion and to provide a classification of the irreducible representations V of simple algebraic groups G such that $\mathbb{k}[V]$ is a free $\mathbb{k}[V]^{U'}$ -module. We also develop some theory for U' -actions on the affine prehomogeneous

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horospherical varieties of G (\mathcal{S} -varieties in terminology of [22]). As $U' = \{1\}$ for $G = SL_2$, one sometimes has to assume that G has no simple factors SL_2 .

If X has a G -fixed point, say x_0 , then the fibre of $\pi_{X,U'}$ containing x_0 is called the *null-cone*, and we denote it by $\mathfrak{N}_{U'}(X)$. (The null-cone $\mathfrak{N}_H(X)$ can be defined for any subgroup $H \subset G$ such that $\mathbb{k}[X]^H$ is finitely generated.) If G has no simple factors SL_2 nor SL_3 , then the canonical affine model of $\mathbb{k}[G/U']$ constructed in [14, Sect. 2] consists of unstable points in the sense of GIT, and using this property we give a characterisation of $\mathfrak{N}_{U'}(X)$ in terms of one-parameter subgroups of T . We call it the *Hilbert–Mumford criterion for U'* . This is inspired by similar results of Brion for U -invariants [3, Sect. IV]. It is easily seen that $\mathfrak{N}_{U'}(X) \subset \mathfrak{N}_G(X)$. Therefore $G \cdot \mathfrak{N}_{U'}(X) \subset \mathfrak{N}_G(X)$. Using the Hilbert–Mumford criterion for U' we prove that $G \cdot \mathfrak{N}_{U'}(X) = \mathfrak{N}_G(X)$ whenever G has no simple factors SL_n . This should be compared with the result of Brion [3] that $G \cdot \mathfrak{N}_U(X) = \mathfrak{N}_G(X)$ for all G .

The \mathcal{S} -varieties are in one-to-one correspondence with the finitely generated monoids \mathfrak{S} in the monoid \mathfrak{X}_+ of dominant weights, and the \mathcal{S} -variety corresponding to $\mathfrak{S} \subset \mathfrak{X}_+$ is denoted by $\mathcal{C}(\mathfrak{S})$. We give exhaustive answers to three natural problems related to the actions of U' on \mathcal{S} -varieties. A set of fundamental weights M is said to be *sparse* if the corresponding nodes of the Dynkin diagram are disjoint and, moreover, there does not exist any node (not in M) that is adjacent to two nodes from M . Our results are:

- a) $\mathbb{k}[\mathcal{C}(\mathfrak{S})]^{U'}$ is a polynomial algebra *if and only if* the monoid \mathfrak{S} is generated by a set of fundamental weights;
- b) $\mathbb{k}[\mathcal{C}(\mathfrak{S})]^{U'}$ is a polynomial algebra and $\pi_{\mathcal{C}(\mathfrak{S}),U'}$ is equidimensional *if and only if* the monoid \mathfrak{S} is generated by a sparse set of fundamental weights;
- c) the morphism $\pi_{\mathcal{C}(\mathfrak{S}),U'}$ is equidimensional *if and only if* the convex polyhedral cone $\mathbb{R}^+\mathfrak{S}$ is generated by a sparse set of fundamental weights. (In particular, the cone $\mathbb{R}^+\mathfrak{S}$ is simplicial.)

Part a) is rather easy, while parts b) and c) require technical details related to the Bruhat decomposition of the flag variety associated with $\mathcal{C}(\mathfrak{S})$. If \mathfrak{S} has one generator, say λ , and $R(\lambda)$ is a simple G -module with highest weight λ , then $\mathcal{C}(\mathfrak{S})$ is the closure of the orbit of highest weight vectors in the dual G -module $R(\lambda)^*$. Such a variety is denoted by $\mathcal{C}(\lambda)$. As in [22], we say that $\mathcal{C}(\lambda)$ is an *HV-variety*. Our results for HV-varieties are more complete. For instance, we compute the homological dimension of $\mathcal{C}(\lambda)//U'$ and prove that $\mathfrak{N}_{U'}(\mathcal{C}(\lambda))$ is always of codimension 2 in $\mathcal{C}(\lambda)$. The criterion of part b) is then transformed into a sufficient condition applicable to a wider class of affine varieties:

Theorem 0.1. *Suppose that G acts on an irreducible affine variety X such that (1) $\mathbb{k}[X]^U$ is a polynomial algebra and (2) the weights of free generators are*

fundamental, pairwise distinct, and form a sparse set. Then $\mathbb{k}[X]^{U'}$ is also polynomial, of Krull dimension $2 \dim X // U$, and the quotient $\pi_{X,U'} : X \rightarrow X // U'$ is equidimensional.

This exploits the theory of “contractions of actions” of G [15] and can be regarded as a continuation of our work in [13, Sect. 5], where the equidimensionality problem was considered for quotient morphism by U . For instance, under the hypotheses of Theorem 0.1, the morphism $\pi_{X,U}$ is also equidimensional.

In [14], we obtained a classification of the irreducible representations of simple algebraic groups such that $\mathbb{k}[V]^{U'}$ is a polynomial algebra. Now, using Theorem 0.1 and some ad hoc arguments, we extract from that list the representations having the additional property that $\pi_{V,U'}$ is equidimensional. The resulting list is precisely the list of representations such that $\mathbb{k}[V]$ is a free $\mathbb{k}[V]^{U'}$ -module (such G -representations are said to be U' -cofree).

This work is organized as follows. Section 1 contains auxiliary results on \mathcal{S} -varieties [22], U' -invariants [14], and equidimensional morphisms. In Section 2, we consider U' -actions on the HV-varieties. Section 3 is devoted to the U' -actions on arbitrary \mathcal{S} -varieties. Here we prove results of items a) and b) above (Theorems 3.2, 3.4, and 3.7). In Section 4, we prove the general equidimensionality criterion for \mathcal{S} -varieties (item c)). The Hilbert–Mumford criterion for U' and relations between two null-cones are discussed in Section 5. In Section 6, we prove Theorem 0.1 and obtain the classification of U' -cofree representations of G .

Notation. If an algebraic group Q acts regularly on an irreducible affine variety X , then X is called a Q -variety and

- $Q_x = \{q \in Q \mid q \cdot x = x\}$ is the *stabiliser* of $x \in X$;
- $\mathbb{k}[X]^Q$ is the algebra of Q -invariant polynomial functions on X . If $\mathbb{k}[X]^Q$ is finitely generated, then $X // Q := \text{Spec}(\mathbb{k}[X]^Q)$, and the *quotient morphism* $\pi_Q = \pi_{X,Q} : X \rightarrow X // Q$ is the mapping associated with the embedding $\mathbb{k}[X]^Q \hookrightarrow \mathbb{k}[X]$. Throughout, G is a semisimple simply-connected algebraic group, $W = N_G(T)/T$ is the Weyl group, $B = TU$, and $r = \text{rk } G$. Then

- Δ is the root system of (G, T) , $\Pi = \{\alpha_1, \dots, \alpha_r\} \subset \Delta$ are the simple roots corresponding to U , and $\varpi_1, \dots, \varpi_r$ are the corresponding fundamental weights.

- The character group of T is denoted by \mathfrak{X} . All roots and weights are regarded as elements of the r -dimensional real vector space $\mathfrak{X}_{\mathbb{R}} := \mathfrak{X} \otimes \mathbb{R}$.

- $(\ , \)$ is a W -invariant symmetric non-degenerate bilinear form on $\mathfrak{X}_{\mathbb{R}}$ and $s_i \in W$ is the reflection corresponding to α_i . For any $\lambda \in \mathfrak{X}_+$, let λ^* denote the highest weight of the dual G -module, i.e., $R(\lambda)^* \simeq R(\lambda^*)$. The μ -weight space of $R(\lambda)$ is denoted by $R(\lambda)_\mu$.

We refer to [21] for standard results on root systems and representations of semisimple algebraic groups.

1. Recollections

1.1. Horospherical varieties with a dense orbit. A G -variety X is said to be *horospherical* if the stabiliser of any $x \in X$ contains a maximal unipotent subgroup of G . Following [22], affine horospherical varieties with a dense G -orbit are called *\mathcal{S} -varieties*. Let \mathfrak{S} be a finitely generated monoid in \mathfrak{X}_+ and $\{\lambda_1, \dots, \lambda_m\}$ the minimal set of generators of \mathfrak{S} . Let $v_{-\lambda_i} \in R(\lambda_i^*)$ be a lowest weight vector. Set $\mathbf{v} = (v_{-\lambda_1}, \dots, v_{-\lambda_m})$ and consider

$$\mathcal{C}(\mathfrak{S}) := \overline{G \cdot \mathbf{v}} \subset R(\lambda_1^*) \oplus \dots \oplus R(\lambda_m^*).$$

Clearly, $\mathcal{C}(\mathfrak{S})$ is an \mathcal{S} -variety; conversely, each \mathcal{S} -variety is obtained in this way [22]. Write $\langle \mathfrak{S} \rangle$ for the linear span of \mathfrak{S} in $\mathfrak{X}_{\mathbb{R}}$ and set $\text{rk } \mathfrak{S} = \dim_{\mathbb{R}} \langle \mathfrak{S} \rangle$. Let $L_{\mathfrak{S}}$ be the Levi subgroup such that $T \subset L_{\mathfrak{S}}$ and the roots of $L_{\mathfrak{S}}$ are those orthogonal to $\lambda_1, \dots, \lambda_m$. Then $P_{\mathfrak{S}} = L_{\mathfrak{S}}N_{\mathfrak{S}}$ is the standard parabolic subgroup, with unipotent radical $N_{\mathfrak{S}} \subset U$.

Theorem 1.1 ([22]). *The affine variety $\mathcal{C}(\mathfrak{S})$ has the following properties:*

1. *The algebra $\mathbb{k}[\mathcal{C}(\mathfrak{S})]$ is a multiplicity free G -module. More precisely, $\mathbb{k}[\mathcal{C}(\mathfrak{S})] = \bigoplus_{\lambda \in \mathfrak{S}} R(\lambda)$ and this decomposition is a multigrading, i.e., $R(\lambda)R(\mu) = R(\lambda + \mu)$;*
2. *The G -orbits in $\mathcal{C}(\mathfrak{S})$ are in a one-to-one correspondence with the faces of the convex polyhedral cone in $\mathfrak{X}_{\mathbb{R}}$ generated by \mathfrak{S} ;*
3. *$\mathcal{C}(\mathfrak{S})$ is normal if and only if $\mathbb{Z}\mathfrak{S} \cap \mathbb{Q}^+\mathfrak{S} = \mathfrak{S}$;*
4. *$\dim \mathcal{C}(\mathfrak{S}) = \dim G/P_{\mathfrak{S}} + \text{rk } \mathfrak{S}$.*

If $\mathfrak{S} = \mathbb{N}\lambda$, then we write $\mathcal{C}(\lambda), P_{\lambda}, \dots$ in place of $\mathcal{C}(\mathbb{N}\lambda), P_{\mathbb{N}\lambda}, \dots$. The variety $\mathcal{C}(\lambda)$ is the closure of the G -orbit of highest weight vectors in $R(\lambda^*)$. Such varieties are called *HV-varieties*; they are always normal. Recall that a G -variety X is *spherical*, if B has a dense orbit in X . Since $B \cdot \mathbf{v}$ is dense in $\mathcal{C}(\mathfrak{S})$, all \mathcal{S} -varieties are spherical. By [15, Theorem 10]), a normal spherical variety has rational singularities and therefore is Cohen-Macaulay. In particular, if \mathfrak{S} is a free monoid, then $\mathcal{C}(\mathfrak{S})$ has rational singularities.

1.2. Generalities on U' -invariants. We recall some results of [14] and thereby fix relevant notation. We regard \mathfrak{X} as a poset with respect to the *root order* “ \preceq ”. This means that $\nu \preceq \mu$ if $\mu - \nu$ is a non-negative integral linear combination of simple roots. For any $\lambda \in \mathfrak{X}_+$, we fix a simple G -module $R(\lambda)$ and write $\mathcal{P}(\lambda)$ for the set of T -weights of $R(\lambda)$. Then $(\mathcal{P}(\lambda), \preceq)$ is a finite poset and λ is its unique maximal element. Let $e_i \in \mathfrak{u} = \text{Lie } U$ be a root vector corresponding to $\alpha_i \in \Pi$. Then (e_1, \dots, e_r) is a basis for $\text{Lie } (U/U')$.

The subspace of U' -invariants in $R(\lambda)$ has a nice description. Since $R(\lambda)^{U'}$ is acted upon by B/U' , it is T -stable. Hence $R(\lambda)^{U'} = \bigoplus_{\mu \in \mathcal{F}_\lambda} R(\lambda)_\mu^{U'}$, where \mathcal{F}_λ is a subset of $\mathcal{P}(\lambda)$.

Theorem 1.2 ([14, Theorem 1.6]). *Suppose that $\lambda = \sum_{i=1}^r a_i \varpi_i \in \mathfrak{X}_+$. Then*

- (1) $\mathcal{F}_\lambda = \{\lambda - \sum_{i=1}^r b_i \alpha_i \mid 0 \leq b_i \leq a_i \ \forall i\}$;
- (2) $\dim R(\lambda)_\mu^{U'} = 1$ for all $\mu \in \mathcal{F}_\lambda$, i.e., $R(\lambda)^{U'}$ is a multiplicity free T -module;
- (3) A nonzero U' -invariant of weight $\lambda - \sum_{i=1}^r a_i \alpha_i$, say f , is a cyclic vector of the U/U' -module $R(\lambda)^{U'}$. That is, the vectors $\{(\prod_{i=1}^r e_i^{b_i})(f) \mid 0 \leq b_i \leq a_i \ \forall i\}$ form a basis for $R(\lambda)^{U'}$.

It follows from (1) and (2) that $\dim R(\lambda)^{U'} = \prod_{i=1}^r (a_i + 1)$. In particular, $\dim R(\varpi_i)^{U'} = 2$. The weight spaces $R(\varpi_i)_{\varpi_i}$ and $R(\varpi_i)_{\varpi_i - \alpha_i}$ are one-dimensional, and we fix corresponding nonzero weight vectors f_i, \tilde{f}_i such that $e_i(\tilde{f}_i) = f_i$. That is, \tilde{f}_i is a cyclic vector of $R(\varpi_i)^{U'}$.

The biggest \mathcal{G} -variety corresponds to the monoid $\mathfrak{S} = \mathfrak{X}_+$. Here

$$\mathbb{k}[G/U] = \mathbb{k}[\mathcal{C}(\mathfrak{X}_+)] = \bigoplus_{\lambda \in \mathfrak{X}_+} R(\lambda),$$

and the multiplicative structure of $\mathbb{k}[\mathcal{C}(\mathfrak{X}_+)]$ together with Theorem 1.2 imply

Theorem 1.3 (cf. [14, Theorem 1.8]). *The algebra of U' -invariants $\mathbb{k}[\mathcal{C}(\mathfrak{X}_+)]^{U'}$ is freely generated by $f_1, \tilde{f}_1, \dots, f_r, \tilde{f}_r$. Therefore, any basis for the $2r$ -dimensional vector space $\bigoplus_{i=1}^r R(\varpi_i)^{U'}$ yields a free generating system for $\mathbb{k}[\mathcal{C}(\mathfrak{X}_+)]^{U'}$.*

The algebra $\mathbb{k}[G/U]$ is sometimes called the *flag algebra* for G , because it can be realized as the multi-homogeneous coordinate ring of the flag variety G/B . More generally, we have

Theorem 1.4. *If \mathfrak{S} is generated by some fundamental weights, say $\{\varpi_i \mid i \in M\}$, then any basis for $\bigoplus_{i \in M} R(\varpi_i)^{U'}$ yields a free generating system for $\mathbb{k}[\mathcal{C}(\mathfrak{S})]^{U'}$.*

Proof. As in the proof of [14, Theorem 1.8], one observes that, for $\lambda = \sum_{i \in M} a_i \varpi_i$, the monomials $\{\prod_{i \in M} f_i^{b_i} \tilde{f}_i^{a_i - b_i} \mid 0 \leq b_i \leq a_i\}$ form a basis for the space $R(\lambda)^{U'}$. [Another way is to consider the natural embedding $\mathcal{C}(\mathfrak{S}) \hookrightarrow \mathcal{C}(\mathfrak{X}_+)$ [22] and the surjective homomorphism $\mathbb{k}[\mathcal{C}(\mathfrak{X}_+)]^{U'} \rightarrow \mathbb{k}[\mathcal{C}(\mathfrak{S})]^{U'}$.] \square

Given $\lambda \in \mathfrak{X}_+$, we always consider a basis for $R(\lambda)^{U'}$ generated by a cyclic vector and elements $e_i \in \mathfrak{g}_{\alpha_i}$, i.e., a basis $\{f_\mu \in R(\lambda)_\mu \mid \mu \in \mathcal{F}_\lambda\}$ such that

$$e_i(f_\mu) = \begin{cases} f_{\mu + \alpha_i}, & \mu + \alpha_i \in \mathcal{F}_\lambda, \\ 0, & \mu + \alpha_i \notin \mathcal{F}_\lambda. \end{cases}$$

However, for the fundamental G -modules $R(\varpi_i)$, we write f_i in place of f_{ϖ_i} and \tilde{f}_i in place of $f_{\varpi_i - \alpha_i}$.

1.3. Equidimensional morphisms and conical varieties. Let $\pi : X \rightarrow Y$ be a dominant morphism of irreducible algebraic varieties. We say that π is *equidimensional at* $y \in Y$ if all irreducible components of $\pi^{-1}(y)$ are of dimension $\dim X - \dim Y$. Then π is said to be *equidimensional* if it is equidimensional at any $y \in \pi(X)$. By a result of Chevalley [6, Ch. 5, n.5, Prop. 3], if $y = \pi(x)$ is a normal point, π is equidimensional at y , and $\Omega \subset X$ is a neighbourhood of x , then $\pi(\Omega)$ is a neighbourhood of y . Consequently, an equidimensional morphism to a normal variety is open.

An affine variety X is said to be *conical* if $\mathbb{k}[X]$ is \mathbb{N} -graded, $\mathbb{k}[X] = \bigoplus_{n \geq 0} \mathbb{k}[X]_n$, and $\mathbb{k}[X]_0 = \mathbb{k}$. Then the point x_0 corresponding to the maximal ideal $\bigoplus_{n \geq 1} \mathbb{k}[X]_n$ is called the *vertex*. Geometrically, this means that X is equipped with an action of the multiplicative group \mathbb{k}^\times such that $\{x_0\}$ is the only closed \mathbb{k}^\times -orbit in X .

Lemma 1.5. *Suppose that both X and Y are conical, and $\pi : X \rightarrow Y$ is dominant and \mathbb{k}^\times -equivariant. (Then $\pi(x_0) =: y_0$ is the vertex in Y .) If Y is normal and π is equidimensional at y_0 , then π is onto and equidimensional.*

This readily follows from the above-mentioned result of Chevalley and standard inequalities for the dimension of fibres.

Remark 1.6. As \mathfrak{S} lies in an open half-space of $\mathfrak{X}_{\mathbb{R}}$, taking a suitable \mathbb{N} -specialisation of the multi-grading of $\mathbb{k}[\mathcal{C}(\mathfrak{S})]$ shows that $\mathcal{C}(\mathfrak{S})$ is conical and the origin in $R(\lambda_1^*) \oplus \dots \oplus R(\lambda_m^*)$ is its vertex. This implies that $\mathcal{C}(\mathfrak{S})//U'$ is conical, too. We will apply the above lemma to the study of equidimensional quotient maps $\pi : \mathcal{C}(\mathfrak{S}) \rightarrow \mathcal{C}(\mathfrak{S})//U'$. It is important that such π appears to be onto.

The idea of applying Chevalley’s result to the study of equidimensional quotients (by U) is due to Vinberg and Gindikin [20].

2. Actions of U' on HV-varieties

Let $\mathcal{C}(\lambda) = \overline{G \cdot v_{-\lambda}} \subset R(\lambda^*)$ be an HV-variety. The algebra $\mathbb{k}[\mathcal{C}(\lambda)]$ is \mathbb{N} -graded and its component of degree n is $R(n\lambda)$. Since $\mathcal{C}(\lambda)$ is normal, $\mathcal{C}(\lambda)//U'$ is normal, too.

Theorem 2.1. *$\mathcal{C}(\lambda)//U'$ is an affine space if and only if λ is a fundamental weight.*

Proof. 1) Suppose that λ is not fundamental, i.e., $\lambda = \dots + a\varpi_i + b\varpi_j + \dots$ with $a, b \geq 1$.

- If $i \neq j$, then $R(\lambda)^{U'}$ contains linearly independent vectors $f_\lambda, f_{\lambda-\alpha_i}, f_{\lambda-\alpha_j}, f_{\lambda-\alpha_i-\alpha_j}$ that occur in any minimal generating system, since $\mathbb{k}[\mathcal{C}(\lambda)]_1 \simeq R(\lambda)$. Using the relations $e_i(f_{\lambda-\alpha_i-\alpha_j}) = f_{\lambda-\alpha_j}$, etc., one easily verifies that

$$p = f_\lambda f_{\lambda-\alpha_i-\alpha_j} - f_{\lambda-\alpha_i} f_{\lambda-\alpha_j}$$

is a U -invariant function on $\mathcal{C}(\lambda)$, of degree 2. The only highest weight in degree 2 is 2λ . Since the weight of p is not 2λ , we must have $p \equiv 0$, and this is a non-trivial relation.

• If $i = j$, then the coefficient of ϖ_i is at least 2 and we consider vectors $f_\lambda, f_{\lambda-\alpha_i}, f_{\lambda-2\alpha_i} \in R(\lambda)^{U'}$. Then $\tilde{p} = 2f_\lambda f_{\lambda-2\alpha_i} - f_{\lambda-\alpha_i}^2$ is a U -invariant function of degree 2 and weight $2(\lambda - \alpha_i)$, and this yields the relation $\tilde{p} = 0$ in $\mathbb{k}[\mathcal{C}(\lambda)]^{U'}$.

2) If $\lambda = \varpi_i$, then $\dim R(\varpi_i)^{U'} = 2$ and $\mathcal{C}(\varpi_i) // U' \simeq \mathbb{A}^2$ by Theorem 1.4. \square

For an affine variety X , let $\text{edim } X$ denote the minimal number of generators of $\mathbb{k}[X]$ and $\text{hd}(X)$ the homological dimension of $\mathbb{k}[X]$. If $\mathbb{k}[X]$ is a graded Cohen-Macaulay algebra, then $\text{hd}(X) = \text{edim } X - \dim X$ [17, Ch. IV].

Theorem 2.2. *If $\lambda = \sum_{i=1}^r a_i \varpi_i \in \mathfrak{X}_+$, then*

- (i) $\dim \mathcal{C}(\lambda) // U' = 1 + \#\{j \mid a_j \neq 0\}$;
- (ii) *the graded algebra $\mathbb{k}[\mathcal{C}(\lambda)]^{U'}$ is generated by functions of degree one, i.e., by the space $R(\lambda)^{U'}$, and $\text{edim } \mathcal{C}(\lambda) // U' = \prod_{i=1}^r (a_i + 1)$.*

Proof. (i) Recall that $P_\lambda = L_\lambda N_\lambda$ is the standard parabolic subgroup associated with $\mathcal{C}(\lambda)$ and the simple roots of L_λ are those orthogonal to λ . Set $k = \#\{j \mid a_j \neq 0\}$. Then $\text{srk } L_\lambda := \text{rk}(L_\lambda, L_\lambda) = \text{rk } G - k$ and $\dim \mathcal{C}(\lambda) = \dim N_\lambda + 1$. Since $U \cdot (\mathbb{k}v_{-\lambda})$ is dense in $\mathcal{C}(\lambda)$, $U(L_\lambda) := U \cap L_\lambda$ is a generic stabiliser for the U -action on $\mathcal{C}(\lambda)$. By [14, Lemma 2.5], the minimal dimension of stabilisers for the U' -action on $\mathcal{C}(\lambda)$ equals $\dim(U(L_\lambda) \cap U') = \dim U(L_\lambda) - \text{srk } L_\lambda$. Consequently,

$$\begin{aligned} \dim \mathcal{C}(\lambda) // U' &= \dim \mathcal{C}(\lambda) - \dim U' + \min_{x \in \mathcal{C}(\lambda)} \dim U'_x = \\ &= \dim N_\lambda + 1 - (\dim U - \text{rk } G) + (\dim U(L_\lambda) - \text{srk } L_\lambda) = 1 + \text{rk } G - \text{srk } L_\lambda = 1 + k. \end{aligned}$$

(ii) By Theorem 1.2, $\dim R(\lambda)^{U'} = \prod_{i=1}^r (a_i + 1)$, which shows that $\text{edim } \mathcal{C}(\lambda) // U' \geq \prod_{i=1}^r (a_i + 1)$. Therefore, it suffices to prove that the graded algebra $\mathbb{k}[\mathcal{C}(\lambda)]^{U'}$ is generated by elements of degree 1. The weights of U' -invariants of degree n are

$$\mathcal{F}_{n\lambda} = \{n\lambda - \sum_i b_i \alpha_i \mid b_i = 0, 1, \dots, na_i\}.$$

In particular,

$$\mathcal{F}_\lambda = \{\lambda - \sum_i b_i \alpha_i \mid b_i = 0, 1, \dots, a_i\}.$$

Obviously, each element of $\mathcal{F}_{n\lambda}$ is a sum of n elements of \mathcal{F}_λ . Since $R(n\lambda)^{U'}$ is a multiplicity free T -module, this space is spanned by products of n elements of $R(\lambda)^{U'}$. \square

Corollary 2.3. *We have $\text{hd}(\mathcal{C}(\lambda)//U') = \prod_{i=1}^r (1+a_i) - 1 - \#\{j \mid a_j \neq 0\}$. Therefore,*

- $\text{hd}(\mathcal{C}(\lambda)//U') = 0$ if and only if λ is fundamental;
- $\text{hd}(\mathcal{C}(\lambda)//U') = 1$ if and only if $\lambda = \varpi_i + \varpi_j$ or $2\varpi_i$.

Proof. As it was mentioned above, the HV-varieties have rational singularities. In view of [14, Theorem 2.3], $\mathcal{C}(\lambda)//U'$ also has rational singularities and in particular is Cohen-Macaulay. Hence $\text{hd}(\mathcal{C}(\lambda)//U') = \text{edim } \mathcal{C}(\lambda)//U' - \dim \mathcal{C}(\lambda)//U'$. \square

Remark 2.4. 1) As above, $k = \text{rk } G - \text{srk } L_\lambda$ and hence $\dim \mathcal{C}(\lambda)//U' = k + 1$. Another consequence of Theorems 1.2 and 2.2 is that $\mathcal{C}(\lambda)//U'$ is a toric variety with respect to $\mathbb{k}^\times \times T$, where \mathbb{k}^\times acts on $R(\lambda^*)$ (and hence on $\mathcal{C}(\lambda)$) by homotheties. Note that the T -action on $\mathcal{C}(\lambda)//U'$ has a non-effectivity kernel of dimension $\text{rk } G - k$. The quotient morphism $\pi_{\mathcal{C}(\lambda),U'}$ has the following description. Let $\text{ann}(R(\lambda)^{U'})$ be the annihilator of $R(\lambda)^{U'}$ in $R(\lambda^*)$. Then $(R(\lambda)^{U'})^* = R(\lambda^*)/\text{ann}(R(\lambda)^{U'})$ and $\pi_{\mathcal{C}(\lambda),U'}$ is the restriction to $\mathcal{C}(\lambda)$ of the projection $R(\lambda^*) \rightarrow (R(\lambda)^{U'})^*$. Thus, $\mathcal{C}(\lambda)//U'$ is embedded in the vector space $(R(\lambda)^{U'})^*$. Consequently, $\mathbb{P}(\mathcal{C}(\lambda)//U') \subset \mathbb{P}((R(\lambda)^{U'})^*)$ is a normal toric variety with respect to T . As is well-known, a projective toric T -variety can be described via a convex polytope in $\mathfrak{X}_{\mathbb{Q}}$ [7, 5.8]. The polytope corresponding to $\mathbb{P}(\mathcal{C}(\lambda)//U')$ is the convex hull of \mathcal{F}_λ . It is a k -dimensional parallelepiped, in particular, a simple polytope. It follows that the corresponding complete fan is simplicial. Therefore the complex cohomology of $\mathbb{P}(\mathcal{C}(\lambda)//U')$ satisfies Poincaré duality and has a number of other good properties, see [7, § 14].

2) Along with the toric structure (i.e., a dense T -orbit), the projective variety $\mathbb{P}(\mathcal{C}(\lambda)//U')$ also has a dense orbit of the commutative unipotent group U/U' .

3. Actions of U' on arbitrary \mathcal{S} -varieties

Let $\mathcal{C}(\mathfrak{S})$ be an \mathcal{S} -variety. In this section, we answer the following questions:

- When is $\mathcal{C}(\mathfrak{S})//U'$ an affine space?
- Suppose that $\mathcal{C}(\mathfrak{S})//U'$ is an affine space. When is $\pi_{\mathcal{C}(\mathfrak{S}),U'}$ equidimensional?

We begin with a formula for $\dim \mathcal{C}(\mathfrak{S})//U'$, which generalises Theorem 2.2(i).

Proposition 3.1. $\dim \mathcal{C}(\mathfrak{S})//U' = \text{rk } \mathfrak{S} + (\text{rk } G - \text{srk } L_{\mathfrak{S}})$.

Proof. By Theorem 1.1, $\dim \mathcal{C}(\mathfrak{S}) = \dim N_{\mathfrak{S}} + \text{rk } \mathfrak{S}$ and $\dim \mathcal{C}(\mathfrak{S})//U = \text{rk } \mathfrak{S}$. This readily implies that $U(L_{\mathfrak{S}}) := U \cap L_{\mathfrak{S}}$ is a generic stabiliser for the U -action on $\mathcal{C}(\mathfrak{S})$. By [14, Lemma 2.5], the minimal dimension of stabilisers for the U' -action on $\mathcal{C}(\mathfrak{S})$ equals $\dim(U(L_{\mathfrak{S}}) \cap U') = \dim U(L_{\mathfrak{S}}) - \text{srk } L_{\mathfrak{S}}$. Consequently,

$$\begin{aligned} \dim \mathcal{C}(\mathfrak{S})//U' &= \dim \mathcal{C}(\mathfrak{S}) - \dim U' + \min_{x \in \mathcal{C}(\mathfrak{S})} \dim U'_x = \\ &= \dim N_{\mathfrak{S}} + \text{rk } \mathfrak{S} - (\dim U - \text{rk } G) + (\dim U(L_{\mathfrak{S}}) - \text{srk } L_{\mathfrak{S}}) = \text{rk } \mathfrak{S} + (\text{rk } G - \text{srk } L_{\mathfrak{S}}). \end{aligned}$$

Here we use the fact that U is a semi-direct product of $N_{\mathfrak{S}}$ and $U(L_{\mathfrak{S}})$. \square

Remark. Note that $\text{rk } \mathfrak{S} \leq \text{rk } G - \text{srk } L_{\mathfrak{S}}$, and the equality here is equivalent to the fact that the space $\langle \mathfrak{S} \rangle$ has a basis that consists of fundamental weights.

Theorem 3.2. *Let $\mathfrak{S} \subset \mathfrak{X}_+$ be an arbitrary finitely generated monoid. Then $\mathcal{C}(\mathfrak{S})//U'$ is an affine space if and only if \mathfrak{S} is generated by fundamental weights.*

Proof. 1) Suppose that $\mathcal{C}(\mathfrak{S})//U'$ is an affine space. If λ is a generator of \mathfrak{S} , then any generating system of $\mathbb{k}[\mathcal{C}(\mathfrak{S})]^{U'}$ contains a basis for $R(\lambda)^{U'}$. Arguing as in the proof of Theorem 2.1, we conclude that λ must be a fundamental weight. [Another way is to use Proposition 3.1 and the inequality $\dim \mathcal{C}(\mathfrak{S})//U' \geq 2\text{rk } \mathfrak{S}$.]

2) The converse is contained in Theorem 1.4. □

In the rest of this section, we only consider monoids generated by fundamental weights. Fix a numbering of the simple roots (fundamental weights). For any $M \subset \{1, 2, \dots, r\}$, let $\mathcal{C}(M)$ denote the \mathcal{P} -variety corresponding to the monoid $\mathfrak{S} = \sum_{i \in M} \mathbb{N}\varpi_i$. Our aim is to characterise the subsets M having the property that $\pi_{U'} : \mathcal{C}(M) \rightarrow \mathcal{C}(M)//U'$ is equidimensional. The origin (vertex) is the only G -fixed point of $\mathcal{C}(M)$ and the corresponding fibre of $\pi_{U'}$ (the *null-cone*) is denoted by $\mathfrak{N}_{U'}(M)$.

Recall that $\mathbb{k}[\mathcal{C}(M)]$ is a graded Cohen-Macaulay ring and $\mathbb{k}[\mathcal{C}(M)]^{U'}$ is a polynomial algebra freely generated by $\{f_i, \tilde{f}_i \mid i \in M\}$ (Theorem 1.4). Therefore, $\pi_{U'}$ is equidimensional *if and only if* the functions $\{f_i, \tilde{f}_i \mid i \in M\}$ form a regular sequence in $\mathbb{k}[\mathcal{C}(M)]$ *if and only if* $\dim \mathfrak{N}_{U'}(M) = \dim \mathcal{C}(M) - 2(\#M)$ [16, § 17].

Definition 1. A subset $M \subset \{1, \dots, r\}$ is said to be *sparse*, if 1) the roots α_i with $i \in M$ are pairwise orthogonal, i.e., disjoint in the Dynkin diagram; 2) there are no $i, j \in M$ and no $k \notin M$ such that $(\alpha_k, \alpha_i) < 0$ and $(\alpha_k, \alpha_j) < 0$, i.e., α_k is adjacent to both α_i and α_j .

Accordingly, we say that a certain set of fundamental weights (simple roots) is *sparse*.

Clearly, if M is sparse and $J \subset M$, then J is also sparse.

Lemma 3.3. *Let $\alpha_{i_1}, \dots, \alpha_{i_l}$ be a sequence of different simple roots such that $\alpha_{i_j}, \alpha_{i_{j+1}}$ are adjacent for $j = 1, 2, \dots, l - 1$). Then $\mu := \varpi_{i_1} - \sum_{j=1}^l \alpha_{i_j}$ is a weight of $R(\varpi_{i_1})$ and $\dim R(\varpi_{i_1})_{\mu} = 1$.*

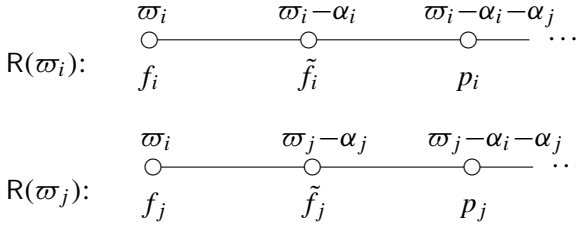
Proof. The first assertion is easily proved by induction on l . The second assertion follows from [1, Prop. 2.2] □

Theorem 3.4. *If the quotient $\pi_{U'} : \mathcal{C}(M) \rightarrow \mathcal{C}(M)//U'$ is equidimensional, then M is sparse.*

Proof. As we already know, $\mathbb{k}[\mathcal{C}(M)]^{U'}$ is freely generated by the functions $\{f_i, \tilde{f}_i \mid i \in M\}$. Assuming that M is not sparse, we point out certain relations in $\mathbb{k}[\mathcal{C}(M)]$,

which show that these free generators do not form a regular sequence. There are two possibilities for that.

- Suppose first that α_i and α_j are adjacent simple roots for some $i, j \in M$. Then $\lambda_{ij} := \varpi_i + \varpi_j - \alpha_i - \alpha_j$ is dominant. Consider upper parts of the Hasse diagrams of weight posets for $R(\varpi_i)$ and $R(\varpi_j)$:

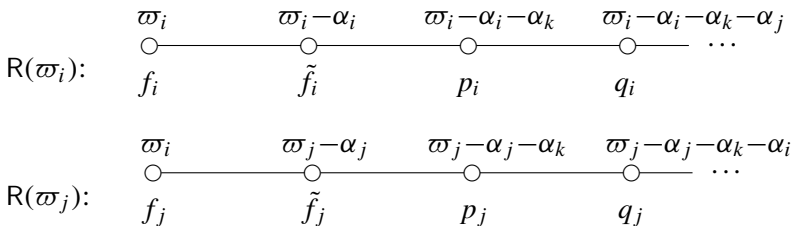


In these figures, each node depicts a weight space, and we put the weight over the node and a weight vector under the node. There can be other edges incident to the node $\varpi_i - \alpha_i$ (if there exist other simple roots adjacent to α_i), but we do not need them. By Lemma 3.3, the weight spaces $R(\varpi_i)_{\varpi_i}$, $R(\varpi_i)_{\varpi_i - \alpha_i}$, and $R(\varpi_i)_{\varpi_i - \alpha_i - \alpha_j}$ are one-dimensional. Here f_i , \tilde{f}_i , and p_i are normalised such that $e_i(\tilde{f}_i) = f_i$ and $e_j(p_i) = \tilde{f}_i$; and likewise for $R(\varpi_j)$. Note also that $e_i(p_i) = 0$, since $\varpi_i - \alpha_j$ is not a weight of $R(\varpi_i)$. It is then easily seen that

$$f_i \otimes p_j - \tilde{f}_i \otimes \tilde{f}_j + p_i \otimes f_j$$

is a U -invariant of weight λ_{ij} in $R(\varpi_i) \otimes R(\varpi_j)$. However, only the Cartan component of $R(\varpi_i) \otimes R(\varpi_j)$ survives in the algebra $\mathbb{k}[\mathcal{C}(M)]$, i.e., in the product $R(\varpi_i) \cdot R(\varpi_j)$. Consequently, $f_i p_j - \tilde{f}_i \tilde{f}_j + p_i f_j = 0$ in $\mathbb{k}[\mathcal{C}(M)]$. This means that $(f_i, f_j, \tilde{f}_i, \tilde{f}_j)$ is not a regular sequence in $\mathbb{k}[\mathcal{C}(M)]$.

- Yet another possibility is that there are $k \notin M$ and $i, j \in M$ such that α_k is adjacent to both α_i and α_j . Here one verifies that $\tilde{\lambda}_{ij} := \varpi_i + \varpi_j - \alpha_i - \alpha_k - \alpha_j$ is dominant. In this situation, we need larger fragments of the weight posets:



Here all the weight spaces are one-dimensional by Lemma 3.3, and we follow the same conventions as above. Additionally, we assume that $e_j(q_i) = p_i$. Note that $e_k(q_i) = 0$ and $e_i(q_i) = 0$, since neither $\varpi_i - \alpha_i - \alpha_j$ nor $\varpi_i - \alpha_k - \alpha_j$ is a weight of $R(\varpi_i)$. (And likewise for $R(\varpi_j)$.) Then $f_i \otimes q_j - \tilde{f}_i \otimes p_j + p_i \otimes \tilde{f}_j - q_i \otimes f_j$ is a

U -invariant of weight $\tilde{\lambda}_{ij}$, and hence

$$(3.1) \quad f_i q_j - \tilde{f}_i p_j + p_i \tilde{f}_j - q_i f_j = 0$$

in $\mathbb{k}[\mathcal{C}(M)]$ for the same reason as above. This again implies that $(f_i, f_j, \tilde{f}_i, \tilde{f}_j)$ is not a regular sequence in $\mathbb{k}[\mathcal{C}(M)]$. \square

Example 3.5. Let $\mathfrak{g} = \mathfrak{sl}_4$ and $M = \{1, 3\}$ in the usual numbering of Π . Then $\dim R(\varpi_1) = \dim R(\varpi_3) = 4$ and $\dim \mathcal{C}(M) = 7$. In this case, the above 4-node fragments provide the whole weight posets. Therefore, $R(\varpi_1) = \langle f_1, \tilde{f}_1, p_1, q_1 \rangle$, $R(\varpi_3) = \langle f_3, \tilde{f}_3, p_3, q_3 \rangle$, and (3.1) with $(i, j) = (1, 3)$ is the equation of the hypersurface $\mathcal{C}(M)$. Since $\dim \mathcal{C}(M) // U' = 4$ and $\mathfrak{N}_{U'}(M) \supset \langle p_1, q_1, p_3, q_3 \rangle$, the morphism $\pi_{U'}$ is not equidimensional.

To prove the converse to Theorem 3.4, we need some preparations. Recall that the partial order “ \preceq ” is defined in 1.2. We also write $\nu \prec \mu$ if $\nu \preceq \mu$ and $\mu \neq \nu$.

Lemma 3.6. *Suppose that M is sparse and $w \in W$ has the property that $w(\varpi_i) \prec \varpi_i - \alpha_i$ for all $i \in M$. Then $\ell(w) \geq 2 \cdot \#(M)$.*

Proof. Since $w(\varpi_i) \prec \varpi_i$, any reduced decomposition of w contains s_i . Furthermore, since $w(\varpi_i) \prec \varpi_i - \alpha_i$, there exists a node i' adjacent to i such that $w(\varpi_i) \preceq \varpi_i - \alpha_i - \alpha_{i'}$. Therefore, w must also contain the reflection $s_{i'}$. Because M is sparse, all the reflections $\{s_i, s_{i'} \mid i \in M\}$ are different. Thus, $\ell(w) \geq 2 \cdot \#(M)$. \square

For any $I \subset \Pi$, we consider the following objects. Let $P_I = L_I N_I$ be the standard parabolic subgroup of G . Here L_I is the Levi subgroup whose set of simple roots is I and N_I is the unipotent radical of P_I . Then $P_I^- = L_I N_I^-$ is the opposite parabolic subgroup of G . We also need the factorisation

$$W = W^I \times W_I,$$

where W_I is the subgroup generated by $\{s_i \mid \alpha_i \in I\}$ and W^I is the set of representatives of minimal length for W/W_I [8, 1.10]. It is also true that $W^I = \{w \in W \mid w(\alpha_i) \in \Delta^+ \ \forall \alpha_i \in I\}$ [8, 5.4]. If $I = \{\alpha \in \Pi \mid (\alpha, \lambda) = 0\}$ for some $\lambda \in \mathfrak{X}_+$, then we write $P_\lambda, W_\lambda, W^\lambda$, etc.

For each $w \in W$, we fix a representative, \dot{w} , in $N_G(T)$. As is well-known, the U -orbits in G/P_I^- can be parametrised by W^I , and letting $\mathcal{O}(w) = U \dot{w} P_I^- \subset G/P_I^-$ ($w \in W^I$), we have $G/P_I^- = \sqcup_{w \in W^I} \mathcal{O}(w)$ and $\text{codim } \mathcal{O}(w) = \ell(w)$.

Theorem 3.7. *If $M \subset \{1, \dots, r\}$ is sparse, then the quotient $\pi_{U'} : \mathcal{C}(M) \rightarrow \mathcal{C}(M) // U'$ is equidimensional.*

Proof. Set $m = \#M$ and $I = \Pi \setminus \{\alpha_i \mid i \in M\}$. Consider $\mathbf{v} = \sum_{i \in M} v_{-\varpi_i} \in \bigoplus_{i \in M} R(\varpi_i^*)$. As explained in Subsection 1.1, then $\mathcal{C}(M) \simeq \overline{G \cdot \mathbf{v}}$ and $\dim \mathcal{C}(M) =$

$\dim G/P_I^- + m$. We also have $\dim \mathcal{C}(M) // U' = 2m$. Therefore, our goal is to prove that $\dim \mathfrak{N}_{U'}(M) \leq \dim G/P_I^- - m$.

Set $V = \overline{T \cdot v} = \bigoplus_{i \in M} \mathbb{k}v_{-\varpi_i}$. It is an m -dimensional subspace of $\bigoplus_{i \in M} R(\varpi_i^*)$, which is contained in $\mathcal{C}(M)$ and is P_I^- -stable. Recall that $G \times_{P_I^-} V$ is a homogeneous vector bundle on G/P_I^- . A typical element of it is denoted by $g * v$, where $g \in G$ and $v = \sum_{i \in M} v_i \in V$. Our main tool for estimating $\dim \mathfrak{N}_{U'}(M)$ is the following diagram:

$$\begin{array}{ccc} G \times_{P_I^-} V & \xrightarrow{\tau} & \mathcal{C}(M) \\ \downarrow \phi & & \downarrow \pi_{U'} \\ G/P_I^- & & \mathcal{C}(M) // U' \end{array}$$

where $\phi(g * v) := gP_I^-$ and $\tau(g * v) := g \cdot v$. Note that $\mathfrak{N}_{U'}(M)$ is B -stable, and hence so is $\tau^{-1}(\mathfrak{N}_{U'}(M))$. It is easily seen that the morphism τ is birational and therefore it is an equivariant resolution of singularities of $\mathcal{C}(M)$.

Let $n \in U$ and $w \in W^I$. As $\mathbb{k}[\mathcal{C}(M)]^{U'}$ is generated by $\{f_i, \tilde{f}_i \mid i \in M\}$, we have

$$(3.2) \quad \phi^{-1}(n\dot{w}P_I^-) \cap \tau^{-1}(\mathfrak{N}_{U'}(M)) = \{n\dot{w} * v \mid f_i(n\dot{w} \cdot v) = 0, \tilde{f}_i(n\dot{w} \cdot v) = 0 \quad \forall i \in M\}.$$

Here f_i (resp. \tilde{f}_i) is regarded as the coordinate of $v_{-\varpi_i} \in R(\varpi_i^*)$ (resp. $v_{-\varpi_i + \alpha_i} \in R(\varpi_i^*)$). Note that $f_i(n\dot{w} \cdot v)$ depends only on the component v_i of v , and v_i is proportional to $v_{-\varpi_i}$. Let us simplify condition (3.2). Since f_i is actually a U -invariant, we have $f_i(n\dot{w} \cdot v_i) = f_i(\dot{w} \cdot v_i)$. Next, \tilde{f}_i is invariant with respect to a subgroup of codimension 1 in U . Namely, consider the decomposition $U = U^{\alpha_i} U_{\alpha_i} \simeq U^{\alpha_i} \times U_{\alpha_i}$, where U_{α_i} is the root subgroup and U^{α_i} is the unipotent radical of the minimal parabolic subgroup associated with α_i . If $n_i \in U_{\alpha_i}$ and $\tilde{n} \in U^{\alpha_i}$, then $\tilde{n} \cdot \tilde{f}_i = \tilde{f}_i$ and $n_i^{-1} \cdot \tilde{f}_i = \tilde{f}_i + c_i f_i$ for some $c_i = c_i(n_i) \in \mathbb{k}$. Hence for $n = \tilde{n}n_i \in U$, we have

$$\tilde{f}_i(n\dot{w} \cdot v_i) = \tilde{f}_i(n_i \dot{w} \cdot v_i) = (n_i^{-1} \cdot \tilde{f}_i)(\dot{w} \cdot v_i) = \tilde{f}_i(\dot{w} \cdot v_i) + f_i(\dot{w} \cdot v_i)c_i.$$

Therefore, (3.2) reduces to the following:

$$(3.3) \quad \phi^{-1}(n\dot{w}P_I^-) \cap \tau^{-1}(\mathfrak{N}_{U'}(M)) = \{n\dot{w} * v \mid f_i(\dot{w} \cdot v_i) = 0, \tilde{f}_i(\dot{w} \cdot v_i) = 0 \quad \forall i \in M\}.$$

Thus, the dimension of this intersection does not depend on $n \in U$; it depends only on $w \in W^I$, i.e., on $\mathcal{O}(w) \subset G/P_I^-$. We can make (3.3) more precise by using the partition of $\mathcal{C}(M)$ into (finitely many) G -orbits. For any subset $J \subset M$, let $v_J = \sum_{i \in J} v_{-\varpi_i} \in V$. Then $\{v_J \mid J \subset M\}$ is a complete set of representatives of the G -orbits in $\mathcal{C}(M)$ (Theorem 1.1(2)). Set $\overset{\circ}{V}_J = G \cdot v_J \cap V = T \cdot v_J$. It is an open

subset of a $(\#J)$ -dimensional vector space. Then

$$\begin{aligned} \phi^{-1}(n\dot{w}P_I^-) \cap \tau^{-1}(\mathfrak{N}_{U'}(M) \cap G \cdot v_J) \\ = \{n\dot{w} * v \mid v \in \mathring{V}_J, f_i(\dot{w} \cdot v_i) = 0, \tilde{f}_i(\dot{w} \cdot v_i) = 0 \forall i \in M\}. \end{aligned}$$

This set is non-empty if and only if $\dot{w} \cdot v_{-\varpi_i}$ has the trivial projection to $\langle v_{-\varpi_i}, v_{-\varpi_i + \alpha_i} \rangle \subset R(\varpi_i^*)$ for all $i \in J$, i.e., $w(\varpi_i) < \varpi_i - \alpha_i$ for all $i \in J$.

In this case the dimension of this set equals $\dim \mathring{V}_J = \#J$. Consequently, if $\phi^{-1}(\mathbb{O}(w)) \cap \tau^{-1}(\mathfrak{N}_{U'}(M) \cap G \cdot v_J) \neq \emptyset$, then

$$\begin{aligned} w(\varpi_i) < \varpi_i - \alpha_i \text{ for all } i \in J \text{ and} \\ \dim\left(\phi^{-1}(\mathbb{O}(w)) \cap \tau^{-1}(\mathfrak{N}_{U'}(M) \cap G \cdot v_J)\right) = \#J + \dim \mathbb{O}(w). \end{aligned}$$

By Lemma 3.6, $\ell(w) \geq 2 \cdot \#J$. Therefore,

$$\begin{aligned} \dim\left(\phi^{-1}(\mathbb{O}(w)) \cap \tau^{-1}(\mathfrak{N}_{U'}(M) \cap G \cdot v_J)\right) = \\ \#J - \text{codim } \mathbb{O}(w) + \dim G/P_I^- = \#J - \ell(w) + \dim G/P_I^- \leq \dim G/P_I^- - \#J. \end{aligned}$$

This is an upper bound for the dimension of the pullback in $G \times_{P_I^-} V$ of a subset of $\mathfrak{N}_{U'}(M)$. If v_J is not generic, i.e., $J \neq M$, then $\dim \tau^{-1}(v_J) > 0$ and the actual subset of $\mathfrak{N}_{U'}(M)$ has smaller dimension. More precisely, set $\tilde{I} = \{\alpha_i \mid i \notin J\}$. Then $\tilde{I} \supset I$ and $\tau^{-1}(v_J) \simeq P_{\tilde{I}}^-/P_I^-$. Since $\text{srk}(L_{\tilde{I}}) = \text{srk}(L_I) + (m - \#J)$, we have $\dim \tau^{-1}(v_J) \geq m - \#J$. Thus, for all $w \in W^I$ and $J \subset M$, we have

$$\begin{aligned} \dim\left(\tau(\phi^{-1}(\mathbb{O}(w))) \cap \mathfrak{N}_{U'}(M) \cap G \cdot v_J\right) \leq \\ \dim G/P_I^- - \#J - (m - \#J) = \dim G/P_I^- - m, \end{aligned}$$

and therefore $\dim \mathfrak{N}_{U'}(M) \leq \dim G/P_I^- - m$. □

Remark 3.8. A “dual” approach is to consider the P_I -stable subspace $\tilde{V} = \bigoplus_{i \in M} \mathbb{k}v_{\varpi_i^*} \subset \bigoplus_{i \in M} R(\varpi_i^*)$ and the map $G \times_{P_I} \tilde{V} \rightarrow \mathcal{C}(M)$. Then one has to work with U_- -orbits in G/P_I and U_- -invariants in $\mathbb{k}[\mathcal{C}(M)]$, but all dimension estimates remain the same. Such an approach is realised in [13, Sect. 5], where the equidimensionality problem is considered for the actions of U on \mathcal{S} -varieties.

Combining Theorems 3.2, 3.4, and 3.7, we obtain the general criterion:

Theorem 3.9. *For a finitely generated monoid $\mathfrak{S} \subset \mathfrak{X}_+$, the following conditions are equivalent:*

- (i) $\mathcal{C}(\mathfrak{S})//U'$ is an affine space and $\pi_{\mathcal{C}(\mathfrak{S}),U'} : \mathcal{C}(\mathfrak{S}) \rightarrow \mathcal{C}(\mathfrak{S})//U'$ is equidimensional;
- (ii) \mathfrak{S} is generated by a sparse set of fundamental weights.

4. Equidimensional quotients by U'

In this section, the quotient morphism for the \mathcal{S} -variety $\mathcal{C}(\mathfrak{S})$ will be denoted by $\pi_{\mathfrak{S},U'}$. Similarly, for the HV-variety $\mathcal{C}(\lambda)$, we use notation $\pi_{\lambda,U'}$. Our goal is to characterise the monoids \mathfrak{S} such that $\pi_{\mathfrak{S},U'} : \mathcal{C}(\mathfrak{S}) \rightarrow \mathcal{C}(\mathfrak{S})//U'$ is equidimensional (i.e., without assuming that $\mathcal{C}(\mathfrak{S})//U'$ is an affine space). We assume that $U' \neq \{1\}$, i.e., G is not a product of several SL_2 .

First, we consider the case of HV-varieties.

Theorem 4.1. *For any $\lambda \in \mathfrak{X}_+$, the null-cone $\mathfrak{N}_{U'}(\mathcal{C}(\lambda))$ is of codimension 2 in $\mathcal{C}(\lambda)$.*

Proof. As in the proof of Theorem 3.7, we work with the diagram

$$\begin{array}{ccc} G \times_{P_\lambda^-} V & \xrightarrow{\tau} & \mathcal{C}(\lambda) \\ \downarrow \phi & & \downarrow \pi_{\lambda,U'} \\ G/P_\lambda^- & & \mathcal{C}(\lambda)//U', \end{array}$$

where $V = \mathbb{k}v_{-\lambda}$, $\phi(g * v) := gP_\lambda^-$ and $\tau(g * v) := g \cdot v$. Note that P_λ^- is just the stabiliser of the line $V \subset R(\lambda^*)$. For simplicity, we write $\mathfrak{N}_{U'}(\lambda)$ in place of $\mathfrak{N}_{U'}(\mathcal{C}(\lambda))$.

Since $\mathfrak{N}_{U'}(\lambda)$ is U -stable, $\phi(\tau^{-1}(\mathfrak{N}_{U'}(\lambda)))$ is a union of U -orbits. Recall that $\mathbb{k}[\mathcal{C}(\lambda)]^{U'}$ is generated by the space $R(\lambda)^{U'}$, and the corresponding set of T -weights is \mathcal{I}_λ .

We point out a $w \in W^\lambda$ such that the U -orbit $\mathcal{O}(w) \subset G/P_\lambda^-$ is of codimension 2 and $\phi^{-1}(\mathcal{O}(w)) \subset \tau^{-1}(\mathfrak{N}_{U'}(\lambda))$. Suppose that $(\lambda, \alpha_1^\vee) = a_1 \geq 1$ and α_1 is a simple root of a simple component of G of rank ≥ 2 . Let α_2 be a simple root adjacent to α_1 in the Dynkin diagram. Take $w = s_2s_1$. Regardless of the value of (λ, α_2) , it is true that $w \in W^\lambda$ and $\ell(w) = 2$. We have

$$s_2s_1(\lambda) = \lambda - a_1\alpha_1 - (a_2 - a_1(\alpha_1, \alpha_2^\vee))\alpha_2 \preceq \lambda - a_1\alpha_1 - (a_1 + a_2)\alpha_2,$$

where $a_2 = (\lambda, \alpha_2^\vee)$. Hence $s_2s_1(\lambda) \notin \mathcal{I}_\lambda$. It follows that $s_2s_1(v_{-\lambda}) \in \mathfrak{N}_{U'}(\lambda)$ and

$$\tau(\phi^{-1}(\mathcal{O}(w))) = U \cdot (s_2s_1(V)) \in \mathfrak{N}_{U'}(\lambda).$$

Thus, $w = s_2s_1$ is the required element. Since τ is injective outside the zero section of ϕ , it is still true that $\text{codim}_{\mathcal{C}(\lambda)} \tau(\phi^{-1}(\mathcal{O}(w))) = 2$. This proves that $\text{codim } \mathfrak{N}_{U'}(\lambda) \leq 2$.

On the other hand, the similar argument shows that if $w \in W^\lambda$ and $\ell(w) = 1$ (i.e., $w = s_i$, where $(\alpha_i, \lambda) \neq 0$), then $\dot{w} \cdot v_{-\lambda} \notin \mathfrak{N}_{U'}(\lambda)$. Therefore, $\text{codim } \mathfrak{N}_{U'}(\lambda) = 2$. \square

Corollary 4.2. *Suppose that $U' \neq \{1\}$. Then $\pi_{\lambda,U'} : \mathcal{C}(\lambda) \rightarrow \mathcal{C}(\lambda)//U'$ is equidimensional if and only if $\lambda = a_i\varpi_i$ for some i . In particular, if the action of G on $\mathcal{C}(\lambda)$ is effective and $\pi_{\lambda,U'}$ is equidimensional, then G is simple.*

Proof. It follows from Theorem 2.2(i) that $\dim \mathcal{C}(\lambda) // U' = 2$ if and only if $\lambda = a_i \varpi_i$. □

Now, we turn to considering general monoids $\mathfrak{S} \subset \mathfrak{X}_+$. For any $S \subset \mathfrak{X}$, let $\text{con}(S)$ denote the closed cone in $\mathfrak{X}_{\mathbb{R}}$ generated by S .

Lemma 4.3. *Suppose that we are given two monoids \mathfrak{S}_1 and \mathfrak{S}_2 such that $\text{con}(\mathfrak{S}_1) = \text{con}(\mathfrak{S}_2)$. Then $\pi_{\mathfrak{S}_1, U'}$ is equidimensional if and only if $\pi_{\mathfrak{S}_2, U'}$ is.*

Proof. It suffices to treat the case in which $\mathfrak{S}_2 = \text{con}(\mathfrak{S}_1) \cap \mathfrak{X}_+$. Then $\mathbb{k}[\mathcal{C}(\mathfrak{S}_2)]$ is a finite $\mathbb{k}[\mathcal{C}(\mathfrak{S}_1)]$ -module [22, Prop. 4]. Consider the commutative diagram

$$\begin{array}{ccc} \mathcal{C}(\mathfrak{S}_2) & \xrightarrow{\psi} & \mathcal{C}(\mathfrak{S}_1) \\ \downarrow \pi_{\mathfrak{S}_2, U'} & & \downarrow \pi_{\mathfrak{S}_1, U'} \\ \mathcal{C}(\mathfrak{S}_2) // U' & \xrightarrow{\psi // U'} & \mathcal{C}(\mathfrak{S}_1) // U'. \end{array}$$

Here ψ is finite, and it suffices to prove that $\psi // U'$ is also finite, i.e., that $\mathbb{k}[\mathcal{C}(\mathfrak{S}_2)]^{U'}$ is a finite $\mathbb{k}[\mathcal{C}(\mathfrak{S}_1)]^{U'}$ -module. By the “transfer principle” ([2, Ch. 1], [15, § 3]), we have

$$\mathbb{k}[X]^{U'} \simeq (\mathbb{k}[X] \otimes \mathbb{k}[G/U'])^G$$

for any affine G -variety X . Hence, one has to prove that $(\mathbb{k}[\mathfrak{S}_2] \otimes \mathbb{k}[G/U'])^G$ is a finite $(\mathbb{k}[\mathfrak{S}_1] \otimes \mathbb{k}[G/U'])^G$ -module, which readily follows from the fact that $\mathbb{k}[G/U']$ is finitely generated and G is reductive. □

Theorem 4.4. *The quotient morphism $\pi_{\mathfrak{S}, U'}$ is equidimensional if and only if $\text{con}(\mathfrak{S})$ is generated by a sparse set of fundamental weights.*

Proof. 1) The “if” part readily follows from Lemma 4.3 and Theorem 3.7.

2) Suppose that $\pi_{\mathfrak{S}, U'} : \mathcal{C}(\mathfrak{S}) \rightarrow \mathcal{C}(\mathfrak{S}) // U'$ is equidimensional. By Lemma 4.3, it suffices to consider the case in which $\mathfrak{S} = \text{con}(\mathfrak{S}) \cap \mathfrak{X}_+$. Then $\mathcal{C}(\mathfrak{S})$ is normal (see Theorem 1.1(3)). Consider an arbitrary edge, $\text{con}(\lambda)$, of $\text{con}(\mathfrak{S})$. It is assumed that $\lambda \in \mathfrak{S}$ is a primitive element of \mathfrak{X}_+ . By [22, Prop. 7], the HV-variety $\mathcal{C}(\lambda)$ is a subvariety of $\mathcal{C}(\mathfrak{S})$. On the other hand, $\mathbb{k}[\mathcal{C}(\lambda)] = \bigoplus_{n \geq 0} R(n\lambda)$ is a G -stable subalgebra of $\mathbb{k}[\mathcal{C}(\mathfrak{S})] = \bigoplus_{\mu \in \mathfrak{S}} R(\mu)$. This yields the chain of G -equivariant maps

$$\mathcal{C}(\lambda) \hookrightarrow \mathcal{C}(\mathfrak{S}) \xrightarrow{r} \mathcal{C}(\lambda).$$

Here the composite map is the identity, i.e., r is a G -equivariant retraction. Furthermore, passage to the subalgebras of U' -invariants (= quotient varieties) yields the maps

$$\mathcal{C}(\lambda) // U' \hookrightarrow \mathcal{C}(\mathfrak{S}) // U' \xrightarrow{r // U'} \mathcal{C}(\lambda) // U',$$

which shows that $r//U'$ is a retraction, too. This also shows that both r and $r//U'$ are onto. Consider the commutative diagram

$$\begin{array}{ccccc}
 \mathcal{C}(\lambda) & \hookrightarrow & \mathcal{C}(\mathfrak{S}) & \xrightarrow{r} & \mathcal{C}(\lambda) \\
 \pi_{\lambda,U'} \downarrow & & \pi_{\mathfrak{S},U'} \downarrow & & \pi_{\lambda,U'} \downarrow \\
 \mathcal{C}(\lambda)//U' & \hookrightarrow & \mathcal{C}(\mathfrak{S})//U' & \xrightarrow{r//U'} & \mathcal{C}(\lambda)//U'
 \end{array}$$

As $\mathcal{C}(\mathfrak{S})$ is normal, the same is true for $\mathcal{C}(\mathfrak{S})//U'$. Since $\pi_{\mathfrak{S},U'}$ is equidimensional and both $\mathcal{C}(\mathfrak{S})$ and $\mathcal{C}(\mathfrak{S})//U'$ are conical, it follows from Lemma 1.5 that $\pi_{\mathfrak{S},U'}$ is onto. Therefore, $\pi_{\lambda,U'}$ is onto as well. Furthermore, $\pi_{\lambda,U'} = \pi_{\mathfrak{S},U'}|_{\mathcal{C}(\lambda)}$, since $\mathcal{C}(\lambda)$ is a G -stable subvariety of $\mathcal{C}(\mathfrak{S})$. This shows that $\pi_{\mathfrak{S},U'}(\mathcal{C}(\lambda))$ is a closed subset of $\mathcal{C}(\mathfrak{S})//U'$.

Let $Y \subset \mathcal{C}(\mathfrak{S})$ be an irreducible component of $\pi_{\mathfrak{S},U'}^{-1}(\pi_{\mathfrak{S},U'}(\mathcal{C}(\lambda)))$ that contains $\mathcal{C}(\lambda)$ and maps dominantly to $\pi_{\mathfrak{S},U'}(\mathcal{C}(\lambda))$. Consider the commutative diagram

$$\begin{array}{ccc}
 Y & \xrightarrow{r|_Y} & \mathcal{C}(\lambda) \\
 \pi_{\mathfrak{S},U'}|_Y \searrow & & \swarrow \pi_{\mathfrak{S},U'}|_{\mathcal{C}(\lambda)} \\
 & \pi_{\mathfrak{S},U'}(\mathcal{C}(\lambda)) &
 \end{array}$$

By the very construction of Y , the morphism $r|_Y$ is onto and $\pi_{\mathfrak{S},U'}|_Y$ is equidimensional. It follows that $\pi_{\mathfrak{S},U'}|_{\mathcal{C}(\lambda)}$ is also equidimensional. Consequently, $\pi_{\lambda,U'} = \pi_{\mathfrak{S},U'}|_{\mathcal{C}(\lambda)}$ is equidimensional and, by Corollary 4.2, $\lambda = \varpi_i$ for some i (recall that λ is supposed to be primitive). Thus, the edges of $\text{con}(\mathfrak{S})$ are generated by fundamental weights. Finally, by Theorem 3.4, the corresponding set of fundamental weights is sparse. □

Remark 4.5. Our proof of the “only if” part exploits ideas of Vinberg and Wehlau for the equidimensional quotients by G (see [23, Theorem 8.2] and [24, Prop. 2.6]).

Remark 4.6. We can prove a general equidimensionality criterion for the quotients of \mathcal{S} -varieties by U . This topic will be considered in a forthcoming publication.

5. The Hilbert–Mumford criterion for U'

Let X be an irreducible affine G -variety and $x_0 \in X^G$. For any $H \subset G$, define the *null-cone* with respect to H and x_0 as

$$\mathfrak{N}_H(X) = \{x \in X \mid F(x) = F(x_0) \quad \forall F \in \mathbb{k}[X]^H\}.$$

If $\mathbb{k}[X]^H$ is finitely generated, then $\mathfrak{N}_H(X)$ can be regarded as the fibre of $\pi_{X,H}$ containing x_0 . Below, we give a characterisation of $\mathfrak{N}_{U'}(X)$ via one-parameter

subgroups (1-PS for short) of T . This is inspired by Brion’s description of null-cones for U -invariants [3, Sect. IV]. Recall that the Hilbert–Mumford criterion for G asserts that

$x \in \mathfrak{N}_G(X)$ if and only if there is a 1-PS $\tau : \mathbb{k}^\times \rightarrow G$ such that $\lim_{t \rightarrow 0} \tau(t) \cdot x = x_0$ (cf. [9, III.2], [23, § 5.3]). By [14, Theorem 2.2], there is the canonical affine model of the homogeneous space G/U' , that is, an affine pointed G -variety $(\overline{G/U'}, \mathbf{p})$ such that

- $G_{\mathbf{p}} = U'$;
- $G \cdot \mathbf{p}$ is dense in $\overline{G/U'}$;
- $\mathbb{k}[\overline{G/U'}] = \mathbb{k}[G]^{U'}$.

Here $\mathbf{p} = (f_1, \tilde{f}_1, \dots, f_r, \tilde{f}_r)$ is a direct sum of weight vectors in $2R(\varpi_1) \oplus \dots \oplus 2R(\varpi_r)$, with weights $\varpi_i, \varpi_i - \alpha_i$ ($1 \leq i \leq r$). If G has no simple factors SL_2, SL_3 , then all these weights belong to an open half-space of $\mathfrak{X}_{\mathbb{R}}$ (see the proof of [14, Prop. 1.9]). In this case, \mathbf{p} is unstable and $\overline{G/U'}$ contains the origin in $2R(\varpi_1) \oplus \dots \oplus 2R(\varpi_r)$. Let $\tau : \mathbb{k}^\times \rightarrow T$ be a 1-PS. Using the canonical pairing between \mathfrak{X} and the set of 1-PS of T , we will regard τ as an element of $\mathfrak{X}_{\mathbb{R}}$. Let us say that τ is U' -admissible, if $(\tau, \varpi_i) > 0$ and $(\tau, \varpi_i - \alpha_i) > 0$ for all i ; that is, if $\lim_{t \rightarrow 0} \tau(t) \cdot \mathbf{p} = 0$. Since $\mathbb{k}[\overline{G/U'}] = \mathbb{k}[G]^{U'}$, one has the isomorphism

$$(5.1) \quad \mathbb{k}[X \times \overline{G/U'}]^G = (\mathbb{k}[X] \otimes \mathbb{k}[G]^{U'})^G \xrightarrow{\sim} \mathbb{k}[X]^{U'}$$

that takes $\tilde{F}(\cdot, \cdot) \in \mathbb{k}[X \times \overline{G/U'}]^G$ to $F(\cdot) = \tilde{F}(\cdot, \mathbf{p}) \in \mathbb{k}[X]^{U'}$.

Theorem 5.1. *Suppose that G has no simple factors SL_2, SL_3 . Then the following conditions are equivalent:*

- (i) $x \in \mathfrak{N}_{U'}(X)$, i.e., $F(x) = F(x_0)$ for all $F \in \mathbb{k}[X]^{U'}$;
- (ii) there is $u \in U$ and a U' -admissible 1-PS $\tau : \mathbb{k}^\times \rightarrow T$ such that $\lim_{t \rightarrow 0} \tau(t)u \cdot x = x_0$.

Proof. (i) \Rightarrow (ii). Suppose that $x \in \mathfrak{N}_{U'}(X)$. Then $\tilde{F}(x, \mathbf{p}) = F(x) = F(x_0) = \tilde{F}(x_0, \mathbf{p})$. Since \mathbf{p} is unstable in $\overline{G/U'}$, we have $\tilde{F}(x_0, \mathbf{p}) = \tilde{F}(x_0, 0)$. Thus, $\tilde{F}(x, \mathbf{p}) = \tilde{F}(x_0, 0)$ for all $\tilde{F} \in (\mathbb{k}[X] \otimes \mathbb{k}[G]^{U'})^G$, i.e., $(x, \mathbf{p}) \in \mathfrak{N}_G(X \times \overline{G/U'})$. By the Hilbert–Mumford criterion for G , there is a 1-PS $\nu : \mathbb{k}^\times \rightarrow G$ such that $\nu(t) \cdot (x, \mathbf{p}) \xrightarrow[t \rightarrow 0]{} (x_0, 0)$.

By a result of Grosshans [10, Cor. 1] (see also [3, IV.1]), we may assume that $\nu(\mathbb{k}^\times) \subset B$. Then there is $u \in U$ such that $\tau(t) := u\nu(t)u^{-1} \in T$. Therefore,

$$\tau(t)u \cdot (x, \mathbf{p}) \xrightarrow[t \rightarrow 0]{} (x_0, 0).$$

Note that $u \cdot \mathbf{p}$ ($u \in U$) does not differ much from \mathbf{p} . Namely, each component f_i remains intact, whereas \tilde{f}_i is replaced with $\tilde{f}_i + c_i f_i$ for some $c_i \in \mathbb{k}$. This means

that $\tau(t)u \cdot \mathbf{p} \xrightarrow[t \rightarrow 0]{} 0$ if and only if $\tau(t) \cdot \mathbf{p} \xrightarrow[t \rightarrow 0]{} 0$. That is, τ is actually U' -admissible and $\lim_{t \rightarrow 0} \tau(t)u \cdot x = x_0$.

(ii) \Rightarrow (i). Suppose that $F \in \mathbb{k}[X]^{U'}$ and \tilde{F} is the corresponding G -invariant in $\mathbb{k}[X \times \overline{G/U'}]$. Then $F(x) = \tilde{F}(x, \mathbf{p}) = \tilde{F}(\tau(t)u \cdot x, \tau(t)u \cdot \mathbf{p})$. Since $u \cdot \mathbf{p}$ is a linear combination of weight vectors with the same weights and τ is U' -admissible, we have $\lim_{t \rightarrow 0} \tau(t)u \cdot \mathbf{p} = 0$. Hence $F(x) = \tilde{F}(x_0, 0) = \tilde{F}(x_0, \mathbf{p}) = F(x_0)$. \square

Remark 5.2. Our Theorem 5.1 is similar to Theorem 5 in [3] on null-cones for U -invariants. The only difference is that we end up with a smaller class of admissible 1-PS.

Obviously, there are inclusions $\mathfrak{N}_{U'}(X) \subset \mathfrak{N}_U(X) \subset \mathfrak{N}_G(X)$ and hence

$$G \cdot \mathfrak{N}_{U'}(X) \subset G \cdot \mathfrak{N}_U(X) \subset \mathfrak{N}_G(X).$$

It is proved in [3, Théorème 6(ii)] that actually $G \cdot \mathfrak{N}_U(X) = \mathfrak{N}_G(X)$. Below, we investigate the similar problem for U' .

Recall that $\text{con}(S)$ is the closed cone in $\mathfrak{X}_{\mathbb{R}}$ generated by S . If $K \subset \mathfrak{X}_{\mathbb{R}}$ is a closed cone, then K^\perp denotes the dual cone and K° denotes the relative interior of K . By the very definition, the cone generated by the U' -admissible 1-PS is open, and its closure is dual to $\text{con}(\{\varpi_i, \varpi_i - \alpha_i \mid i = 1, \dots, r\})$. By [14, Theorem 4.2], we have

$$\text{con}(\{\varpi_i, \varpi_i - \alpha_i \mid i = 1, \dots, r\})^\perp = \text{con}(\Delta^+ \setminus \Pi).$$

Hence the cone generated by the U' -admissible 1-PS equals $\text{con}(\Delta^+ \setminus \Pi)^\circ$.

Theorem 5.3. *Suppose that G has no simple factors of type SL . Then*

- 1) $\text{con}(\varpi_1, \dots, \varpi_r) \subset \text{con}(\Delta^+ \setminus \Pi)$,
- 2) $G \cdot \mathfrak{N}_{U'}(X) = \mathfrak{N}_G(X)$ for all affine G -varieties X .

Proof. 1) Taking the dual cones yields the equivalent condition that

$$\text{con}(\{\varpi_i, \varpi_i - \alpha_i \mid i = 1, \dots, r\}) \subset \text{con}(\Delta^+).$$

That is, one has to verify that each $\varpi_i - \alpha_i$ has non-negative coefficients in the expression via the simple roots. Let C denote the Cartan matrix of a simple group G . All the entries of C^{-1} are positive and the rows of C^{-1} provide the expressions of the fundamental weights via the simple roots. Hence it remains to check that the diagonal entries of C^{-1} are ≥ 1 . An explicit verification shows that this is true if $G \neq SL_{r+1}$. (The matrices C^{-1} can be found in [21, Table 2].)

2) Suppose that $x \in \mathfrak{N}_G(X)$. Then there exist $g \in G$ and $\tau : \mathbb{k}^\times \rightarrow T$ such that $\lim_{t \rightarrow 0} \tau(t)g \cdot x = x_0$. Let $y = g \cdot x$. The set of all 1-PS $\nu : \mathbb{k}^\times \rightarrow T$ such that $\lim_{t \rightarrow 0} \nu(t) \cdot y = x_0$ generates an open cone in $\mathfrak{X}_{\mathbb{R}}$. Therefore, we may assume that τ is a regular 1-PS. Now, in view of the Hilbert–Mumford criterion for G and

Theorem 5.1, it suffices to prove that any regular 1-PS of T is W -conjugate to a U' -admissible one. This follows from part 1), since $\text{con}(\varpi_1, \dots, \varpi_r)$ is a fundamental domain for the W -action on $\mathfrak{X}_{\mathbb{R}}$ and $\text{con}(\varpi_1, \dots, \varpi_r)^o \subset \text{con}(\Delta^+ \setminus \Pi)^o$. \square

For $G = SL_{r+1}$, we have $\varpi_1 - \alpha_1, \varpi_r - \alpha_r \notin \text{con}(\Delta^+)$ and therefore, $\text{con}(\varpi_1, \dots, \varpi_r) \not\subset \text{con}(\Delta^+ \setminus \Pi)$. More precisely, $\varpi_1, \varpi_r \notin \text{con}(\Delta^+ \setminus \Pi)$. This means that one may expect that, for some SL_{r+1} -varieties, there is the strict inclusion $G \cdot \mathfrak{N}_{U'}(X) \subsetneq \mathfrak{N}_G(X)$.

Example 5.4. For $m \geq 3$, consider the representation of $G = SL_3$ in the space $V = R(m\varpi_1)$ of forms of degree m in three variables x, y, z . By Theorem 1.2, $\dim V^{U'} = m + 1$. Let U be the subgroup of the unipotent upper-triangular matrices in the basis dual to (x, y, z) . The U' -invariants of degree 1 are the coefficients of $x^m, x^{m-1}y, \dots, xy^{m-1}, y^m$. Therefore, $\mathfrak{N}_{U'}(V)$ is contained in the subspace of forms having the linear factor z and all the forms in $SL_3 \cdot \mathfrak{N}_{U'}(V)$ have a linear factor. On the other hand, the null-form (with respect to SL_3) $x^m + y^{m-1}z$ is irreducible. Hence, $SL_3 \cdot \mathfrak{N}_{U'}(V) \neq \mathfrak{N}_{SL_3}(V)$.

Remark. In view of Theorem 5.1, it would be much more instructive to have such an example for $SL_n, n \geq 4$. However, we are unable to provide it yet.

6. Equidimensional quotients and irreducible representations of simple groups

In this section, we transform the criterion of Theorem 3.9 in a sufficient condition applicable to a wider class of G -varieties. Then we obtain the list of irreducible representations V of simple algebraic groups $G \neq SL_2$ such that $\mathbb{k}[V]$ is a free $\mathbb{k}[V]^{U'}$ -module.

For any affine irreducible G -variety Z , there is a flat degeneration $\mathbb{k}[Z] \rightsquigarrow \text{gr}(\mathbb{k}[Z])$. (Brion attributes this to Domingo Luna in his thesis, see [2, Lemma 1.5]). Here $\text{gr}(\mathbb{k}[Z])$ is again a finitely generated \mathbb{k} -algebra and a locally-finite G -module, and $\text{gr}Z := \text{Spec}(\text{gr}(\mathbb{k}[Z]))$ is an affine horospherical G -variety. The whole theory of “contractions of actions of reductive groups” is later developed in [15]. (See also [4], [19], [11] for related results and other applications.) The “contraction” $Z \rightsquigarrow \text{gr}Z$ has the property that the algebras $\mathbb{k}[Z]$ and $\mathbb{k}[\text{gr}Z] = \text{gr}(\mathbb{k}[Z])$ are isomorphic as G -modules. But the multiplication in $\mathbb{k}[\text{gr}Z]$ is simpler than that in $\mathbb{k}[Z]$; namely, if M and N are two simple G -modules in $\mathbb{k}[\text{gr}Z]$, then $M \cdot N$ (the product in $\mathbb{k}[\text{gr}Z]$) is again a simple G -module. Furthermore, $\mathbb{k}[\text{gr}Z]^U \simeq \mathbb{k}[Z]^U$ and $G \cdot (\text{gr}Z)^U = \text{gr}Z$. This means that if Z is a spherical G -variety, then $\text{gr}Z$ is an \mathcal{S} -variety.

Theorem 6.1. *Suppose that G acts on an irreducible affine variety X such that (1) $\mathbb{k}[X]^U$ is a polynomial algebra and (2) the weights of free generators are fundamental, different and form a sparse set. Then $\mathbb{k}[X]^{U'}$ is also polynomial, of Krull dimension $2 \dim X // U$, and the quotient $\pi_{X,U'} : X \rightarrow X // U'$ is equidimensional.*

Proof. The idea is the same as in the proof of the similar result for U -invariants in [13, Theorem 5.5]. We use the fact that in our situation $\text{gr}X$ is an \mathcal{S} -variety whose monoid of dominant weights is generated by a sparse set of fundamental weights.

Let $\varpi_1, \dots, \varpi_m$ be the weights of free generators of $\mathbb{k}[X]^U$. Set $\Gamma = \sum_{i=1}^m \mathbb{N}\varpi_i$. It follows from the hypotheses on weights that $\mathbb{k}[X]$ is a multiplicity free G -module, i.e., X is a spherical G -variety [18, Theorem 2]. Therefore, $\mathbb{k}[X]$ is isomorphic to $\bigoplus_{\lambda \in \Gamma} R(\lambda)$ as G -module and $\text{gr}X \simeq \mathcal{C}(\Gamma)$.

By [15, §5], there exists a G -variety Y and a function $q \in \mathbb{k}[Y]^G$ such that $\mathbb{k}[Y]/(q - a) \simeq \mathbb{k}[X]$ for all $a \in \mathbb{k}^\times$, $\mathbb{k}[Y][q^{-1}] \simeq \mathbb{k}[X][q, q^{-1}]$, and $\mathbb{k}[Y]/(q) \simeq \mathbb{k}[\text{gr}X]$. Recall some details on constructing Y and $\text{gr}X$. Let ϱ be the half-sum of the positive coroots. For $\lambda \in \mathfrak{X}_+$, we set $\text{ht}(\lambda) = (\lambda, \varrho)$. Letting $\mathbb{k}[X]_{(n)} = \bigoplus_{\lambda: \text{ht}(\lambda) \leq n} R(\lambda)$, one obtains an ascending filtration of the algebra $\mathbb{k}[X]$:

$$\{0\} \subset \mathbb{k}[X]_{(0)} \subset \mathbb{k}[X]_{(1)} \subset \dots \subset \mathbb{k}[X]_{(n)} \dots$$

Each subspace $\mathbb{k}[X]_{(n)}$ is G -stable and finite-dimensional and $\mathbb{k}[X]_{(0)} = \mathbb{k}[X]^G = \mathbb{k}$. Let q be a formal variable. Then the algebras $\mathbb{k}[Y]$ and $\text{gr}(\mathbb{k}[X])$ are defined as follows:

$$\begin{aligned} \mathbb{k}[Y] &= \bigoplus_{n=0}^{\infty} \mathbb{k}[X]_{(n)} q^n \subset \mathbb{k}[X][q], \\ \text{gr}(\mathbb{k}[X]) &= \bigoplus_{n \geq 0} \mathbb{k}[X]_{(n)} / \mathbb{k}[X]_{(n-1)}. \end{aligned}$$

Let f_1, \dots, f_m be the free generators of $\mathbb{k}[X]^U$, where $f_i \in R(\varpi_i)^U$, as usual. They can also be regarded as free generators of $\mathbb{k}[\text{gr}X]^U$. By Theorem 1.4, $\mathbb{k}[\text{gr}X]^{U'}$ is freely generated by $f_1, \tilde{f}_1, \dots, f_m, \tilde{f}_m$ and by Theorem 3.9, $\pi_{\text{gr}X,U'} : \text{gr}X \rightarrow (\text{gr}X) // U'$ is equidimensional. On the other hand, it follows from [14, Theorem 2.4] that $f_1, \tilde{f}_1, \dots, f_m, \tilde{f}_m$ also generate $\mathbb{k}[X]^{U'}$. Therefore, to conclude that $\mathbb{k}[X]^{U'}$ is polynomial, it suffices to know that $\dim X // U' = \dim(\text{gr}X) // U' (= 2m)$. To this end, we exploit the following facts:

- a) For an irreducible G -variety X , there always exists a generic stabiliser for the U -action on X [5, Corollaire 1.6], which we denote by $\text{g.s.}(U:X)$;
- b) If X is affine, then this generic stabiliser depends only on the G -module structure of $\mathbb{k}[X]$, i.e., on the highest weights of G -modules occurring in $\mathbb{k}[X]$ [12, Theorem 1.2.9]. Consequently, $\text{g.s.}(U:X) = \text{g.s.}(U:\text{gr}X)$;

c) the minimal dimension of U' -stabilisers in X equals $\dim(U' \cap \text{g.s.}(U:X))$ [14, Lemma 2.5]. Therefore it is the same for X and $\text{gr}X$;

d) Since U' is unipotent, we have $\dim X//U' = \dim X - \dim U' + \min_{x \in X} \dim U'_x$.

Combining a)-d) yields the desired equality and thereby the assertion that $\mathbb{k}[X]^{U'}$ is polynomial, of Krull dimension $2m = 2 \dim X//U$.

Let n_i be the smallest integer such that $R(\varpi_i) \subset \mathbb{k}[X]_{(n_i)}$. Using the above description of $\mathbb{k}[Y]$ and $\mathbb{k}[\text{gr}X]^{U'}$, one easily obtains that

$$\begin{aligned} \mathbb{k}[Y]^U &= \mathbb{k}[q, q^{n_1} f_1, \dots, q^{n_m} f_m] \\ \mathbb{k}[Y]^{U'} &= \mathbb{k}[q, q^{n_1} f_1, q^{n_1} \tilde{f}_1, \dots, q^{n_m} f_m, q^{n_m} \tilde{f}_m], \end{aligned}$$

i.e., both algebras are polynomial, of Krull dimension $m + 1$ and $2m + 1$, respectively. By a result of Kraft, the first equality implies that Y has rational singularities (see [2, Theorem 1.6], [15, Theorem 6]). One has the following commutative diagram:

$$\begin{array}{ccccc} C(\Gamma) \simeq & \text{gr}X & \hookrightarrow & Y & \leftarrow X \times \mathbb{A}^1 \\ & \downarrow \pi_{\text{gr}X, U'} & & \downarrow \pi_{Y, U'} & \\ \mathbb{A}^{2m} \simeq & (\text{gr}X)//U' & \hookrightarrow & Y//U' & \simeq \mathbb{A}^{2m+1} \\ & \downarrow & & \downarrow q & \\ & \{0\} & \hookrightarrow & \mathbb{A}^1 & \end{array}$$

Consequently,

$$\mathfrak{N}_{U'}(\text{gr}X) = \pi_{\text{gr}X, U'}^{-1}(\pi_{\text{gr}X, U'}(\bar{0})) = \pi_{Y, U'}^{-1}(\pi_{Y, U'}(\bar{0})) = \mathfrak{N}_{U'}(Y),$$

where $\bar{0} \in \text{gr}X \subset Y$ is the unique G -fixed point of $\text{gr}X$. Since $\dim Y = \dim X + 1$, $\dim Y//U' = \dim(\text{gr}X)//U' + 1$, and $\pi_{\text{gr}X, U'}$ is equidimensional, the morphism $\pi_{Y, U'}$ is equidimensional as well. As Y has rational singularities and hence is Cohen-Macaulay, this implies that $\mathbb{k}[Y]$ is a flat $\mathbb{k}[Y]^{U'}$ -module. Since $\mathbb{k}[Y][q^{-1}] \simeq \mathbb{k}[X][q, q^{-1}]$ and $\mathbb{k}[Y]^{U'}[q^{-1}] \simeq \mathbb{k}[X]^{U'}[q, q^{-1}]$, we conclude that $\mathbb{k}[X]$ is a flat $\mathbb{k}[X]^{U'}$ -module. Thus, $\pi_{X, U'}$ is equidimensional. \square

Our next goal is to obtain the list of all irreducible representations V of simple algebraic groups such that $\mathbb{k}[V]$ is a free $\mathbb{k}[V]^{U'}$ -module. As is well known, $\mathbb{k}[V]$ is a free $\mathbb{k}[V]^{U'}$ -module if and only if $\mathbb{k}[V]^{U'}$ is polynomial and $\pi_{V, U'}$ is equidimensional [16, Prop. 17.29]. Therefore, the required representations are contained in [14, Table 1] and our task is to pick from that table the representations having the additional property that $\pi_{V, U'}$ is equidimensional. The numbering of fundamental weights of simple algebraic groups follows [21, Tables].

Theorem 6.2. *Let G be a connected simple algebraic group with $\text{rk } G \geq 2$ and $R(\lambda)$ a simple G -module. The following conditions are equivalent:*

- (i) $\mathbb{k}[R(\lambda)]$ is a free $\mathbb{k}[R(\lambda)]^{U'}$ -module;
- (ii) Up to symmetries of the Dynkin diagram of G , the pairs (G, λ) occur in the following list: $(\mathbf{A}_r, \varpi_1), (\mathbf{B}_r, \varpi_1), (\mathbf{C}_r, \varpi_1), r \geq 2;$
 $(\mathbf{D}_r, \varpi_1), r \geq 3;$
 $(\mathbf{B}_3, \varpi_3), (\mathbf{B}_4, \varpi_4), (\mathbf{D}_5, \varpi_5), (\mathbf{E}_6, \varpi_1), (\mathbf{G}_2, \varpi_1).$

Proof. (ii) \Rightarrow (i). By [14, Theorem 5.1], all these representations have a polynomial algebra of U' -invariants. Consider $X = \mathfrak{N}_G(R(\lambda))$, the null-cone with respect to G . The nonzero weights of generators of $\mathbb{k}[R(\lambda)]^U$ (and hence the weights of generators of $\mathbb{k}[X]^U$) given by Brion [3, p. 13] are fundamental and form a sparse set. Consequently, Theorem 6.1 applies to X , and $\pi_{X,U'}$ is equidimensional. Since X is either a G -invariant hypersurface in $R(\lambda)$ or equal to $R(\lambda)$, $\pi_{R(\lambda),U'}$ is also equidimensional.

(i) \Rightarrow (ii). We have to prove that, for the other items in [14, Table 1], the quotient is not equidimensional. The list of such “bad” pairs (G, λ) is: $(\mathbf{A}_r, \varpi_2^*)$ with $r \geq 4;$ $(\mathbf{B}_5, \varpi_5), (\mathbf{D}_6, \varpi_6), (\mathbf{E}_7, \varpi_1), (\mathbf{F}_4, \varpi_1).$ Note that $(\mathbf{A}_3, \varpi_2^*) = (\mathbf{D}_3, \varpi_1)$ and this good pair is included in the list in (ii).

It suffices to check that the free generators of $\mathbb{k}[R(\lambda)]^{U'}$ given in that Table do not form a regular sequence. To this end, we point out a certain relation in $\mathbb{k}[R(\lambda)]$ using the fact the weights of generators do not form a sparse set (cf. the proof of Theorem 3.4).

The only “bad” serial case is $(\mathbf{A}_r, \varpi_2^*)$ with $r \geq 4.$ The algebra $\mathbb{k}[R(\varpi_2^*)]^U$ has free generators f_{2i} ($1 \leq i \leq [r/2]$) of degree i and weight ϖ_{2i} , and for r odd, there is also the Pfaffian, which is G -invariant. Then $\mathbb{k}[R(\varpi_2^*)]^{U'}$ is freely generated by $f_2, \tilde{f}_2, f_4, \tilde{f}_4, \dots$ (and the Pfaffian, if r is odd). Using the 4-nodes fragments of the weight posets $\mathcal{P}(\varpi_2)$ and $\mathcal{P}(\varpi_4)$ and notation of the proof of Theorem 3.4, we construct a U -invariant function $f_2q_4 - \tilde{f}_2p_4 + p_2\tilde{f}_4 - q_2f_4$ of degree 3 and weight $\varpi_2 + \varpi_4 - \alpha_2 - \alpha_3 - \alpha_4 = \varpi_1 + \varpi_5.$ (Cf. Eq. (3.1).) However, there are no such nonzero U -invariants in $\mathbb{k}[R(\varpi_2^*)].$ This yields a relation in $\mathbb{k}[R(\varpi_2^*)]$ involving free generators $f_2, \tilde{f}_2, f_4, \tilde{f}_4 \in \mathbb{k}[R(\varpi_2^*)]^{U'}$.

In all other cases, we can do the same thing using a pair of generators of $\mathbb{k}[R(\lambda)]^U$ corresponding to suitable fundamental weights. The only difference is that one of these two U -invariants is not included in the minimal generating system of $\mathbb{k}[R(\lambda)]^{U'}$ and should be expressed via some other U' -invariants. Nevertheless, the resulting relation still shows that the U' -invariants involved do not form a regular sequence.

For instance, consider the pair $(\mathbf{D}_6, \varpi_6).$ Here the free generators of $\mathbb{k}[R(\varpi_6)]^U$ have the following degrees and weights: $(1, \varpi_6), (2, \varpi_2), (3, \varpi_6), (4, \varpi_4), (4, \mathbf{0})$ [3]. The invariants themselves are denoted by $f_6^{(1)}, f_2, f_6^{(3)}, f_4, F,$ respectively. Starting with the U -invariants f_2 and $f_4,$ we obtain, as a above, a relation of the

form

$$(6.1) \quad f_2 q_4 - \tilde{f}_2 p_4 + p_2 \tilde{f}_4 - q_2 f_4 = 0$$

in $\mathbb{k}[\mathbb{R}(\varpi_6^*)]$. However, f_4 is not a generator in $\mathbb{k}[\mathbb{R}(\varpi_6)]^{U'}$. Taking the second U' -invariant in each fundamental G -submodule, we obtain nine functions $f_6^{(1)}, \tilde{f}_6^{(1)}, f_2, \tilde{f}_2, f_6^{(3)}, \tilde{f}_6^{(3)}, f_4, \tilde{f}_4, F$ that generate $\mathbb{k}[\mathbb{R}(\varpi_6)]^{U'}$. Here $f_4 = f_6^{(1)} \tilde{f}_6^{(3)} - \tilde{f}_6^{(1)} f_6^{(3)}$ and the remaining eight functions freely generate $\mathbb{k}[\mathbb{R}(\varpi_6)]^{U'}$. Substituting this expression for f_4 in (6.1), we finally obtain the relation

$$f_2 q_4 - \tilde{f}_2 p_4 + p_2 \tilde{f}_4 - q_2 (f_6^{(1)} \tilde{f}_6^{(3)} - \tilde{f}_6^{(1)} f_6^{(3)}) = 0,$$

which shows that the free generators of $\mathbb{k}[\mathbb{R}(\varpi_6)]^{U'}$ do not form a regular sequence. □

Some open problems. Let V be a rational G -module.

1°. *Suppose that $V // U$ is an affine space. Is it true that $V // U'$ is a complete intersection?*

2°. *Suppose that $V // U'$ is an affine space and G has no simple factors SL_2 . Is it true that $V // U$ is an affine space?* (In [14], we have proved that $V // G$ is an affine space, but this seems to be too modest.)

Direct computations provide an affirmative answer to both questions if G is simple and V is a simple G -module.

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